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# Evaluation of the instrumented Timed Up and Go test as a tool to measure exercise intervention effects in nursing home residents: results from a PROCARE substudy

## Supplementary Information

The online version of this article (<https://doi.org/10.1007/s12662-021-00764-0>) contains supplementary material, which is available to authorized users.

## Introduction

The ability to navigate safely and efficiently through the environment is a critical aspect of an individual's level of independence. Maintaining physical mobility such as gait (locomotor task) is essential for social participation and maintaining quality of life (Metz, 2000; Shafirin, Sullivan, Goldman, & Gill, 2017). However, a decline in an individual's level of independence can negatively affect personal safety and quality of life (Stubbs, Schofield, & Patchay, 2016; Johnen 2017). Due to diminished independent mobility, it is becoming increasingly difficult for older adults to visit grocery stores, the doctor's office, the hairdresser, or participate in the choir, craft group, or other sociocultural activities (Giannouli, Bock, Mellone, & Zijlstra, 2016). Gait disturbances represent a functional limitation in elderly people with a predictive potential for the development of comorbidi-

ties. Thus, a reduced level of independent mobility is a predictor of institutionalization (Von Bonsdorff, Rantanen, Laukkanen, Suutama, & Heikkinen, 2006), fall incidents (Sterke, Huisman, van Beeck, Looman, & van der Cammen, 2010; McGough, Logsdon, Kelly, & Teri, 2013), dependence, and mortality and is also inversely associated with quality of life (Davis et al., 2015). Furthermore, mobility in daily life depends on an intact sensorimotor system and this is also associated with intact cognition and psychosocial factors in older adults (Bunn, Dickinson, Barnett-Page, McInnes, & Horton, 2008; Costello, Kafchinski, Vrazel, & Sullivan, 2011).

Independent mobility is routinely assessed with field tests such as the Timed Up and Go (TUG) test (Podsiadlo & Richardson, 1991). This test can be used diagnostically to guide appropriate interventions, especially considering the subphases within the TUG. Traditionally, only the total duration of the TUG test is assessed using a stopwatch. In contrast, using an instrumented TUG (iTUG) with sensors (e.g., Cimolin et al., 2019; Zarzeczny et al., 2017) provides the opportunity to examine the subphases more closely, including getting up from a chair, walking, turning around, and sitting down again. This helps to identify individual weaknesses and provides the opportunity of targeted training to

improve functional mobility (Schoene et al., 2013). While some studies used the iTUG in different populations and clinical conditions (e.g., Zampieri et al., 2010 in Parkinson's disease; Mirelman et al., 2014 in mild cognitive impairment), to our knowledge, there is only one study with nursing home residents (Zarzeczny et al., 2017). This study investigated the subphases of the iTUG in nursing home residents based on quantitative wearable sensors. The authors demonstrated that vertical sit-to-stand acceleration correlated best with subject age ( $r^2 = 0.430$ ,  $p < 0.05$ ), suggesting that age-related decreases in TUG performance are primarily associated with decreases in "explosive" lower extremity muscle strength. However, Zarzeczny et al. (2017) only considered cross-sectional findings; studies on intervention effects are not available.

Similarly, the TUG has been widely used to evaluate the effects of different intervention programs related to physical functioning and balance (Baum, Jarjoura, Polen, Faur, & Rutecki, 2003; Toulotte, Fabre, Dangremont, Lensel, & Thévenon, 2003; Netz, Axelrad, & Argov, 2007; Wollesen et al., 2020). There is strong evidence that supports the claim that strength-, balance- and gait-training can improve mobility in older adults (de Labra, Guimaraes-Pinheiro, Maseda, Lorenzo, & Millán-Calenti,

## Availability of data and material

Data can be obtained from the corresponding author upon reasonable request.

**Table 1** Sample characteristics of nursing home residents categorized into percentiles depending on the attendance rates, including mean values (standard deviation) and statistical analyses of the mean value differences

	Low attendance rate <1/3 <i>n</i> = 10	Moderate attendance rate 1/3–2/3 <i>n</i> = 8	High attendance rate ≥2/3 <i>n</i> = 32	Statistical analyses
Attendance (%)	3.09 (±5.26); [range: 0–15.6]	50.1 (±10.1); [range: 37.5–63.0]	88.3 (±10.3); [range: 68.8–100]	$F(2,47) = 0.318^{***}$ , $\eta^2_p = 0.931$
Age (years)	82.8 ± 8.93	81.9 ± 6.1	80.8 ± 6.6	$F(2,47) = 0.326^{ns}$ , $\eta^2_p = 0.011$
Sex ( <i>n</i> female)	7	6	21	$\chi^2(2) = 0.281^{ns}$
Weight (kg)	69.4 ± 17.4	63.1 ± 20.9	71.0 ± 14.8	$F(2,47) = 0.756^{ns}$ , $\eta^2_p = 0.031$
Height (cm)	1.62 ± 0.06	1.62 ± 0.08	1.63 ± 0.10 <sup>a</sup>	$F(2,46) = 0.106^{ns}$ , $\eta^2_p = 0.005$
BMI (kg/m <sup>2</sup> )	26.2 ± 5.77	23.8 ± 6.16	26.9 ± 5.11 <sup>a</sup>	$F(2,46) = 1.04^{ns}$ , $\eta^2_p = 0.043$
Underweight ( <i>n</i> )	0	2	0	$\chi^2(2) = 10.9^*$
Normal weight ( <i>n</i> )	5	4	14	$\chi^2(2) = 0.107^{ns}$
Overweight ( <i>n</i> )	2	0	8	$\chi^2(2) = 2.61^{ns}$
Obese ( <i>n</i> )	2	2	7	$\chi^2(2) = 0.007^{ns}$
Severely obese ( <i>n</i> )	1	0	2	$\chi^2(2) = 0.789^{ns}$
Walking aid ( <i>n</i> ; %)	5 (50%)	8 (100%)	25 (78.1%)	$\chi^2(2) = 7.55^{ns}$
MoCA (score); ( <i>n</i> < 19 pts)	14.5 ± 6.69; <i>n</i> = 8	17.7 ± 4.58; <i>n</i> = 4	17.1 ± 6.15; <i>n</i> = 19	$F(2,46) = 0.843^{ns}$ , $\eta^2_p = 0.035$
Barthel Index (pts)	73.5 ± 19.6	82.9 ± 15.2	75.0 ± 18.9	$F(2,46) = 0.697^{ns}$ , $\eta^2_p = 0.030$

*ns* not significant, *pts* points, *BMI* body mass index, *MoCA* Montreal Cognitive Assessment Falls reported 6 months before and 6 months after the intervention were considered  
Participants were grouped as underweight (BMI < 18.5) non overweight (BMI, 18.5–24.9), overweight (BMI, 25.0–29.9), obese (BMI, 30.0–34.9), and severely obese (BMI ≥ 35) (Villareal, Apovian, Kushner, & Klein, 2005; NHLBI Expert Panel, 1998)

<sup>a</sup>In one resident with high attendance rate, height was not recorded and therefore BMI could not be calculated

\* $p < 0.05$ , \*\*\* $p < 0.001$

2015). In their meta-analysis, Hortobágyi et al. (2015) found that coordination training, resistance training, and multimodal training significantly improved gait speed in healthy older adults, obtaining the highest effect size for multimodal training interventions (effect size = 0.86). Multimodal interventions have also shown to effectively maintain (Trautwein et al., 2020) or even improve gait abilities (Kocic et al., 2018; Kovacs, Sztruhar, Jonasne, Karoczi, Korpos, & Gondos, 2013; Sakamoto & Miura, 2016) in nursing home residents. Arrieta, Rezola-Pardo, Gil, Irazusta, & Rodriguez-Larrad (2018) published a systematic review to identify randomized controlled

physical exercise intervention studies that assessed gait ability in older long-term nursing home residents using concurrent walking speed and TUG tests. This overview shows that some studies that evaluated multicomponent exercise interventions reported improvements in the TUG test after the intervention (Cadore et al., 2014; Lazowski et al., 1999), whereas other studies showed similar (Au-Yeung et al., 2002) or worse results (Dechamps et al., 2010; Serrarexach et al., 2011). However, no subphases were considered in all these studies.

