

TITLE:

Pressure management via brine extraction in geological CO₂ storage: adaptive optimization strategies under poorly characterized reservoir conditions

Ana González-Nicolás

E-mail address: anagna@gmail.com

<https://doi.org/10.1016/j.ijggc.2019.02.009>

© 2019. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <https://creativecommons.org/licenses/by-nc-nd/4.0/>

1 **Pressure Management via Brine Extraction in Geological CO₂ Storage:**
2 **Adaptive Optimization Strategies under Poorly Characterized Reservoir**
3 **Conditions**

4
5 Ana González-Nicolás^{1,2*}, Abdullah Cihan¹, Robin Petrusak³, Quanlin Zhou¹, Robert
6 Trautz⁴, David Riestenberg³, Michael Godec³, Jens T. Birkholzer¹

7
8 ¹Energy Geosciences Division, Lawrence Berkeley National Laboratory, Berkeley, CA

9 ²Now at the Institute for Modelling Hydraulic and Environmental Systems (LS3)/SimTech,
10 University of Stuttgart, Stuttgart, Germany

11 ³Advanced Resources International, Inc., Arlington, VA

12 ⁴Electric Power Research Institute, Inc., Palo Alto, CA

13
14 *Corresponding author

15 E-mail address: anagna@gmail.com (A. González-Nicolás)

16 Full present postal address: Institute for Modelling Hydraulic and Environmental Systems
17 (LS3/SimTech), Pfaffenwaldring 5a, D-70569 Stuttgart, Germany

18
19 **Abstract**

20 Industrial-scale injection of CO₂ into the subsurface increases the fluid pressure in the reservoir,
21 which if not properly controlled can potentially lead to geomechanical damage (i.e., fracturing of
22 the caprock or reactivation of faults) and subsequent CO₂ leakage. Brine extraction is one approach

23 for managing formation pressure, effective stress, and plume movement in response to CO₂
24 injection. The management of the extracted brine can be expensive (i.e., due to transportation,
25 treatment, disposal, or re-injection), with added cost to the carbon capture and sequestration
26 (CCS); thus, minimizing the volume of extraction brine is of great importance to ensure that the
27 economics of CCS are favorable. The main objective of this study is to demonstrate the use of
28 adaptive optimization methods in the planning of brine extraction and to investigate how the
29 quality of initial site characterization data and the use of newly acquired monitoring data (e.g.
30 pressure at observation wells) impact the optimization performance. We apply an adaptive
31 management approach that integrates monitoring, calibration, and optimization of brine extraction
32 rates to achieve pre-defined pressure constraints. Our results show that reservoir pressure
33 management can be extremely benefited by early and high frequency pressure monitoring during
34 early injection times, especially for poor initial reservoir characterization. Low frequencies of
35 model calibration and optimization with monitoring data may lead to optimization problems,
36 because either pressure buildup constraints are violated or excessively high extraction rates are
37 proposed. The adaptive pressure management approach may constitute an effective tool to manage
38 pressure buildup under uncertain reservoir conditions by minimizing the volumes of extracted
39 brine while controlling pressure buildup.

40 **Keywords:** CO₂ storage, pressure management, fault activation, caprock fracturing, parameter
41 uncertainty, brine extraction

42 **1 Introduction**

43 Injection of CO₂ into the subsurface at industrial scale can result in significant fluid
44 pressure increase in a reservoir, which can be a limiting factor for sequestration capacity in saline
45 aquifers (Zhou and Birkholzer, 2011; Thibeau et al., 2014). The possibility of distant pressure-

46 related impacts need to be considered, which if not properly controlled can lead to potential
47 environmental impacts (Zhou and Birkholzer, 2011). These potential environmental concerns
48 include groundwater contamination (Apps et al., 2010; Birkholzer et al., 2009) stemming from
49 pressure-driven brine leakage through conductive pathways, such as improperly plugged
50 abandoned wells or distant faults (Metz et al., 2005), and the potential for fault reactivation and
51 possibly seal breaching (Morris et al., 2011; Rutqvist et al., 2007). Large areas with pressure
52 increases can also require more complex and costly site characterization and monitoring (US EPA,
53 2008; Oldenburg et al., 2016).

54 Concerns about local- or regional-scale pressurization have motivated research on
55 engineering approaches for subsurface pressure control, usually involving some strategies of
56 extracting resident brines from a storage reservoir while CO₂ is injected. The potential benefits of
57 employing brine extraction wells to manage pressure in the reservoir include reduced stress on the
58 sealing formation, reduced risk of brine and CO₂ intrusion into other formations, increased storage
59 capacity, and reduced area of review for regulatory assessment (Birkholzer and Zhou, 2009). In
60 recent years, pressure management approaches via brine extraction have been studied in generic
61 modeling exercises to demonstrate the proof of concept and feasibility (e.g. Bergmo et al., 2011),
62 but so far brine extraction has not been used in actual geological carbon storage (GCS) projects,
63 mainly because the currently injected CO₂ volume are limited and large-scale pressure increases
64 are rare. However, for large-scale projects expected in future GCS deployment, modeling analyses
65 reveal that brine extraction can be very beneficial and in some cases necessary, enhancing storage
66 capacity and injectivity (Buscheck et al., 2012; Buscheck et al., 2014; Pongtepupathum et al.,
67 2017; Ziemkiewicz et al., 2016) and reducing risk of environmental impacts and induced
68 seismicity (Birkholzer et al., 2012). For example, the industrial-scale Gorgon CO₂ storage project

69 in Australia considered the possibility of brine extraction through four wells located to the west of
70 the site (Flett et al., 2008; Greig et al., 2016; Liu et al., 2013).

71 Ensuring safety and robustness against failure is critical and must be integrated into actual
72 GCS projects (Harp et al., 2017). ‘A factor of safety’ is a concept applied in all engineering
73 applications. In our work we assumed that the threshold values for critical pressures already
74 include the factor of safety. The main goal of this study is to investigate the influence of two factors
75 on the extraction rates of brine for pressure management. These two factors are i) the quality of
76 data of the initial site characterization, and ii) the frequency of model calibration and optimization
77 calculations based on newly acquired monitoring data.

78 In preparation for deployment of future large-scale GCS projects, the U.S. Department of
79 Energy (DOE) created the Brine Extraction and Storage Test (DOE-BEST) program to evaluate
80 the technical feasibility of managing subsurface pressures associated with large-scale CO₂
81 injection volumes and to assess the cost and effectiveness of desalination technologies for saline
82 waters containing high total dissolved solids (TDS). Two projects funded under this program are
83 currently in the final planning and design phase. The projects will demonstrate management of
84 reservoir pressure through brine extraction in actual field settings and test desalination approaches
85 for the utilization of the extracted brines (DOE, 2016).

86 Recognizing the potential management cost of extracted brine (Harto and Veil, 2011),
87 recent work by Birkholzer et al. (2012) and Cihan et al. (2015) aimed to develop flexible
88 optimization methods for pressure control, with the goal of minimizing the volume of extracted
89 brine while maximizing CO₂ storage and meeting other constraints needed for safe and efficient
90 GCS operations. For given pressure constraints, such as the maximum allowable pore pressure
91 along a critically stressed fault, the optimization algorithm finds optimal solutions for placement

92 of extraction wells and transient pumping rates. Example applications of optimization algorithms
93 to hypothetical CO₂ storage scenarios in realistic field settings demonstrate that strategic extraction
94 can achieve a significant reductions in the total volume of extracted brine while limiting pressure
95 increases (Cihan et al., 2015).

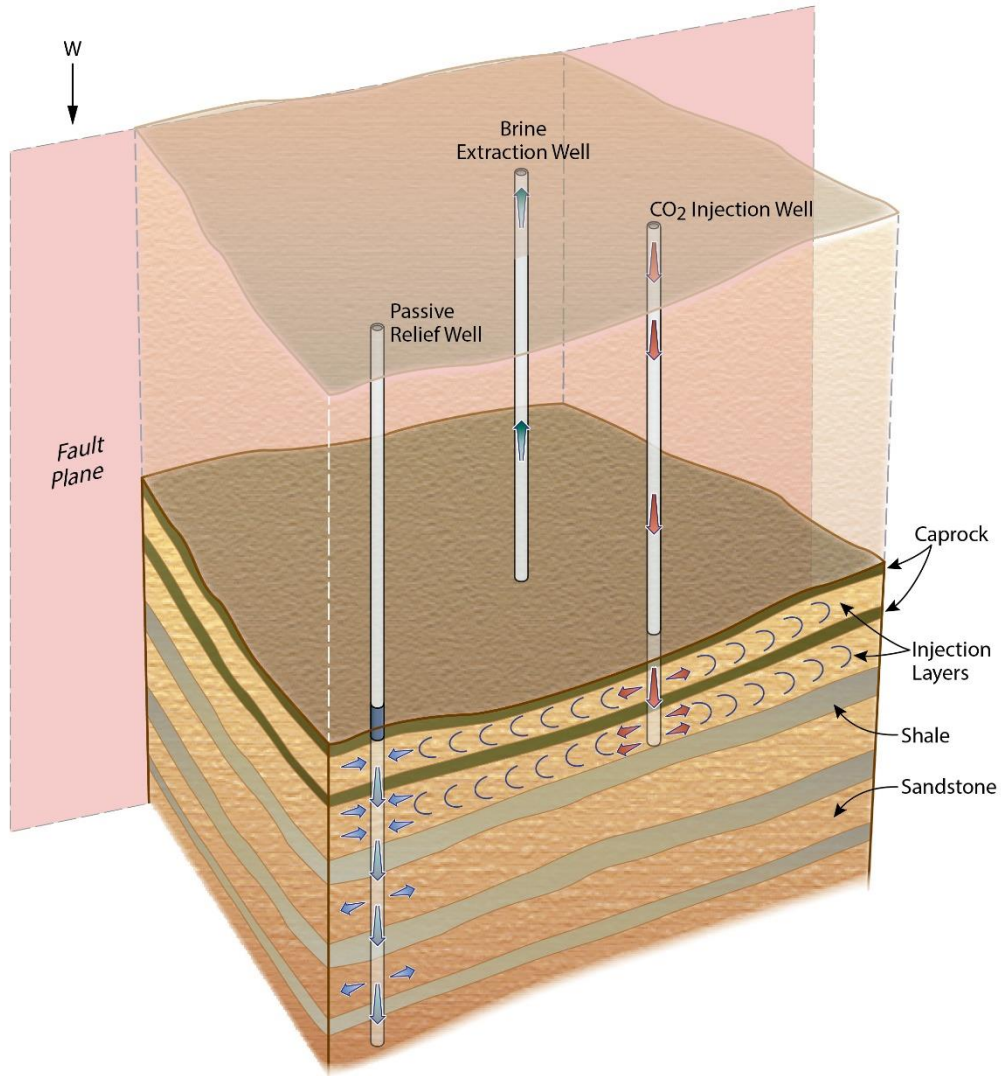
96 The knowledge of subsurface properties is always incomplete. Especially during the
97 planning stages of CO₂ projects, site characterization data are often quite limited and there are
98 large uncertainties in rock properties. Thus, predictive models for the reservoir conditions upon
99 CO₂ injection are initially associated with large model uncertainties. These models can be
100 improved through calibration using monitoring data obtained during the operation of the projects.
101 Based on new data received and subsequent improvement of the existing models, optimization
102 calculations may then need to be revised and operational decisions for controlling and managing
103 subsurface pressurization may need to be updated. The oil industry commonly uses the so-called
104 “closed-loop” approach, which includes optimization and model-updating algorithms for reservoir
105 management (Aitokhuehi and Durlofsky, 2005; Sarma et al., 2006). Observations such as well-log
106 measurements, production rates (oil, gas, and water), and 4D seismic data are collected to improve
107 knowledge of uncertain parameters and then used to improve the prediction of reservoir simulation
108 models (Evensen et al., 2007; Nævdal et al., 2005; Skjervheim et al., 2005).

