

# **Analysis of Magnetic Excitations in Molecular Nanomagnets**

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# I

## General introduction

Magnetism is a field of research that is clearly enjoying a renaissance due to the rapid development of the molecule-based chemistry last decades. A worldwide interest in molecule-based magnets has arisen for both fundamental scientific and technological reasons. They make it possible to expand the properties associated with the conventional inorganic magnets, i.e. to include low density, transparency, electrical insulation, low-temperature fabrication and to combine magnetic ordering with other properties like photo-responsiveness. They provide also new opportunities for processing technologies, since these magnets are based on molecules as building blocks. Several classes of molecule-based magnets were reported recently [Miller, 2000], where a variety of new phenomena have been observed. Many molecule-based magnets contain metal ions; however the organic moieties presented in these molecules play a key role in their magnetic behavior. Unlike conventional organic-free magnets used in human society since the 12<sup>th</sup> century, the organic species of molecule-based magnets can either provide spins or (if they are spinless) couple the spins of metal centers into fixed solitary fragments. Therefore they exhibit a wide variety of bonding and structural motifs. These include isolated molecules (zero-dimensional, 0D), and those with extended bonding within chains (1D), within layers (2D), and within 3D network structures. Molecule-based magnets are usually grouped into families by two features: either by the orbitals, where the spins reside, or by chemical bonding connecting the neighboring spins. A further classification into subgroups is performed according to the exhibited type of magnetic ordering. Thus, in addition to ferri- and ferromagnetic behavior, other magnetic-ordering phenomena may occur in molecule-based magnets: metamagnetism, canted antiferromagnetism, spin-glass behavior. A special class of molecule based magnets is associated with the small molecular clusters that act as magnets, i.e. molecular nanomagnets, which possess no long-range magnetic ordering. There are equivalents to the name “molecular nanomagnets” like “magnetic nanoclusters” or “high-nuclearity spin clusters” for reasons that will be explained in the Chapter 2. Some of them demonstrate physical effects that are not usual for conventional magnetic materials: hysteresis of pure molecular origin and quantum tunneling of magnetization. This family of magnetic nanoclusters is referred to single-molecule magnets (SMMs). They are in the focus of this PhD thesis.

Magnetic nanoclusters are molecules consisting of metal ions linked by organic ligands. The energy spectrum of each ion constituting the cluster is determined by electronic configuration in the surrounding ligand field of certain symmetry. Coupling of the single-ion spins gives rise to the energy spectrum composed of several multiplets with definite total spin values. A non-compensated ground-state spin is typical for molecular nanomagnets. Thus, each energy manifold originates from competing exchange interactions in a many-spin system. The energetically degenerate spin multiplets arise as a result of the isotropic Heisenberg exchange interactions between spin centers.

Nevertheless, experiments show that each multiplet is usually split in zero applied magnetic field. The zero-field splitting (ZFS) of the ground multiplet of single-molecule magnets might be viewed as a two-well potential barrier, which must be as high as possible for successful application of these molecules in information storage media and by quantum computing. Quantitative characterization of zero-field splittings and understanding of nature of non-Heisenberg interactions removing the degeneracy are important for synthesis of new single-magnet molecules with predefined values of magnetization reversal barrier. Despite of the recent progresses of the density functional theory (DFT), ab initio calculations of the ZFSs remain a challenging area of research [Kaupp, 2004]. The main source of information on zero-field splittings stays the experiment.

There are several experimental techniques used to study the ZFS effects in many-nuclearity complexes including DC magnetic susceptibility, Electron Spin Resonance (EPR), Inelastic Neutron Scattering (INS) as well as the Frequency-Domain Magnetic Resonance Spectroscopy (FDMRS) technique presented in this thesis. All techniques listed above allow the study of magnetic excitations (usually) within the zero-field split ground multiplet.

Contrarily to DFT, where the full molecular Hamiltonian is constructed in a relativistic approach, the concept of spin Hamiltonian is introduced for modeling of the experimental data, i.e. to describe the energetics and dynamics of molecular nanomagnets. By definition, it must be so designed, that its diagonalization correctly reproduces the energy levels of the multiplet; i.e. provides an adequate evaluation of the measurable magnetic parameter (like magnetization, static and dynamic magnetic susceptibility, INS cross section) and enables a correct simulation of the thermodynamic measurements or the spectral line positions and intensities in the spectroscopic framework.

We distinguish three kinds of spin Hamiltonians depending on the method of their construction and on the mathematical formalism applied for calculation of the Hamiltonian matrix elements [Tsukerblat, 1994]. Group theory serves as the basis for any of them, since the symmetry properties of the molecule are reflected in the symmetry of the Hamiltonian. The most complete spin Hamiltonian model uses the Wigner-Eckart theorem for finite point groups [Washimia, 1970]. It allows predicting the fine structure of many-electron multiplets and a-priori knowledge of selection rules of spectroscopic (electric dipole-, magnetic dipole-, electric quadrupole-) transitions as well as the polarization dependence of the intensity of allowed transitions. Being on the top of spin Hamiltonians hierarchy, this model Hamiltonian remains a tool of experts. It was never applied in practice in the context of single-molecule magnets. Therefore it is outside of the scope of this thesis. By definition, the spin Hamiltonian includes angular momentum operators in their mutual interactions (orbit-orbit, spin-orbit, spin-spin) and their interaction with an external magnetic field. Thus, the second kind of spin Hamiltonians (referred as *generalized effective spin Hamiltonian model (gesH)*) uses the Wigner-Eckart theorem for rotation groups, since the total angular momentum operator generates transformations of the quantum-mechanical system under infinitesimal rotations characterized by irreducible representations of the full rotation group. The group-theoretical consideration of the spin Hamiltonian was done already by Bleaney and Stevens [Bleaney, 1953] and Griffith [Griffith, 1961, 1962]. There it was shown that the Hamiltonian operator can be derived using the operator equivalent method for finite groups. The method suggested by Stevens takes into account the fact that angular momentum operators have the same transformation

properties as the corresponding spherical harmonics required in the expansion of the potential due to a crystal electric field of the appropriate symmetry [Abragam, 1970], [Sugano, 1970]. This method allowed the determination of the quantum mechanical equivalent of a given spherical harmonic as an explicit function of the total angular momentum operator  $J$  within a selected  $J$ -manifold, i.e. the so called Stevens operators tabulated e.g. in [Abragam, 1970]. Thus, the third kind of the spin Hamiltonians is represented by the effective spin Hamiltonian expressed through the Stevens operators introduced for each of the spin multiplets. Selection of the appropriate spin Hamiltonian for modelling of experimental data depends on the specific features of the energy spectrum of the system under study. Thus, if the isotropic Heisenberg exchange dominates, the strong exchange limit is valid. In this case the spin multiplets are grouped into well separated blocks so that the interaction of ground multiplet with the excited ones can be neglected in the first approximation. It is a typical situation for majority of the reported single-molecule magnets [Sessoli, 2003], where the excited spin states are usually experimentally inaccessible at low temperatures and therefore can be disregarded. This fact opens the way for spectroscopic simulations by using only the effective spin Hamiltonian of the ground multiplet referred to *the single-spin* or *giant-spin Hamiltonian model* [Waldmann, 2005]. It coincides with zero-field splittings expressed in terms of the Stevens operators in absence of an external magnetic field.

Any spin Hamiltonian can be factorized into two parts. The first part depends on the symmetry only; the second one originates from the practical physical behavior of the system. It is treated as an adjustable (semiempirical) parameter that can be extracted from experiment. Thus, a set of the zero-field splitting (ZFS) parameters will be obtained by application of the single-spin Hamiltonian model to the experimental data analysis on molecular nanomagnets. They give a fingerprint (i.e. a relative location) of energy levels constituting the ground multiplet. The generalized effective spin Hamiltonian model is used in order to analyze the nature of physical mechanisms responsible for formation of the cluster magnetic anisotropy. It includes parameters of isotropic exchange and of non-Heisenberg interactions. Their magnitude points to the role and specific behavior of the corresponding type of intracluster interaction. The obtained information is of principal importance for synthesis of new molecules with predefined properties. Thus, the single-spin and generalized effective spin Hamiltonian models are complementary tools by analysis of energetics of one and the same molecular magnet. The first enables a soon (due to its simplicity) answer to the question: “how is the energy spectrum of the molecule organized?”, the second one is dealing mainly with the problem: “why does the energy spectrum have the structure detected experimentally?”.

This PhD-thesis has two important results. We present the computer code developed for simulation of Frequency Domain Magnetic Resonance Spectra (FDMRS) on molecular nanomagnets in terms of the single-spin Hamiltonian model. The program enables an automatic and high precision determination of the zero-field splitting parameters of mono- and many-nuclear complexes with high spin ground state. It was successfully applied to the ZFS studies by FDMRS on various molecular magnets.

Another result of this work is the new development of the generalized effective spin Hamiltonian model. Interactions of non-Heisenberg type (single-ion crystal fields and antisymmetric exchange) were introduced into the model for the first time being expressed through non-collinear tensors. The model gives reasonable results by explanation of the

origin of magnetic anisotropy of a tetrameric Ni(II) cluster possessing  $S_4$  symmetry. It indicates the pronounced role of the non-compensated orbital moment in the system accompanied by collective action of the single-ion crystal fields.

Thus, this PhD-thesis is about methods of modeling- and analysis of experimental data on molecular nanomagnets.



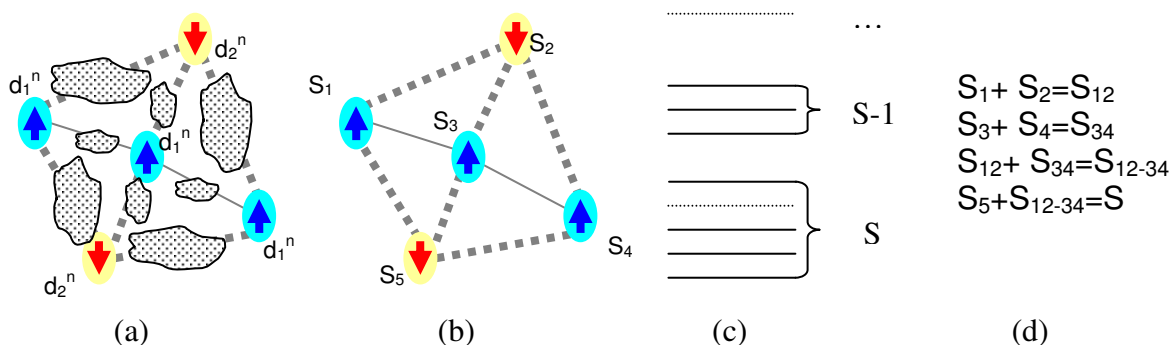
## II

### Single Molecule Magnets (SMMs)

Single-molecule magnets (SMMs) represent a special class of the magnetic nanoclusters. They show some physical effects that are not usual for conventional magnetic materials: single molecule hysteresis and quantum tunneling of magnetization. Whether or not these quantum effects will be observed depends on the coupling scheme for a given cluster and on how the resulting spin state can be influenced by external magnetic field. In this chapter we review the main properties of SMMs and show why they attract the interest of the scientific community.

#### 2.1 Prerequisites for SMM behaviour

Molecular nanomagnets are molecules consisting of metal ions of the same or different valence linked by organic ligands (Figure 2.1a). The spin ground state of each metal ion constituting the molecule is deduced from the analysis of its electron configuration in the surrounding ligand field of certain symmetry (Figure 2.1b). The single-ion spins are so strongly bound together by exchange interactions that the magnetic molecule can be

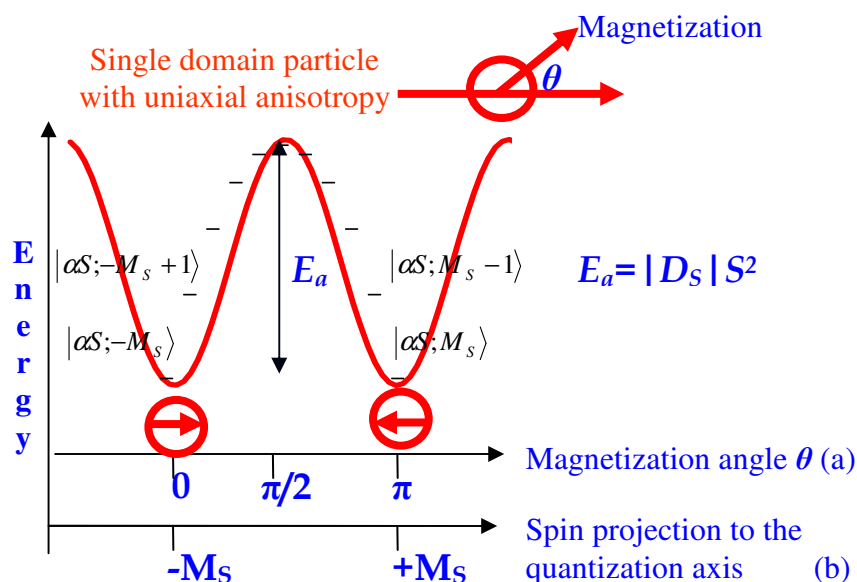


**Figure 2.1** Molecular magnets are molecules consisting of a finite number of metal ions with the same or different valence (e.g.  $d_1^n$  and  $d_2^n$ ) linked usually by organic ligands depicted here as dotted areas (a). The spin ground state of each ion  $S_i$  ( $i=1, \dots, 5$ ) is deduced from the analysis of its electron configuration in the surrounding ligand field of certain symmetry (b). Due to exchange interactions between these ions the energy spectrum of the molecule consists of many multiplets with the definite total spin values  $S, S-1$  etc (c). The allowed total spin value of each multiplet is derived by coupling of the single ion spins according to the rule of addition of angular momenta (d).

considered as the unity (cluster) possessing a single macrospin. The energy spectrum of magnetic nanoclusters originates from competing exchange interactions in a many-spin system. It consists of more than one multiplet with definite total spin values ( $S, S-1, \dots$ ) as it is shown in Figure 2.1c. The total spin value of each multiplet is derived from the coupling of the single ion spins according to the rule of addition of angular momenta.

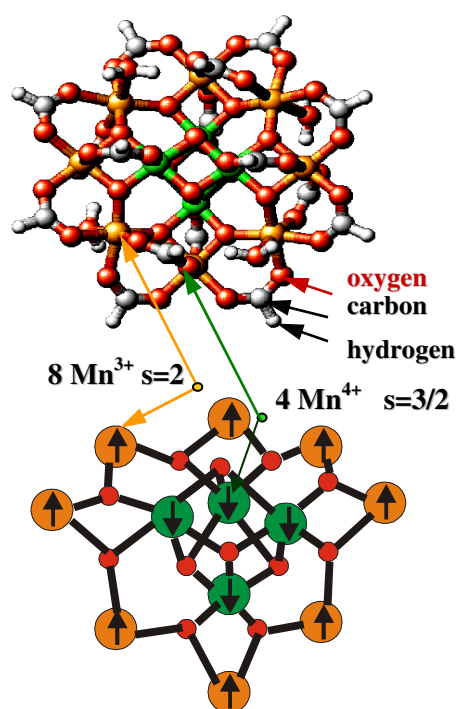
Each multiplet consists of the states  $|\alpha S; M_S\rangle$ , where  $M_S = -S, \dots, +S$  are the allowed spin projections for the total spin value  $S$  and  $\alpha$  indicates additional quantum numbers arising from intermediate spins. Figure 2.1d presents one of the possible coupling schemes valid for a pentanuclear cluster with  $\alpha = S_{12}, S_{34}, S_{12-34}$  that was chosen here exclusively as an example. As already mentioned in the introduction, *high ground-state spin values* ( $S \geq 1$ ) are typical for molecular nanomagnets. In addition, the strong exchange approximation is successfully applied to describe their energetics. There it assumed that isotropic (Heisenberg) exchange interactions between spin centres dominate over interactions of non-Heisenberg type. For that reason the complete spectrum contains well separated manifolds. Each magnetic molecule behaves like a single spin, since only the ground-state multiplet is relevant at low temperatures. Finally, the intramolecular interactions are usually much stronger than interactions between molecules. The whole system in solid state can be imagined as an array of weakly interacting spin clusters.

*The high negative anisotropy values of uniaxial type are a prerequisite for single-molecule magnet behavior of a spin cluster.* That means that the resulting magnetic moment of the cluster maintains its spatial orientation and cannot be easily rotated due to thermal fluctuations. Magnetic anisotropy reflects in general the energy difference for different possible orientations of the spin with respect to the crystallographic axes of molecule. In the special case of uniaxial anisotropy, the energy depends only on the angle with a certain axis, irrespective of the directions of other two. This selected axis is usually called the unique axis of the molecule. For negative (positive) axial zero-field splitting parameter (see Chapter 4.2) it is called the easy (hard) axis. The plane perpendicular to the easy (hard) axis is denoted as the hard (easy) plane in presence of uniaxial anisotropy. It is convenient to choose the molecular coordinate system so, that the cluster z-axis coincides with the easy axis. In this case the angle of the magnetic moment with the easy axis is characterized by the spin projections  $M_S$  on the quantization axis of the molecule. Thus,



**Figure 2.2** Energy spectrum of a single-domain particle with uniaxial anisotropy depends only on the angle of the magnetic moment with the easy axis, i.e. the magnetization angle (Fig. 2a). The angle dependence is equivalent to the energies of the spin projections  $M_S$  allowed for the total spin value  $S$  of the ground spin multiplet (Fig. 2b). The zero-field split ground manifold of the magnetic cluster might be viewed as a double well potential energy curve. The height of the anisotropy barrier between the  $M_S = \pm S$  states is given by  $E_a = |D_S| S^2$

the angle dependence of the energy spectrum becomes equivalent to the dependence on the allowed spin projections. The zero-field split ground multiplet of the spin cluster with the single-magnet behavior might be viewed as a double well potential energy curve, (Figure 2.2). In fact, the high total spin value  $S$  of the ground multiplet gives rise to the manifold consisting of  $2S+1$  levels. The levels with  $M_S=\pm S$  are lowest in energy due to uniaxial anisotropy characterized by the negative axial zero field splitting parameter  $D_S$  (s. also Section 4.2). The activation energy of the anisotropic barrier between the spin states of opposite orientations is the direct function of the  $D_S$  and the ground state spin value  $S$ . Thus, the combination of the high ground-state spin and the negative uniaxial anisotropy produces the energy spectrum characteristic for the tunneling system.