To determine the generalizability of these findings to larger cohorts of insti-

tutionalized older adults, the purpose of the study was to (1) examine the iTUG as an instrument to measure the effects of a multicomponent exercise intervention on physical function and balance in nursing home residents and to identify subphases of the iTUG that are more responsive to intervention effects than others. We hypothesized that older adults in long-term care show positive effects in all subphases of the iTUG, and in particular, show improvements in the walking phase because the intervention focused heavily on that aspect. We also wanted to (2) evaluate the impact of the attendance rate on iTUG improvement, since little is known about the dose–response ratio concerning actual attendance. In some cases, the attendance rate is reported in intervention studies, but a defined adherence rate above which participation can be described as successful are hardly to be found. For a more differentiated consideration of the intervention effects, it is therefore necessary to take into account the attendance rate. In a comparable setting, Fairhall et al. (2012) were able to show that in a multifactorial interdisciplinary intervention higher adherence was significantly associated with better performance for most mobility outcomes. Thus, we expect the intervention effects to be significant only at higher attendance rates.

## Methods

### Ethics

This study took place as part of the PROCARE project—Prevention and occupational health in long-term care study (Cordes et al., 2019). The ethics committee of the Hamburg Chamber of Physicians, Germany, approved the study protocol of the PROCARE project (PV5762).

### Participants

A total of 50 long-term nursing home residents (34 women, 82.7 ± 6.46 [range: 65–91] years; 16 men, 78.6 ± 7.0 [range: 62–90] years, cf. participant characteristics in Table 1) were recruited in six different nursing homes located in the

city of Stuttgart, Germany. All nursing home residents or their legal guardians gave written informed consent before enrolling in the study. Inclusion criteria included: (i) willingness to participate, (ii) the ability to understand and carry out simple instructions, (iii) the ability to walk 10 m with or without a walking aid, and (iv) the ability to participate in group activities (Bischoff, Cordes, Meixner, Schoene, Voelcker-Rehage, & Wollesen, 2021). The nursing staff and principal investigators assessed the eligibility criteria. The experimental procedure was explained in detail to the participants. In the general training literature, adherence is defined as successful when participants complete at least two-thirds of the training program (Hawley-Hague, Horne, Skelton, & Todd, 2016; King et al., 1997). Other papers specify a minimum level of participation for low adherence rate, <30% of exercise classes (Tiedemann, Sherrington, & Lord, 2011). For this reason, participants were divided into three groups based on their attendance rates in the multicomponent exercise intervention: group 1 with attendance rates up to 33.2% (low), group 2 with attendance rates between 33.3 and 66.6% (moderate), and group 3 with attendance rates higher than 66.6% (high; **Table 1**).

## Instruments

### Instrumented Timed Up and Go test.

The Timed Up and Go (TUG) test is one of the most common tests used to examine balance, gait speed, and functional ability related to the performance of basic activities of daily living (ADL) in older populations (Herman et al., 2011; Podsiadlo & Richardson, 1991). It can also help track clinical changes over time (Podsiadlo & Richardson, 1991). The TUG measures the time it takes a participant to stand up from a chair, walk 3 m at a comfortable speed, walk around a cone, walk back, and sit down on the chair. If individuals require less than 10 s, they are considered to have free mobility. The time frame between 10–20 s is considered to have independent mobility. If the task is completed in 20–29 s, the individual has variable mobility, and if

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## Evaluation of the instrumented Timed Up and Go test as a tool to measure exercise intervention effects in nursing home residents: results from a PROCARE substudy

### Abstract

**Background and objectives.** To achieve independence in activities of daily living, a certain level of functional ability is necessary. The instrumented Timed Up and Go (iTUG) test provides guidance for appropriate interventions, for example, when considering the subphases within the TUG. Therefore, we evaluated the iTUG as a tool to measure the effects of a multicomponent exercise intervention on the iTUG subphases in nursing home residents.

**Methods.** Fifty long-term nursing home residents (34 women,  $82.7 \pm 6.46$  [65–91] years; 16 men,  $78.6 \pm 7.0$  [62–90] years) performed the iTUG test before and after a 16-week intervention period ( $2 \times 45$ –60 min/week). According to the attendance rates, participants were divided into three groups.

**Results.** The total iTUG duration decreased from baseline to posttest,  $F(2,46) = 3.50$ ,  $p = 0.038$ ,  $\eta^2_p = 0.132$ . We observed significant correlations between the attendance rates

and the total iTUG duration ( $r(50) = 0.328$ ,  $p = 0.010$ ). However, we did not observe significant group  $\times$  time interaction effects in the subphases. The Barthel Index moderated the effect between attendance rate and the total duration of the iTUG test,  $\Delta R^2 = 8.34\%$ ,  $F(1,44) = 4.69$ ,  $p = 0.036$ , 95% CI [0.001, 0.027]. **Conclusions.** We confirmed the effectiveness of the iTUG as a tool to measure exercise intervention effects in nursing home residents, especially when participants exhibit high attendance rates. That said, mobility needs to be considered in a more differentiated way, taking into account parameters in the subphases to detect changes more sensitively and to derive recommendations in a more individualized way.

### Keywords

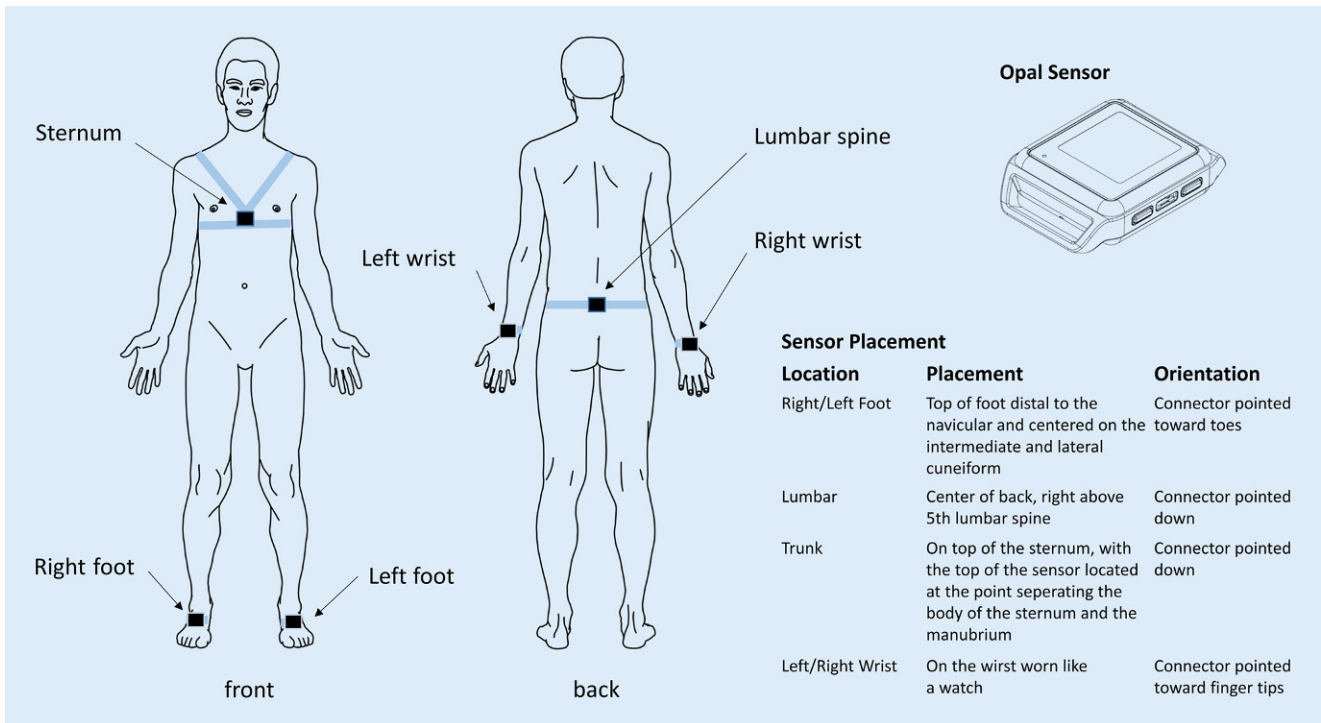
Nursing home residents · Adherence · Functional ability · Balance · Multicomponent exercise intervention · Gait