109 The goal of this study is to apply an adaptive management approach that combines
110 monitoring + inversion + optimization in an integrated framework for pressure control in GCS
111 applications. We use this framework to develop and implement a pressure management strategy at
112 a hypothetical site. In terms of geological setting and well configurations, the hypothetical example
113 resembles one of the DOE-BEST projects, which will provide a testbed for demonstrating adaptive
114 pressure management. However, the spatial and temporal scales of the hypothetical scenario

115 investigated in this study are much larger than the planned field test scenario in this DOE-BEST
116 project. It is important to note that the actual DOE -BEST project demonstration site has no known
117 faults, whereas the hypothetical scenario reported here includes a critically stressed hypothetical
118 fault which needs to be protected from hypothetical reservoir pressure increases.

119 Using the hypothetical injection application as an example case, we focus on testing and
120 demonstrating an adaptive management approach to understand the influence of two factors on the
121 optimized extraction rates for pressure management. These factors are: 1) the quality of data
122 obtained during the initial site characterization such as hydraulic properties of the reservoir system,
123 and 2) the frequency of model calibration and optimization calculations based on newly acquired
124 monitoring data. Our objective is to demonstrate that adaptive management can effectively manage
125 pressure effects associated with fluid injection, such as the potential for inducing seismic events
126 and leakage along hypothetical faults, and that it can do so under realistic conditions where
127 reservoir data are uncertain. The management strategy that we use for the hypothetical site
128 combines two complementary brine extraction methods: (1) “active” extraction of brine from the
129 injection reservoir to the surface with optimized time-varying pumping rates, and (2) “passive”
130 pressure relief, which relies on the pressure increase in the injection reservoir to provide the driving
131 force for resident brine to flow through a relief well; in this case, transferring fluids into suitable
132 deeper geological layers than the injection layer (Figure 1). The advantage of “passive” pressure
133 relief is that once a relief well is drilled and completed there are no additional costs for brine
134 pumping or handling, in contrast to “active” extraction. The disadvantage is that “passive” brine
135 extraction cannot be optimized to the project needs; the brine volume transferred out of the storage
136 reservoir into other formations is a function of their pressure differentials. For this same reason,

137 “passive” brine extraction requires that the receiving units are not overpressurized to the point
138 where they cannot passively receive the brine.



EESA18-034

139
140 **Figure 1.** Schematic showing the pressure management strategy in this study to reduce risk of
141 caprock failure and risk of fault reactivation. The schematic shows the five top aquitards as well
142 as the five top aquifers of Table 1 (from 22 to 18). Injection of CO₂ occurs into two reservoir layers
143 (aquifers 22 and 21 in Table 1). Brines are extracted from two wells, one of them “actively”
144 pumping to the surface, the other “passively” moving brines into deeper layers. The back of the
145 schematic figure shows a hypothetical critically stressed fault, for which a maximum allowable
146 pressure change has been defined. Another pressure limit is defined to avoid caprock damage.

147

148 The paper is organized as follows. Section 2 presents brief descriptions of the adaptive
149 management methodology, the reservoir modeling approaches, the optimization and calibration
150 problem solved, and selected scenarios of the adaptive management approach. In section 3 and
151 section 4, the results of the sensitivity analysis of site characterization are presented and discussed.
152 Section 5 summarizes the main conclusions of this study.

153 **2 Adaptive management approach**

154 Adaptive management involves (1) analysis and interpretation of monitoring data acquired
155 during the field test, (2) reservoir model testing, (3) updating of model parameters using inverse
156 modeling methods, and (4) revised optimization plus, if necessary, modification of reservoir
157 management schemes (i.e., time-dependent extraction rates, changes in extraction schemes) based
158 on the updated reservoir model predictions. In this study, we use a computer algorithm that
159 automatically accomplishes the steps above at a selected frequency to estimate future minimum
160 extraction rates based on the existing reservoir model.

161 The initial reservoir model, based on available site characterization data, is used to estimate
162 the initial and future minimum extraction rates that provide acceptable pressure conditions. These
163 acceptable conditions, or pressure constraints need to be defined before the start of the
164 optimization. An optimization algorithm coupled to the reservoir model then generates optimal
165 extraction rates that may vary during the project period (Stage 1). Depending on the reservoir
166 conditions, extraction may not need to start at the beginning of injection in most cases. However,
167 if the initially estimated values are not zero, the extraction well(s) start pumping using the
168 calculated initial extraction rate(s). As soon as newly acquired data become available during the
169 operation (e.g., pressure changes at the observation wells, geophysical measurements), the model

170 predictions are compared against the observations (Stage 2). If the model predictions are
171 significantly different from the observations, which is typically the case, especially during early
172 operation, then the reservoir model is updated or recalibrated with the new data using an inverse
173 modeling tool (Stage 3). After the model calibration process, the algorithm goes back to Stage 1
174 to estimate new optimal extraction rates at the current and future times that satisfy the constraints
175 based on the predictions with the existing model. The extraction well(s) continue operating at the
176 current extraction rate until new data are analyzed and the model and extraction rates are updated.
177 The adaptive management algorithm may continue to be used throughout the injection and perhaps
178 post-injection phase to ensure that the extraction rates are minimized and pressure constraints are
179 met.

180 **2.1 Reservoir flow model**

181 For this study, a multilayered reservoir model was constructed using the existing well logs,
182 lithologic logs, sidewall core data, and well test data gathered from an existing well near the DOE-
183 BEST project field site. The targeted reservoir system is a thick (about 700 m) sequence of very
184 porous and permeable fluvial and fluvial deltaic sandstones alternating with shale. Injection occurs
185 only into the upper two sandstone layers at a total rate of 1,090 m³/d (~ equivalent to about 0.3 Mt
186 of CO₂ injection) over 30 years. We assume that there is a concern about caprock fracturing and
187 potential fault slip due to increased pressures near the injection well and along a hypothetical fault
188 which is a few kilometers away from the injection well. We furthermore assume that the critical
189 pressure changes for caprock fracturing and fault slip are $\Delta P_{crt,c} = 8$ MPa and $\Delta P_{crt,f} = 0.4$ MPa,
190 respectively. As mentioned before, the pressure management strategy involves a passive relief
191 well and an active extraction well to control pressure changes (see Figure 1).

192 To efficiently simulate the fluid flow and pressure changes in the reservoir system, we
193 employ a semi-analytical model for single-phase flow in multilayered systems that has capabilities
194 to represent multiple injection/extraction and leaky wells, diffuse flow through aquifer-aquitard
195 interfaces, and focused brine leakage or flow through leaky wells (Cihan et al. 2011). We
196 approximate the target reservoir with its sandstone and shale layers as aquifers and aquitards,
197 respectively, where fluid flow is assumed horizontal in the aquifers and vertical in the aquitards.
198 Initially, hydrostatic pressure is assumed for the whole system of aquifers and aquitards. Each
199 aquifer and aquitard may have different hydraulic properties and thicknesses; however, within each
200 aquifer and aquitard, properties and thickness remain uniform. Leaky wells are represented as
201 Darcy-type flow pathways with segment-wise property variations (e.g., well radii, permeability,
202 screened/cased in well-aquifer segments, plugged/unplugged in well-aquitard segments). The
203 segments correspond to intersections of each well with individual layers of the multilayered
204 system. Further details of the semi-analytical solution approach and a description of the computer
205 program to compute the solution in terms of pressure changes can be found in Cihan et al. (2011).
206 The leaky well feature of the semi-analytical model is particularly useful for this study to represent
207 the passive relief well in our hypothetical scenario. Table 1 lists the identified 22 aquifer and 22
208 aquitard layers (from bottom to top) of the target reservoir system with their hydraulic properties
209 including thickness, hydraulic conductivity and specific storativity. Aquifer Layers 21 and 22 (top
210 two aquifer layers) are the injection layers.

211

212

213 **Table 1.** Reference (‘true’) hydraulic property values of reservoir layers containing aquifers
 214 alternating with aquitards. The bottom layer is an aquifer, and the top layer is an aquitard.