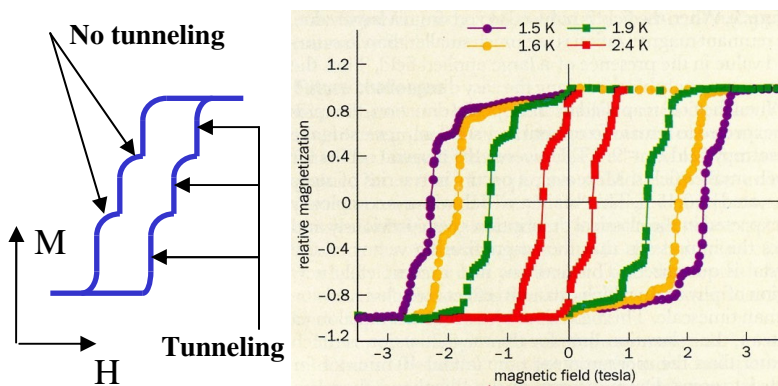
**Figure 2.3** The molecular structure (top) and the cluster (bottom) of  $Mn_{12}Ac$ . Twelve Mn-ions with different valence (eight Mn(III) and four Mn(IV)) are coupled by oxygen bridges via the superexchange interactions leading to formation of the ground multiplet with the total spin value  $S=10$

A well known example of single-molecule magnets is the  $Mn_{12}Ac=[Mn_{12}O_{12}(O_2CCH_3)_{16}(H_2O)]\cdot 4H_2O\cdot 2HO_2CCH_3$  molecule shown in the Figure 2.3 [Sessoli, 2003]. It consists of two spin sublattices originating from the groups of eight Mn(III)- and four Mn(IV) ions which have the spin ground terms with  $S=2$  and  $S=3/2$ , respectively. They are bound together ferrimagnetically through oxygen bridges via the superexchange leading to the formation of the cluster with ground state spin value  $S=10$  possessing  $S_4$  symmetry with the  $S_4$  axis chosen as the quantization axis. We illustrate the properties of single-molecule magnets in this chapter using the example of  $Mn_{12}Ac$ .

## 2.2 Magnetization Quantum Tunneling

The reason why single-molecule magnets have received so much attention recently is that they show some physical effects that are not usual for conventional magnetic materials: hysteresis of a purely molecular origin and quantum tunneling of magnetization. In many ways single-molecule magnets behave like classical magnets in that they exhibit

magnetic hysteresis at low temperature. At the same time these hysteresis loops show evidence of quantum tunneling in the form of steps at regular intervals of magnetic field, (Figure 2.4). Whether or not quantum mechanical tunneling will be observed, depends on



**Figure 2.4** Molecular magnets exhibit magnetic hysteresis at low temperature (right: hysteresis loops of a monocrystalline grain of  $\text{Mn}_{12}\text{Ac}$  measured in longitudinal field at different temperatures, from [Barbara, 2001]) At the same time these hysteresis loops show evidence of quantum tunneling in the form of steps at regular intervals of magnetic field (left).

the properties of the states  $|\alpha S; M_S\rangle$  constituting the ground multiplet of the cluster. Thus, the wave functions are strictly localized in the left ( $|\alpha S; M_S\rangle$ , where  $M_S$  is negative) or in the right ( $|\alpha S; M_S\rangle$ , where  $M_S$  is positive) well of the barrier for the systems with axial crystal field. Some perturbation (e.g. a transverse crystal field and/or an external magnetic field) can destroy the stable/localized states and create admixed combinations. In other words, the perturbed wave function contains contributions of many unperturbed states  $|\alpha S; M_S\rangle$  of approximately the same magnitude. Under certain conditions the resulting wave functions become symmetric/antisymmetric superposition of the stable states ( $\frac{1}{\sqrt{2}}(|\alpha S; -M_S\rangle \pm |\alpha S; +M_S\rangle)$ , with  $M_S > 0$ ). They will be distributed between two wells with equal probabilities and resonant tunneling can take place.

The single-molecule magnets exhibit only two stable states of magnetization, which can represent digital bits. Each cluster behaves like a qubit. The switching of the states can be produced by application of the external magnetic field that induces the resonant spin tunneling. Spin clusters with single-molecule magnet behavior are magnetically bistable and therefore of high technological interest for implementation of quantum computational operations [Leuenberger, 2003 and references therein]. In addition single-molecule magnets are systems where permanent magnetization and magnetic hysteresis can be achieved not through a three-dimensional magnetic ordering, but as a purely one-molecule phenomenon. The strong magnetic coupling inside of the cluster and the high magnetic uniaxial anisotropy are responsible for the fact that the resulting spin of the cluster cannot be easily rotated due to thermal fluctuations. Therefore SMMs are very promising for application in magnetic storage devices. If one molecule can store one bit of information,

then a much higher information storage density can be achieved in comparison to the present-day storage media with microdomains [Blügel, 2005 and references therein].

Studies on single-molecule magnets are also interesting from a fundamental scientific point of view. They provide a better understanding of phenomena occurring on the mesoscopic scale: at the boundary between classical and quantum physics [Wernsdorfer, 2001]. At macroscopic sizes, a magnetic system is described by magnetic domains that are separated by domain walls. Here magnetization reversal occurs via nucleation, propagation and annihilation of domain walls. For system sizes well below the domain wall width, one must take into account explicitly the magnetic moments (spins) and their coupling. SMMs are the systems, which size is of the order of magnitude of the domain wall width, where the magnetization remains in a so called single-domain state. The mesoscopic scale corresponds to the sizes of nanometers; for this reason the prefix “nano” is often used relative to the single-molecule magnets: magnetic nanoclusters or molecular nanomagnets.

Magnetization quantum tunneling in single-molecule magnets is fundamentally different from the particle-like picture of tunneling widespread in nature. There are many physical and chemical systems that can be described by generalized coordinates associated with an effective potential energy function with two separate minima at roughly the same energy [Esquinazi, 1998], [Weiss, 1993]. Some of them are listed below.

- **Amorphous materials (and glasses).** Here the tunneling systems are the atoms, small groups of atoms or more complicated clusters that have the possibility to “move” between two similar energy states separated by a barrier. Experimental observables like thermal conductivity and specific heat are a convenient source of information about their low temperature anomalies.

- The tunneling of **light particles** like small polarons, hydrogen isotopes or muons in solids has been also studied since several decades. While earlier work was mainly concerned with the significance of polaron effects, more recent attention has focused on the singular transient response of the fermionic environment in metals at lower temperatures. Here the particle can tunnel either randomly or coherently between particular interstitial states.

- **Electron transfer reactions** are ubiquitous in chemical and biological systems. In its simplest form, an electron localized at its donor site is tunneling to the acceptor site.

- **Josephson junction systems.** The phenomenon of incoherent tunneling of a macroscopic variable has become most clearly visible in superconducting quantum interference devices (SQUIDs), where the phase difference of the Cooper pair wave function across the Josephson junction plays the role of the tunneling coordinate.

For all these systems, tunneling follows in straightforward manner from basic laws of quantum mechanics: these systems have a particle-like Hamiltonian and the tunneling is driven by the kinetic energy term of the Hamiltonian [Friedman, 2003]:  $H = \frac{P^2}{2M}$ . The

position of the center of mass of a particle or the flux in SQUID can be chosen as the generalized coordinates in the particle-like system. The only way to “turn off” the tunneling in these systems is to make their mass as large as possible. But, doing so, the density of levels becomes quasicontinuous and the system becomes classical.

In molecular magnets the tunneling occurs in angular space, where the magnetization vector rotates from one potential minimum to another: the generalized coordinate is the angle of the magnetic moment with the quantization axis as it is shown in Figure 2.2. Spin systems have no explicit kinetic degrees of freedom. The simplest Hamiltonian for a spin system with uniaxial anisotropy takes the form:  $H = -DS_z^2 - g\mu_B S_z H_z + H'$ . Here the first term describes an axial anisotropy of the system; the second term is the Zeeman coupling between the spin and a magnetic field.  $H'$  contains all terms that do not commute with  $\hat{S}_z$ . Unlike in the “particle” case, it is possible for a spin to retain quantum properties (having well separated energy levels) and have  $H' \rightarrow 0$ . In this limit,  $\hat{S}_z$  is a conserved quantity and no transitions between eigenstates of  $\hat{S}_z$  are allowed. Tunneling can be “turned off” without the spin system becoming classical. The driving force behind the tunneling in spin systems is associated with the term  $H'$ , which can include an external magnetic field or transverse anisotropy or something else. Because different mechanisms have different symmetries, it is possible to determine the dominant tunneling mechanisms by looking for tunneling selection rules associated with the symmetry.

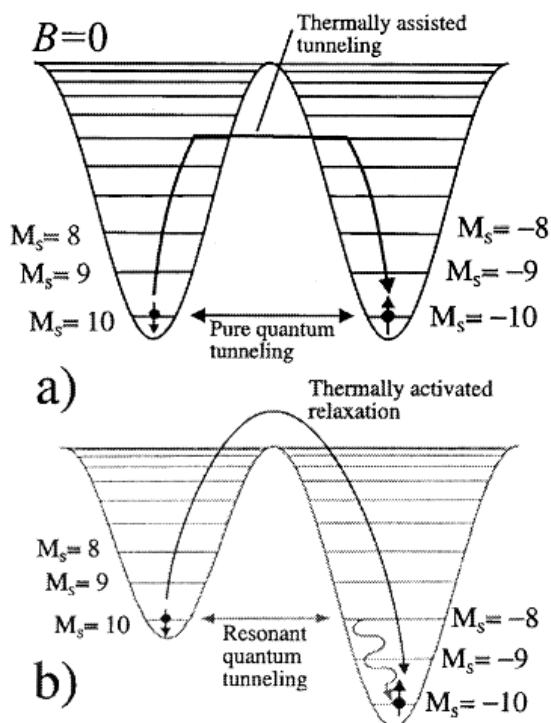
Tunneling is greatly modified by coupling to the environment. If we consider a system, which is completely isolated from environment, then the tunneling does not need additional energy, because it occurs between levels of the same energy. The coupling with the environment leads to the loss of energy by tunneling. The interactions with the environment will tend to localize the spin; it makes one well more attractive as another. In other words, the spin motion between the two wells will be not equally damped. Thus, environmental effects may suppress the tunneling. Therefore the studies of relaxation processes in molecular magnets are the focus of intensive research (s. e.g. [Garanin, 1997], [Leuenberger, 2000-1], [Leuenberger, 2003], [Prokof'ev, 1998], [Prokof'ev, 2000], [Pohjola, 2000]). Notably, molecular magnets can relax via a hybrid process that mixes quantum tunneling with thermal relaxation. This is a surprising result, since many of original theoretical works on tunneling in macroscopic systems predicted, that as temperature is raised, there should be a crossover between pure quantum relaxation and pure thermal (over barrier) relaxation. There are several regimes of magnetization quantum tunneling in single-molecule magnets. They are listed below and illustrated in Figure 2.5.

- **Thermally activated tunneling** is possible on upper paths. For the excited levels the barrier is transparent: tunneling is so fast that it short-circuits the top of the barrier. Thus, thermally activated tunneling is equivalent to the effective reduction of the barrier. This kind of tunneling is sometimes referred to as the phonon-assisted mechanism, because the phonons may be absorbed to populate the higher states involved in the tunneling process.

- **Thermally assisted tunneling** happens between the intermediate paths. This mechanism is treated in the theoretical articles, where the spin levels are considered as continuous spectrum.

- **Resonant quantum tunneling** is transition from one metastable minimum to another side of the barrier followed by the relaxation to the ground state that is accompanied with phonon emission.

- **Pure or ground state tunneling** is the tunneling between the lowest lying states (with  $M_S=+S$  and  $M_S=-S$ ) of the ground multiplet in zero-applied magnetic field that is not followed by phonon emission.



**Figure 2.5** Schematic double potential well for a spin  $S=10$  system in zero (a) and non-zero (b) applied magnetic field (from [Hill, 2002]). Single molecular magnets can relax via a hybrid process that mixes quantum tunneling with thermal relaxation. There are different kinds of tunneling regimes like thermally activated-, thermally assisted-, resonant- and ground-state tunneling.

All the above processes are combinations of two fundamentally different ways that the transitions between various  $|\alpha S; M_S\rangle$  states can occur: absorption or emission of phonons or tunneling between spin levels brought in resonance. Which of these two processes: tunneling from  $|\alpha S; M_S\rangle$  or activation to  $|\alpha S; M_S - 1\rangle$  dominates the relaxation depends sensitively on temperature. The master-equation approach is a common tool in the studies of relaxation processes and thermally assisted tunneling in single-molecule magnets but it is beyond the scope of this PhD-thesis.

### 2.3 $Mn_{12}Ac$ as an illustrative example of SMMs

There are different experimental techniques used to study the properties of molecular nanomagnets (and in particular of  $Mn_{12}Ac$  chosen here as an example) like Electron Paramagnetic Resonance (EPR), Nuclear Magnetic Resonance (NMR), high field magnetic torque measurements, Inelastic Neutron Scattering (INS) etc. The experimental data on the  $Mn_{12}Ac$  were satisfactorily explained in terms of the effective single-spin

Hamiltonian typical for the  $S_4$  symmetry, which includes the Stevens operators with  $k=0$  and  $k=4$  (for details see Chapter 4). These operators are shown below.

$$O_4^0 = 35S_z^4 - 30S(S+1)S_z^2 + 25S_z^2 - 6S(S+1) + 3S^2(S+1)^2$$

$$O_4^4 = \frac{1}{2}(S_+^4 + S_-^4),$$

here  $S$  is the total spin value of the ground multiplet ( $S=10$  for the  $Mn_{12}Ac$  molecule),  $S_z$  is the z-component of the spin operator,  $S_+$  and  $S_-$  are raising and lowering operators (for details see Chapter 3).

Finally, the following single-spin Hamiltonian was defined to describe the experimental observables on  $Mn_{12}Ac$  molecule:

$$\hat{H} = D \left[ S_z^2 - \frac{S}{3}(S+1) \right] + B_4^0 O_4^0 + B_4^4 O_4^4 - g\mu_B (\vec{S} \cdot \vec{B}) \quad (2.3-1)$$

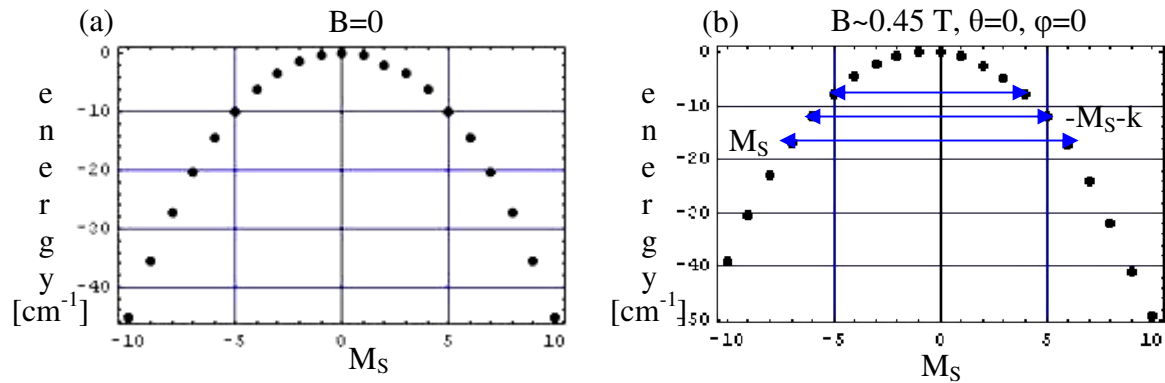
where  $D$  is the axial ZFS parameter,  $B_4^0$  and  $B_4^4$  are the single-spin Hamiltonian parameters of the 4<sup>th</sup> order (see Chapter 4). The last term of Eq. (2.3-1) indicates the Zeeman interaction of the cluster spin  $\vec{S}$  and the external static magnetic field  $\vec{B}$ . The first three terms of Eq. (2.3-1) completely characterize the zero-field split ground multiplet, i.e. the first two terms represent the axial cluster anisotropy; the third one reflects the fourth order transverse magnetic anisotropy. The zero-field splitting parameters extracted from various experiments are summarized in Table 2.1.

**Table 2.1:** ZFS parameters for  $Mn_{12}Ac$  in  $cm^{-1}$

<b>D</b>	<b><math>B_4^0</math></b>	<b><math>B_4^4</math></b>	<b>Experimental technique</b>	<b>Ref.</b>
-0.46(2)	$-2.2(2) \cdot 10^{-5}$	$\pm 4(1) \cdot 10^{-5}$	High-frequency EPR	[Barra, 1997]
-0.457(2)	$-2.33(4) \cdot 10^{-5}$	$\pm 3.0(5) \cdot 10^{-5}$	INS	[Mirebeau, 1999]
-0.47	$-1.5 \cdot 10^{-5}$	$-8.7 \cdot 10^{-5}$	Multifrequency EPR on single crystals	[Hill, 1998]

They provide understanding of the steps in hysteresis loops (see Figure 2.4) at regular intervals of the magnetic field ( $\sim 0.45$  T) as the result of magnetization quantum tunneling. Thus, Figure 2.6a shows the energy spectrum of the ground multiplet ( $S=10$ ) calculated as the function of the spin-projection in zero-applied magnetic field for the ZFS-parameters listed in the Table 2.1.





**Figure 2.6** The energy spectrum of the ground spin multiplet of Mn<sub>12</sub>Ac is shown for zero (a) and the 1<sup>st</sup> resonance longitudinal magnetic field (b). The calculations were performed by using the single-spin Hamiltonian Eq. (2.3-1) and the zero-field splitting parameters listed in the Table 2.1. *k* is the number of the resonance.