it takes the individual more than 29 s, the individual has impaired mobility (Podsiadlo & Richardson, 1991). With a “cut-off” value of 14 s or more, the TUG is considered a good predictor for identifying healthy individuals at risk of falling (Shumway-Cook, Brauer, & Woollacott, 2000; Allison, Painter, Emory, Whitehurst, & Raby, 2013). Internal consistency, reliability, validity, and responsiveness are excellent, as reported by Galhardas, Raimundo, and Marmeleira (2020), with an intraclass correlation coefficient (ICC) of 0.99 in older nursing home residents.

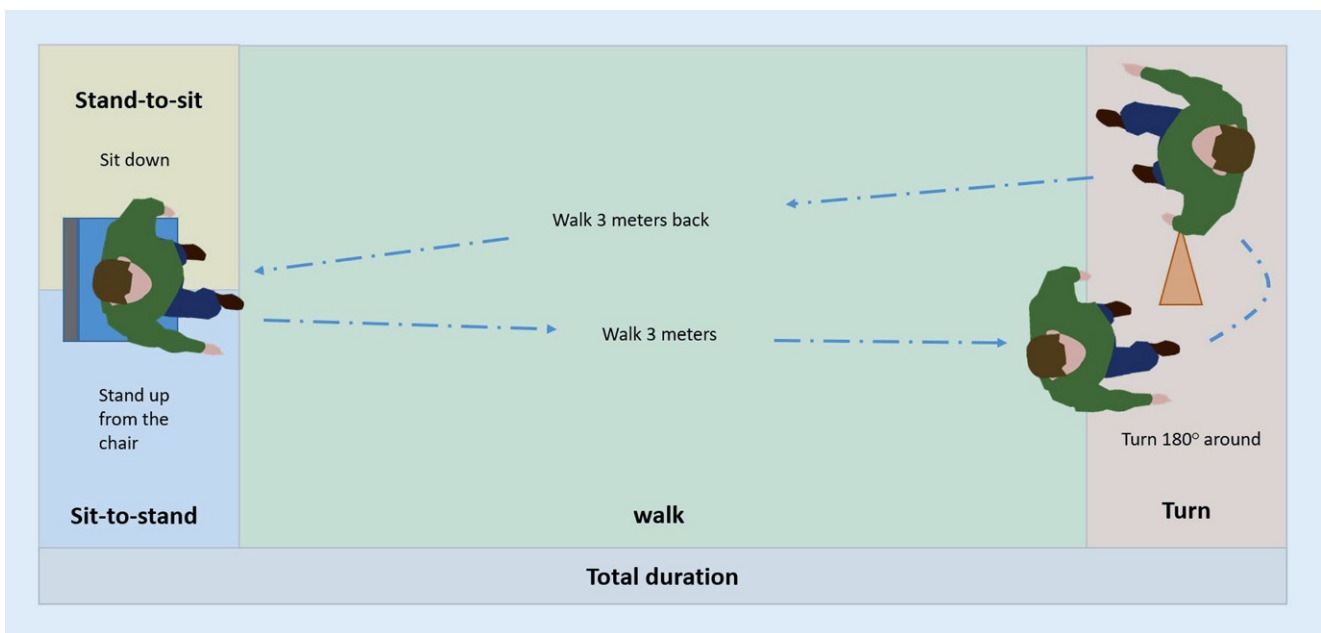
Recently, new emerging technologies allow for the recording of gait and postural transitions with wearable devices. In the present study, Opal™ sensor modules and Mobility Lab™ system (APDM Mobility Lab, APDM Inc., Portland, OR, USA) were used to measure the iTUG test and its subphases. A total of six inertial sensors (Opal™) were attached to the trunk, lower back, left and right foot,

and left and right wrist (**Fig. 1**). The sensors were placed with a velcro belt and straps. The Mobility Lab™ software analyzed the raw data from all sensors using an integrated and automatic algorithm to calculate the durations of the iTUG subphases. Upon completion of the analysis, Mobility Lab™ displayed the data in all subphases in a full report that includes all tested trials and parameters.

The iTUG test can be divided into four major subphases: sit-to-stand, walk, turn, and stand-to-sit. The duration of each of these subphases was automatically calculated (see Supplementary Figure). *Sit-to-Stand* is the time required to stand up at the beginning of the task. *Walk* is the time required to walk at a normal walking pace to the cone at a distance of 3 m, plus the time required to return back to the chair. *Turn* is the time required to perform the 180° turn. *Stand-to-sit* is the time required to sit down at the end of the task (**Fig. 2**). The total duration



**Fig. 1** ▲ Sensor placement. A total of 6 sensors are placed on each subject. The image of the opal sensor is taken from the Mobility Lab™ User Guide. (Accessed on 22 April 2021 at <https://share.apdm.com/documentation/MobilityLabUserGuide.pdf>)



**Fig. 2** ▲ Illustration of the subphases within the instrumented Timed Up and Go (iTUG) test

required to complete the test was also recorded.

In addition to demographic variables, we recorded body composition (weight and height). The participant's independence in basic ADL was assessed

with the Barthel Index (Barthel, 1965) and global cognitive functioning was measured with the Montreal Cognitive Assessment (MoCA, Nasreddine, 2005). The Barthel Index is a scale that measures ten basic aspects of activity related to

self-care and mobility (the highest score is 100, and lower scores indicate greater dependency; Barthel, 1965). Bouwsta and colleagues (2019) demonstrated that the interrater reliability is sufficient to measure and interpret changes in phys-



ical function in geriatric patients, with an ICC of 0.96 (95% confidence interval [0.93, 0.98]). The MoCA includes measures of executive functions, language, memory, attention, orientation, calculation, and visuospatial ability. The score ranges between 0–30, with scores below 26 indicate mild cognitive impairment with 100% sensitivity and 87% specificity (Nasreddine et al., 2005). The MoCA also demonstrated a high test–retest reliability. Using the ICC values ranging from 0.75 to 0.92, indicate a fairly high to a high reliability over time periods ranging from 4 weeks to 18 months (Ozer, Young, Champ, & Burke, 2016).