Aquifers				Aquitards			
Layer	Thickness (m)	$K=K_{xx}$ (m/d)	$S=S_s$ (m ⁻¹)	Layer	Thickness (m)	$K'=K_{zz}$ (m/d)	$S'=S_s$ (m ⁻¹)
1 (bot)	42.062	0.176	1.856E-06	1	6.706	4.729E-04	1.065E-06
2	18.288	0.207	2.025E-06	2	6.096	1.537E-03	1.081E-06
3	10.973	0.674	2.025E-06	3	6.706	4.032E-03	1.452E-06
4	29.261	0.237	1.940E-06	4	7.925	6.706E-04	1.162E-06
5	8.534	0.144	1.856E-06	5	18.593	1.889E-03	1.065E-06
6	30.785	0.182	1.680E-06	6	10.973	1.548E-03	1.743E-06
7	15.850	0.670	2.000E-06	7	9.449	3.124E-03	1.355E-06
8	23.470	0.498	2.001E-06	8	14.021	1.809E-03	1.150E-06
9	13.106	0.871	1.990E-06	9	5.791	1.059E-03	1.162E-06
10	16.459	0.447	2.025E-06	10	9.144	3.859E-03	1.452E-06
11	9.144	0.321	2.025E-06	11	8.534	4.960E-03	1.020E-06
12	3.048	0.826	1.940E-06	12	7.315	2.301E-03	1.258E-06
13	23.165	0.284	1.940E-06	13	1.829	1.462E-03	1.162E-06
14	10.973	0.338	2.025E-06	14	4.572	1.896E-03	1.646E-06
15	34.138	0.720	3.122E-06	15	12.192	5.159E-04	5.808E-07
16	55.778	0.195	2.025E-06	16	9.449	1.032E-03	1.936E-06
17	3.962	1.032	3.037E-06	17	2.438	6.190E-04	1.452E-06
18	14.021	0.037	2.278E-06	18	12.192	5.732E-03	2.420E-06
19	46.634	0.273	2.607E-06	19	3.962	5.159E-05	8.713E-07
20	23.165	0.403	2.563E-06	20	7.620	1.032E-03	1.646E-06
21	10.668	0.503	2.244E-06	21	2.438	2.053E-09	8.713E-07
22	3.048	0.375	2.306E-06	22 (top)	86.563	4.769E-04	1.258E-06

215
 216 In actual field demonstrations, higher-fidelity numerical models are typically more
 217 appropriate, but in this demonstration application, we prefer using the semi-analytical solution to
 218 be able to conduct ultrafast model calibration and optimization calculations within the adaptive
 219 management framework. Brine flow and pressure changes along the far-field fault and brine flow
 220 through the passive well outside the CO₂ plume zone can be described reasonably well by the
 221 single-phase flow models —without considering local two-phase and variable density effects—
 222 simply by representing the injection of CO₂ as an equivalent volume of saline water (Nicot 2008;

223 Cihan et al., 2013). However, Cihan et al. (2013) showed that the analytical solution for single-
 224 phase brine flow would slightly overpredict pressure buildup within the CO₂ plume zone,
 225 compared to the multiphase simulator TOUGH2/ECO₂N (Pruess 2005). This in turn leads to
 226 slightly higher brine extraction rates, calculated by the optimization algorithm, than actually
 227 required. Thus, we may consider the optimization results based on the analytical model to be on
 228 the conservative side.

229

230 **2.2 Optimization**

231 The specific goal of the optimization in this study is to minimize the volume of extracted
 232 brine while effectively controlling pressure buildup such that (1) the caprock fracturing pressure
 233 is not exceeded, and (2) reactivation along a hypothetical fault near the injection location can be
 234 avoided. (These pressure constraints are a proxy for any other pressure constraints one may need
 235 to define in a given project.) If the total volume of injected fluid is V_{inj} , and the total volume of
 236 extracted fluid is V_{ext} , then the goal is to minimize the extraction ratio defined by V_{ext}/V_{inj} . Costs
 237 associated with the pumping per volume of injected or produced fluid and treatment of extracted
 238 brine are assumed to be proportional to the extraction ratio defined in Eq. (1). Formally, the
 239 specific optimization problem that involves the objective function and the constraints, respectively,
 240 can be expressed as:

$$\text{Minimize } f(\mathbf{p}) = V_{ext}/V_{inj} \tag{1}$$

$$\text{Subject to } g_1(\mathbf{p}) = \max\{\Delta P(x_{obs}, t)\} - \Delta P_{crt,f} < 0, \tag{2}$$

$$g_2(\mathbf{p}) = \max\{\Delta P(x_{obs}, t)\} - \Delta P_{crt,c} < 0$$

241 where \mathbf{p} is the parameter vector that may include constant or time-dependent function parameters
242 for controlling extraction rates and, in the most general case, the number and locations of extraction
243 wells if one decides to have the algorithm select an optimal well design. For this study, we assume
244 that the location of extraction wells is known, and that the extraction rate(s) are optimized as piece-
245 wise time-dependent parameters. The two constraints in Eq. (2) represent the pressure management
246 goal of keeping reservoir pressure increases in defined impact zones below critical pressure
247 buildup values (with respect to the pressure prior to the injection, $\Delta P(t)=P(t)-P(t=0)$). We assume
248 in the first constraint (g_1) that the fault slip risk becomes too high if the pressure buildup at any
249 location in the impact zone (hypothetical fault) exceeds $\Delta P_{crt,f}$. Pressure buildup along the impact
250 zone is recorded through a vector of observation points (\mathbf{x}_{obs}), as many as required. In addition to
251 the pressure buildup constraint along the fault, we take into account the fracturing pressure
252 constraint (g_2) near the injection well ($\Delta P_{crt,c}$).

253 To solve the optimization problem, we apply a constrained differential evolution (CDE)
254 algorithm modified from a differential evolution algorithm (Deb, 2000; Cihan et al., 2015) within
255 each step of the adaptive management framework. The CDE algorithm is particularly useful for
256 solving global optimization problems involving well placement and dynamic injection/extraction
257 control (e.g., Cihan et al., 2015). As mentioned above, we assume in this study that the well
258 locations are known, and we employ CDE to solve only the problem of dynamic extraction control.

259 **2.3 Calibration**

260 The specific goal of the calibration in this study is to minimize the error between pressure
261 measurements observed and pressure measurements generated with the simulations (Equation 3).
262 For the inverse estimations conducted at each step of the adaptive algorithm, we use the same
263 method (CDE) as in Stage 1 to inversely estimate unknown reservoir properties using observed

264 hydraulic pressure buildups. With regards to monitoring data available in our hypothetical
 265 example, we assume that pressure measurements are made at each aquifer (22 aquifers) and at
 266 three locations: in the injection well, the active extraction well, and the passive relief well.

$$\text{Minimize } h(\mathbf{q}) = \sum_1^{N_{Obs}} \sum_1^{N_{Time}} \left(\frac{P(\mathbf{x},t) - P(\mathbf{x}_{obs},t)}{P(\mathbf{x}_{obs},t)} \right) \quad (3)$$

267 where \mathbf{q} is the parameter vector that include the aquifer and aquitards properties to be calibrated,
 268 N_{Obs} is the equal to the number of locations ($66 = 22*3$), and N_{Time} is the number of observations
 269 along time.

270 The observation values are generated by using the true model with the reference properties
 271 in Table 1 and then these are compared with the observation values generated by the properties of
 272 the model.

273 **2.4 Scenarios of the adaptive management framework**

274 We employ the adaptive optimization framework to optimize the frequency in which data
 275 should be collected and how we could do to make this a better and safer site. For this purpose we
 276 study the effects of two factors on the optimized volume of extracted brine: 1) the quality of data
 277 obtained during the initial site characterization (e.g. hydraulic properties of the reservoir system),
 278 and 2) the frequency of model calibration and optimization calculations based on the monitoring
 279 data. Table 2 summarizes the different sensitivity scenarios for these two factors. By considering
 280 several levels of uncertainty for the initial property data of the reservoir system (over or under-
 281 estimated), we study their effects on the calculated values of the optimal brine extraction ratios in
 282 Eq. (1). Under uncertain initial reservoir conditions, we also investigate the effects of the frequency
 283 of model updates a on the performance of adaptive optimization. In the section 4, we present
 284 comparisons of the extraction rates calculated for ‘true’ reservoir properties versus the estimated

285 ratios for ‘uncertain’ reservoir properties, with different-level initial guesses and different-
 286 frequency model updates.

287

288 **Table 2.** Scenarios considered for the adaptive management framework

Frequency of model update	Deviations from the actual hydraulic properties
Fixed frequencies of 30yr, 10yr, 6yr, 5yr, 3yr, and variable frequency (from 3 days at very early times to 3yr at late times)	Over and under-estimated properties at time=0: +20%, +40%, -20%, -40%