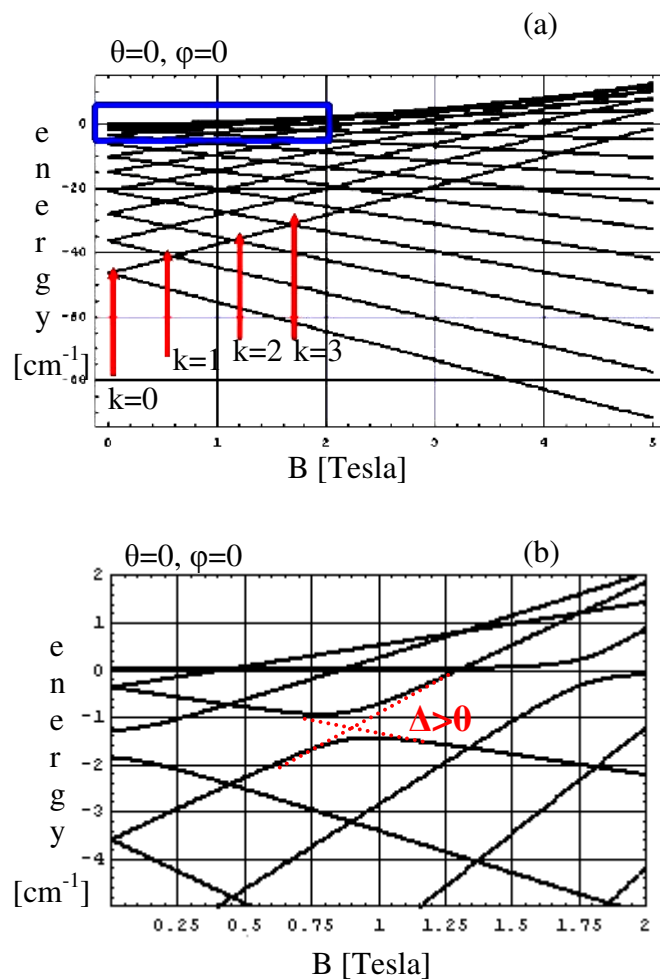
The axial part of the Hamiltonian (Formula 2.3-1)  $\hat{H}^{axial} = D \left[ S_z^2 - \frac{S}{3}(S+1) \right] + B_4^0 O_4^0$  gives rise to the doubly-degenerate energy levels  $\Delta = |E_1 - E_2| = 0$ , where  $E_1 = E(\alpha S; +M_s)$  and  $E_2 = E(\alpha S; -M_s)$  with the wave functions strictly localized in the left and right wells of the barrier:  $\psi_1 = |\alpha S; -M_s\rangle$ ,  $\psi_2 = |\alpha S; +M_s\rangle$ ,  $M_s > 0$ . The transverse term  $\hat{H}^{transverse} = B_4^4 O_4^4$  acting in addition to the axial one removes the degeneracy  $\Delta = |E_1 - E_2| \neq 0$ <sup>1</sup>. The eigenstates become symmetric and antisymmetric combinations of the initial states:  $\psi_1 = \frac{1}{\sqrt{2}} (|\alpha S; +M_s\rangle + |\alpha S; -M_s\rangle)$  and  $\psi_2 = \frac{1}{\sqrt{2}} (|\alpha S; +M_s\rangle - |\alpha S; -M_s\rangle)$ ,  $M_s > 0$ . The condition for occurrence of magnetization quantum tunneling is fulfilled in zero external magnetic field. Inclusion of Zeeman term changes the eigensystem of the single-spin Hamiltonian Eq. (2.3-1) completely. The tunneling conditions can be lost and created again for definite values and orientations of the applied magnetic field. The space orientation of the external magnetic field is characterized by the spherical coordinates, i.e. angles  $\theta$  and  $\varphi$  with the easy axis of the molecule. Application of a longitudinal magnetic field ( $\theta=0$ ,  $\varphi=0$ ) brings the system out of the resonance, since it shifts the left-well levels up and the right-well ones down (see Figure 2.6b). The tunneling conditions will be restored at the resonance values of the longitudinal magnetic field  $B_k = k \frac{D}{g\mu_B}$  ( $k=0, \pm 1, \pm 2, \dots$  is the number of the resonance), which puts pairs of levels in coincidence ( $+M_s$  and  $+M_s-k$ ).

<sup>1</sup>  $\Delta \sim 10^{-14} \dots 10^{-11} \text{ cm}^{-1}$  for the lowest lying states, i.e. with large  $M_s$

The Figure 2.7 illustrates the behaviour of the ground multiplet ( $S=10$ ) of  $Mn_{12}Ac$  in the external fields applied along the easy axis of the molecule. The inset shows the avoided level crossing denoted in literature as the tunnel splitting. The tunneling probability between the levels brought in resonance depends directly on the tunnel splitting, as follows from the Landau-Zener-Stückelberg theory (for details see [Sessoli, 2003] and references therein). There the expression for the tunnel probability is given

by  $P_{M_s, M_s'} = 1 - \exp\left(-const \frac{\Delta_{M_s, M_s'}^2}{|M_s - M_s'| \frac{dB}{dt}}\right)$ . Here  $\Delta_{M_s, M_s'}$  is the tunnel splitting between

the levels  $M_s, M_s'$ ,  $\frac{dB}{dt}$  is the constant field sweeping frequency. Therefore, if the tunnel splitting is zero, then  $P_{M_s, M_s'} = 0$  and no tunneling occurs. Non-zero tunnel splittings allow tunneling.

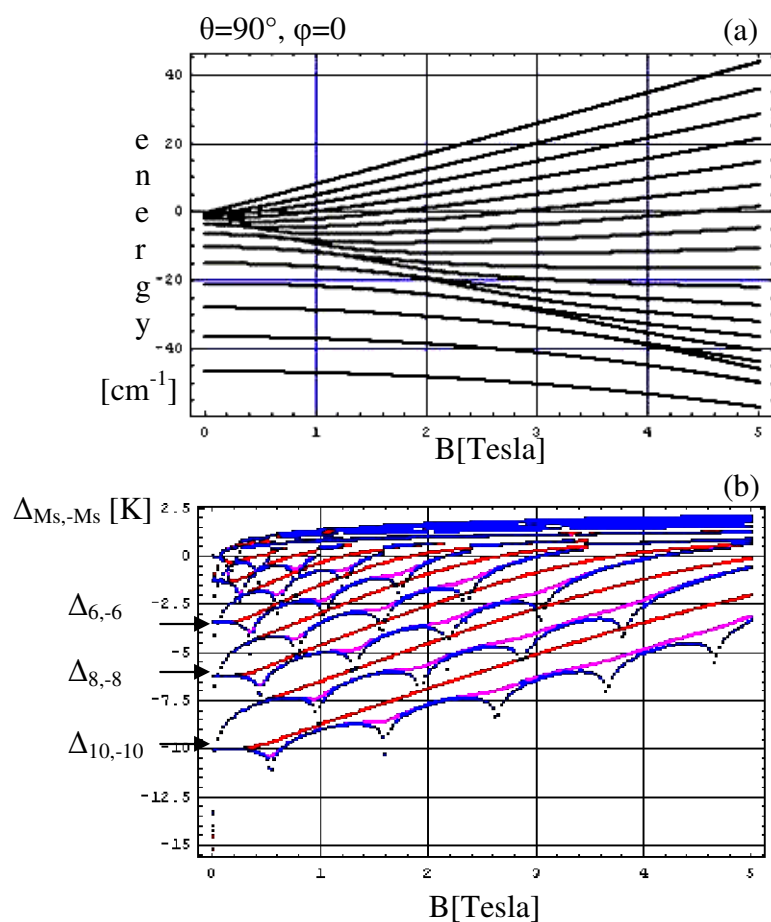


**Figure 2.7** The figure 2.7a shows the energy levels of the ground spin multiplet of  $\text{Mn}_{12}\text{Ac}$  in longitudinal magnetic fields. The tunneling conditions are restored at resonance values of the applied magnetic field: 0T (zero-resonance:  $k=0$ ), 0.5 T (the first resonance:  $k=1$ ), about 1T (the second resonance:  $k=2$ ) etc. It explains the presence of the steps in hysteresis loops at regular intervals of magnetic field shown in Figure 2.3. The inset 2.7b illustrates the fact that the transverse crystal field acting together with the external magnetic field creates avoided level crossings  $\Delta$ , i.e. non-zero tunnel splittings also in zero external magnetic field.

Very interesting effects can be observed experimentally in transverse magnetic fields. It turns out, that the tunnel splitting depends on the Haldane topological (Berry-) phase originating from the quantum interference of possible tunnel paths (around the hard axis) between two potential minima ( $M_S=+S$  and  $M_S=-S$ ). For detailed explanations we refer the reader to [Tupitsyn, 2002],[Leuenberger, 2000-2],[Sessoli, 2003], [Blügel, 2005], since the path-integral formalism is outside of the scope of this thesis. The topological phase can be changed by an external magnetic field causing oscillations of the tunnel splittings<sup>2</sup>. The calculations show (Figure 2.8b and Figure 2.9) that the tunnel splitting has a non-zero value in absence of the external magnetic field ( $B=0$  T) only for the pairs of levels with even  $M_s$ , i.e.  $M_s=10,8,6$  etc. The tunnel splittings of the levels with odd  $M_s$  are zero in zero-applied magnetic field. This result illustrates a spin parity effect, which forbids the spin tunneling between pairs of odd levels in zero-field. In terms of the coherent spin-state path integral model [Leuenberger, 2000-2] these oscillations and their associated spin-parity effects can be elucidated as a result of interfering Berry phases, produced by spin-tunneling paths of opposite winding, which are modified by external transverse magnetic field. Thus, suppression of tunnel splittings ( $\Delta_{M_s,-M_s} \rightarrow 0$ ) arises as destructive interference between the paths of opposite winding at definite values of the transverse magnetic field

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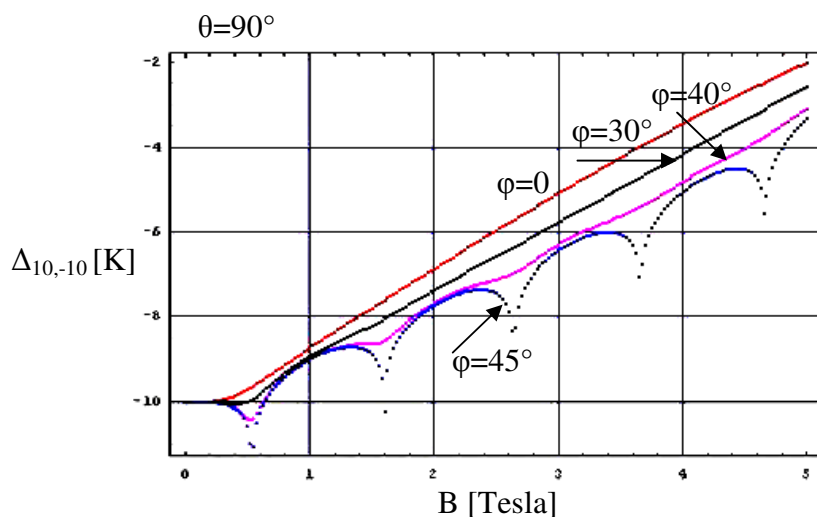
<sup>2</sup> It was even experimentally observed in a system similar to  $Mn_{12}Ac$ , namely  $Fe_8$  cluster [Sessoli, 2003].

**Figure 2.8**

Energy levels of the spin ground multiplet of  $Mn_{12}Ac$  calculated as function of transverse magnetic field applied along the hard axis of the molecule:  $\theta=90^\circ$ ,  $\varphi=0$  (s. Figure 2.8a).

Figure 2.8b shows the tunnel splittings of  $Mn_{12}Ac$  calculated as function of transverse magnetic field applied under various angles to the hard axis:  $\varphi=0$  (red),  $\varphi=40^\circ$  (magenta),  $\varphi=45^\circ$  (blue).

Due to the fourth order transverse anisotropy (term  $O_4^4$ ) in the single-spin Hamiltonian Eq. (2.3-1), the  $Mn_{12}Ac$  molecule has one easy axis and two intermediate axes. The easy axis coincides with the quantization axis (z) along  $S_4$ , the intermediate ones lie in the hard plane (xy) along the  $\varphi=\pm 45^\circ$  direction. Thus, the amplitude of the oscillations becomes smaller and vanishes at  $\varphi=0^\circ$  by application of the transverse magnetic field at various azimuth angles  $\varphi$  (see Figure 2.9).



**Figure 2.9**  
Tunnel splittings as function of transverse magnetic field calculated for the lowest levels of the ground multiplet ( $\Delta_{10,-10}$ ) of  $Mn_{12}Ac$  for different azimuth angles  $\varphi$ .

The properties of single molecular magnets illustrated above on the example of  $Mn_{12}Ac$  give rise to a very rich spectrum of research. Single molecule magnets represent the unique systems to study quantum effects on mesoscopic level. They can also serve as model systems to study exchange interactions. Finally, they are of high interest for possible technological applications in quantum computers and information storage devices. Nevertheless, all these studies have one and the same starting point: the magnetic anisotropy barrier. It must be as high as possible in order to use the SMM complexes in practice at normal temperatures. Therefore, quantitative characterisation of the cluster magnetic anisotropy (in terms of the zero-field splitting parameters) and understanding of its origin are the tasks of importance for the synthesis of new compounds with SMM properties.

## 2.4 Symmetry and energy spectrum.

We present in this PhD-thesis the mathematical formalism and the computer code developed for the ZFS studies on molecular nanomagnets by Frequency-Domain Magnetic Resonance Spectroscopy (FDMRS) and for those spectral simulations in terms of the single-spin Hamiltonian approach. The final part (Chapter 8) is devoted to investigation of the physical mechanisms responsible for the formation of magnetic anisotropy of a tetrameric Ni(II) cluster. For this aim the generalized effective spin Hamiltonian model was applied and developed, which is usually combined with the Irreducible Tensor Operators (ITOs) technique. The ITO method of calculations provides unique computational advantages, since it allows evaluation of Hamiltonian matrix elements

*without* construction of many-particle wave functions of the system of interacting ions. Thus, all results of this PhD-thesis were obtained by using of the spin Hamiltonian concept.

Like any quantum mechanical operator, the spin Hamiltonian can not be discussed apart of the symmetry considerations. Like any quantum-mechanical system, the spin nanoclusters are described by a certain symmetry group or their combination [Hamermesh, 1989] [Inui, 1990]. As already mentioned, the mechanism of the magnetization quantum tunneling in SMMs is associated with the symmetry, which is reflected in the model spin Hamiltonian. By definition it contains the angular momentum operators in their mutual interactions. From this point of view, the energy spectrum can be characterized by irreducible representations of the full rotation group. Otherwise, complete symmetry of the molecule given by point group predefines special properties of the Hamiltonian under finite rotations. When dealing with exchange interactions in high-nuclearity spin clusters we have to keep in mind the fact that electrons are indistinguishable. Thus, the corresponding Hamiltonian must be invariant to any permutation (exchange) of the electron coordinates and therefore it must incorporate the properties of symmetric group. Various magnetic systems may have different physical properties, since they are described by different combinations of symmetry groups. Thereby the group representation theory is applied to classify the energy levels (both the calculated and spectroscopically determined), their degeneracy and how they will be changed when symmetry is reduced.

In order to clarify the last paragraph we recall the classification of symmetry groups given in [Inui, 1990]. First of all let us consider a particle-like Hamiltonian  $\hat{H} = \frac{p^2}{2m} + V(r)$ , of a particle with mass  $m$  in a spherically symmetric potential  $V(r)$ . If this Hamiltonian is invariant with respect to all rotations about the origin, then the set of such rotations is called the **rotation group**. The quantum mechanical equivalent of the momentum operator is expressed through the total angular momentum operator, which generates transformations of quantum-mechanical system under infinitesimal rotations. Therefore the rotation group describes wave functions of electrons for a certain configuration (for given values of spin- and orbital angular momentum) in the spherically symmetric Coulomb potential of the crystal field.

The potential experienced by an electron in a molecule has symmetry resulting from the atomic arrangement. The Hamiltonian is then invariant with respect to those symmetry operations that bring the atomic arrangement into coincidence with itself. The set of these operations is called a **point group**. The point groups are necessary to characterize the electronic states of molecules and molecular vibrations that can be experimentally studied by infrared absorption and Raman scattering.

Electron wave functions (Bloch functions) extended in a perfect crystal should feel a periodic potential. The Hamiltonian is then invariant with respect to all lattice translations, which form a **translation (space) group**. The space groups provide us understanding of physical nature of electronic states in crystals, lattice vibrations, scattering of an electron by lattice vibrations, interband optical transitions, excitons in molecular crystals.

If the system described by a space group is additionally invariant with respect to time reversal then a nonunitary group describes it. A well known example of a nonunitary group is a magnetic space group. By considering this group, the symmetry of magnons

(spin waves) and excitons in magnetic compounds and selection rules for their excitation can be treated in the same way as excitons in molecular crystals. Representation theory of space groups plays also an essential role in the Landau's theory of phase transitions.

If we consider a system of  $n$  electrons then we have to deal with the symmetric group. Since  $n$  electrons are identical particles, the Hamiltonian is invariant to any permutation of the  $n$  electron coordinates. The set of these permutations is called the **symmetric group**. The theory of the symmetric groups is important in understanding the wave functions of many-electron atoms with a definite magnitude of the spin. The spin permutational symmetry is used to classify the exchange coupled multiplets in molecular nanoclusters.

Molecular nanomagnets have no long-range magnetic ordering. For that reason the translation (space) groups are completely excluded from our considerations. Energy spectrum and the corresponding spin Hamiltonian of any many-nuclearity cluster are characterized by *rotation*-, *point*- and *symmetric* groups.

## 2.5 Outline of the PhD-thesis

This thesis consists of two parts. The aim of the first one (Chapters 3-5) is to prepare the reader for understanding the results of this works summarized in the second part (Chapters 6-8). Our goal is to present various methods of analysis and interpretation of spectroscopic data on molecular nanomagnets. An important result of this work is the developed computer code for simulation of Frequency-Domain-Magnetic Resonance Spectra (FDMRS), i.e. resonance absorption of microwave radiation by spin angular momenta of ions constituting the molecular magnet. Therefore the main results of the quantum theory of angular momenta will be reviewed in the Chapter 3. There we make a brief overview of the formal rules for manipulating the angular momentum operators, because they form the kernel of the effective spin Hamiltonian model. These rules were explicitly integrated into the program for FDMRS spectral simulations. We describe also the various ways of combining two (or more than two) angular momentum eigenstates to form a product state that is also an angular momentum eigenstate. We introduce the Clebsch-Gordan coefficients,  $3j$ -,  $6j$ - and  $9j$  symbols. Finally we present the concept of Irreducible Tensor Operators (ITOs) and the Wigner-Eckart theorem. They will be used for the explanation of magnetic anisotropy of a tetrameric Ni(II) cluster.

The spin Hamiltonian will be introduced in Section 4.1. There we consider two complementary kinds of spin Hamiltonians. We start from the crystal field potential, since the metal ions constituting molecular magnet can not be considered independent of their ligand surrounding. This ligand environment gives rise to an electric field of certain symmetry that affects the energy spectrum of the cluster. The spectral terms of the complex will be split, shifted and admixed in a manner that depends on the symmetry of the crystal field and on the total values of angular momentum for a given manifold. Therefore the main results of the semiempirical crystal field theory will be summarized in Section 4.2, where we obtain the single-spin Hamiltonian model expressed by the equivalent (or Stevens) operators. Main aspects of generalized effective spin Hamiltonian (gesH) model will be considered in the Section 4.3 and applied to practical calculations in the Chapter 8. GesH model is an instrument used for modelling of exchange interactions in molecular magnets. Since the group representation theory enables a-priori selection of



the dominant terms of the generalized effective spin Hamiltonian, we recall the important results of the group theory in Chapter 5.

Chapter 6 describes the logic, the mathematical formalism and the data flow of the developed program for FDMRS spectral simulations and determination of zero-field splitting parameters by using the single-spin Hamiltonian approach.