### Multicomponent exercise intervention

The intervention was developed by Wollesen (2018; Bischoff et al., 2021) for the “Prevention and occupational health in long-term care” (PROCARE) project. The study protocol was published in Cordes et al. (2019). The duration of the intervention was 16 weeks with 2 sessions per week (32 sessions). Each session lasted between 45–60 min and was conducted by a certified exercise scientist or physiotherapist with a maximum group size of 10 people. The program combines published exercises that are beneficial for cognitive-motor performance in older adults (community-dwelling as well as institutionalized) (Liu & Latham, 2009; Fiatarone, 2019; Thomas, Mackintosh, & Halbert, 2010; Wollesen & Voelcker-Rehage, 2014; Wollesen et al., 2017). In addition, the exercise program was continuously adapted to the residents’ capacity and, hence, is organized as a progressive challenge to expand participants’ resources according to the F.I.T.T. (Frequency, Intensity, Time, and Type of exercise) principle (Garber et al., 2011) and the recommendations of the Global Aging Research Network (IAGG-GARN) and the IAGG European Region Clinical Section for physical activity in older persons (de Souto Barreto et al., 2016). Further information on the multicomponent exercise program can be found under Cordes et al. (2019).

### Data acquisition and procedure

The assessment took place upon entry to the study (pretest) and was repeated after 16 weeks (posttest).

Thus, the iTUG was administered twice, one trial at baseline and one trial in the posttest. The participants were required to walk at a self-selected and comfortable walking speed. After preparation (attachment of the sensors), participants sat on a standard chair with their arms at their sides. They were instructed as follows: “When I say ‘Go’, I want you to get up from the chair, walk straight ahead at your comfortable walking pace, turn around, and then walk back to the chair and sit down”. The test was completed when the participant was seated again. The chair was positioned against a wall to ensure that the chair was stable when standing up and sitting down. A researcher with previous experience in this procedure administered the iTUG. Participants were instructed not to use their hands, neither during the sit-to-stand phase nor during the stand-to-sit phase. No specification was made as to which leg the test person should start off with or in which direction he or she should turn. The main parameter was the duration in the subphases and the total duration of the iTUG test in seconds.

### Statistical analysis

Data was analyzed using SPSS version 25.0 (SPSS Inc., Chicago, IL, USA). First, we examined the duration in each subphase for missing values, normality of distributions (tested by Kolmogorov–Smirnov tests), and the presence of outliers. An alpha ( $\alpha$ ) level of 0.05 was used for all statistical tests. Group comparison for continuous variables (such as age, body mass index [BMI], MoCA) were assessed using analysis of variance (ANOVA); sex as categorical demographic variable was compared using chi-square ( $X^2$ ). To analyze the effect of the intervention on each subphase of the iTUG, a 3 (groups)  $\times$  2 (time) analysis of covariance (ANCOVA) with repeated measures was calculated for the duration in each subphase with pretest control as

a covariate and a priori contrasts. Due to baseline adjustment, any interaction effect would produce the same results as the main effect group. Therefore, the main effects for group were not reported. Effect sizes for all ANOVAs were reported using partial eta squared ( $\eta^2_p$ ) (Lakens, 2013), with a small effect defined as 0.01, a medium effect as 0.06, and a large effect as 0.14 (Cohen, 1988). There were different numbers of missing values in the subphases because the iTUG algorithm in the Mobility Lab™ software could not reliably detect these parameters (sit-to-stand: 22; walking: 26; turning: 2; stand-to-sit: 10). For the iTUG total duration, the dataset was complete.

In addition, the percentage changes between pre- and posttest were calculated for each participant:  $((\text{pretest} - \text{posttest}) / \text{pretest}) * 100$  and correlated with the attendance rates (Table 2). Linear mixed-effects modeling was utilized to determine the moderation effect of cognitive performance (MoCA), age, and the independence/need of care (Barthel Index) on the relationship between attendance rate and intervention effect in the iTUG total duration using the PROCESS macro in SPSS (Hayes, 2017). The simple moderation model we used in this study was Model #1. Thus, three moderation analyses were conducted to determine whether the interaction between the independent variables (MoCA, age, and Barthel Index) and the attendance rate significantly predicted the intervention effect. Significant transition points within the observed range of the moderator were analyzed using an application in the PROCESS macro, called the Johnson–Neyman method (Johnson & Neyman, 1936). The relationship of all variables involved in the moderation analysis was approximately linear, as visually shown in the scatterplots after LOESS smoothing (Jacoby, 2000).

## Results

### Participants

Table 1 shows the characteristics of the sample. In the group with low attendance

**Table 2** Correlation between attendance rate and percent change between pretest and posttest in the instrumented Timed Up and Go (iTUG) test subphases

Subphase	iTUG total duration	iTUG sit-to-stand duration	iTUG walk duration	iTUG turn duration	iTUG stand-to-sit duration
Correlation (r)	0.328*	0.029	-0.124	0.066	0.301*
p value	0.010	0.439	0.195	0.328	0.029
N	50	28	50	48	40

\*Correlation is significant at level 0.01 (one-sided), \*\*Correlation is significant at level 0.05 (one-sided)

an attendance rate of 3.09% ( $\pm 5.26$ ) was recorded, a rate of 50.1% ( $\pm 10.1$ ) in the group with moderate attendance, and a rate of 88.3% ( $\pm 10.3$ ) in the group with high attendance. Distributions of age, sex, BMI, and MoCA scores did not differ between groups. The MoCA total score was 16.7 ( $\pm 0.86$ ) points for all residents, which is below the cut-off value (19 points) for discriminating between mild cognitive impairment and Alzheimer's disease (Roalf et al., 2013). In all, 63.3% of the participants were thus screened as showing signs of dementia. German reference data for the prevalence of dementia for nursing home residents are 51.8% (Hoffmann, Kaduszkiewicz, Glaeske, van den Bussche, & Koller, 2014), which is lower than the prevalence values of the present sample, based on the MoCA results in this study (63.3% < 19 points). Most participants were of normal weight in all groups following the BMI guidelines from several expert panels (Villareal et al., 2005; NHLBI Expert Panel, 1998). Participants were classified as moderately dependent with a Barthel Index mean score of 75 ( $\pm 6.03$ ) points (Shah, Vanclay, & Cooper, 1989).