289

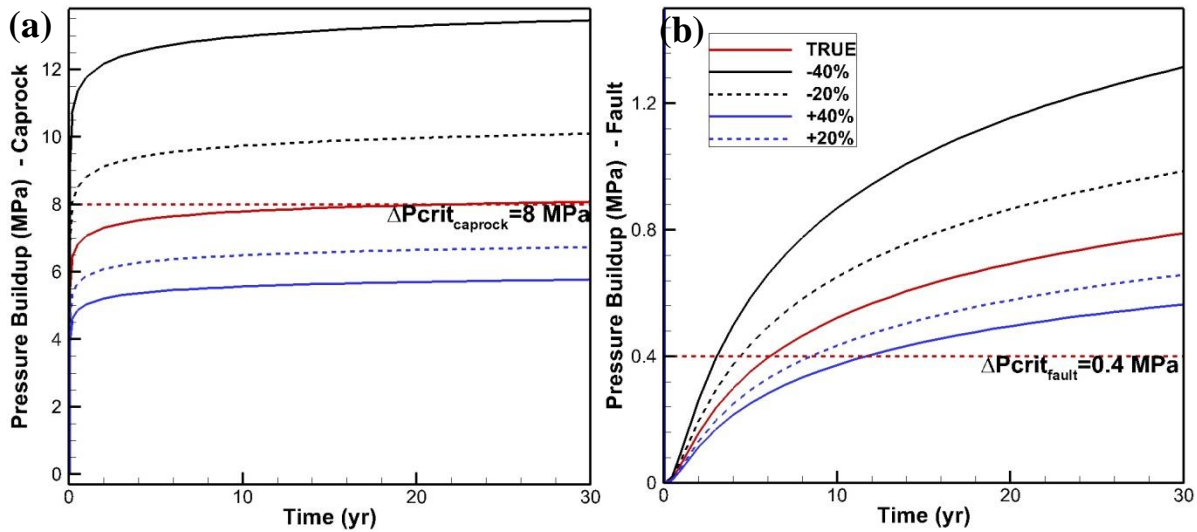
290 The uncertain hydraulic properties considered in this study are the hydraulic conductivity
 291 and specific storage parameters of one of the injection aquifers (Aquifer Layer 22) and
 292 corresponding overlying aquitard (Aquitard Layer 22). We select this layer because the pressure
 293 changes are most sensitive to their properties. The reservoir properties of the other aquifer layers
 294 (1-21) are tied to those of Aquifer Layer 22, and the properties of the other aquitard layers (1-21)
 295 are tied to those of Aquitard Layer 22, which reduces the number of unknowns from 88 to 4.
 296 Because of the petrological similarities of the aquitard layers, we can assume that the relative ratios
 297 of the estimated parameters can be assumed reasonably accurate for all aquifer layers and all
 298 aquitard layers, respectively. In other words, we can recalculate the reservoir properties of Aquifer
 299 and Aquitard Layers 1-21 based on the inversely estimated properties of Layer 22 (K_{22} , K_{22}' , S_{s22} ,
 300 S_{s22}'), assuming that parameter ratios are fixed (K_i/K_{22} , S_{si}/S_{s22} , K_i'/K_{22}' , S_{si}'/S_{s22}' , $i=1,\dots,21$,
 301 calculated using Table 1), where the prime sign indicates aquitard.

302 3. Results

303 3.1 Results without pressure management

304 We first present pressure results in the target reservoir for CO₂ injection without any
305 pressure management. Figure 2 shows maximum pressure changes as a function of time near the
306 well and along the fault in response to fluid injection at 1,090 m³/d (~ equivalent to about 0.3 Mt
307 of CO₂ injection) for 30 years into an injection zone at about 1,500 m depth from the surface. The
308 injection zone corresponds to Aquifer Layers 21 and 22. The total injection rate is distributed into
309 the two aquifer layers of the model proportionally according to their transmissivity values.
310 Significant differences can be observed between the five reservoir property cases (i.e., true, -40%,
311 -20%, +20%, +40%). In Figure 2a, the forward modeling results with under-estimated reservoir
312 properties (-20% and -40%) indicate that the caprock pressure buildup constraint of 8 MPa can be
313 reached very quickly as soon as the injection starts. The model results with over-estimated
314 reservoir properties (+20% and +40%) show no risk of caprock failure, while the true model shows
315 that the critical pressure buildup of fracturing the caprock is reached after about 22 years of
316 injection. Figure 2b shows that fault slip can occur within about three to twelve years of injection
317 for all reservoir property cases, under- or over-estimated. The estimated time for the constraint
318 violation (fault pressure above 0.4 MPa) decreases with decreasing aquifer hydraulic conductivity
319 values.

320

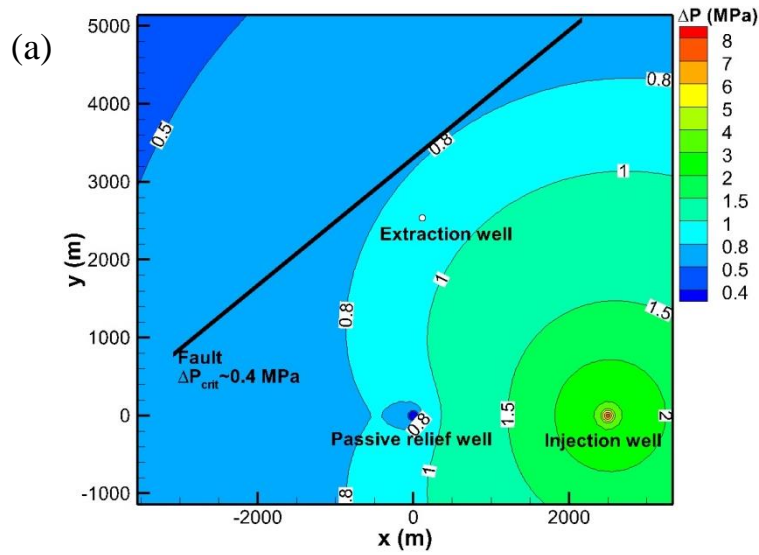


321
 322 **Figure 2.** Profiles of maximum pressure buildup (in MPa) (a) at the caprock and (b) at the fault.
 323 (Note that Cihan et al.'s computer program (2011) produces the results in terms of head in meters,
 324 and to convert the head buildup to pressure buildup, we used a uniform brine density of 1126.026
 325 kg/m³.)

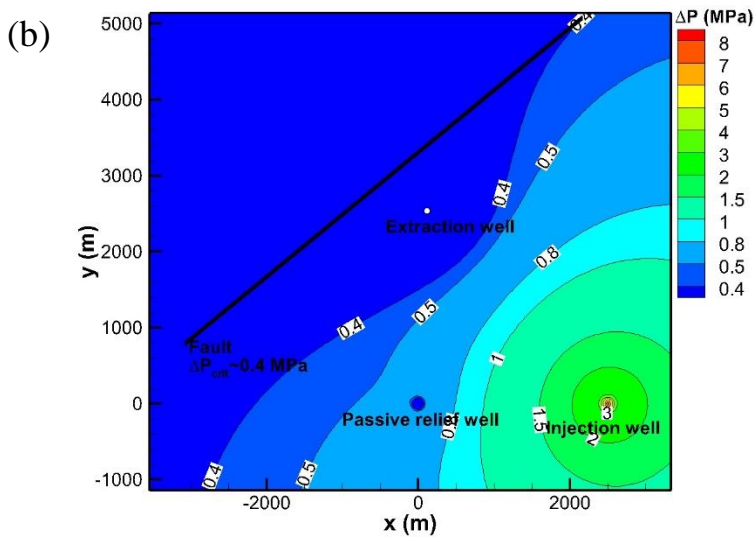
326

327 3.2 Results applying adaptive management approach

328 Figure 3 shows pressure buildup contours for the +20% case in Aquifer Layer 22 where
 329 the pressure buildup front has the largest extent compared to the other layers, for two pressure
 330 management scenarios: Figure 3a shows a simulation where only the passive relief well operates,
 331 which transfers brine from the injection reservoirs to deeper layers. Figure 3b shows results for a
 332 case where passive relief and active extraction operate together. In the first case, there is no
 333 optimization since the passive brine transfer depends only on the vertical pressure differential
 334 between injection layers and deeper layers. In contrast, the active brine extraction rates in Figure
 335 3b are optimized to meet the given pressure constraints.



336



337

338

339 **Figure 3.** Pressure buildup contours (in MPa) at time=30 years with (a) only passive extraction
 340 and (b) passive and active extraction (updates every 3 years) for the +20% case in Layer 22.

341

342 As seen in Figure 3a, passive pressure relief alone is not sufficient to reduce the injection-
 343 induced pressure buildup below the desired critical value of 0.4 MPa near the hypothetical fault.

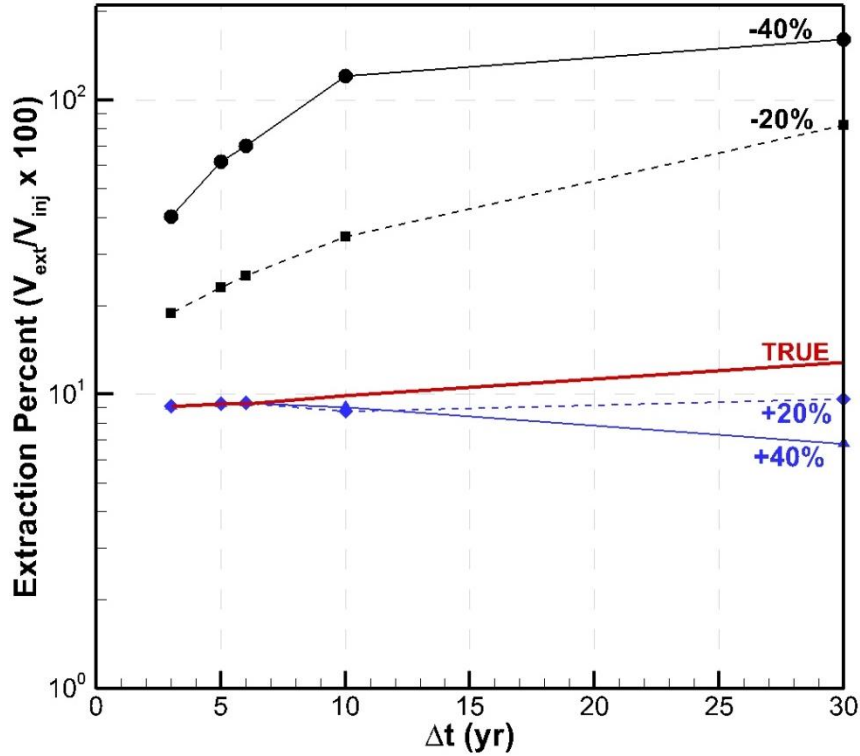
344 Therefore, active brine extraction is needed to satisfy the pressure constraints, especially near the
 345 fault. With the objective of determining optimal dynamic extraction rates, we ran our automated

346 adaptive optimization algorithm that starts with the different initial guesses of the reservoir

347 properties (-40%, -20%, +20%, and +40%). For each of these cases, we also varied the model
348 update frequency to understand its impact on the performance of the adaptive pressure
349 management (Table 2). As a reminder, the monitoring data used for model calibration in this study
350 are the pressure measurements at the top two aquifer layers of three wells (injection, passive relief,
351 and extraction). We assume here that monitoring data collection and model update are conducted
352 at the same frequency. In practice, monitoring data collection for pressure changes should be
353 expected to be much more frequent than the model updates.