Chapter 7 contains the results of application of this program by ZFS-studies on several molecular nanomagnets: the one-electron reduced  $\text{Mn}_{12}$ :  $(\text{PPh}_4)[\text{Mn}_{12}\text{O}_{12}(\text{O}_2\text{CEt})_{16}(\text{H}_2\text{O})_4]$ , the  $\text{Mn}_9$ -molecule:  $[\text{Mn}_9\text{O}_7(\text{OAc})_{11}(\text{thme})(\text{py})_3(\text{H}_2\text{O})_2]$ , the molecule  $[\text{Ni}(\text{HIM2-py})_2\text{NO}_3]\text{NO}_3$ , which is a possible building block of single-molecule magnets and the tetrameric  $\text{Ni}_4 = [\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  cluster that does not show the SMM-behavior in spite of the high ground-state spin value and the negative axial magnetic anisotropy.

In Chapter 8 we try to analyze the physical origin of the cluster magnetic anisotropy in terms of the generalized effective spin Hamiltonian approach. The gesH model was applied for the first time to describe the collective action of the single-ion crystal fields and Dzyaloshinskii-Moriya (antisymmetric exchange) interactions expressed in terms of *non-collinear* tensors.

The discussion of our results is given in the conclusion.

# III

## Quantum theory of angular momentum: main results

Magnetic nanoclusters can be imagined as systems of interacting angular momenta, localized on definite sites of the molecular complex. Therefore the quantum theory of angular momentum is of principal importance for the analysis of spectroscopic data (like FDMRS) on molecular nanomagnets. In this chapter we describe the basic concepts used for the estimation of the zero-field splitting parameters and for the analysis of the physical mechanisms lying behind the cluster magnetic anisotropy.

### 3.1 Action of a symmetry group of transformations on a quantum mechanical system

As already discussed in Section 2.4, the energy spectrum of any quantum-mechanical system (including magnetic nanoclusters) reflects its symmetry. In other words, the eigensystem of the Hamiltonian must satisfy the rules valid for the symmetry group describing this quantum-mechanical system. Symmetry can be defined as an essence that the situation possesses the possibility of a change that nevertheless leaves some aspect of the situation unchanged [Rosen, 1995]. The action of symmetry operations on quantum systems induces transformation of the quantum mechanical wave function [Heine, 1960], [Tinkham, 1964], [Jones, 1998], [Tsukerblat, 1994]. Usually the concept of “representation” is introduced to explain the transformation of the wave function of a physical system under rotation. Thereby any wave function can be imagined as a vector in a multi-dimensional space. Thus, the representations of the full rotation group characterize the transformation of wave functions under infinitesimal rotations, while the representations of point groups are used to describe the transformation of the eigensystem under finite rotations. Rotation of a whole quantum mechanical system can be achieved experimentally by e.g. the application of a magnetic field. As we have seen in the example of  $\text{Mn}_{12}\text{Ac}$ , an external magnetic field mixes the strictly localized wave functions; this result can be interpreted as a rotation of the initial state in a multi-dimensional vector space.

Generally speaking, after a rotation  $R$  the system will have a new wave function  $\psi'(\vec{r})$  with  $|\psi'(\vec{r})|^2$  concentrated around the rotated axis:  $\psi'(\vec{r}) = \psi(R^{-1}\vec{r})$ . Here the rotation  $R$  in the physical space has induced a transformation in the vector space of quantum mechanical wave function. That means that any wave function in space can be expressed as a linear combination of a standard set of wave functions (called the basis). The number of wave functions needed to form a basis is infinite. However, in essentially every case of physical interest, the transformations induced by a group of symmetry operations such as rotations, operate not on the complete space of all possible wave functions but rather on finite-

dimensional subspaces, and in these subspaces they constitute a finite-dimensional *representation* of the group.

Molecular nanomagnets are systems of several interacting metal ions with more than one electron localized on the unfilled electronic shells. In the simplest case of a free ion with one electron (a hydrogen atom) the wave function is called an “atomic orbital”. It can be separated into radial and angular parts  $\psi_{nlm}(\vec{r}) = R_{nl}(r)Y_{lm}(\theta, \varphi)$ , where  $n=0,1,2,\dots$  is the principal quantum number,  $l=0,1,2,\dots,n-1$  is the orbital quantum number and  $m=-l,-l+1,\dots,+l$  is a magnetic quantum number. The radial part  $R_{nl}(r)$  depends only on the radius-vector of an electron; the spherical harmonics  $Y_{lm}(\theta, \varphi)$  reflect the dependence on the angular variables. The transformation of an atomic orbital under rotation  $R$  leads to a new wave function. Thus, the transformed wave functions  $\psi'_{nlm}(\vec{r})$  can be expressed as a linear superposition of the old wave functions with the same values of  $n$  and  $l$  but different values of  $m$ :  $\psi'_{nlm}(\vec{r}) = \sum_{m'} \psi_{nlm'}(\vec{r})D_{mm'}^l(R)$ , here the indices  $m$  and  $m'$  are restricted to the range between  $-l$  and  $+l$ . The matrix  $D_{mm'}^l(R)$  is a square matrix of dimensions  $(2l+1)(2l+1)$ . Such matrices are called *rotation matrices* (or Wigner D-matrices for the angular momentum  $l$  and rotation  $R$ ). By considering two successive rotations, they satisfy an important property  $D(R_1R_2) = D(R_1)D(R_2)$  and constitute a *representation of dimension  $(2l+1)$  of the group of 3-dimensional rotations*. The situation becomes more complicated for high-nuclearity clusters, i.e. to the systems of several interacting ions with a few electrons in each open electron shell.

For a general symmetry group of transformations acting on a general quantum mechanical system we have to know how to classify and enumerate the possible representations, how to combine the representations (this is needed for the characterization of the energy spectrum of interacting ions) and how to relate the representations of a subgroup to those of the whole group (it is important in the cases of removing the degeneracy). The explicit expressions for the representations of the rotation group can be constructed from the matrices of angular momentum operator, which describes infinitesimal rotations. Therefore, we give a short summary of the main properties of angular momentum operator (Section 3.2). Then we show how to find the eigenstates of a system of several coupled angular momenta in terms of products of the individual angular momentum eigenstates (Section 3.3). Finally, we discuss how quantum-mechanical operators transform under rotations and how to use these transformation properties for calculation of the operator matrix elements (Section 3.4). The entire Chapter 3 helps the reader to understand the background of modeling the spectroscopic data on molecular nanomagnets.

## 3.2 Total, orbital and spin angular momentum operators.

### 3.2.1 Total angular momentum operator

In quantum mechanics the total angular momentum operator  $\hat{J}$  is defined as an operator, which generates transformations of wave functions and quantum operators under infinitesimal rotations of the coordinate system [Messian, 1965], [Varshalovich, 1988], [Commins, 1996], [Johnson, 2002]. The transformation of an arbitrary wave function  $\Psi$  under rotation of the coordinate system with an infinitesimal angle  $\delta\omega$  around an axis  $\vec{n}$  may be written as

$$\Psi \rightarrow \Psi' = \left(1 - \frac{i}{\hbar} \delta\omega \vec{n} \cdot \hat{J}\right) \Psi, \quad (3.2.1-1)$$

where  $\hat{J}$  is the total angular momentum operator.

Many of the important quantum mechanical properties of the angular momentum operator are consequences of the commutation relations (see any textbook on quantum mechanics) that can be obtained using the definition of the total angular momentum operator and equations for the rotation addition.

Symbolically the commutation relations of the total angular momentum  $\hat{J}$  and its Cartesian components can be written as shown below.

$$\left[\hat{J} \times \hat{J}\right] = i\hat{J} \quad (3.2.1-2)$$

$$\begin{aligned} \left[\hat{J}_i, \hat{J}_k\right] &= i\epsilon_{ikl} \hat{J}_l, \\ \left[\hat{J}^2, \hat{J}_i\right] &= 0 \\ (i, k, l) &= (x, y, z) \end{aligned} \quad (3.2.1-3)$$

where  $\epsilon_{ikl}$  is the so called Levi-Civita tensor, such that

$$\epsilon_{iii} = 0, \quad (i=x, y, z)$$

$$\epsilon_{iik} = \epsilon_{iki} = \epsilon_{kii} = 0 \quad (i, k = x, y, z)$$

$$\epsilon_{xyz} = \epsilon_{yzx} = \epsilon_{zxy} = -\epsilon_{xzy} = -\epsilon_{yxz} = -\epsilon_{zyx} = 1$$

The square of the total angular momentum may be expressed in terms of Cartesian components as shown below

$$\hat{J}^2 = \sum_{i=x, y, z} \hat{J}_i^2 = \hat{J}_x^2 + \hat{J}_y^2 + \hat{J}_z^2.$$

The raising and lowering operators  $\hat{J}_{\pm} = \hat{J}_x \pm i\hat{J}_y$  also commute with the angular momentum squared:  $[\hat{J}^2, \hat{J}_{\pm}] = 0$ . Moreover  $\hat{J}_{\pm}$  satisfy the following commutation relations with  $\hat{J}_z$ :  $[\hat{J}_z, \hat{J}_{\pm}] = \pm\hat{J}_{\pm}$ .

The properties of the operators  $\hat{J}^2$ ,  $\hat{J}_z$ ,  $\hat{J}_{\pm}$  are of practical importance for e.g. the application of quantum theory of angular momentum to the analysis of the magnetic resonance phenomena and many other subjects. Their eigenstates and the eigenvalues are given by:

$$\begin{aligned}
\hat{J}^2 |j, m\rangle &= j(j+1) |j, m\rangle, \\
\hat{J}_z |j, m\rangle &= m |j, m\rangle, \\
\hat{J}_+ |j, m\rangle &= \sqrt{j(j+1) - m(m+1)} |j, m+1\rangle, \\
\hat{J}_- |j, m\rangle &= \sqrt{j(j+1) - m(m-1)} |j, m-1\rangle.
\end{aligned} \tag{3.2.1-3}$$

In the most general case of a system consisting of  $N$  entities with angular momenta  $\hat{j}(1), \hat{j}(2), \dots, \hat{j}(N)$ , the total angular momentum is defined as a vector sum:  $\hat{J} = \sum_{n=1}^N \hat{j}(n)$ . At the same time the total angular momentum operator is the sum of the orbital angular momentum operator  $\hat{L}$  and the spin angular momentum operator  $\hat{S}$ :  $\hat{J} = \hat{L} + \hat{S}$ .

### 3.2.2 Orbital angular momentum operator

Classically, the angular momentum of a particle is the vector product of its position vector  $\vec{r}$  and its momentum vector  $\vec{p}$ :  $\vec{L} = \vec{r} \times \vec{p}$ . The quantum mechanical orbital angular momentum operator is defined in the same way with  $\vec{p}$  replaced by the momentum operator  $\vec{p} \rightarrow -i\nabla$ . Therefore the orbital angular momentum operator is expressed in the coordinate representation as follows:  $\hat{L} = -i[\vec{r} \times \nabla]$ .

The orbital angular momentum operator  $\hat{L}$  satisfies the same commutation relations as the total angular momentum operator (for details see e.g. [Messian, 1965]). It generates transformations of scalar (spinless) wave functions under rotations of the coordinate system. A rotation with an infinitesimal angle  $\delta\omega$  around the axis  $\vec{n}$  transforms the position vector  $\vec{r}$  into  $\vec{r} + \delta\vec{r}$ , with  $\delta\vec{r} = -\delta\omega[\vec{n} \times \vec{r}]$ . The corresponding transformation of the scalar wave function is written as:

$$\Psi(\vec{r}) \rightarrow \Psi(\vec{r} + \delta\vec{r}) = (1 + \delta\vec{r} \cdot \nabla)\Psi(\vec{r}) = \left(1 - \frac{i}{\hbar} \delta\omega \vec{n} \cdot \hat{L}\right)\Psi(\vec{r}).$$

Finally, we mention that the eigenfunctions of the operators  $\hat{L}^2$  and  $\hat{L}_z$  are spherical harmonics  $Y_{lm}(\vartheta, \varphi)$ , which depend on the polar angles  $\vartheta, \varphi$ . We refer the reader to [Varshalovich, 1988] for a detailed review of properties of the orbital momentum operator.

### 3.2.3 Spin angular momentum operator

The spin angular momentum operator  $\hat{S}$  is usually represented by a set of three (since the vector  $\hat{S}$  has three components:  $\hat{S}_x, \hat{S}_y, \hat{S}_z$ ) square  $(2S+1)(2S+1)$  matrices, where  $S$  is the total spin value of the system. These matrices act on the spin functions and satisfy the same commutation relations as the components of total angular momentum. The spin functions  $\chi(\sigma)$  may be treated as functions of the discrete variable  $\sigma$ , which is the spin

projection on the z-axis. The quantity  $|\chi(\sigma)|^2$  gives the probability that the spin projection on the z-axis in a given state is equal  $\sigma$ . The interpretation of  $|\chi(\sigma)|^2$  as the probability for the spin projection on the z-axis to be equal to  $\sigma$  is possible only if  $\chi(\sigma)$  satisfies the normalization condition:  $\sum_{\sigma=-S}^S |\chi(\sigma)|^2 = 1$ . The variable  $\sigma$  takes  $2S+1$  values,  $\sigma = -S, -S+1, \dots, +S$ . Therefore the spin functions are written as column matrices that contain  $2S+1$  elements:

$$\chi = \begin{pmatrix} \chi(S) \\ \chi(S-1) \\ \dots \\ \chi(-S) \end{pmatrix}$$

In addition, the concept of the basis spin functions is introduced. By definition, the basis spin functions describe the states with definite spin and spin projection on the z-axis. The basis spin functions are eigenfunctions of operators  $\hat{S}^2$  and  $\hat{S}_z$  given by equations:  $\hat{S}^2 \chi_{Sm} = S(S+1)\chi_{Sm}$  and  $\hat{S}_z \chi_{Sm} = m_S \chi_{Sm}$ ; their dependence on the spin variable is expressed by Kronecker delta:  $\chi_{Sm}(\sigma) = \delta_{m\sigma}$ . Thus, the basis spin functions can be written as column matrices of  $2S+1$  elements

$$\chi_{SS} = \begin{pmatrix} 1 \\ 0 \\ \dots \\ 0 \end{pmatrix}, \chi_{SS-1} = \begin{pmatrix} 0 \\ 1 \\ \dots \\ 0 \end{pmatrix}, \dots, \chi_{S-S} = \begin{pmatrix} 0 \\ 0 \\ \dots \\ 1 \end{pmatrix}$$

The collection of  $2S+1$  basis functions  $\chi_{Sm}$  ( $m=S, S-1, \dots, -S$ ) forms a complete orthonormal set of functions. It makes it possible to expand a spin function of a system with the total spin  $S$  in sum of the basis spin functions  $\chi_{Sm}$ :  $\chi(S) = \sum_{m=-S}^S a_m \chi_{Sm}$ , where  $a_m$  are expansion coefficients.

Finally, the Cartesian components of the spin operator  $\hat{S}_i$  ( $i=x, y, z$ ) have only a finite number of non-zero matrix elements expressed as follows:

$$\chi_{Sm\pm 1}^+ \hat{S}_x \chi_{Sm} = \frac{1}{2} \sqrt{(S \mp m)(S \pm m + 1)}, \quad (3.2.3-1)$$

$$\chi_{Sm\pm 1}^+ \hat{S}_y \chi_{Sm} = \mp \frac{i}{2} \sqrt{(S \mp m)(S \pm m + 1)},$$

$$\chi_{Sm\pm 1}^+ \hat{S}_z \chi_{Sm} = m.$$

### 3.3 Coupled Systems. Addition of angular momenta. Clebsch-Gordan coefficients, 3j-, 6j-, 9j-symbols.

As mentioned above, the magnetic nanoclusters arise as a result of the coupling of a finite number of angular momenta. Therefore we have to deal with the question of how to find the eigenstates of the sum of two (or more) angular momenta in terms of products of the individual angular momentum eigenstates. It is a common problem occurring in atomic physics calculations that is described in many standard textbooks on quantum mechanics [Messian, 1965], [Varshalovich, 1988], [Edmonds, 1974]. Here we outline the concepts of Clebsch-Gordan coefficients, 3j-, 6j-, 9j-symbols and Irreducible Tensor Operators (ITOs) and show the most important facts needed for practical applications without going into mathematical details.

Let us suppose that we have two commuting angular momentum vectors:  $\vec{J}_1$  and  $\vec{J}_2$ . The eigensystems of the operators  $\hat{J}_1^2, \hat{J}_{1z}$  and  $\hat{J}_2^2, \hat{J}_{2z}$  are given by the equations as follows:

$$\begin{aligned}\hat{J}_1^2 |j_1, m_1\rangle &= j_1(j_1 + 1) |j_1, m_1\rangle \\ \hat{J}_{1z} |j_1, m_1\rangle &= m_1 |j_1, m_1\rangle \\ \hat{J}_2^2 |j_2, m_2\rangle &= j_2(j_2 + 1) |j_2, m_2\rangle \\ \hat{J}_{2z} |j_2, m_2\rangle &= m_2 |j_2, m_2\rangle\end{aligned}$$

We set  $\vec{J} = \vec{J}_1 + \vec{J}_2$  and attempt to construct the eigenstates of  $\hat{J}^2, \hat{J}_z$  as linear combination of the product states  $|j_1, m_1\rangle$  and  $|j_2, m_2\rangle$ :

$$|j, m\rangle = \sum_{m_1 m_2} C_{j_1 m_1 j_2 m_2}^{jm} |j_1 m_1\rangle \otimes |j_2 m_2\rangle. \quad (3.3-1)$$

In other words, if a quantum mechanical system has some fixed angular momentum  $j$  and its projection  $m$  consists of two subsystems with given angular momenta  $j_1$  and  $j_2$  respectively, then the resulting wave function  $|j, m\rangle$  can be constructed from the wave functions of subsystems according to the relation Eq. (3.3-1), where  $\otimes$  indicates the tensor product. The expansion coefficients  $C_{j_1 m_1 j_2 m_2}^{jm}$  are called the Clebsch-Gordan coefficients. They can be evaluated using the formula shown below [Messian, 1965], [Varshalovich, 1988], [Tsukerblat, 1994], [Boca, 1999]:

$$\begin{aligned}C_{j_1 m_1 j_2 m_2}^{jm} &\equiv \langle j_1 m_1 j_2 m_2 | jm \rangle = \delta_{m_1 + m_2, m} \sqrt{\frac{(j_1 + j_2 - j)!(j + j_1 - j_2)!(j + j_2 - j_1)!(2j + 1)}{(j + j_1 + j_2 + 1)}} \\ &\times \sum_k \frac{(-1)^k \sqrt{(j_1 + m_1)!(j_1 - m_1)!(j_2 + m_2)!(j_2 - m_2)!(j + m)!(j - m)!}}{k!(j_1 + j_2 - j - k)!(j_1 - m_1 - k)!(j_2 + m_2 - k)!(j - j_2 + m_1 + k)!(j - j_1 - m_2 + k)!}\end{aligned}$$

The only non-vanishing Clebsch-Gordan coefficients are those for which  $m_1 + m_2 = m$ .