### Intervention effects on the iTUG performance and its subphases

The 3 (group)  $\times$  2 (time) repeated measures ANCOVA for the *total duration of the iTUG* showed a significant main effect time,  $F(1, 46) = 14.8$ ,  $p < 0.001$ ,  $\eta^2_p = 0.243$ , and a significant interaction effect time  $\times$  group  $F(2, 46) = 3.50$ ,  $p = 0.038$ ,  $\eta^2_p = 0.132$ . Regarding the covariate (pretest), there was a significant effect,  $F(1, 46) = 197$ ,  $p < 0.001$ ,  $\eta^2_p = 0.811$ , and a significant interaction effect covariate  $\times$  time,  $F(1, 46) = 11.5$ ,  $p < 0.001$ ,  $\eta^2_p = 0.200$ . The a priori

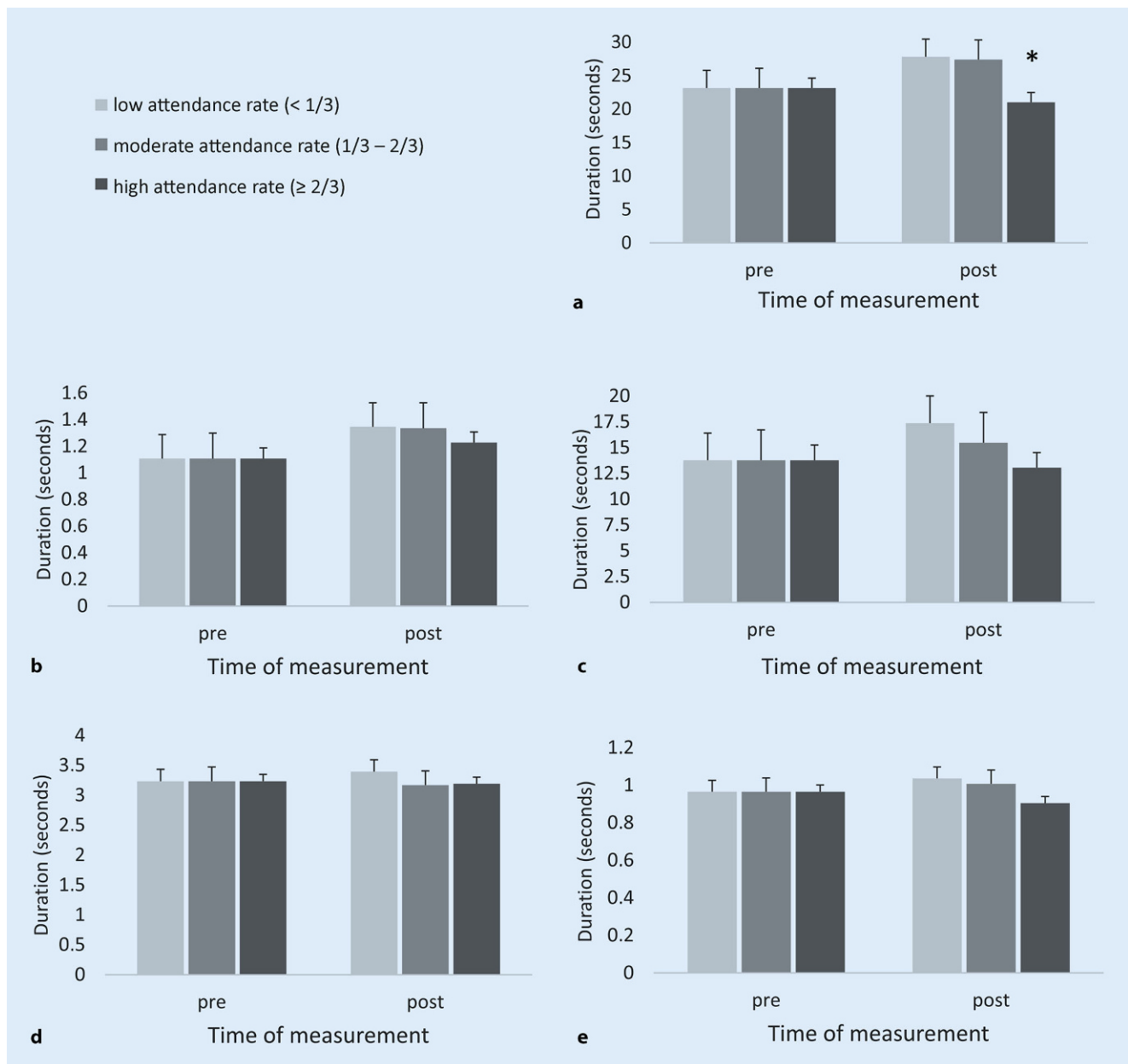
contrasts showed no significant difference ( $p = 0.063$ ) between the high attendance group ( $M = 25.4$ ,  $SE = 1.18$ ) compared to the moderate attendance group ( $M = 25.2$ ,  $SE = 1.31$ ), but did show a significant difference ( $p = 0.032$ ) compared to the low attendance group ( $M = 22.4$ ,  $SE = 0.67$ ). In the *sit-to-stand subphase* there was a significant main effect time,  $F(1, 24) = 10.1$ ,  $p < 0.004$ ,  $\eta^2_p = 0.296$ . The interaction effect time  $\times$  group was not significant,  $F(2, 24) = 0.018$ ,  $p = 0.758$ ,  $\eta^2_p = 0.023$ . The effect of the covariate was significant,  $F(1, 24) = 10.1$ ,  $p < 0.01$ ,  $\eta^2_p = 0.296$ . A priori contrasts showed no significant differences between groups ( $p = 0.554-0.615$ ). Regarding the *walking subphase*, there was no significant main effect time,  $F(1, 20) = 1.46$ ,  $p = 0.242$ ,  $\eta^2_p = 0.068$ , nor an interaction time  $\times$  group,  $F(2, 20) = 1.26$ ,  $p = 0.306$ ,  $\eta^2_p = 0.112$ . However, the effect of the covariate was significant,  $F(1, 20) = 0.123$ ,  $p < 0.001$ ,  $\eta^2_p = 0.861$ . A priori contrast showed no significant difference ( $p = 0.411$ ) between the high attendance group ( $M = 13.0$ ,  $SE = 1.29$ ) compared to the moderate attendance group ( $M = 15.5$ ,  $SE = 2.57$ ), and no significant difference ( $p = 0.150$ ) compared to the low attendance group ( $M = 17.4$ ,  $SE = 2.57$ ). For the *turn subphase*, a significant main effect time,  $F(1, 44) = 13.9$ ,  $p < 0.001$ ,  $\eta^2_p = 0.240$ , but no interaction effect time  $\times$  group,  $F(2, 44) = 0.438$ ,  $p = 0.648$ ,  $\eta^2_p = 0.020$ , has been observed. The effect of the covariate was significant,  $F(1, 44) = 104$ ,  $p < 0.001$ ,  $\eta^2_p = 0.704$ . A priori contrasts revealed no significant differences ( $p = 0.376-0.938$ ) between groups (Fig. 3). In the *stand-to-sit subphase* a significant main effect time,  $F(1, 36) = 12.0$ ,  $p < 0.001$ ,  $\eta^2_p = 0.250$ , has been reported. The interaction effect time  $\times$  group was not significant,  $F(2,$

36) = 2.03,  $p = 0.146$ ,  $\eta^2_p = 0.102$ . The effect of the covariate was significant,  $F(2, 36) = 76.2$ ,  $p < 0.001$ ,  $\eta^2_p = 0.679$ . A priori contrasts showed no significant difference ( $p = 0.218$ ) between the high attendance group ( $M = 0.90$ ,  $SE = 0.36$ ) compared to the moderate group ( $M = 1.01$ ,  $SE = 0.07$ ), and a tendency towards a significant difference ( $p = 0.074$ ) compared to the low attendance group ( $M = 1.04$ ,  $SE = 2.57$ ).

### Relationship between attendance rate and percentage change between pretest and posttest of the iTUG

We observed a significant correlation between the attendance rate and the iTUG total duration ( $r(50) = 0.328$ ,  $p = 0.010$ ). The higher the attendance rate, the greater the percentage change from pre- to posttest. A significant relationship with the attendance rate was observed for the iTUG stand-to-sit duration ( $r(40) = 0.301$ ,  $p = 0.029$ ). Thus, an increased percentage reduction in duration was found with an increased rate of attendance. The correlations with the other subphases were not significant ( $r = -0.124-0.66$ ,  $p = 0.195-0.440$ ; Table 2 and Fig. 6).