354 Figure 3b displays an example of a successful pressure management optimization for the
355 +20% case where updates of the monitoring, calibration, and optimization steps occur every three
356 years. When the adaptive management through active and passive extractions is applied, the
357 pressure buildup along the fault is controlled and does not exceed the maximum critical pressure
358 of 0.4 MPa at the end of the injection (time = 30 years). Despite the fact that the passive relief well
359 alone as a pressure control approach is not sufficient for this scenario, its use together with the
360 active extraction reduces the total volume of extracted brine by up to 20%. The benefit of using
361 passive relief wells in reducing brine extraction volumes can vary depending on the magnitudes of
362 the pressure buildup constraints and their distances from the injection well (Birkholzer et al.,
363 2012).

364 Figure 4 summarizes the results of applying adaptive management to the different property
365 and model calibration scenarios listed in Table 2. The figure shows the total extraction ratio (over
366 the duration of the project) as a function of the model update and optimization frequency (Δt),
367 starting with every three years up to 30 years. Cases that consider a model update frequency (Δt)
368 of 30 years do not include monitoring or model update through calibration; they simply apply
369 constant extraction rates optimized using the initial guesses.



370

371 **Figure 4.** Summary of the adaptive optimization results: Variation of the estimated brine
 372 extraction ratio as a function of initial deviations from reservoir properties and model update
 373 frequency (Δt).
 374

375 Figure 4 shows that for the reference scenario ('true' model), more frequent updates
 376 decrease the objective function from $V_{ext}/V_{inj}=12.8\%$ for $\Delta t=30$ years to $V_{ext}/V_{inj}=9.1\%$ for $\Delta t=3$
 377 years. In this case, a better optimization is achieved when the extraction rates are updated every
 378 three years rather than operating with a constant extraction rate over 30 years. Optimization results
 379 are more complicated for the scenarios involving uncertain initial model properties, in which case
 380 the full monitoring + inversion + optimization cycle is conducted. For example, looking at the
 381 cases with under-estimated initial parameters (-20% and -40%), the calculated optimal extraction
 382 ratios are significantly higher than the actual optimal extraction ratios for all model update cases,
 383 in particular if the model updates are conducted less frequently. This occurs because the reservoir

384 system is initially managed with a non-optimal model using the under-estimated reservoir
385 properties, and it takes several iteration cycles to estimate more reliable reservoir properties with
386 analyses of the monitoring pressure data. As the frequency of monitoring data analyses and model
387 updates increases (moving to the left on the time axis in Figure 4), the calculated extraction ratios
388 approach the true values. On the other hand, scenarios with over-estimated initial hydraulic
389 properties have a lower value of V_{ext}/V_{inj} than the reference scenario; in other words, the extracted
390 volume is under-estimated, specifically for cases with low frequency of updates ($\Delta t > 6$ years);
391 when the number of updates increases, the V_{ext}/V_{inj} approaches the true case. We show in Figure
392 5 that extracting less brine than necessary can result in *not* meeting the desired pressure constraints,
393 i.e., possibly causing failure of the caprock or activation of the fault, a result of the inaccurate
394 models used for optimization.

395 **4 Discussion**

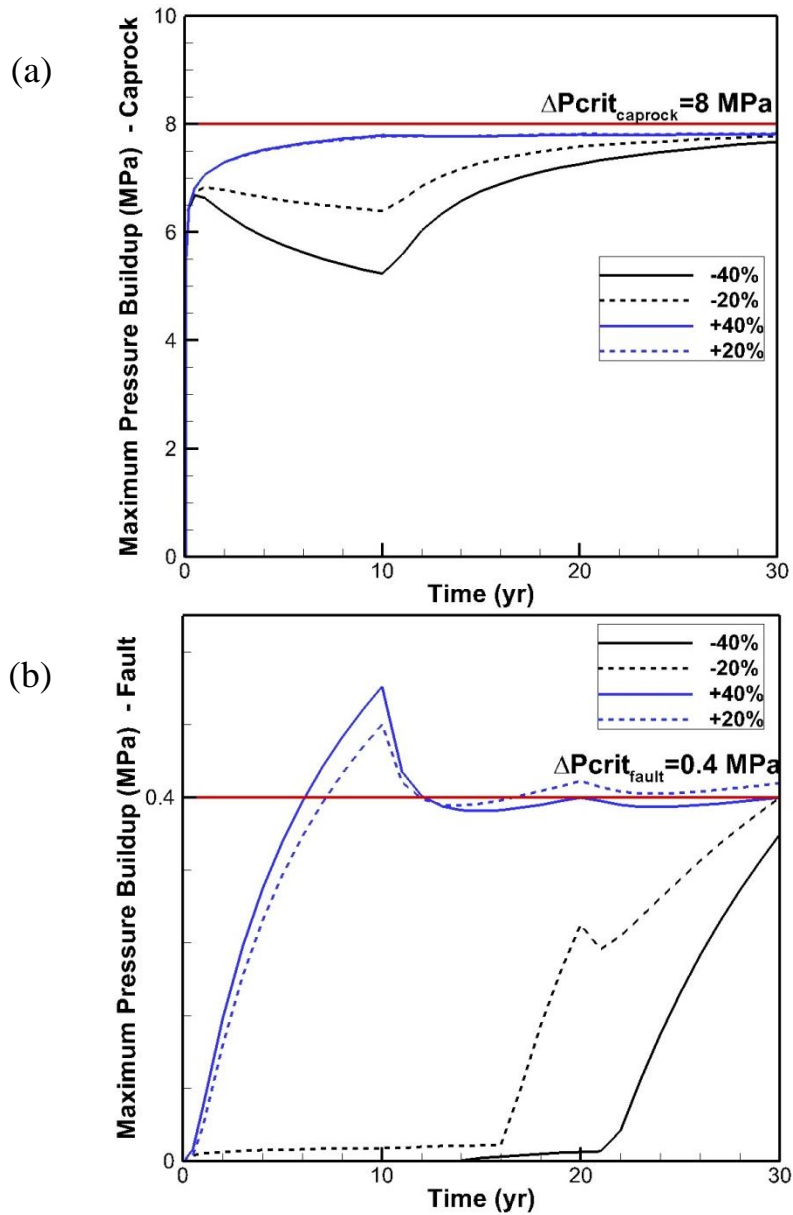
396 **4.1 Influence of initial hydraulic properties uncertainty**

397 The potential impact of pressure management optimization with inaccurate models is
398 shown in Figure 5 for all the cases of over- and under-estimated reservoir properties and a model
399 calibration conducted every 10 years. This figure shows the evolution of the maximum pressure
400 buildup at the injection well (Figure 5a) and near the fault (Figure 5b), compared to the respective
401 pressure constraints for caprock failure and fault slip, respectively. Initially, the model prediction
402 of the pressure changes is not accurate due to incorrect hydraulic properties and insufficient data
403 collected. For the scenarios with under-estimated reservoir properties (-40% and -20%), the
404 extraction rates are over-estimated because the pressure buildup in the reservoir is over-estimated;
405 thus, the optimization assumes that more brine extraction is needed to stay below the caprock

406 fracturing pressure buildup at the injection well. As a result of these excessively high extraction
407 rates specifically within the first ten years, the reservoir pressure near the injection well remain
408 significantly lower than the pressure limit for caprock fracturing, a safe but also costly practice. In
409 contrast, for scenarios with over-estimated initial hydraulic properties (+20% and +40%), the
410 extraction rate calculated by the optimization is significantly under-estimated. As a result, the
411 observed pressure buildup at the fault exceeds the critical value (Figure 5b, results in blue),
412 potentially causing fault reactivation and leakage. In general, a low frequency of model updates
413 may produce excessive extraction volumes for under-estimated initial hydraulic properties,
414 whereas for over-estimated initial hydraulic properties, a violation of pressure buildup constraints
415 can occur affecting the robustness and safety of the GCS operation. The adaptive management
416 strategy can reduce the risk of failure due to the uncertainties in the reservoir properties, and
417 especially at early times frequent updates of the model are needed to increase the safety and the
418 confidence of the GCS operation over long injection periods. However, safety factors and the
419 associated uncertainties must be included when determining the optimization constraints (e.g.,
420 Harp et al., 2017).

421 Although the 10-year frequency for the model update is an extreme case as generally one
422 would not wait 10 years for incorporating new monitoring data for model calibration, the results
423 point to the importance of conducting a pressure management optimization with adequately
424 calibrated prediction models based on frequent model updates, in particular early in the project. At
425 later project stages, since more data have been collected and used to estimate the reservoir
426 properties during the calibration step, the algorithm calculates more reliable extraction rates for
427 controlling the pressure in the reservoir system.

428



429
 430 **Figure 5.** Maximum pressure buildup evolution registered from the observation points in response
 431 to applied optimal extraction rates, produced by a scenario with low frequency of updates ($\Delta t= 10$
 432 years): (a) at the caprock, and (b) along the fault.