Below we shall see that the Clebsch-Gordan coefficients are used in calculations related to absorption and emission of radiation. The selection rules of spectroscopic transitions follow directly from the symmetry relations between the Clebsch-Gordan

coefficients. These expressions connect the Clebsch-Gordan coefficients by permutations of their arguments. They become more transparent by introducing the so called Wigner 3j symbols, defined by:

$$\begin{pmatrix} j_1 & j_2 & j \\ m_1 & m_2 & m \end{pmatrix} = \frac{(-1)^{j_1-j_2-m}}{\sqrt{2j+1}} \langle j_1 m_1 j_2 m_2 | j - m \rangle$$

The Wigner 6j symbols arise when we consider coupling of three states to give a state of definite angular momentum. Clearly, we can couple three states with angular momenta  $j_1, j_2, j_3$  to a total angular momentum  $\vec{J}$  ( $\vec{J} = \vec{J}_1 + \vec{J}_2 + \vec{J}_3$ ) in various ways. The three possible coupling schemes with the resulting wave functions are shown below.

$$\begin{aligned} \text{I:} & \quad j_1 + j_2 = j_{12}, \quad j_{12} + j_3 = j & | j_1 j_2 (j_{12}) j_3 j m \rangle \\ \text{II:} & \quad j_2 + j_3 = j_{23}, \quad j_{23} + j_1 = j & | j_2 j_3 (j_{23}) j_1 j m \rangle \\ \text{III:} & \quad j_1 + j_3 = j_{13}, \quad j_{13} + j_2 = j & | j_1 j_3 (j_{13}) j_2 j m \rangle \end{aligned}$$

The resulting states are characterized by one and the same total angular momentum value  $j$ , but they have different intermediate values of the angular momenta ( $j_{12}$ ), ( $j_{23}$ ) and ( $j_{13}$ ) for the schemas I, II and III, respectively. The states obtained from either of these schemes can be expressed as linear combinations of states obtained using other scheme. Thus, for example we may write:

$$| j_2 j_3 (j_{23}) j_1 j m \rangle = \sum_{j_{12}} | j_1 j_2 (j_{12}) j_3 j m \rangle \langle j_1 j_2 (j_{12}) j_3 j m | j_2 j_3 (j_{23}) j_1 j m \rangle$$

The resulting recoupling coefficient  $\langle j_1 j_2 (j_{12}) j_3 j m | j_2 j_3 (j_{23}) j_1 j m \rangle$  will be independent on  $m$  and can be expressed as follows:

$$\langle j_1 j_2 (j_{12}) j_3 j m | j_2 j_3 (j_{23}) j_1 j m \rangle = (-1)^{j_1+j_2+j_3+j} \sqrt{(2j_{12}+1)} \sqrt{(2j_{23}+1)} \left\{ \begin{matrix} j_1 & j_2 & j_{12} \\ j_3 & j & j_{23} \end{matrix} \right\},$$

where the expression in curly brackets is a 6j symbol. The 6j symbol is usually calculated according to the formula shown below [Edmonds, 1974]

$$\begin{aligned} \left\{ \begin{matrix} j_a & j_b & j_c \\ j_d & j_e & j_f \end{matrix} \right\} &= \Delta(j_a j_b j_c) \Delta(j_a j_e j_f) \Delta(j_d j_b j_f) \Delta(j_d j_e j_c) \times \\ &\times \sum_k \left[ \frac{(-1)^k (k+1)!}{(k-j_a-j_b-j_c)! (k-j_a-j_e-j_f)!} \times \right. \\ &\times \frac{1}{(k-j_d-j_b-j_f)! (k-j_d-j_e-j_c)! (j_a+j_b+j_d+j_e-k)!} \times \\ &\left. \times \frac{1}{(j_b+j_c+j_e+j_f-k)! (j_c+j_a+j_f+j_d-k)!} \right] \end{aligned}$$

where

$$\Delta(j_a j_b j_c) = \sqrt{\frac{(j_a+j_b-j_c)! (j_a-j_b+j_c)! (-j_a+j_b+j_c)!}{(j_a+j_b+j_c+1)!}}$$



The coupling of four angular momenta can be performed also by several routes using various sets of intermediate angular momenta. Obviously, we can obtain two different states, e.g.:  $|A; jm\rangle$  and  $|B; jm\rangle$  characterized by one and the same resultant total angular momentum and its projection ( $jm$ ).

$$\text{A: } j_1 + j_2 = j_{12}, j_3 + j_4 = j_{34}, j_{12} + j_{34} = j$$

$$|A; jm\rangle = |j_1 j_2 (j_{12}) j_3 j_4 (j_{34}); jm\rangle$$

$$\text{B: } j_1 + j_3 = j_{13}, j_2 + j_4 = j_{24}, j_{13} + j_{24} = j$$

$$|B; jm\rangle = |j_1 j_3 (j_{13}) j_2 j_4 (j_{24}); jm\rangle$$

The states obtained using the coupling scheme A can be expressed as linear combination of the states resulting from the scheme B:

$$|A; jm\rangle = \sum_B |B; jm\rangle \langle A; jm | B; jm\rangle$$

In other words, the state corresponding to the scheme A is expressed through the state of the scheme B using the summation over intermediate sets of angular momenta  $j_{13}$  and  $j_{24}$ :

$$|A; jm\rangle = \sum_{j_{13}} \sum_{j_{24}} |B; jm\rangle \langle A; jm | B; jm\rangle.$$

Finally, the states A and B are interrelated through the recoupling coefficients  $\langle A; jm | B; jm\rangle$ , which are expressed via the 9j- symbols. The 9j symbols in the formula are the terms in curly brackets.

$$\begin{aligned} & \langle j_1 j_2 (j_{12}) j_3 j_4 (j_{34}); jm | j_1 j_3 (j_{13}) j_2 j_4 (j_{24}); jm \rangle = \\ & = [(2j_{12} + 1)(2j_{34} + 1)(2j_{13} + 1)(2j_{24} + 1)]^{1/2} \begin{Bmatrix} j_1 & j_2 & j_{12} \\ j_3 & j_4 & j_{34} \\ j_{13} & j_{24} & j \end{Bmatrix} \end{aligned}$$

The 9j symbol can be evaluated using a product of 6j symbols:

$$\begin{Bmatrix} j_{11} & j_{12} & j_{13} \\ j_{21} & j_{22} & j_{23} \\ j_{31} & j_{32} & j_{33} \end{Bmatrix} = \sum_{j=j_{\min}}^{j_{\max}} (-1)^{2j} (j+1) \begin{Bmatrix} j_{11} & j_{21} & j_{31} \\ j_{32} & j_{33} & j \end{Bmatrix} \begin{Bmatrix} j_{12} & j_{32} & j_{22} \\ j_{21} & j_{23} & j \end{Bmatrix} \begin{Bmatrix} j_{33} & j_{23} & j_{13} \\ j_{12} & j_{11} & j \end{Bmatrix}$$

where the summation is done over  $j$ -values running from  $j_{\min}$  to  $j_{\max}$ , which are calculated as follows:

$$\begin{aligned} j_{\min} &= \min\{|j_{11} - j_{33}|, |j_{32} - j_{21}|, |j_{12} - j_{23}|\} \\ j_{\max} &= \max\{j_{11} + j_{33}, j_{32} + j_{21}, j_{12} + j_{23}\} \end{aligned}$$

Clearly, with increasing the number of angular momenta constituting the cluster, the number of possible coupling schemes will dramatically increase. Each coupling scheme can be associated with a certain representation of the interacting angular momenta. The unitary transformations that relate various representations and describe the recoupling of angular momenta are realized by matrices expressed in terms of 6j, 9j - and other 3nj symbols of higher order tabulated in [Varshalovich, 1988]. As we will see in the following section, the energy spectrum of any high-nuclearity cluster can be calculated by using the Wigner-Eckart theorem combined with the Irreducible Tensor Operator (ITO) method. An

important feature of this technique is that the result of calculations does not depend on the choice of the coupling scheme.

### 3.4 Irreducible Tensor Operators (ITOs) and the Wigner-Eckart theorem

In the previous section we have seen how to produce the wave function of a system constituted from the finite number of centers with known angular momenta. In the following discussion we try to show how operators transform under rotations and how these transformation properties can be put to use in evaluating their matrix elements [Commins, 1996], [Silver, 1976].

First we have to define what we mean by a rotated operator. We do know how the quantum state transforms under rotations. For a state  $|\psi\rangle$ , the rotated ket is defined by  $|\psi'\rangle = U(R)|\psi\rangle$ , where  $U(R)$  is the unitary<sup>3</sup> rotation operator. Now let  $A$  be an operator, and  $A'$  the rotated operator to be defined. The rotated operator  $A'$  can be determined under the following assumption: the expectation value of the rotated operator with respect to the rotated state must be equal to the expectation value of the original operator with respect to the original state. We require  $\langle\psi|A|\psi\rangle = \langle\psi'|A'|\psi'\rangle$  for all states  $|\psi\rangle$ . This implies that

$$\boxed{A' = U(R)AU(R)^+}, \quad (3.4-1)$$

This is the definition of the rotated operator. Now it is of interest to classify operators according to their transformation properties under rotations.

The *scalar* operator  $\hat{K}$  must be invariant under all rotations  $U(R)$ . It satisfies the relation:  $U(R)\hat{K}U(R)^+ = \hat{K}$ .

A *vector* operator is really a vector of operators like the three components of the position operator  $\hat{r}(\hat{x}, \hat{y}, \hat{z})$ , which have certain transformation properties under rotations.

Generally, we say  $\hat{V}$  is a vector operator if

$$U(R)\hat{V}U(R)^+ = R^{-1}\hat{V}$$

or, in components,

$$U(R)V_iU(R)^+ = \sum_j R_{ij}V_j \quad (i, j = x, y, z)$$

This transformation law is justified by requiring the expectation value of a vector operator to transform as a classical vector under rotations.

A *tensor* operator is a mathematical construction that describes in a most general form the transformation properties of the scalar-, vector operators and the tensor products of two vector operators under rotations. A scalar is considered as a tensor operator of the rank 0, a vector is considered as a tensor of rank 1, the tensor product of two vector operators is a tensor of rank 2. A tensor operator of the rank 2 is a matrix of operators with 9 components  $T_{ij}$ , which are required to transform according to

<sup>3</sup> A linear operator whose inverse is its adjoint is called *unitary*

$$U(R)T_{ij}U(R)^+ = \sum_{kl} R_{ki}R_{lj}T_{kl} \text{ or in matrix language } U(R)\hat{T}U(R)^+ = R^{-1}\hat{T}R$$

The definitions of scalar, vector and tensor operators are required to hold arbitrary rotations  $U(R)$ , including the infinitesimal. The total angular momentum operator  $\hat{J}$  generates transformations of wave functions and quantum operators under infinitesimal rotations of the coordinate system. Therefore the unitary rotation operator  $U(R)$  is defined as  $U(R) = 1 - \frac{i}{\hbar} \delta\omega \vec{n} \cdot \hat{J}$ . Comparing this result with Eq. ( 3.2.1-1), we conclude that the commutation relation  $[\hat{J}, \hat{K}] = 0$  is valid for a scalar operator. The components of the vector operator commute with the components of the angular momentum  $[\hat{J}_i, \hat{V}_j] = i\hbar \epsilon_{ijk} \hat{V}_k$ , where  $\epsilon_{ijk}$  is the Levi-Civita tensor defined above Eq. ( 3.2.1-3). Similar commutation relations can be worked out for tensor operators of any rank. It can be shown that  $\hat{J}$  is a vector operator in any Hilbert space upon which the angular momentum is defined.

A spherical basis must be introduced when working with tensor operators. We motivate the use of a spherical basis by the problem of dipole radiative transitions for simplicity in the hydrogen atom, in which we must evaluate the matrix elements of the form  $\langle nlm | \vec{r} | n'l'm' \rangle$ , where the energy eigenfunctions are  $\psi_{nlm}(\vec{r}) = R_{nl}(r)Y_{lm}(\theta, \varphi)$  as shown above. The spherical basis is a complex basis of unit vectors in ordinary 3-dimensional (physical) space. It is always possible to express any real vector  $\vec{r}(\hat{x}, \hat{y}, \hat{z})$  in terms of spherical harmonics  $Y_{lm}$  for  $l=1$ . Then the real components of any vector acting in 3-dimensional space can be expressed through the complex components with respect to spherical basis  $(\hat{e}_1, \hat{e}_0, \hat{e}_{-1})$  that is introduced as follows:

$$\begin{aligned} \hat{e}_1 &= -\frac{1}{\sqrt{2}}(\hat{x} + i\hat{y}) \\ \hat{e}_0 &= \hat{z} \\ \hat{e}_{-1} &= \frac{1}{\sqrt{2}}(\hat{x} - i\hat{y}) \end{aligned}$$

Concluding our discussion on the spherical basis, we state that it is a standard basis in ordinary 3-dimensional space, which is equivalent to the Cartesian one. It can be shown that:

- the spherical basis vectors are also vectors of the standard angular momentum basis;
- the vectors of the spherical basis must transform under rotations  $R$  according to equation  $R \hat{e}_q = \sum_{q'} \hat{e}_{q'} D_{qq'}^1(R)$ , where  $q, q' = 0, \pm 1$  indicate components of the

spherical basis. Here the matrices  $R$  and  $D^1$  represent the same operator in two different bases (the Cartesian and spherical, respectively).  $D^1$  is a Wigner D-function for  $j=1$  already mentioned in Section 3.1.

Now we have to turn to the problem of *reducibility* versus *irreducibility*. The vector spaces representing the quantum-mechanical systems are the ket-spaces. Let us imagine

that the set of rotation operators  $U(R)$  act on this ket-space. Thus, we speak about the space as being *invariant* under rotations if every vector in this space is mapped into another vector of the same space under the action of every rotation operator. However, a given invariant space may possess subspaces which are also invariant under rotations. If so, then the given space is *reducible*. If there are no invariant subspaces (apart from null subspace), then the given space is said to be *irreducible*. If the space is reducible (irreducible) under the action of the rotation operators, then it is also reducible (irreducible) under the action of the three components of angular momentum  $\vec{J}$ , because the infinitesimal rotations suffice to determine the issue of reducibility.

Tensor operators can be also reducible and irreducible. It can be shown that the 3-dimensional space of operators spanned by the three components of any vector operator (e.g.  $\vec{r}(\hat{x}, \hat{y}, \hat{z})$ ) is irreducible under rotations. Such a space of operators is a single irreducible space of the tensor of a rank 1 ( $\hat{T}^{(1)}$ ).

Let us now consider a tensor product of two three-dimensional vectors  $\vec{V}$  and  $\vec{W}$ . The components of the corresponding tensor operator  $\hat{T}$  are defined by equation  $T_{ij} = V_i W_j$ ,  $i, j = x, y, z$ . It turns out that the 9-dimensional space of operators spanned by the components of  $\hat{T}$  possesses 3 invariant (irreducible) subspaces. Therefore this 9-dimensional space is reducible. It consists of 3 irreducible parts. These 3 parts are

- (1) a scalar ( $\hat{T}^{(0)}$ ), which is the trace of  $\hat{T}$ ;
- (2) a vector ( $\hat{T}^{(1)}$ ), which is the antisymmetric part of  $\hat{T}$ ;
- (3) the symmetric, traceless part of  $\hat{T}$ :  $\hat{T}^{(2)}$ .

These three parts constitute invariant irreducible subspaces of the 9-dimensional space of operators spanned by the components of  $\hat{T}$  with dimensionalities of 1, 3 and 5, respectively. In other words these invariant subspaces are described with help of irreducible tensor operators of the ranks  $k = 0, 1, 2$  ( $\hat{T}^{(0)}, \hat{T}^{(1)}, \hat{T}^{(2)}$ ).

We call a set of  $2k + 1$  operators  $\hat{T}_q^{(k)}$ , for  $q = -k, \dots, +k$ , an ***irreducible tensor operator*** of the rank (order)  $k$ , if they satisfy the equation:

$$\boxed{U \hat{T}_q^{(k)} U^\dagger = \sum_{q'} \hat{T}_{q'}^{(k)} D_{q'q}^k(U)} \quad (3.4-2)$$

for all *finite* rotation operators  $U$ , where the matrix  $D^k$  represents all rotations defined by  $U$  in the spherical basis. In other words, we can define operators  $\hat{T}_q^{(k)}$  as irreducible tensor operators, if after a finite rotation  $U(R)$  the corresponding operators of the rotated system are given by Eq. (3.4-2), where  $D^k$  is an irreducible representation of the given point group. The irreducible tensor operator itself is denoted as  $\hat{T}^{(k)}$ , and its  $2k + 1$  components as  $\hat{T}_q^{(k)}$ . This definition shows that the components of an irreducible tensor operator transform under rotations just like a standard angular momentum basis vectors of an irreducible subspace. Thus, the rank  $k$  of an irreducible tensor operator behaves like an angular momentum quantum number  $j$ , and  $q$  behaves like  $m$ .

In other words, the components  $\hat{T}_q^{(k)}$  of an irreducible tensor operator form a standard basis in an irreducible vector space of operators. In particular, it turns out that the Cartesian components of the spin angular momentum operator  $\hat{S}$  can be expressed in the spherical basis as components of the irreducible tensor operator of the rank 1  $\hat{T}^{(1)}(\vec{S})$  as follows:

$$\hat{T}_1^{(1)}(\vec{S}) = -\frac{1}{\sqrt{2}}(\hat{S}_x + i\hat{S}_y)$$

$$\hat{T}_0^{(1)}(\vec{S}) = \hat{S}_z$$

$$\hat{T}_{-1}^{(1)}(\vec{S}) = \frac{1}{\sqrt{2}}(\hat{S}_x - i\hat{S}_y)$$

By building up finite rotations out of infinitesimal, the following commutation relations can be derived from equation Eq. ( 3.4-2)

$$\begin{aligned} [J_z, \hat{T}_q^{(k)}] &= q\hat{T}_q^{(k)} \\ [J_{\pm}, \hat{T}_q^{(k)}] &= \sqrt{(k \pm q + 1)(k \mp q)}\hat{T}_{q\pm 1}^{(k)} \end{aligned} \quad (3.4-3)$$

The commutation relations Eq. ( 3.4-3) are even introduced in many textbooks as the definition of irreducible tensor operators.