Regarding the iTUG total duration, there was a moderating effect of functional independence (Barthel Index) on the relationship between attendance rate and the intervention effect (Fig. 4). The overall model showed that 21.9% of the intervention effects can be significantly ( $p = 0.012$ ) explained by the model. Functional independence (Barthel Index) significantly moderated the effect between attendance rate and the iTUG total duration,  $\Delta R^2 = 8.34\%$ ,  $F(1, 44) = 4.69$ ,  $p = 0.036$ , 95% CI [0.001, 0.027]. The moderator value defining the Johnson-Neyman significance was 70.07 (41.7% of the participants were below this value and 58.3% of participants were above this value; Fig. 5). MoCA ( $\Delta R^2 = 0.05\%$ ,  $F(1, 45) = 0.25$ ,  $p = 0.875$ , 95% CI [-0.038, 0.044]) and age ( $\Delta R^2 = 4.35\%$ ,  $F(1, 46) = 2.53$ ,  $p = 0.118$ , 95% CI [-0.068, 0.058]) did not have a moderating effect.



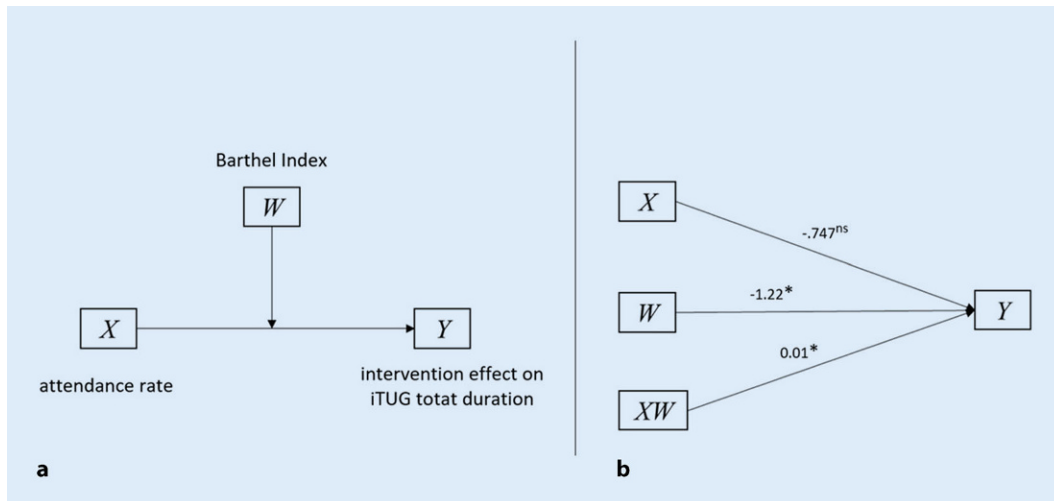
**Fig. 3** ▲ Means and standard errors for the duration of the instrumented Timed Up and Go (iTUG) test subphases in pre- and posttest. **a** Total duration, **b** sit-to-stand duration, **c** walk duration, **d** turn duration, **e** stand-to-sit-duration. Covariates in the model are evaluated for the following values: iTUG total duration = 23.1; iTUG walk duration = 13.8; iTUG sit-to-stand duration = 1.11; iTUG stand-to-sit duration = 0.965; iTUG turn duration = 3.24. *Asterisk* significant interaction effect time  $\times$  group was only observed for total duration. A prior contrasts showed significant differences between the low attendance group compared to the high attendance and moderate attendance groups

■ **Figure 6** shows that 30% of nursing home residents ( $n = 3$ ) in the group with a low attendance rate, 25% ( $n = 2$ ) in the group with a moderate attendance rate, and 62.5% ( $n = 20$ ) in the group with a high attendance rate improved in total iTUG performance (% change in iTUG total duration > 0%). Following Masciocchi, Maltais, Rolland, Vellas, and de Souto Barreto (2019) and assuming an 11.2%

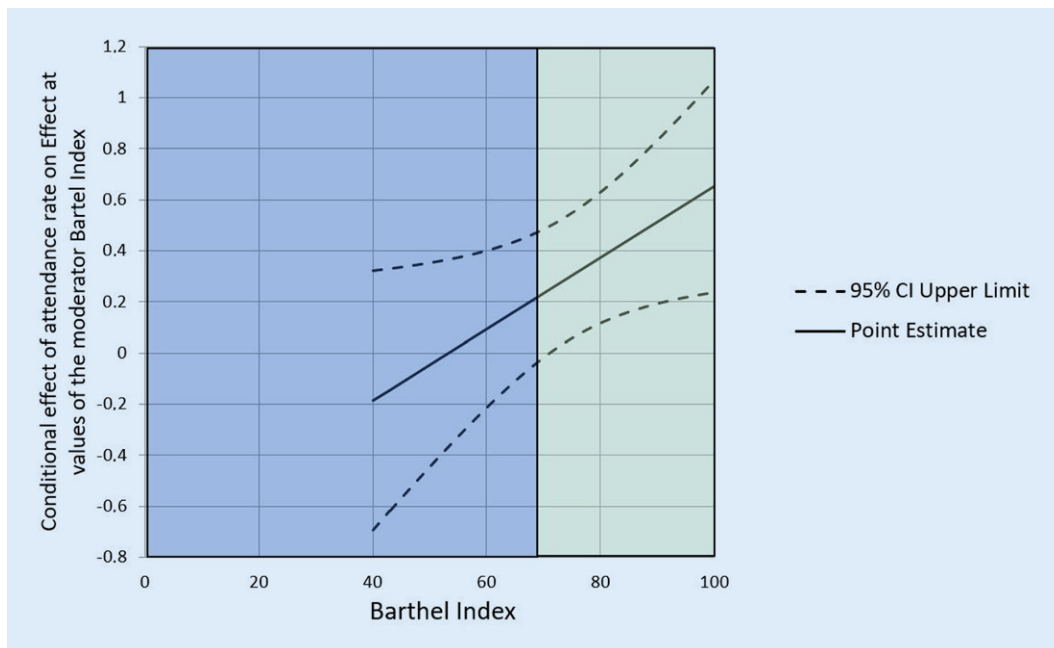
decrease in TUG total performance over 4 months, 40% ( $n = 4$ ) in the low attendance group, 62.5% ( $n = 5$ ) in the moderate attendance group, and 78.1% ( $n = 25$ ) in the high attendance group showed a positive effect of the multicomponent exercise intervention.

## Discussion

This study aimed to evaluate the iTUG as a tool to measure the effects of a multi-component exercise intervention on the iTUG subphases in nursing home residents, particularly concerning the subphases, and to evaluate the impact of the attendance rate on iTUG changes.