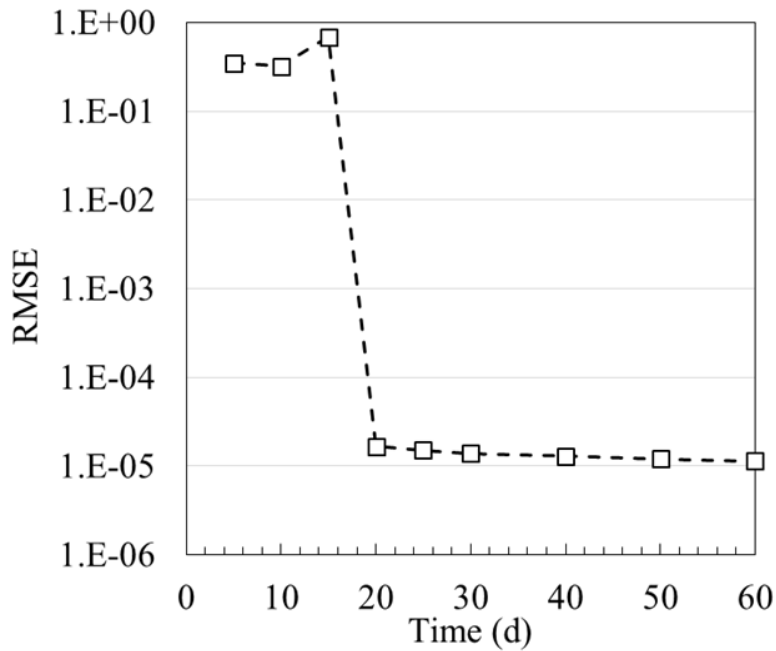
433

434 4.2 Influence of model update frequency at early times

435 Concerns about inadequate optimization in both directions, either excessive extraction
 436 rates (when properties are under-estimated) or potential pressure constraint violation (when

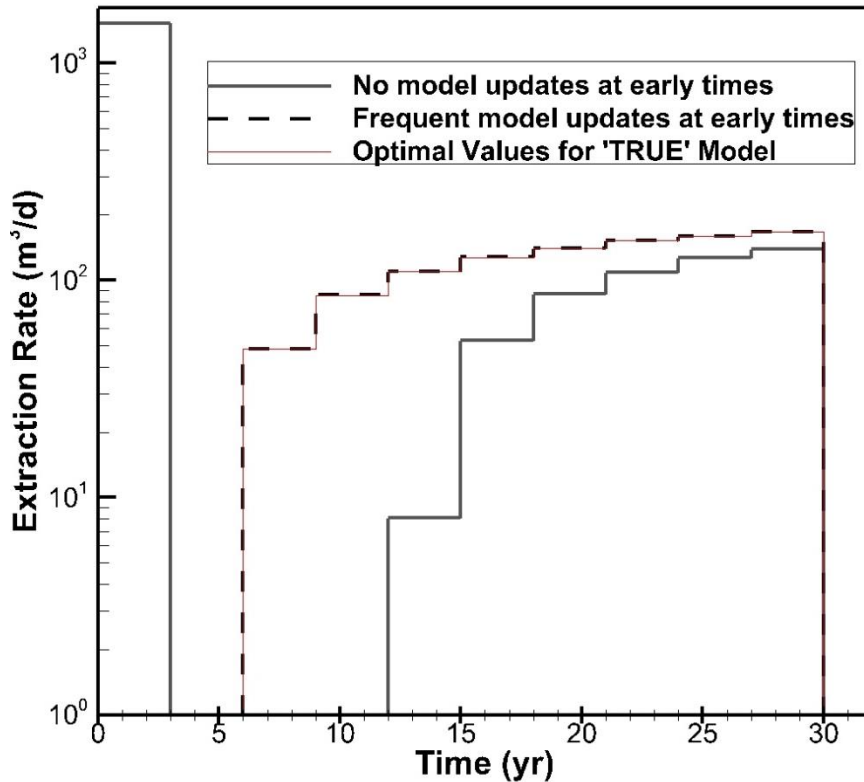
437 properties are over-estimated) can be eliminated if one conducts much more frequent model
438 updates, especially at early times. For example, we demonstrate below that for cases with under-
439 estimated properties, frequent model updates at early times are highly beneficial to prevent the
440 excessive extractions. Considering the scenario with hydraulic properties under-estimated by 20%,
441 the pressure at the injection well reaches the critical pressure buildup for caprock fracturing within
442 50 days (without pressure management) and very high extraction rates are estimated with model
443 updates conducted infrequently (say every three or every ten years). In contrast, if model updates
444 are applied using daily pressure data during this time interval, the calculated optimal extraction
445 rates will be very close to those for the true model even if the initial reservoir properties are off.
446 Figure 6 shows that the root-mean-square error (RMSE) between predicted and observed pressure
447 significantly drops (by ~four orders of magnitude) within a month or so (after four updates), which
448 means that the calibrated hydraulic properties are similar to the true values. However, note that for
449 more complex reservoir systems, depending on the number and type of the observations, the
450 convergence of the model results to the observations may take much longer.

451



452
 453 **Figure 6.** Scenario -20%: changes in the root-mean-square error (RMSE) between model
 454 predicted heads and observed heads for high frequency updates between time =0-60 days.
 455

456 Thus, inadequate optimization can be avoided if more updates of the reservoir properties
 457 are included during the early stages of injection. Figure 7 illustrates that the optimized extraction
 458 rates computed for scenario -20% are close to the true optimal extraction rates of the reference
 459 case when we include model updates with monitoring data at a frequency of as low as 3 days
 460 within the early injection period. In contrast, when no initial updates are applied at earlier times
 461 (i.e., Δt is fixed and equal to 3 years), the extraction rate is strongly over-estimated during the first
 462 three years (solid black line), because the initial hydraulic properties of the injection formations
 463 are under-estimated and the model wrongly projects strong pressure buildup at the injection well.
 464

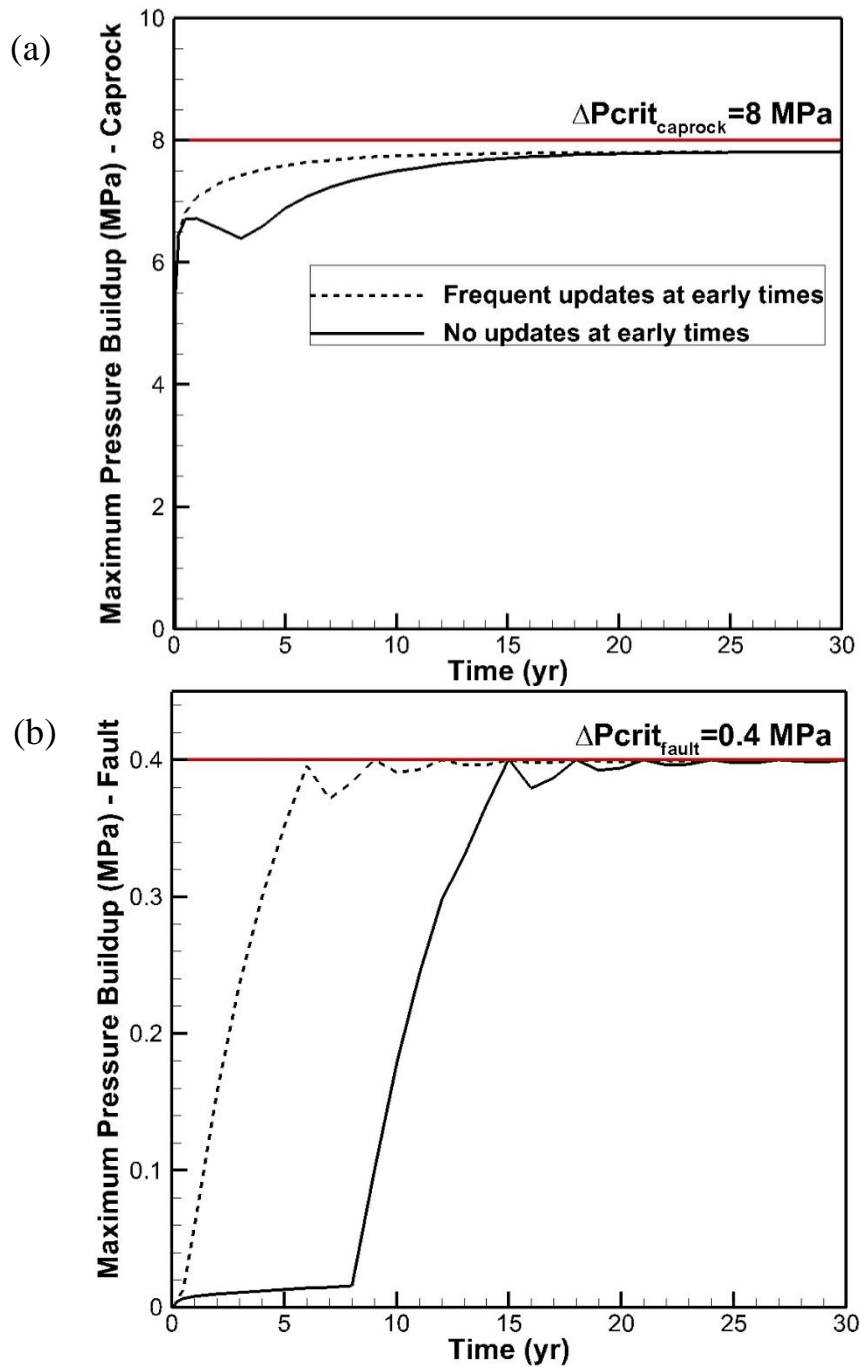


465
 466 **Figure 7.** Calculated extraction rates based on the scenario with initial hydraulic parameters
 467 deviated by -20% are compared with the actual optimal rates for the ‘true’ model. ‘no model
 468 updates at early times’ (solid black line) corresponds to a fixed model update frequency of
 469 three years, and ‘frequent model updates at early times’ (dashed black) corresponds to variable
 470 frequencies of model updates (changing from three days at very early times to three years at
 471 late times).

472

473 With more initial updates, profiles of the pressure buildup (Figure 8) oscillate near the
 474 critical value due to step-wise changes in the operational extraction rates, but they never exceed
 475 the critical pressure buildups of the caprock or the fault, keeping the maximum pressure under
 476 control. As expected, the impact of the high extraction rates during the first three years in the
 477 model without frequent updates at early times is manifested on the pressure profiles. This model
 478 presents lower pressure buildups near the fault and at the injection well in comparison to the model
 479 with more frequent updates at early times. As mentioned above, the model with no updates at early

480 times (solid line) over-estimates the extraction rate for the first period when hydraulic properties
481 have not been yet updated. This strongly decreases the pressure buildup and does not require new
482 extraction until time=12 years when pressure buildup at the fault approaches the maximum
483 pressure allowed. In contrast, the model with more frequent updates at early times requires
484 pumping, correctly, at a much earlier time (6 years). For the scenarios investigated here with
485 initially under-estimated hydraulic conductivity values and less frequent updates at early times,
486 our results show that the critical pressure buildup along the fault appears as the most stringent
487 constraint, because the maximum pressure changes along the caprock, near the injection well,
488 never comes too close to the critical pressure buildup value.
489



490

491

492 **Figure 8.** Maximum pressure changes ‘observed’ based on the ‘true’ model for the scenario
 493 with initial hydraulic parameters deviated by -20%. ‘no model updates at early times’ (solid
 494 black line) corresponds to a fixed model update frequency of three years, and ‘frequent updates
 495 at early times’ (dashed black) corresponds to variable frequencies of model updates (changing
 496 from three days at very early times to three years at late times): (a) Pressure buildup at the
 497 injection well, and (b) Pressure buildup along the fault.