The Wigner-Eckart theorem is applied, in order to evaluate the matrix elements of irreducible tensor operators  $\langle \alpha; jm | \hat{T}_q^{(k)} | \alpha'; j'm' \rangle$  with respect to the standard angular momentum basis. As even mentioned in [Commins, 1996], “the Wigner-Eckart theorem is easier to remember and use than it is to prove”. For a detailed study we refer the reader to [Griffith, 1962 and Edmonds, 1974], where it is proved using the properties of rotations and the angular momentum operator. We motivate the interest for further reading with the remark that the Wigner-Eckart theorem is of principal importance for understanding of the Chapter 8; it is the usual tool for calculating the matrix elements which commonly occur when dealing with the emission and absorption of radiation. Generally speaking, to find the energy eigenvalues of any quantum mechanical system we must diagonalize its Hamiltonian. This Hamiltonian can be expressed in terms of the irreducible tensor operators. Therefore, the Wigner-Eckart theorem gives a method to calculate the matrix elements of the Hamiltonian.

The general idea of the Wigner-Eckart theorem can be understood after further considerations. If we apply the q-component of the irreducible tensor operator to the ket  $|\alpha'; j'm'\rangle$  ( $\hat{T}_q^{(k)} |\alpha'; j'm'\rangle$ ), then  $(2k+1)(2j'+1)$  kets arise by letting  $q$  and  $m'$  vary over their respective ranges. It turns out that multiplying an irreducible tensor operator  $\hat{T}_q^{(k)}$  by a ket  $|\alpha'; j'm'\rangle$  is the same as forming the tensor product of two kets with the same angular momentum quantum numbers  $|j'm'\rangle \otimes |kq\rangle = |j'km'q\rangle$ . It is a tensor product of two kets in the uncoupled basis<sup>4</sup>. The kets of the uncoupled basis can be expressed as linear

<sup>4</sup> Any ket constructed with help of intermediate quantum numbers (see Figure 2.1) is referred as the state of coupled basis; the states characterized only by the total value of its angular momentum and the corresponding projection are known as the states of uncoupled basis.

combination of the kets  $|j, m\rangle$  of the coupled basis using the Clebsch-Gordan coefficients (see Section 3.3) as follows

$$|j' m'\rangle \otimes |kq\rangle = \sum_{jm} C_{j'km'q}^{jm} |jm\rangle \quad (3.3-1)$$

Conversely, the kets of the coupled basis can be expressed as linear combinations of the kets of the uncoupled basis,

$$|j, m\rangle = \sum_{m'q} C_{j'km'q}^{jm} |j' m'\rangle \otimes |kq\rangle.$$

The common feature of the products  $|j' m'\rangle \otimes |kq\rangle$  and  $\hat{T}_q^{(k)} |\alpha'; j' m'\rangle$  is that they both have the same transformation properties under rotations. The ket  $\hat{T}_q^{(k)} |\alpha'; j' m'\rangle$  can be also expressed as a linear combination of eigenkets of  $\hat{J}^2$  and  $\hat{J}_z$  with the same Clebsch-Gordan coefficients. To construct the desired matrix element  $\langle \alpha; jm | \hat{T}_q^{(k)} | \alpha'; j' m'\rangle$ , we must left-multiply the ket  $\hat{T}_q^{(k)} |\alpha'; j' m'\rangle$  by bra  $\langle \alpha; jm |$ . Doing so, we will select out a single term in a series, which looks like Eq. (3.3-1) and that term will be proportional to the Clebsch-Gordan coefficient. This Clebsch-Gordan coefficient reflects all the dependence of the matrix element  $\langle \alpha; jm | \hat{T}_q^{(k)} | \alpha'; j' m'\rangle$  on the magnetic quantum numbers  $m, m', q$ . This fact is mathematically expressed by the Wigner-Eckart theorem.

Thus, the *Wigner-Eckart theorem* states, that the matrix element of  $q$ -component of the irreducible tensor operator  $\hat{T}_q^{(k)}$  can be written as a product of the Clebsch-Gordan coefficient times a quantity that is independent on  $m, m', q$

$$\boxed{\langle \alpha; jm | \hat{T}_q^{(k)} | \alpha'; j' m'\rangle = \frac{1}{\sqrt{2j+1}} C_{j'km'q}^{jm} \langle \alpha; j || \hat{T}^{(k)} || \alpha'; j'\rangle}$$

The Wigner-Eckart theorem is some times expressed using Wigner 3j-symbols as follows:

$$\boxed{\langle \alpha; jm | \hat{T}_q^{(k)} | \alpha'; j' m'\rangle = (-1)^{j-m} \begin{pmatrix} j & k & j' \\ -m & q & m' \end{pmatrix} \langle \alpha; j || \hat{T}^{(k)} || \alpha'; j'\rangle}$$

The value  $\langle \alpha; j || \hat{T}^{(k)} || \alpha'; j'\rangle$  is called the reduced matrix element; it is independent on  $m, m', q$ . The reduced matrix element depends only on the irreducible tensor operator  $\hat{T}^{(k)}$  and on the two irreducible subspaces  $E(\alpha j)$  and  $E(\alpha' j')$  which it links.

One of the most frequent applications of the Wigner-Eckart theorem is that it allows an easy determination of the selection rules for a given set of matrix elements based on the selection rules (i.e. symmetry relations) of the Clebsch-Gordan coefficients. *The Wigner-Eckart theorem provides us with all selection rules which follow from the rotational symmetry.* In the next chapters we introduce the concept of the spin Hamiltonian and show that the Wigner-Eckart theorem is a powerful tool for construction of the single-spin

Hamiltonian model and for evaluation of the energy matrix elements by working with the generalized effective spin Hamiltonian model.

## IV

# Spin Hamiltonians of molecular magnets

In the previous chapter we have introduced the basic concepts and rules that help to manipulate the spin angular momentum operator. We have seen how to describe the rotation of the wavefunctions and the operators, how these transformation properties can be used to evaluate the matrix elements (the Wigner-Eckart theorem). Keeping the mathematics in mind, we discuss now another problem: how to construct the Hamiltonian reproducing the energetics of a molecular nanomagnet? Which terms must be included and what is the explicit form of these operators? These questions are discussed in the present chapter.

### 4.1 Spin Hamiltonians: an introduction

A quantum mechanical Hamiltonian is introduced to describe the energetics and dynamics of molecular nanomagnets. By definition, it must be so designed, that its diagonalization reproduces correctly the energy levels of the spin multiplets. The full molecular Hamiltonian including relativistic effects is used for ab initio calculations with help of Density Functional Theory (DFT). There the parameters of the zero-field split spin multiplets (ZFS-parameters) of high-nuclearity spin clusters can be obtained as the energy difference along the certain directions of the molecule. Despite of the recent progress of DFT, ab initio calculations of the ZFSs remain a challenging area of research [Kaupp, 2004].

For the experimental data analysis the full molecular Hamiltonian is not needed; it is sufficient to include only the relevant energy terms into the model Hamiltonian. This simplification is called the spin Hamiltonian model. The spin Hamiltonian contains only the angular momentum operators in their mutual interaction (orbit-orbit, spin-orbit, spin-spin) as well as their interaction with the magnetic field (the Zeeman terms: orbit-magnetic field, spin-magnetic field) [Boca, 1999].

As already mentioned, magnetic nanoclusters consist of a finite number of metal ions with unfilled electronic shells coupled together through the organic ligands by superexchange interactions (see also Section 4.3). Therefore the microscopic spin Hamiltonian includes the Zeeman term, the (crystal-) ligand-field interactions, the isotropic Heisenberg exchange and other anisotropic effects (antisymmetric, biquadratic exchange etc.) We exclude the Zeeman term from our further considerations, since the spin Hamiltonian model will be applied to the analysis of spectral data obtained by a zero-field experimental technique (FDMRS).

We distinguish three kinds of spin Hamiltonians [Tsukerblat, 1994] that are closely related one to another (see Chapter 1). They differ in mathematical formalism used to derive the final expressions of the spin Hamiltonian terms and in the calculation method



applied for evaluation of the Hamiltonian matrix elements. Each of these spin Hamiltonians can be factorized into two parts. The first part depends on the symmetry only; the second one originates from the practical physical behavior of the system. It is treated as an adjustable (semiempirical) parameter that can be extracted from the experiment.

Any spin Hamiltonian must satisfy three requirements independent on the method, how it was constructed. First of all it must reflect the point symmetry of the molecule: it must be invariant under all point symmetry operations. Since energy is expressed by real values, the spin Hamiltonian must be Hermitian (i.e. transposition simultaneously with complex conjugation leaves the operator unchanged) because the eigenvalues of Hermitian are real. An important issue is the invariance of the spin Hamiltonian under time inversion. In fact, any spin Hamiltonian contains products of spin angular momentum operators  $\hat{S}_\alpha^2, \hat{S}_\alpha \hat{S}_\beta$ ,  $\alpha, \beta = x, y, z$  and their higher powers. Each spin operator  $\hat{S}_\alpha$  reverses the sign under time inversion, whereas the quadratic terms  $\hat{S}_\alpha \hat{S}_\beta$  do not. It is sufficient to take into account only the terms of even degree for any case of practical interest (see Sections 4.2 and 4.3). Therefore the spin Hamiltonian must be invariant under time inversion.

In the next chapters we consider the single-spin- and the generalized effective spin Hamiltonians. The single-spin Hamiltonian contains the tabulated Stevens (or equivalence) operators [Abragam, 1970]. They were obtained by using the operator equivalent method of finite groups. This method uses the fact that angular momentum operators have the same transformation properties as the spherical harmonics required in the expansion of the potential due to a crystal electric field of the appropriate symmetry [Abragam, 1970], [Sugano, 1970]. There the quantum mechanical equivalent of a given spherical harmonic was determined as an explicit function of the spin angular momentum operator  $\hat{S}$  within the selected S-multiplet. The experimental observables of molecular nanomagnets are usually well described by the spin Hamiltonian constructed with help of the Stevens operators that is applied to the ground multiplet (i.e. the single-spin Hamiltonian model, see Section 4.2). It makes it possible to reproduce the relative positions of the energy levels constituting the spin ground multiplet and analyze the admixture of the corresponding wave functions. Nevertheless, the single-spin Hamiltonian model describes well the experiments only on those molecular magnets, which satisfy the strong exchange limit. In this case the splitting within each selected S-multiplet is much smaller than the energy gap between manifolds of different total spins. That means physically that the isotropic Heisenberg exchange interaction between the spin centres constituting the cluster dominates over the non-Heisenberg ones, which are treated in the first order of perturbation theory. The main advantage of the single-spin Hamiltonian is its simplicity. The ready expressions of the equivalence operators are used. The Hamiltonian matrix is built in a simple basis  $|S, M_S\rangle$ , where the total spin value of the ground multiplet is  $S$ ,  $M_S$  are allowed  $2S + 1$  spin projections to the quantization axis of molecule.

If the interaction between the ground multiplet with the excited ones can not be neglected, the generalized effective spin Hamiltonian model (i.e. gesH model, Section 4.3) must be used. It is based on the microscopic Hamiltonian constructed for the exchange-coupled cluster that is expressed in terms of irreducible tensor operators (see Section 3.4).

The Hamiltonian matrix elements are calculated by using the Wigner-Eckart theorem in the coupled spin basis (see Section 3.3). A great benefit of the generalized effective spin Hamiltonian model is that it takes advantage of application of the tensor methods that makes it possible to evaluate the Hamiltonian matrix elements *without* complicated calculations of many particle wave functions of the system of interacting ions (as it is needed in the case of Density Functional Theory). The generalized effective spin Hamiltonian formalism has found many practical applications with the development of magnetochemistry and spectroscopy on exchange-coupled compounds [Tsukerblat, 1983], [Tsukerblat, 1987], [Bencini, 1990], [Kahn, 1993], [Tsukerblat, 1994], [Boca, 1999]. There it was shown that it provides a good understanding of the exchange interactions in high-nuclearity spin systems and gives a direct access to the cluster magnetic anisotropy. Nevertheless, the universal usage of this model stays up to now nontrivial because it requires calculations in the full Hilbert space by using the  $3nj$ -symbols and group-theoretical classification of the spin multiplets for a-priori selection of the dominant Hamiltonian terms.

We distinguish two close lying directions in the modern trends of the gesH-model. The aim of the first one is to generate a computer code providing an automatic calculation of the complete energy spectrum for the magnetic cluster of any symmetry and any number of the spin sites. Since the dimension of the Hamiltonian matrix grows enormously with increasing number of spin centers and spin quantum numbers, an exact diagonalization of the Hamiltonian matrix quickly exceeds the capabilities of any computer. Thus, a general procedure was suggested [Gatteschi, 1993-1], [Gatteschi, 1993-2] for calculation of the energy levels of the clusters coupled *only by isotropic exchange* interactions. Later [Waldmann, 2000] presented a general approach for block factorizing the Hamiltonian matrix using the spin permutational symmetry, which is applicable to any arbitrary spin Hamiltonian and allows an essential reduction of the computational efforts. The recently reported program MagPack [Borrás-Almenar, 2001] incorporates the gesH-formalism described in [Borrás-Almenar, 1999] including both Heisenberg and non-Heisenberg terms. It can be applied for calculations of the bulk magnetic properties and inelastic neutron scattering spectra. A main disadvantage of the formalism given in [Borrás-Almenar, 1999] is the requirement for the non-Heisenberg terms to be expressed by collinear tensors. It is an essential simplification that is not always valid for molecular nanomagnets (Chapter 8). On the other hand, the gesH matrix is constructed in the coupled basis including the excited spin states. This fact opens a way for analysis of the many-spin effects in the spectroscopic data on molecular nanomagnets that originate from the mixing between the different spin multiplets, i.e. the S-mixing effect [Liviotti, 2002], [Waldmann, 2005]. Thus, the second trend of the gesH model is development of the formalism for analysis of the S-mixing effect.

The single-spin- and the generalized effective spin Hamiltonians compliment one another. The first is used as an easy tool giving information about relative localization of the energy levels constituting the spin manifold. Nevertheless, the single-spin Hamiltonian model is not able to get insight into origin of the cluster magnetic anisotropy. The gesH model requires much more complicated calculations, but it is a powerful instrument by explanation of the physical mechanisms leading to formation of the energy spectrum of exchange-coupled compounds.

## 4.2 Crystal field potential and the single-spin Hamiltonian model.

The theoretical foundations of the modern spectro- and magnetochemistry originate from the publication of Hans Albrecht Bethe „*Term splitting in crystals*“, *Ann. Phys.*, 5, 133-208 (1929) [Inui, 1990],[Tsukerblat, 1994], [Jones, 1998]. There the formalism of quantum mechanics was applied for the first time to calculation of the spectra of metal ions in a crystal lattice. Therefore the theory was then called *crystal field theory*. There it was assumed that an atom or ion with an unfilled electron shell is surrounded by point charges/point dipoles. The electric field of these charges, called crystal field, influences the electron shell of the metal ion and partially or completely removes the degeneracy of the atomic levels. In terms of the crystal field theory the role of the entire crystal that surrounds the central ion is to produce a static crystal field of the correct symmetry. A point-ion model of the crystal field does not take into account the real electronic structure of ligands, the effects of covalent chemical bonding and many other factors. They are included into ligand field- and molecular orbital theory. In *ligand field theory* the electrostatic approximation is dropped; the fact that the radial part of the central ion wavefunction overlaps with the ligand is recognized. *Molecular orbital theory* takes as a starting point the fact that the overlap is important. When this is done, electronic wavefunctions of the molecule including excited states are obtained. However, since the symmetry aspects of all these theories are the same, the labelling of the eigenfunctions in terms of irreducible representations is the same for all the theories.

(Crystal-) Ligand-field interactions play the most pronounced role in the removing of the “accidental” degeneracy of the spin multiplets of molecular nanomagnets in absence of the external magnetic field. Therefore the crystal field potential is accepted as a starting point by analysis of magnetic excitations within the zero-field split ground manifold of molecular magnets.

The crystal field potential of the system of ions consisting of  $N$  electrons and  $N'$  nuclei with charges  $q_k$  ( $k = 1 \dots N'$ ) is expressed as follows [Tsukerblat, 1994]:

$$V^{CF} = \sum_{i=1}^N \sum_{k=1}^{N'} \frac{eq_k}{|\vec{r}_i - \vec{R}_k|},$$

where it is assumed that the atomic nuclei have fixed positions  $\vec{R}_k$  in space and the distance between  $i$ -th electron and  $k$ -th nucleus is given by  $|\vec{r}_i - \vec{R}_k|$ . The crystal field potential is usually rewritten as an expansion of spherical harmonics  $V^{CF}(\vec{r}) = \sum_{k=0}^{\infty} \sum_{m=-k}^k A_m^k \sum_i r_i^k Y_{km}(\vartheta_i, \varphi_i)$ , where  $r_i, \vartheta_i, \varphi_i$  are spherical coordinates of the  $i$ -th electron and the coefficients  $A_m^k$  depend on the ligand coordinates, i.e. on the geometry of the complex. It can be also rewritten as a linear combination of irreducible tensor operators using the properties of the spherical harmonics (s. e.g. [Tsukerbalt, 1994],[Boca, 1999]):

$$\hat{V}^{CF}(\vec{r}) = \sum_{k=0}^{\infty} \sum_{m=-k}^k A_m^k \hat{T}_m^{(k)}(\vec{r}) \quad (4.2-1)$$

The crystal field symmetry imposes restrictions to the possible values of  $k$  and  $m$  in the expression Eq. (4.2-1). It turns out that Eq. (4.2-1) has non-zero summands ( $\hat{T}_m^{(k)}(\vec{r}) \neq 0$ ) for  $k=0,2,4,6$ , only. The “geometric parameters”  $A_m^k$  depend both on the crystal field symmetry and the distances between ions constituting the cluster. Their evaluation from the first principles is a task of quantum chemistry that remains a challenging area of research. They are treated as adjustable parameters by modelling the spectroscopic data on exchange-coupled compounds. The splitting values of the energy multiplets are usually obtained by fitting of these adjustable parameters to the experimentally recorded spectra (INS, EPR etc.). On the other hand, the experimental findings depend directly on the total angular momentum of the multiplet, where transitions are observed. Therefore it is convenient to express the crystal field potential Eq. (4.2-1) in terms of the equivalent (or Stevens) operators  $\hat{O}_k^m(\hat{J}_z, \hat{J}_\pm)$ , which are constructed of the  $z$ -projection-, raising- and lowering angular momenta operators. They are listed in [Abragam, 1970] for all possible combinations of  $m$  and  $k$ . The passage from Eq. (4.2-1) to the linear combination of the equivalent operators is performed using the Wigner-Eckart and the replacement theorems, [Boca, 1999]. The Wigner-Eckart theorem was introduced above (see Section 3.4). The replacement theorem states that a matrix element of any irreducible operator can be expressed with the help of the matrix elements formed from the angular momenta and a ratio of the reduced matrix elements denoted here as  $\alpha(k, J)$

$$\begin{aligned} \langle JM | \hat{T}_q^{(k)} | JM' \rangle &= \alpha(k, J) \langle JM | \hat{O}_q^k(\hat{J}_z, \hat{J}_\pm) | JM' \rangle \\ \alpha(k, J) &= \frac{\langle J \| \hat{T}^{(k)} \| J \rangle}{\langle J \| \hat{O}^{(k)} \| J \rangle} \end{aligned}$$

Finally, the crystal field potential can be expressed through the Stevens operators as follows

$$\hat{V}^{CF}(\vec{r}) = \sum_{k=0,2,4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{J}_z, \hat{J}_\pm) \quad (4.2-2)$$

This formula is of principal importance for analysis of the electron-paramagnetic resonance (EPR) or inelastic neutron scattering spectra in terms of the single-spin Hamiltonian approach. Since the magnetic resonance method is in the focus of this thesis, we introduce the single-spin Hamiltonian model in context with EPR.