**Fig. 4** ◀ **a** Representation and conceptual form of the moderation model. **b** Statistical form and path coefficients for the moderated model. Asterisks indicate statistical significance and (<sup>ns</sup>) indicates no significance



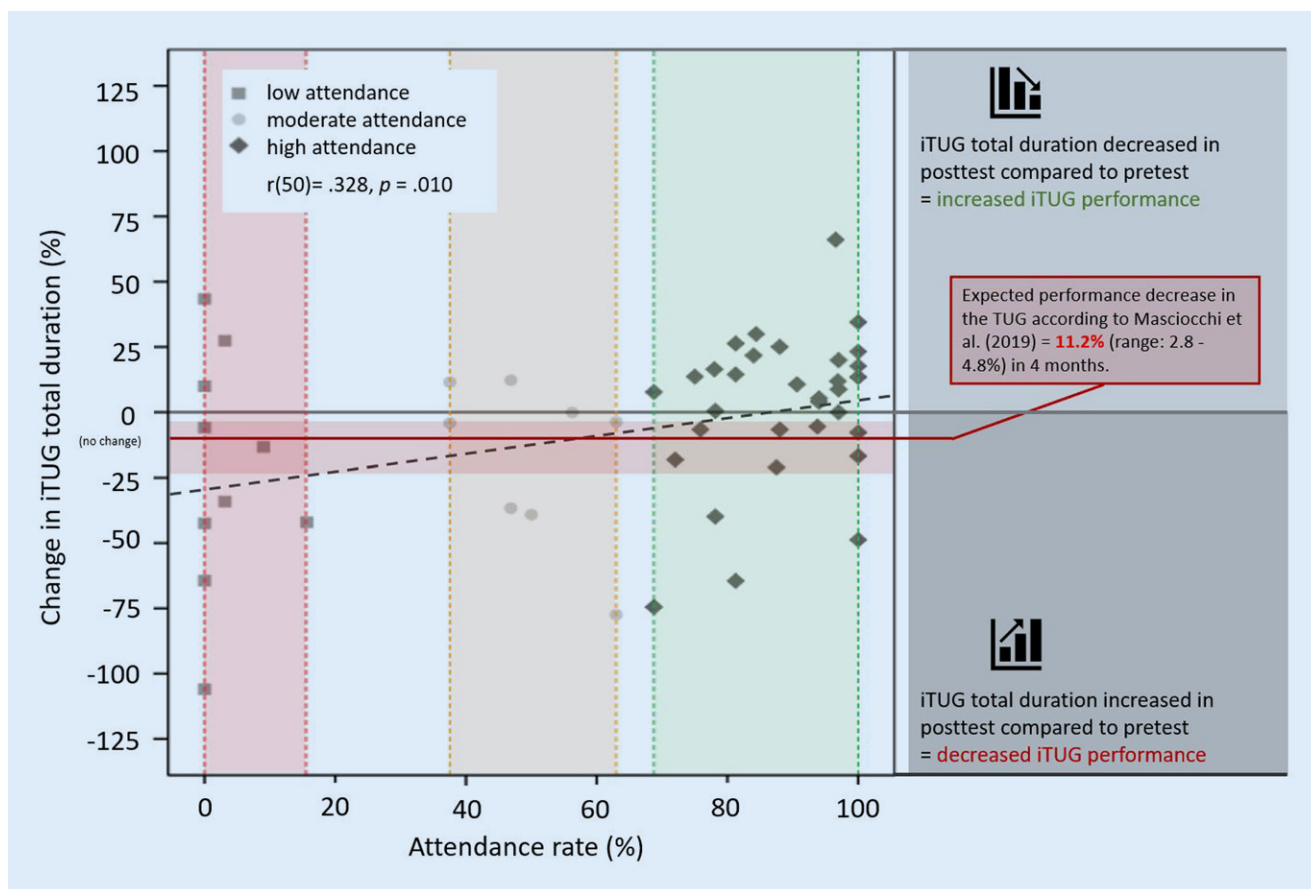
**Fig. 5** ◀ Visualizing the conditional moderator effect of the functional independence (Barthel Index). The moderating effect becomes significant above a Barthel Index of 70.07 (green colored area)

One may assume that the nursing home residents participating in our study would be among the fitter individuals, since participation required specific physical abilities. This should be considered when assessing the representativity of the sample. Indeed, the range of TUG performance in nursing home residents was extensive (<10 up to >150s). Some studies reported longer durations in a similar sample (Baum et al., 2003; Johnen & Schott, 2018; Henskens, Nauta, Van Eekeren, & Scherder, 2018), although some examined nursing home residents with dementia. Other studies reported shorter TUG total durations at baseline (Arrieta et al., 2018; Benavent-

Caballer, Rosado-Calatayud, Segura-Ortí, Amer-Cuenca, & Lisón, 2014; Cadore et al., 2014; Meng et al., 2017; Kocic et al., 2018); however, some of them studied cognitively unimpaired individuals or older adults in the assisted living environment. Other findings in this setting and age group are similar to our results at baseline (Cancela, Ayán, Varela, & Seijo, 2016; Mouton et al., 2017; Zarzeczny et al., 2017; Holmerová et al., 2010). A significant interaction of pretest performance × time with a concurrent interaction effect time × group for iTUG total duration suggests that residents with high iTUG performance at baseline benefit more from the interven-

tion than residents who started at lower iTUG performance levels. Our results contradict the findings by Fairhall et al. (2012), in which they found a higher effect of the intervention on gait speed among frail older people. It is not surprising, as mobile residents were less dependent on caregivers and were able to come to interventions independently. This could have led to lower attendance rates for less mobile residents, as it was not always possible to ensure that they were ready on time or that the caregivers always reliably brought them to the intervention. The moderating effect of a person's functional independence (which is above a Barthel Index of 70.07)





**Fig. 6** ▲ Percentage changes in the instrumented Timed Up and Go (iTUG) total duration for each group and as a function of the attendance rate

on the relationship between attendance rate and intervention effect in the iTUG total duration also confirmed that. The moderation was able to explain an additional 8.34% of the variance, which can be interpreted as moderate according to Cohen (1988).

The significant interaction effect time  $\times$  group for the iTUG total duration indicated that a high attendance rate positively affected the iTUG performance and its subphases. With increasing attendance, we saw larger effects for the total duration and the stand-to-sit subphase, indicating a dose–response effect of the intervention. This is consistent with Fairhall et al. (2012), who showed that higher adherence was significantly associated with better performance for most outcomes. Nevertheless, the absence or slowing down of the decline in physical performance can, in principle, be interpreted as a sign of the effectiveness of the intervention since the natural

decline in physical function is considered normal in nursing home residents. Masciocchi et al. (2019) reported in their narrative review that performance in the TUG test declined by an average of 2.8% (range 0.7–6.2%) per month when nursing home residents did not attend any additional physical exercise therapy. This natural decline can be explained by the high sedentary times among nursing home residents (Harvey, Chastin, & Skelton, 2015; Healy et al., 2011; McArthur, 2019; Jansen, Diegelmann, Schnabel, Wahl, & Hauer, 2017). Applied to our intervention duration of 4 months, this would predict a decline of 11.2% if a linear decline is assumed. In our study, we observed even higher declines of 22.7% in the group with a low attendance rate; however, in the group with a high attendance rate, we saw a positive effect of the intervention in 78.1% ( $n = 25$ ) of the residents.