498 **4.3 Computational cost of the adaptive management approach**

499 As already pointed out in Cihan et al. (2015), the number of forward calls required by the
500 CDE algorithm used in Stage 1 and Stage 3 of the approach is high. For the scenarios applied in
501 this study (Table 2), the maximum number of calls of the forward model for one observation time
502 is 1,010 for the optimization (Stage 1) and 3,020 for the calibration (Stage 3), corresponding to a
503 CPU time (or process time) of 45 minutes and 11 minutes, respectively. Note that simulations were
504 conducted in a regular desktop PC applying parallelization. These CPU times for both stages are
505 very affordable since we employ a semi-analytical model (Section 2.1) and obviously higher CPU
506 times are expected if a reservoir simulator is employed. However, the adaptive management
507 approach can easily be parallelized to work in a high performance computing cluster. We also
508 would like to highlight that simulations related to early observations, and consequently related to
509 better and safer results, are tied to short time of simulations (less than 15 days, Figure 8). Therefore,
510 CPU times of the forward simulations of the reservoir simulator should be much lower (order of
511 magnitudes) than for later stages of the injection.

512 **5 Conclusions**

513 Industrial scale injection of CO₂ into the subsurface can cause reservoir pressure increases
514 that can be properly controlled via pressure management schemes such as brine extraction. Such
515 control is important as excessive pressure buildup in a reservoir may result in groundwater
516 contamination stemming from leakage through conductive pathways, such as improperly plugged
517 abandoned wells or distant faults, or may trigger fault reactivation and possibly seal breaching.
518 Knowledge of the subsurface properties is always incomplete, especially during the planning
519 stages of CO₂ projects because of limited site characterization data and related uncertainties. Thus,
520 during the operation of a given project, the subsurface system behavior needs to be monitored

521 continuously, and the models and their predictions need to be frequently updated to effectively and
522 safely control reservoir pressure.

523 In this study, we developed and applied an automated adaptive pressure management
524 algorithm to understand primarily the effects of initial site characterization and frequency of model
525 updates (calibration) and optimization calculations on the accuracy and the success of managing a
526 subsurface reservoir system. Adaptive optimized management uses advanced automated
527 optimization algorithms and suitable process models. Adaptive management integrates
528 monitoring, forward modeling, inverse modeling and optimization in an iterative way. The
529 hypothetical scenario considered here assumes CO₂ injection into a deep aquifer-aquitard
530 (sandstone-shale) sequence, where pressure buildup from injection increases the risk of caprock
531 failure and fault activation. We designed and optimized a pressure management strategy involving
532 a passive relief well and an active brine extraction well to reduce pressure increases to avoid
533 caprock damage near the injection well and decrease the risk of activating a nearby fault.

534 Our results show that that the success of adaptive pressure management depends strongly
535 on the frequency rate of model updates and calibration, particularly at early times. Less frequent
536 optimization + monitoring/testing + calibration cycles may lead to pressure buildups that exceed
537 constraints at initial times potentially resulting in excessively high extraction rates. These
538 conditions can be avoided or eliminated if optimization + monitoring/testing + calibration
539 calculations are conducted with greater frequency, especially during the early injection period. The
540 high extraction rates that the optimization algorithm finds initially for under-estimated hydraulic
541 properties can be decreased if more model updates are conducted at the onset of injection.
542 Similarly, early model improvement for cases with initial over-estimation of d hydraulic properties

543 avoids the risk of under-estimating the extraction rates during the first injection years, which could
544 result in a violation of the pressure constraints imposed for the optimization model.

545 We demonstrated the effectiveness of adaptive pressure management for a simple case of
546 a reservoir system with a limited set of monitoring data from three observation wells. This
547 framework could easily be expanded to include diverse data sets with observations made at other
548 locations and/or over large areas comprising different physical processes such as salinity,
549 temperature, geophysical or satellite deformation, etc. We conclude that adaptive management
550 constitutes an effective tool to manage subsurface pressure and plume control in application to
551 geological CO₂ storage which could be extended to other fields where injection of fluid takes place
552 (e.g., geothermal reservoirs).

553

554 **Acknowledgements and disclaimer**

555 This work was supported by the Assistant Secretary for Fossil Energy of the U.S.
556 Department of Energy under the National Energy Technology Laboratory Award Number DE-
557 FE0026140. We'd like to thank Southern Company Services Research & Development in
558 Birmingham, Alabama, Gulf Power Company, in Pensacola, Florida, and CH2M Hill (Tampa
559 Office) for sharing existing field data and advice used in the preparation of this work. We'd like to
560 thank Diana Swantek for her contribution of Figure 1.

561 This report was prepared as an account of work sponsored by an agency of the United
562 States Government. Neither the United States Government nor any agency thereof, nor any of their
563 employees, makes any warranty, express or implied, or assumes any legal liability or responsibility
564 for the accuracy, completeness, or usefulness of any information, apparatus, product, or process
565 disclosed, or represents that its use would not infringe privately owned rights. Reference herein to

566 any specific commercial product, process, or service by trade name, trademark, manufacturer, or
567 otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring
568 by the United States Government or any agency thereof. The views and opinions of authors
569 expressed herein do not necessarily state or reflect those of the United States Government or any
570 agency thereof.

571

572 **References**

573 Aitokhuehi, I., Durlofsky, L.J., 2005. Optimizing the performance of smart wells in complex
574 reservoirs using continuously updated geological models. *Journal of Petroleum Science and*
575 *Engineering* 48, 254-264. <https://doi.org/10.1016/j.petrol.2005.06.004>

576 Apps, J., Zheng, L., Zhang, Y., Xu, T., Birkholzer, J., 2010. Evaluation of potential changes in
577 groundwater quality in response to CO₂ leakage from deep geologic storage. *Transport in*
578 *Porous Media* 82, 215-246. <https://doi.org/10.1007/s11242-009-9509-8>

579 Bergmo, P.E.S., Grimstad, A.-A., Lindeberg, E., 2011. Simultaneous CO₂ injection and water
580 production to optimise aquifer storage capacity. *International Journal of Greenhouse Gas*
581 *Control* 5, 555-564. <https://doi.org/10.1016/j.ijggc.2010.09.002>

582 Birkholzer, J., Zhou, Q., Tsang, C., 2009. Large-scale impact of CO₂ storage in deep saline
583 aquifers: A sensitivity study on pressure response in stratified systems. *International Journal*
584 *of Greenhouse Gas Control* 3, 181-194. <https://doi.org/10.1016/j.ijggc.2008.08.002>

585 Birkholzer, J.T., Zhou, Q., 2009. Basin-scale hydrogeologic impacts of CO₂ storage: capacity and
586 regulatory implications. *International Journal of Greenhouse Gas Control*, 3(6), 745-756.
587 <https://doi.org/10.1016/j.ijggc.2009.07.002>

588 Birkholzer, J.T., Cihan, A., Zhou, Q., 2012. Impact-driven pressure management via targeted brine
589 extraction—Conceptual studies of CO₂ storage in saline formations. *International Journal of*
590 *Greenhouse Gas Control* 7, 168-180. <https://doi.org/10.1016/j.ijggc.2012.01.001>

591 Buscheck, T.A., Sun, Y., Chen, M., Hao, Y., Wolery, T.J., Bourcier, W.L., Court, B., Celia, M.A.,
592 Julio Friedmann, S., Aines, R.D., 2012. Active CO₂ reservoir management for carbon storage:
593 Analysis of operational strategies to relieve pressure buildup and improve injectivity.
594 International Journal of Greenhouse Gas Control 6, 230-245.
595 <https://doi.org/10.1016/j.ijggc.2011.11.007>

596 Buscheck, T.A., White, J.A., Chen, M., Sun, Y., Hao, Y., Aines, R.D., Bourcier, W.L., Bielicki,
597 J.M., 2014. Pre-injection brine production for managing pressure in compartmentalized CO₂
598 storage reservoirs. Energy Procedia 63, 5333-5340.
599 <https://doi.org/10.1016/j.egypro.2014.11.565>

600 Cihan, A., Birkholzer, J. T. and Zhou, Q., 2013, Pressure buildup and brine migration during
601 CO₂ storage in multilayered aquifers. Groundwater, 51: 252-267.
602 <https://doi.org/10.1111/j.1745-6584.2012.00972.x>

603 Cihan, A., Birkholzer, J.T., Bianchi, M., 2015. Optimal well placement and brine extraction for
604 pressure management during CO₂ sequestration. International Journal of Greenhouse Gas
605 Control 42, 175-187. <https://doi.org/10.1016/j.ijggc.2015.07.025>

606 Cihan, A., Zhou, Q., Birkholzer, J.T., 2011. Analytical solutions for pressure perturbation and fluid
607 leakage through aquitards and wells in multilayered-aquifer systems. Water Resour Res 47,
608 n/a-n/a. <https://doi.org/10.1029/2011WR010721>

609 Deb, K., 2000. An efficient constraint handling method for genetic algorithms. Computer Methods
610 in Applied Mechanics and Engineering 186, 311-338. [https://doi.org/10.1016/S0045-](https://doi.org/10.1016/S0045-7825(99)00389-8)
611 [7825\(99\)00389-8](https://doi.org/10.1016/S0045-7825(99)00389-8)

612 DOE, 2016. Department of Energy, Office of Fossil Energy.
613 [https://www.energy.gov/fe/articles/energy-department-selects-projects-demonstrate-](https://www.energy.gov/fe/articles/energy-department-selects-projects-demonstrate-feasibility-producing-usable-water-CO2)
614 [feasibility-producing-usable-water-CO₂](https://www.energy.gov/fe/articles/energy-department-selects-projects-demonstrate-feasibility-producing-usable-water-CO2)

615 Evensen, G., Hove, J., Meisingset, H., Reiso, E., Seim, K.S., Espelid, Ø., 2007. Using the EnKF
616 for assisted history matching of a North Sea reservoir model. SPE Reservoir Simulation
617 Symposium. Society of Petroleum Engineers. <https://doi.org/10.2118/106184-MS>

618 Flett, M.A., Beacher, G.J., Brantjes, J., Burt, A.J., Dauth, C., Koelmeyer, F.M., Lawrence, R.,
619 Leigh, S., McKenna, J., Gurton, R., Robinson, W.F., Tankersley, T., 2008. Gorgon Project:
620 Subsurface evaluation of carbon dioxide disposal under Barrow Island. Society of Petroleum
621 Engineers. <https://doi.org/10.2118/116372-MS>

622 Greig, C., Bongers, G., Stott, C., Byrom, S., 2016. Overview of CCS roadmaps and projects. The
623 University of Queensland, Brisbane.