**Definition: Electron paramagnetic resonance (EPR)** or electron spin resonance (ESR) is the resonant absorption of electromagnetic waves by electron spins in oscillating magnetic field.

This definition is somewhat simplified, since the spin of an electron is coupled with its orbital angular momentum in the crystal field and it interacts with the spins of other electrons, nuclear spins etc. Magnetic resonance occurs when the energy difference between the eigenstates of the Hamiltonian describing the system is equal to the quantum of the incident microwave radiation.

The interaction of the free ion in the  $LSJ$  state (in other words: coupling of the spin- and orbital angular momenta of the free ion) with the external magnetic field  $\vec{B}$  is given

by the Zeeman Hamiltonian:  $\hat{H}^Z = -\mu_B \vec{B} \left( \hat{L} + g_e \hat{S} \right) = -g \mu_B \vec{B} \cdot \hat{J}$ , where  $\hat{J}$  is the total angular momentum,  $\mu_B$  is the Bohr magneton,  $g$  is the Lande factor (for the free electron  $g_e=2.0023$ ). In the Russel-Saunders scheme the  $g$  factor depends on the quantum numbers  $L, S, J$  as:

$$g = 1 + \frac{J(J+1) - L(L+1) + S(S+1)}{2J(J+1)}.$$

The operators of the spin-orbit coupling ( $\lambda \hat{L} \cdot \hat{S}$ ) and possibly of the hyperfine (electron-nuclear) interactions ( $A \hat{I} \cdot \hat{J}$ ) must be included into the Hamiltonian of a free ion additionally to the Zeeman term:  $\hat{H}' = \hat{H}^Z + \lambda \hat{L} \cdot \hat{S} + A \hat{I} \cdot \hat{J}$ , where  $\hat{I}$  is the nuclear spin operator.

The spin-orbit coupling of *transition metal ions* in crystal fields is relatively small and can be treated as a perturbation to the Zeeman term. The energy levels of paramagnetic ions with quenched orbital momentum are referred as pure spin multiplets. They are described by one-dimensional representation of the crystal field point group  $^{2S+1}A$  or  $^{2S+1}B$  (see Chapter 5). In the absence of a magnetic field, the spin-orbit interaction in second (or higher order) of perturbation theory splits the spin multiplets. This phenomenon is known as *zero-field splitting*.

The perturbation theory applied to *orbitally non-degenerate levels* shows there is an operator  $\hat{H}^S$  (referred to as the *spin Hamiltonian*) acting only on the spin kets  $|S, M_S\rangle$ , whose eigenvalues are equal to the eigenvalues of  $\hat{H}'$  acting on the full set of variables  $|\alpha; L, M_L, S, M_S\rangle$ . This spin Hamiltonian is expressed as follows:  $\hat{H}^S = \vec{B} \cdot \vec{\kappa} \cdot \vec{B} - \mu_B \vec{B} \cdot \vec{g} \cdot \vec{S} + A \vec{I} \cdot \vec{S} + \vec{S} \cdot \vec{D} \cdot \vec{S}$ , here  $\vec{B}$  is an externally applied static magnetic field,  $\vec{\kappa}$  is the  $\kappa$ -tensor (reduced, temperature independent paramagnetic susceptibility tensor),  $\vec{g}$  is the  $g$ -tensor (magnetogyric ratio tensor),  $\vec{D}$  is the  $D$ -tensor (spin-spin interaction tensor). One can meet in literature the first and the last summands differing from those shown above by sign and coefficient  $\frac{1}{2}$ . This discrepancy arises from the way how the exchange integral is introduced. In magnetochemistry the hyperfine interaction (i.e. the term  $A \vec{I} \cdot \vec{S}$ ) is neglected [Boca, 1999] and the temperature independent paramagnetic term is omitted (since its influence can be included in the empirical correction of experimental data). Then the spin Hamiltonian becomes the form common in EPR-spectroscopy:  $\hat{H}^S = -\mu_B \vec{B} \cdot \vec{g} \cdot \vec{S} + \vec{S} \cdot \vec{D} \cdot \vec{S}$ .

In zero-applied magnetic field, the spin Hamiltonian coincides with the spin-spin interaction term, which is referred to the *zero-field splitting Hamiltonian* ( $\hat{H}^{ZFS}$ ). Assuming that the principal axes of the  $D$ -tensor are identical with the molecular coordinate axes, the only diagonal terms contribute to  $\hat{H}^{ZFS}$ :

$$\hat{H}^{ZFS} = \vec{S} \cdot \vec{D} \cdot \vec{S} = \sum_a \sum_b D'_{ab} \hat{S}_a \hat{S}_b = D'_{xx} \hat{S}_x^2 + D'_{yy} \hat{S}_y^2 + D'_{zz} \hat{S}_z^2$$

Another form of the ZFS-Hamiltonian is achieved by introducing new parameters [Boca, 1999]:  $D = \frac{1}{2}(-D'_{xx} - D'_{yy} + 2D'_{zz})$ ,  $E = \frac{1}{2}(D'_{xx} - D'_{yy})$  and  $K = \frac{1}{3}(D'_{xx} + D'_{yy} + D'_{zz})$ .

Thus, the equivalent form of the  $\hat{H}^{ZFS}$  is  $\hat{H}^{ZFS} = D\left(\hat{S}_z^2 - \frac{1}{3}S(S+1)\right) + E(\hat{S}_x^2 - \hat{S}_y^2) + K\hat{S}^2$ .

Notably that the  $D$  and  $E$  parameters remain unchanged when the same constant term is added (subtracted) to the diagonal elements of the  $D$ -tensor. The ZFS-Hamiltonian shown above transforms to  $\hat{H}^{ZFS} = B_0^0\hat{O}_0^0 + B_2^0\hat{O}_2^0 + B_2^2\hat{O}_2^2$  by using the explicit form of the Stevens operators  $\hat{O}_0^0, \hat{O}_2^0, \hat{O}_2^2$  tabulated in [Abragam, 1970].

Then the parameters  $D, E$  and  $K$  can be expressed through the parameters standing in Eq. (4.2-2) before the Stevens operators:  $D = 3B_2^0$ ,  $E = B_2^2$ ,  $K = -B_0^0$ . The constant  $K\hat{S}^2$  is usually dropped from considerations since it uniformly shifts all the energy levels. The omission of the constant term corresponds to the subtraction of one-third of the trace of the  $D$ -tensor from its diagonal elements

$$\tilde{D}_{ab} = D_{ab} - \frac{1}{3}(D'_{xx} + D'_{yy} + D'_{zz})\delta_{ab}$$

Now the  $D$ -tensor becomes traceless:  $\tilde{D}_{xx} + \tilde{D}_{yy} + \tilde{D}_{zz} = 0$ . Then the ZFS-Hamiltonian can be expressed as follows

$$\hat{H}^{ZFS} = D\left(\hat{S}_z^2 - \frac{1}{3}S(S+1)\right) + E(\hat{S}_x^2 - \hat{S}_y^2) = \tilde{D}_{xx}\hat{S}_x^2 + \tilde{D}_{yy}\hat{S}_y^2 + \tilde{D}_{zz}\hat{S}_z^2$$

Here the zero-field splitting parameters ( $D$  and  $E$ ) are connected to the  $D$ -tensor components by relations:

$$D = \frac{3}{2}\tilde{D}_{zz} = -\frac{3}{2}(\tilde{D}_{xx} + \tilde{D}_{yy})$$

$$E = \frac{1}{2}(\tilde{D}_{xx} - \tilde{D}_{yy})$$

and

$$\tilde{D}_{xx} = -\frac{1}{3}D + E; \quad \tilde{D}_{yy} = -\frac{1}{3}D - E; \quad \tilde{D}_{zz} = \frac{2}{3}D.$$

For practical applications a new parameter  $\lambda = \frac{E}{D}$  is introduced, which is restricted to the interval  $-\frac{1}{3} \leq \lambda \leq \frac{1}{3}$ . The *transverse zfs-parameter*  $E$  is fixed as positive [Boca, 1999]

and the sign of  $\lambda$  determines the sign of the *axial zfs-parameter*  $D$ .

A more general form of the ZFS-operator coincides with the crystal field potential written using the equivalent operators including the higher-order terms:

$$\hat{H}^{ZFS} = \sum_{k=0,2,4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm) = D\left(\hat{S}_z^2 - \frac{1}{3}S(S+1)\right) + E(\hat{S}_x^2 - \hat{S}_y^2) + \sum_{k=4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm) \quad (4.2-3)$$

The spin Hamiltonian terms Eq. (4.2-3) can be guessed from considerations of the crystal field symmetry. Numerical parameters  $B_k^m$  are referred in literature as the spin Hamiltonian-, crystal field- or zero-field splitting parameters depending on the preference of the author. Thus, the spin Hamiltonian Eq. (4.2-3) is a common tool in spectroscopic studies on molecular nanomagnets. As already mentioned, only the ground spin multiplet is relevant at low temperatures for these systems. Therefore, the experimental data analysis is usually performed in terms of the Hamiltonian Eq. (4.2-3) acting on the spin ground multiplet of the magnetic nanocluster; this model is known as the *single-spin Hamiltonian*. A set of the zero-field splitting parameters (ZFSs) results from the successful spectral simulation by means of the single-spin Hamiltonian model:  $D, E, B_k^m$  (for  $k$  and  $m$  allowed by the crystal field symmetry). Thus, the found ZFSs give the quantitative description of the cluster magnetic anisotropy, since they allow reconstructing the zero-field split ground spin multiplet with a high-precision.

### 4.3 Exchange interactions in molecular nanomagnets and the generalized effective spin Hamiltonian model.

The single-spin Hamiltonian model takes into account effective crystal field symmetry of the cluster. The obtained ZFS parameters are expressed in the molecular coordinate system. They reproduce the components of the cluster  $D$ -tensor and therefore the orientations of its principal axes. Obviously, the single-spin Hamiltonian model is not sufficient for analysis of the single-ion contributions into the cluster magnetic anisotropy. In particular the single-ion  $D$ -tensors may have the principal axes that are nonparallel to the cluster coordinate system even in the case of the complex consisting of identical metal ions (see Chapter 8). Thus, different magnetic sites of the molecule may be not equally active by formation of the cluster energy spectrum. Therefore the zero-field microscopic Hamiltonian must be introduced to describe a collective action of the spin centres depending on the way, how the chemical bonding is realized in the complex. In other words it must include all kinds of exchange interactions between paramagnetic ions with unfilled electron shells linked by organic ligands.

The nature of exchange coupling in the transition metal systems was a topic of great interest in inorganic chemistry [Tsukerblat, 1983], [Tsukerblat, 1987], [Bencini, 1990], [Kahn, 1993], [Tsukerblat, 1994],[Boca, 1999]. It was shown that the essence of exchange interaction is the formation of a weak bond between magnetic moments, which may be spread over quite distant centres. There are several types of exchange interactions listed in the Table 4.3-1, however there is no sharp border between them. The superexchange dominates usually in molecular magnets.

**Table 4.3-1** Types of exchange interactions

Type	Nature
Direct exchange	Coupling of localized magnetic moments in insulators through space
Superexchange	Coupling of localized magnetic moments in insulators through diamagnetic groups
Indirect exchange	Coupling of localized magnetic moments in metals with the conduction electrons
Itinerant exchange	Coupling of itinerant electrons in metals
Double exchange	Coupling of two localized magnetic moments through itinerant electron

The expression  $\hat{H} = \sum_{ij} \hat{S}_i \overline{\overline{T}}_{ij} \hat{S}_j$  represents the spin Hamiltonian of pairwise exchange interactions between the spin centres  $S_i$  and  $S_j$ , where  $\overline{\overline{T}}_{ij}$  is Cartesian spin-spin interaction tensor. The relevant contributions to  $\overline{\overline{T}}_{ij}$  are spin-(own) orbit-, spin-other orbit-, electron-electron spin-orbit-, spin-spin dipolar- and spin-spin contact interactions [Boca, 1999]. The spin-spin interaction tensor can be decomposed into its irreducible components. Thus, the microscopic zero-field spin Hamiltonian of a magnetic nanocluster is usually expressed in a quite general form as follows:

$$\hat{H} = -2 \sum_{i < j} J_{ij} \hat{S}_i \cdot \hat{S}_j + \sum_i \hat{S}_i \cdot \overline{\overline{D}}_i \cdot \hat{S}_i + \sum_{i \neq j} \hat{S}_i \cdot \overline{\overline{\Pi}}_{ij} \cdot \hat{S}_j \quad (4.3-1)$$

Here the first term is isotropic Heisenberg exchange interaction<sup>5</sup>,  $J_{ij}$  is the isotropic exchange coupling constant that we discuss also in the Chapter 5.6.

The second term is the symmetric part of the pairwise spin-spin interaction. It represents the single-ion ligand fields (denoted in some publications as the local anisotropy), where  $\overline{\overline{D}}_i$  are single-ion (local) traceless  $D$ -tensors. As we have seen above, each single-ion ligand field term is given by  $\hat{H}_i^{ZFS} = \hat{S}_i \cdot \overline{\overline{D}}_i \cdot \hat{S}_i = D_i \left( \hat{S}_{i,z}^2 - \frac{1}{3} S_i(S_i + 1) \right) + E_i \left( \hat{S}_{i,x}^2 - \hat{S}_{i,y}^2 \right)$ , where  $D_i$  and  $E_i$  are the single-ion ZFS parameters in the single-ion coordinate system. The cluster anisotropy is essentially determined by the single-ion ligand field contributions for many molecular nanomagnets like  $Mn_{12}Ac$  studied by HF-EPR technique [Barra, 1997] and  $Cr_8$  antiferromagnetic ring studied by inelastic neutron scattering [Carretta, 2003] and EPR [van Slageren, 2002].

The last term of Eq. (4.3-1) includes the dipole-dipole interaction, anisotropic intracluster spin-spin interaction and antisymmetric exchange. The anisotropic part of the exchange interaction is expected to be negligible for the systems consisting of the metal ions with orbitally nondegenerate ground states [Guidi, 2004]. Therefore we rewrite the last term of Eq. (4.3-1) as the sum of dipole-dipole interaction and the antisymmetric exchange:  $\sum_{i \neq j} \hat{S}_i \cdot \overline{\overline{\Pi}}_{ij} \cdot \hat{S}_j = \hat{H}^{d-d} + \hat{H}^{AS}$ . Thus, the dipole-dipole interactions are described

with the operator:  $\hat{H}^{d-d} = \sum_i \sum_{j \leq i} \hat{S}_i \cdot \overline{\overline{D}}_{ij} \cdot \hat{S}_j$ , where  $\overline{\overline{D}}_{ij}$  are the pair-interaction  $D$ -tensors.

The dipole-dipole interaction was found to be responsible for more than 60% of the total anisotropy in  $Mn(II)$ -[3x3] grid [Guidi, 2004].

The antisymmetric exchange (AS) was initially introduced to explain the weak ferromagnetism of mainly antiferromagnetic crystals like  $\alpha$ - $Fe_2O_3$  by Dzialoshinski [Dzialoshinski, 1958]. Later Moriya has given a detailed analysis of its origin [Moryia,

<sup>5</sup> There is a disagreement in literature about the prefactor for the isotropic exchange term. The isotropic exchange Hamiltonian is assumed as  $\hat{H}^{ISO} = -2 \sum_{i < j} J_{ij} \hat{S}_i \cdot \hat{S}_j$  throughout the text of this PhD thesis



1960]. This term is expressed by the vector product  $\vec{G}_{ij} \left[ \hat{S}_i \times \hat{S}_j \right]$ , where  $\vec{G}_{ij}$  is a constant vector for a pair of spins  $\vec{S}_i, \vec{S}_j$ . Moryia has noted for the first time that this expression is the antisymmetric part of the most general expression for bilinear spin-spin interaction. He has also shown that some components of the symmetric and antisymmetric coupling tensors vanish because of the crystal symmetry. We present the rules for selection of the  $\vec{G}_{ij}$ -vector orientation in Section 8.4. They follow from the crystal (molecular) symmetry and essentially simplify the calculations. In the case of high-nuclearity clusters the AS-exchange interaction is given by the sum  $\hat{H}^{AS} = \sum_i^N \sum_{j \leq i}^N \vec{G}_{ij} \left[ \hat{S}_i \times \hat{S}_j \right]$ , where  $\vec{G}_{ij}$  are antisymmetric exchange parameters and  $\left[ \hat{S}_i \times \hat{S}_j \right]$  is a vector product. The physical origin of the vector  $\vec{G}_{ij} = \vec{G}_i - \vec{G}_j$  is the admixture of excited states into the ground state through spin-orbit coupling (for overview see [Owen, 1972], [Tsukerblat, 1987]). The publication [Yoon, 2004] is one of the latest works devoted to the role of antisymmetric exchange in the trimeric Cu(II) cluster. We recommend [Yoon, 2004 and refs therein] as a good tutorial for readers interested in understanding of the orbital origin of the antisymmetric exchange and in analysis of the AS-exchange contribution into the spectroscopic (magnetic circular dichroism and powder/single-crystal EPR) observation of the zero-field splitting. There it was shown that the AS-exchange is the largest non-Heisenberg term available for trinuclear Cu(II)-systems.