Regarding the subphases, we observed that residents in the group with a high attendance rate improved or maintained their TUG performance in all subphases compared to the other groups. However, these group differences were not significant. A possible explanation could be the relatively small number of participants and values that were not provided by the system because the Mobility Lab™ algorithm could not detect them. The sit-to-stand subphase, for example, was the least reliable component (with 22 missing values), probably due to the large degrees of freedom available to nursing home residents, who can use a variety of strategies to perform this activity (Janssen, Bussmann, & Stam, 2002). As seen, the acceleration patterns in these subphases of the iTUG can be very heterogeneous, which makes detection based on the acceleration peaks more difficult. In addition, the training program focused on improving walking per-

formance, coordination, balance, dual-task performance, mobility and cognitive performance. Strength exercises, e.g., for the lower extremities, which appeared to be important for the sit-to-stand subphase, were addressed only secondarily. In previous studies, lower extremity training has been shown to affect standing up and mobilization in general. For example, Johnen & Schott (2018) showed that nursing home residents significantly improved their physical performance in the TUG and 30-second Chair Stand test after resistance training for the upper and lower extremities with both free weights and machines. In this study however, the subphases were not considered. Regarding the sit-to-stand subphase, a meta-analysis on intervention effects in stroke patients indicated a significant overall effect estimate in favor of the intervention group (standardized mean difference [SMD]  $-0.34$ ; 95% CI  $[-0.62, -0.06]$ , seven studies; Pollock, Gray, Culham, Durward, & Langhorne, 2014), and a recently published study by Kasch (2021) showed that 12 weeks of progressive strength training decreased the duration in the sit-to-stand subphase up to 22% in patients with multiple sclerosis. The improvements in the sit-to-stand subphase in our study could be explained by the strength training and range of motion exercises for the hip and trunk within the intervention program (Cordes et al., 2019). This apparently led to increased strength in the lower extremities and a better lean angle in the sit-to-stand phase, and thus to a shorter duration in the iTUG.

There are nevertheless some limitations that need to be addressed. In addition to cognitive performance, which may influence performance in the iTUG and the intervention effect, there are other factors that we did not examine in this study. These include depression, fear of falling, and other emotional factors that play a crucial role and affect one another (Kose, Cuvalci, Ekici, Otman, & Karakaya, 2005). Unlike the PROCARE study (Cordes et al., 2019), we did not conduct a retention test to examine the persistent effects on iTUG performance. A retention test is mandatory but quite difficult in the nursing home setting

given the high mortality rate in this age range, making it hard to provide suggestions on the sustained effects of a specific intervention.

Moreover, it would have been useful to compare the intervention group with a control group that did not receive this intervention. Since we did not have a traditional control group, we divided the groups according to their attendance rate. This did allow for a better illustration of the intervention effects as a function of visit frequency. We decided to divide participants who visited two-thirds of the units (Hawley-Hague et al., 2016) and compared this group with those with lower attendance rates. Studies reporting mean attendance rates should provide more details, such as the range of attended sessions, at least in studies with small samples, (e.g., Henskens et al., 2018, p. 69: "Mean attendance to the intended 72 exercise sessions was 55% [mean = 39.5, SD = 20.8; range = 0–64]."). Besides, it is important to consider how lower attendance rates occurred. This may have different outcome effects for someone who had to stop attending the intervention sessions for several weeks (maybe, due to some personal reasons) to someone who regularly attended the intervention sessions. We examined irregularities related to the attendance rate and factored in unpredictable circumstances (such as people suffering from stroke or a disease), but this did not justify excluding this group of participants. Overall, we had a relatively small number of participants, so the subphases between these groups did not become significant. Furthermore, a priori power analysis was not performed. Studies with a higher number of participants and additional measures to assess TUG performance (such as number of steps in the turning phase, turning strategies, lean angle in the sit-to-stand and stand-to-sit subphase) could have led to a more differentiated interpretation of the intervention effects. These additional parameters allow to detect obvious impairments or changes and capture subtle differences and thus provide a better description of motor processes. Sensor-based analysis systems and the associated algorithms (Caldas, Mundt, Potthast, de Lima Neto,

& Markert, 2017), which can sensitively capture different measures (biomarkers), play a crucial role in long-term observations and for documenting intervention successes. The downside is that these systems are cost-intensive and can only be used in the care setting with considerable effort. In this regard, modern smartphones have a growing number of inertial and location sensors, such as accelerometers, GPS, gyroscopes, and magnetometers, and are comparably user-friendly. To what extent sensor-based systems will be used in the nursing home setting to investigate alternative motion parameters remains to be seen. Ponciano, Pires, Ribeiro, and Spinsante (2020) conducted a systematic review of how inertial sensors embedded in mobile devices were used to measure various parameters of the iTUG test in older people. The authors stated that together with mobile devices using open source technologies, iTUG is very accessible to all. Persons without experience with nursing home residents and the application of the TUG should be alert to potential accidents. For safety reasons, the resident should be accompanied during the iTUG. Also, an alternative and secure realization of the iTUG is to use two chairs; one chair with the seat facing the wall and another against the backrest. This prevents the chair from tipping over and avoids subjects injuring their heads on the wall if they lose their balance and fall backwards while sitting down. This alternative was not applied but was considered the safer alternative during the course of data collection. For comparability reasons we did not change the setup. Our findings have potential implications for assessing intervention effects in nursing home residents. We have approved the iTUG test as a potential tool for measuring the effects of a multicomponent exercise intervention on physical function and balance in nursing home residents. We observed changes in the iTUG performance especially in the group with high attendance rates. Therefore, the iTUG performance can be highly recommended as an evaluation tool for intervention effects. In addition to the total TUG duration, other parameters should be considered in the different subphases. The exercises

in the intervention programs could be adjusted accordingly to induce significant differences in these subphases. For this to work, however, gait analysis systems must measure these subphases reliably and sensitively. Factors emanating from the individual, such as fear of discomfort or pain, anxiety or depression, and limitations due to neuromuscular or musculoskeletal impairment, may influence the iTUG performance and the subphases. For example, external factors include forced rest for therapeutic purposes (Herdman et al., 2021). These factors must also be considered if we want to examine the effects on physical function and balance in nursing home residents. A more detailed view of the intervention effects on mobility will be provided by the results of the multicenter PROCARE project using different evaluation criteria (Cordes et al., 2019).

## Conclusion

Overall, we strongly believe that the iTUG test can be recommended as a vital tool to measure the effects of a multicomponent exercise intervention on physical function and balance in nursing home residents. However, individuals need to attend a sufficient number of sessions to observe a positive effect on the iTUG performance. Our study showed that especially mobile, independent residents frequently participated in the training and thus were able to benefit the most. Due to the low number of participants, we cannot make any definite statements, particularly regarding the subphases of the iTUG. The algorithms included in the different measurement systems do not seem to be developed enough to represent reliable and sensitive parameters for intervention effects, especially for a specific group of people. Future studies should focus on making adaptations to the algorithms, especially for participants who shuffle when walking and hardly lift their feet.

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**Author Contribution.** We confirm that all authors were fully involved in the study, prepared the manuscript and provided the material within it. All authors fulfill the ICMJE (International Committee of Medical Journal Editors) recommendations on authorship. Credit taxonomy: T. J. Klotzbier: Conceptualization, Methodology, Formal analysis, Investigation, Writing—Original Draft, Writing—Review & Editing, Visualization, Data Curation; H. Korbus: Conceptualization, Investigation, Methodology, Writing—Original Draft, Review & Editing; B. Johnen: Conceptualization, Investigation, Methodology, Writing—Original Draft, Review & Editing; N. Schott: Conceptualization, Methodology, Analysis, Writing—Original Draft, Review & Editing, Resources.

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## Declarations

**Conflict of interest.** The authors have no financial or personal relationships with any other person or organization that could improperly influence or otherwise influence their work in this study. T. J. Klotzbier, H. Korbus, B. Johnen and N. Schott declare that they have no competing interests.

All procedures performed in studies involving human participants or on human tissue were in accordance with the ethical standards of the institutional and/or national research committee and with the 1975 Helsinki declaration and its later amendments (World Medical Association, Fortaleza, 2013) or comparable ethical standards. The study was approved by the Ethics Committee of the Hamburg Chamber of Physicians (registration number PV5762). All nursing home residents or their legal guardians received written and

verbal information about the study and signed informed consent prior to their participation. Informed consent was obtained from all individual participants included in the study.

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