624 Harp, D.R., Stauffer, P.H., O'Malley, D., Jiao, Z., Egenolf, E.P., Miller, T.A., Martinez, D.,
625 Hunter, K.A., Middleton, R.S., Bielicki, J.M. and Pawar, R., 2017. Development of robust
626 pressure management strategies for geologic CO₂ sequestration. International Journal of
627 Greenhouse Gas Control, 64, 43-59. <https://doi.org/10.1016/j.ijggc.2017.06.012>

628 Harto, C., Veil, J., 2011. Management of extracted water from carbon sequestration projects.
629 Argonne National Laboratory Report, ANL/EVS/R-11/1, January 2011, p. 38.

630 Liu, G., Gorecki, C.D., Saini, D., Bremer, J.M., Klapperich, R.J., Braunberger, J.R., 2013. Four-
631 site case study of water extraction from CO₂ storage reservoirs. Energy Procedia 37, 4518-
632 4525. <https://doi.org/10.1016/j.egypro.2013.06.358>

633 Metz, B., Davidson, O., De coninck, H., Loos, M., Meyer, L., III., I.P.o.C.C.W.G., 2005. IPCC
634 Special report on carbon dioxide capture and storage. Cambridge University Press, for the
635 Intergovernmental Panel on Climate Change, Cambridge, United Kingdom and New York,
636 USA.

637 Morris, J.P., Detwiler, R.L., Friedmann, S.J., Vorobiev, O.Y., Hao, Y., 2011. The large-scale
638 geomechanical and hydrogeological effects of multiple CO₂ injection sites on formation
639 stability. International Journal of Greenhouse Gas Control 5, 69-74.
640 <https://doi.org/10.1016/j.ijggc.2010.07.006>

641 Nævdal, G., Johnsen, L.M., Aanonsen, S.I., Vefring, E.H., 2005. Reservoir monitoring and
642 continuous model updating using ensemble Kalman filter. SPE Journal 10, 66-74.
643 <https://doi.org/10.2118/84372-MS>

644 Nicot, J.P. 2008. Evaluation of large-scale CO₂ storage on freshwater sections of aquifers: an
645 example from the Texas Gulf Coast Basin. *International Journal of Greenhouse Gas Control*
646 2, no. 4: 582–593. <https://doi.org/10.1016/j.ijggc.2008.03.004>

647 Oldenburg, C. M., Cihan, A. , Zhou, Q. , Fairweather, S. and Spangler, L. H. (2016), Geologic
648 carbon sequestration injection wells in overpressured storage reservoirs: estimating area of
649 review. *Greenhouse Gas Sci Technol*, 6: 775-786. <https://doi.org/10.1002/ghg.1607>

650 Pongtepupathum, W., Williams, J., Krevor, S., Agada, S., Williams, G., 2017. Optimising brine
651 production for pressure management during CO₂ sequestration in the Bunter Sandstone of the
652 UK Southern North Sea. *Society of Petroleum Engineers*. <https://doi.org/10.2118/185804-MS>

653 Pruess, K. 2005. ECO₂N: A TOUGH2 fluid property module for mixtures of water, NaCl, and
654 CO₂. Lawrence Berkeley Laboratory Report LBNL-57952, Berkeley, California.

655 Rutqvist, J., Birkholzer, J., Cappa, F., Tsang, C.F., 2007. Estimating maximum sustainable
656 injection pressure during geological sequestration of CO₂ using coupled fluid flow and
657 geomechanical fault-slip analysis. *Energy Conversion and Management* 48, 1798-1807.
658 <https://doi.org/10.1016/j.enconman.2007.01.021>

659 Sarma, P., Durlofsky, L.J., Aziz, K., Chen, W.H., 2006. Efficient real-time reservoir management
660 using adjoint-based optimal control and model updating. *Computational Geosciences* 10, 3-
661 36. <https://doi.org/10.1007/s10596-005-9009-z>

662 Skjervheim, J.-A., Evensen, G., Aanonsen, S.I., Ruud, B.O., Johansen, T.-A., 2005. Incorporating
663 4D seismic data in reservoir simulation models using ensemble Kalman filter. *SPE Annual*
664 *Technical Conference and Exhibition*. Society of Petroleum Engineers.
665 <https://doi.org/10.2118/95789-MS>

666 Thibeau, S., Bachu, S., Birkholzer, J., Holloway, S., Neele, F., Zhou, Q., 2014. Using pressure and
667 volumetric approaches to estimate CO₂ storage capacity in deep saline aquifers. *Energy*
668 *Procedia* 63, 5294-5304. <https://doi.org/10.1016/j.egypro.2014.11.560>

669 US EPA (United States Environmental Protection Agency), Federal Requirements under the
670 Underground Injection Control (UIC) Program for Carbon Dioxide (CO₂) Geologic
671 Sequestration (GS) Wells, Proposed Rule, 40 CFR Parts 144 and 146, EPA-HQ-OW-2008-
672 0390 (2008).

673 Zhou, Q., Birkholzer, J.T., 2011. On scale and magnitude of pressure build-up induced by large-
674 scale geologic storage of CO₂. *Greenhouse Gases: Science and Technology* 1, 11-20.
675 <https://doi.org/10.1002/ghg3.1>

676 Ziemkiewicz, P., Stauffer, P.H., Sullivan-Graham, J., Chu, S.P., Bourcier, W.L., Buscheck, T.A.,
677 Carr, T., Donovan, J., Jiao, Z., Lin, L., Song, L., Wagoner, J.L., 2016. Opportunities for
678 increasing CO₂ storage in deep, saline formations by active reservoir management and
679 treatment of extracted formation water: Case study at the GreenGen IGCC facility, Tianjin,
680 PR China. *International Journal of Greenhouse Gas Control* 54, 538-556.
681 <https://doi.org/10.1016/j.ijggc.2016.07.039>

682

683 **FIGURES**

684

685 Figure 1. Schematic showing the pressure management strategy in this study to reduce risk of
686 caprock failure and risk of fault reactivation. The schematic shows the five top aquitards as well
687 as the five top aquifers of Table 1 (from 22 to 18). Injection of CO₂ occurs into two reservoir layers
688 (aquifers 22 and 21 in Table 1). Brines are extracted from two wells, one of them “actively”
689 pumping to the surface, the other “passively” moving brines into deeper layers. The back of the
690 schematic figure shows a hypothetical critically stressed fault, for which a maximum allowable
691 pressure change has been defined. Another pressure limit is defined to avoid caprock damage.

692

693 Figure 2. Profiles of maximum pressure buildup (in MPa) (a) at the caprock and (b) at the fault.
694 (Note that Cihan et al.’s computer program (2011) produces the results in terms of head in meters,
695 and to convert the head buildup to pressure buildup, we used a uniform brine density of 1126.026
696 kg/m³.)

697

698 Figure 3. Pressure buildup contours (in MPa) at time=30 years with (a) only passive extraction and
699 (b) passive and active extraction (updates every 3 years) for the +20% case in Layer 22.

700

701 Figure 4. Summary of the adaptive optimization results: Variation of the estimated brine
702 extraction ratio as a function of initial deviations from reservoir properties and model update
703 frequency (Δt).

704

705 Figure 5. Maximum pressure buildup evolution registered from the observation points in response
706 to applied optimal extraction rates, produced by a scenario with low frequency of updates ($\Delta t= 10$
707 years): (a) at the caprock, and (b) along the fault.

708

709 Figure 6. Scenario -20%: changes in the root-mean-square error (RMSE) between model
710 predicted heads and observed heads for high frequency updates between time =0-60 days.

711

712 Figure 7. Calculated extraction rates based on the scenario with initial hydraulic parameters
713 deviated by -20% are compared with the actual optimal rates for the ‘true’ model. ‘no model
714 updates at early times’ (solid black line) corresponds to a fixed model update frequency of 3
715 years, and ‘frequent model updates at early times’ (dashed black) corresponds to variable
716 frequencies of model updates (changing from 3 days at very early times to 3 years at late times).

717

718 Figure 8. Maximum pressure changes ‘observed’ based on the ‘true’ model for the scenario
719 with initial hydraulic parameters deviated by -20%. ‘no model updates at early times’ (solid
720 black line) corresponds to a fixed model update frequency of 3 years, and ‘frequent updates at
721 early times’ (dashed black) corresponds to variable frequencies of model updates (changing
722 from 3 days at very early times to 3 years at late times): (a) Pressure buildup at the injection
723 well, and (b) Pressure buildup along the fault.

724

725 **TABLES**

726

727 Table 1. Reference ('true') hydraulic property values of reservoir layers containing aquifers
728 alternating with aquitards. The bottom layer is an aquifer, and the top layer is an aquitard.

729

730 Table 2. Scenarios considered for the adaptive management framework

731