Summarizing all said above, we can imagine the zero-field Hamiltonian of a molecular nanomagnet consisting of N spin centres as an interplay of the relevant terms: the dominant isotropic Heisenberg exchange and non-Heisenberg terms (i.e. single-ion ligand fields, dipole-dipole interactions and antisymmetric exchange). We shall use this Hamiltonian for calculations on a Ni<sub>4</sub>-cluster (s. Chapter 8). There the dipole-dipole interactions can be omitted, since their contribution into the cluster anisotropy is much weaker than the contribution arising from the AS-exchange and the single-ion ligand fields. Therefore, we rewrite the ZFS-Hamiltonian as the sum of three terms: isotropic exchange, local anisotropy and antisymmetric exchange:

$$\hat{H} = -2 \sum_i^N \sum_{j \leq i}^N J_{ij} \hat{S}_i \cdot \hat{S}_j + \sum_i^N \left\{ D_i \left( \hat{S}_{i,z}^2 - \frac{1}{3} S_i(S_i + 1) \right) + E_i \left( \hat{S}_{i,x}^2 - \hat{S}_{i,y}^2 \right) \right\} + \sum_i^N \sum_{j \leq i}^N \vec{G}_{ij} \left[ \hat{S}_i \times \hat{S}_j \right]$$

(4.3-2)

The non-Heisenberg terms are small relatively to the Heisenberg one and can be considered in a perturbation way for exchange-coupled systems. Nevertheless, they play a crucial role in understanding the experimental observations on molecular nanomagnets.

The spin-spin interaction is not necessary restricted to quadratic terms in the spin operators, [Borras-Almenar, 1999][Waldmann, 2005]. Nevertheless, we exclude the higher-order terms (like biquadratic exchange) from considerations for convenience, since

their influence on the energy spectrum of Ni<sub>4</sub>-cluster (Chapter 8) is negligible in comparison to the quadratic terms.

The microscopic Hamiltonian of exchange-coupled compound can be expressed in terms of irreducible-tensor operators. Then it is called the *generalized effective spin Hamiltonian* operating in the spin space of the whole system. It has the following general form [Tsukerblat, 1983], [Tsukerblat, 1987], [Bencini, 1990], [Tsukerblat, 1994], [Borras-Almenar, 1999]:

$$\hat{H} = \sum_{k_1 k_2 \dots k_N} \sum_{\tilde{k}_1 \dots \tilde{k}_{N-1}} \sum_{kq} C_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k) \times \hat{T}_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k) \quad (4.3-3)$$

where  $C_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k)$  are adjustable parameters that originate from the practical physical behavior of the system (they are related to the isotropic exchange coupling parameters  $J_{ij}$ , to the single-ion crystal field parameters  $D_i, E_i$  and to the parameters of antisymmetric exchange  $\vec{G}_{ij}$  etc.).  $\hat{T}_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k)$  is the  $q^{\text{th}}$  component of the irreducible tensor operator of the order (rank)  $k$  composed from the ITOs acting in the space of the individual spins [Borras-Almenar, 1999]:

$$\begin{aligned} \hat{T}_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k) = \\ = \left\{ \left\{ \dots \left\{ \left[ \hat{T}^{(k_1)}(S_1) \otimes \hat{T}^{(k_2)}(S_2) \right]^{(\tilde{k}_1)} \otimes \hat{T}^{(k_3)}(S_3) \right\}^{(\tilde{k}_2)} \dots \otimes \hat{T}^{(k_{N-1})}(S_{N-1}) \right\}^{(\tilde{k}_{N-1})} \otimes \hat{T}^{(k_N)}(S_N) \right\}_q^{(k)} \end{aligned}$$

where  $\otimes$  is a tensor product,  $k_i$  are the ranks of ITOs acting in the space of individual spins  $S_i$  ( $k_i = 0, 1, \dots, 2S_i$ ),  $\tilde{k}_i$  are the ranks of (intermediate) ITOs obtained by coupling of spin angular momenta in the cluster. Obviously, the concrete values of the ranks  $\tilde{k}_i$  depend on the nature of interaction represented by ITO and the coupling scheme chosen for the concrete cluster. The number of various coupling schemes increases extremely with increasing number of spins centres constituting the cluster (s. Chapter 3.3). Nevertheless, the spin states obtained from either of the schemes valid for the cluster can be expressed as linear combinations of the states obtained using other schemes. Therefore, the result of calculation of the matrix elements Eq. (4.3-3) does not depend on the chosen coupling scheme. The coupled spin states are usually labelled as

$$|\alpha S; M_S\rangle \equiv |S_1 S_2 \dots S_N \tilde{S}_1 \tilde{S}_2 \dots \tilde{S}_{N-1} S; M_S\rangle$$

where  $S_i$  are the spins of magnetic centres constituting the cluster,  $\tilde{S}_i$  are intermediate spin values obtained by coupling the spins according the rules of angular momentum

addition,  $S$  is the total spin value of the spin multiplet,  $M_s$  is the corresponding magnetic quantum number.

The matrix elements of the generalized spin Hamiltonian Eq. (4.3-3) are calculated using the Wigner-Eckart theorem (s. Chapter 3). The calculation of the many-electron spin states  $|\alpha S; M_s\rangle \equiv |S_1 S_2 \dots S_N \tilde{S}_1 \tilde{S}_2 \dots \tilde{S}_{N-1} S; M_s\rangle$  is **not needed** for evaluation of the matrix elements of Eq. (4.3-3) by using the ITO method. That is the main advantage of the generalized spin Hamiltonian model in comparison to the Density Functional Theory (DFT) calculations. If the chain of the individual and intermediate spin values is known, the matrix elements of the exchange Hamiltonian are easily evaluated by application of the Wigner-Eckart theorem:

$$\langle \alpha' S'; M' | \hat{T}_q^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k) | \alpha S; M \rangle = \frac{(-1)^{2k}}{\sqrt{2S'+1}} C_{SMkq}^{S'M'} \langle \alpha' S' | R | \alpha S \rangle$$

(4.3-4)

where  $\langle \alpha' S' | R | \alpha S; M \rangle = \langle \alpha' S' | \hat{T}^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k) | \alpha S \rangle$  is the reduced matrix element of the compound ITO  $\hat{T}^{(k)}(k_1 k_2 \dots k_N \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_{N-1} k)$ . It is calculated for the coupled spin states  $|\alpha S\rangle$  and  $|\alpha' S'\rangle$  and does not depend on the magnetic quantum numbers. The last fact allows a significant reduction in calculation time in the full Hilbert space. We present the explicit formulas used for evaluation of the Hamiltonian matrix elements Eq. (4.3-3) with help of Eq. (4.3-4) in the Section 8.3, since there are fine disagreements in some formulas for calculation of the energy spectrum of high-nuclearity spin clusters by ITO method presented in the publications of the leading groups in this field: Tsukerblat/Gatteschi/Boca (the value of some parameters equal to 0 or 1 and their sign significantly influences the result of calculations).

The complete energy spectrum and the spin states are obtained by diagonalization of the Hamiltonian matrix Eq. (4.3-3) calculated by using Eq. (4.3-4). The dimension of Hamiltonian matrix grows enormously with increasing number of spin centres and spin quantum numbers. Therefore its exact diagonalization becomes a difficult task even for modern computers. There are two ways to overcome the problem. The block factorizing of the Hamiltonian matrix can be achieved by using the symmetry adapted wave functions [Waldmann 2000 and references therein]. Another way (presented in this thesis) requires the group-theoretical classification of the exchange-coupled multiplets. It allows the pre-selection of the dominant terms of the generalized effective spin Hamiltonian suitable for the cluster under study. The Hamiltonian matrix Eq. (4.3-3) contains well defined blocks, if it is calculated by Eq. (4.3-4) in the basis of states  $|\alpha S M_s\rangle$  with the total spin value  $S$  varying subsequently in the range allowed by the rules of angular momenta addition and  $M_s = -S \dots + S$ . The spin manifolds  $|\alpha S\rangle$  are characterized by representations of the full rotation group that can be reduced to the representations of the cluster point group. The cluster point group representations linked to the spin states  $|\alpha S\rangle$  and  $|\alpha' S'\rangle$  allow a-priori analysis of the structure of the Hamiltonian matrix block calculated in the

basis  $|\alpha S\rangle, |\alpha' S'\rangle$ , i.e. to determine, whether or not the block will be filled and where are the positions of the non-zero elements of the filled block. Since the symmetry aspects play an important role by modelling of spectroscopic data in terms of the generalized effective spin Hamiltonian model, we devote the next chapter to the results of group representation theory that were put in use in Chapter 8.

## Group theoretical classification of exchange-coupled multiplets

Two kinds of spin Hamiltonians were introduced in the previous chapter to describe the properties of molecular nanomagnets. One of the requirements for any spin Hamiltonian is its invariance under point symmetry of the molecule: its explicit form follows directly from the symmetry considerations. In the Chapter 8 we show that the group theoretical classification of exchange-coupled multiplets allows an a priori identification of the dominant non-Heisenberg interactions responsible for the removing the degeneracy of the energy multiplets in molecular magnets, i.e. the dominant physical mechanisms leading to the formation of the cluster magnetic anisotropy. In this chapter we summarize the most important facts needed for practical application of group-theory to the classification of the splittings of atomic levels and exchange-coupled multiplets in crystal fields. We try to give explanations using a “down-to-earth” approach in a manner understandable for non-theoreticians. For further details we refer the reader to the textbooks [Rosen, 1995], [Heine, 1960], [Tinkham, 1964], [Jones, 1998], [Inui, 1990], [Burns, 1977], [McWeeny, 2002], [Butler, 1981].

### 5.1 The role of the group theory in modeling of spectroscopic data on molecular magnets

In this chapter we show why group theory is important for modeling of spectroscopic data on molecular magnets and how to paraphrase the requirements for the spin Hamiltonian from the group-theoretical point of view.

Any kind of spectroscopy is dealing with interaction of molecules with electromagnetic wave that leads to absorption or emission of the photons. In the case of magnetic resonance technique (see Section 4.2), the resonant absorption of microwave radiation by electron spins of the molecule is observed experimentally as spectral lines induced by magnetic-dipole transitions. In the context of molecular magnets these magnetic excitations take place within the zero-field split exchange-coupled spin multiplets. The quantum-mechanical operator characterizing the electron-photon interaction leading to a magnetic-dipole transition is given by  $\hat{V}^{e-ph} = \vec{u} \cdot \vec{M}$  [Tsukerblat, 1994], where  $\vec{u}$  is the magnetic polarization vector and  $\vec{M}$  is magnetic moment operator. It can be expressed by  $\vec{M} = -\frac{e\hbar}{2mc} \sum_i (\hat{l}_i + 2\hat{s}_i)$ , where  $\hat{l}_i$  and  $\hat{s}_i$  are the orbital and spin angular momenta of  $i$ th electron and the sum is carried out over all electrons of the molecule,  $\hbar$  is Planck’s constant,  $e$  and  $m$  are the charge and mass of the electron and  $c$  is the velocity of light. The spectral line positions and selection rules of spectroscopic

transitions are determined by the difference  $\varepsilon_f - \varepsilon_i$  and the matrix elements  $\langle \varepsilon_f | \hat{V}^{e-ph} | \varepsilon_i \rangle$ , respectively, where  $\varepsilon_f$  and  $\varepsilon_i$  are the energy levels of final and initial states. The group representation theory is used in order to characterize the energy levels of molecule (see Section 5.2). Each energy level can be identified by irreducible representations of the molecule point group, i.e the irreducible representations  $\Gamma_f$  and  $\Gamma_i$  of final and initial states, respectively. Thus, intensity of the spectral line is proportional to the matrix element  $\langle \Gamma_f | \hat{V}^{e-ph} | \Gamma_i \rangle$ . It is finite for allowed- and zero for forbidden transitions. Here, the operator of electron-photon interaction  $\hat{V}^{e-ph}$  has transformation properties under rotation of the coordinate system reflected in a certain representation  $\Gamma$ . In the case of magnetic dipole transitions it has the same transformation properties as the electron orbital and spin angular momentum operators. Now we introduce an important theorem (without proof) for determination of the selection rules of the operator  $\hat{V}^{e-ph}$ : the matrix of  $\hat{V}^{e-ph}$  contains non-zero elements if the direct product of three irreducible representations  $\Gamma_f \times \Gamma \times \Gamma_i$  contains the fully symmetric irreducible representations of the molecule point group A (or  $\Gamma_1$ ). Obviously, in the context of molecular magnetism  $\Gamma_f$  and  $\Gamma_i$  are irreducible representations that refer to exchange-coupled multiplets of a high-nuclearity spin cluster, whose crystal field symmetry is characterized by a certain point group.

Summarizing all said above, we can rewrite the requirements for the spin Hamiltonian (see Chapter 4) of a high-nuclearity cluster characterized by a given point group as follows: the eigenfunctions of the spin Hamiltonian belonging to a degenerate level form a basis for an irreducible representation of the cluster point group. The degeneracy of the energy multiplet is equal to the dimension of this representation. Besides the requirement to be invariant under time reversal, the spin Hamiltonian must be invariant under all symmetry operators of the cluster point group. Thus, in order to construct the spin Hamiltonian valid for the cluster, we must find in the character table (see Section 5.2) all possible types of functions that transform according to the fully symmetric representation of the cluster point group and their quadratic terms (or terms of higher even degree). All those terms that do not change the sign under the time reversal must be included into the spin Hamiltonian.

Thus, the type of irreducible representation and the total spin value of the exchange-coupled multiplet predefine unambiguously the explicit form of the spin Hamiltonian acting within this multiplet, the splitting of energy manifolds and the probabilities of experimentally observed spectroscopic transitions.

## 5.2 Representations and characters

The concept of “representation” was already indirectly introduced and the problem of irreducibility versus reducibility was also briefly discussed in Chapter 3. Representations are simply the matrices describing transformation of operators under rotations. In the context of the crystal field theory we mean with rotations the symmetry operations of a point group characterizing the crystal field. It turns out that the irreducible representations (degenerate energy levels) can be classified (by characters) and therefore enumerated.

The character of a representation  $D$  of a group  $G$  is defined as the set of  $\chi = \{\chi(g) | g \in G\}$ , where  $\chi(g)$  is the trace of the representation matrix  $D(g)$ , i.e.  $\chi(g) = \text{Tr}[D(g)]$ , which defines the symmetry operator  $g$  belonging to the group  $G$ . The characters of 32 point groups are tabulated for all possible irreducible representations in the character tables [Koster, 1963]. A representation of dimension  $n+m$  is said to be

reducible if  $D(g)$  takes the form  $D(g) = \begin{pmatrix} A(g) & C(g) \\ O & B(g) \end{pmatrix}$ ,  $\forall g \in G$ , where  $A, C$  and  $B$  are

submatrices of dimensions  $m \times m$ ,  $m \times n$  and  $n \times n$  respectively.  $O$  denotes the null matrix of dimension  $n \times m$ . If the matrix  $C$  is equal to the null matrix, then the representation is said to be completely reducible or decomposable and we

write  $D(g) = A(g) \oplus B(g) = \begin{pmatrix} A(g) & O \\ O & B(g) \end{pmatrix}$ .

It may be that the representations  $A$  and  $B$  are themselves decomposable; in this case it is natural to continue the process, which will be terminated when we reach the level of irreducible representation, namely representations which can not be further reduced.

For a finite group, any reducible representation ( $D(g) \equiv D$ ) is completely reducible into a direct sum of irreducible representations, i.e.  $D(g_\nu) \equiv D^{(\nu)}$ , where  $\nu$  is an integer counter that identifies various irreducible representations of the finite group. The representation matrices can be cast in block diagonal form, where the non-zero diagonal blocks are matrices of irreducible representations. A given irreducible representation may appear more than once in this decomposition. In the general case the decomposition is written  $D = \sum_{\oplus} a_\nu D^{(\nu)}$ . Here  $a_\nu$  denotes the number of times the irreducible representation

$D^{(\nu)}$  appears in the decomposition. The coefficients  $a_\nu$  can be found using the formula for reduction of representations (Section 5.3). It is of principal importance for the classification of atomic states split in crystal fields by perturbation as well as for the classification of zero-field split multiplets of magnetic nanoclusters.

### 5.3 Splitting of energy levels by a perturbation; formula for reduction of representations

If the symmetry of a perturbation  $\hat{H}_1$  applied to an original Hamiltonian  $\hat{H}_0$  (characterized by the symmetry group  $G_0$ ) is not lower than the symmetry of  $\hat{H}_0$ , then the perturbed Hamiltonian  $\hat{H}_0 + \hat{H}_1$  has the same symmetry as  $\hat{H}_0$ . In this case the symmetry group stays unchanged and the eigenfunctions of the Hamiltonian remain the basis for the same irreducible representation. The perturbation causes only a shift of the energy levels and leaves the degeneracy unchanged.

When  $\hat{H}_1$  has lower symmetry than  $\hat{H}_0$ , then the symmetry group of the perturbed Hamiltonian  $G$  is a subgroup of the original symmetry group  $G_0$ . Such a perturbation of lower symmetry can lift the degeneracy.

Consider a degenerate unperturbed level that forms an irreducible representation  $\Gamma_0$  of the group  $G_0$ . Under a small perturbation  $\hat{H}_1$ , the wavefunctions belonging to this level form the basis for a representation of the subgroup  $G$ . The representation  $\Gamma_0$  may be irreducible as the representation of  $G_0$ , but it is not necessarily irreducible under its subgroup  $G$ . Regarding  $\Gamma_0$  as a representation of the subgroup  $G$  and reducing it into irreducible representations of  $G$ , we can find the level splitting. If the result of this, the reduction  $\Gamma_0 \rightarrow \Gamma_\alpha + \Gamma_\beta + \dots$  contains  $n$  irreducible representations, the irreducibility requirement tell us that the level is split into  $n$  sublevels. The number of times the irreducible representation  $\Gamma_i$  with  $i = \alpha, \beta, \dots$  appears in the decomposition  $\Gamma_0$  is calculated as follows:  $a_\nu = \frac{1}{[G_0]} \sum_{\hat{R}} \chi^{(\Gamma_0)}(\hat{R}) \chi^{(\Gamma_\nu)}(\hat{R})$ . Here the order of the group  $G_0$  is denoted as  $[G_0]$ ,  $\chi^{(\Gamma_0)}(\hat{R})$  is the character of rotations  $\hat{R}$  in the reducible representation  $\Gamma_0$  of  $G_0$ ,  $\chi^{(\Gamma_\nu)}(\hat{R})$  is a character of the irreducible representation  $\Gamma_\nu$  of  $G$  for the operation  $\hat{R}$ . The summation is performed over all rotations  $\hat{R}$  constituting the lower symmetry group  $G$ .

### 5.4 Clebsch-Gordan series

The concept of the *Clebsch-Gordan series* is very important in physical applications of representation theory. It gives the decomposition of the direct product of two irreducible representations with certain multiplicities respective to some symmetry group. This is the same as the problem of addition of angular momentum in quantum mechanics, where one is dealing with the algebra of operators  $\vec{J}$  rather than with the group itself. The addition of two angular momenta with eigenvalues  $j_1$  and  $j_2$  gives all  $j$  values spaced integrally between  $j_1 + j_2$  and  $|j_1 - j_2|$ . In terms of the groups this means:  $D^{(j_1)} \otimes D^{(j_2)} = \sum_{|j_1 - j_2|}^{j_1 + j_2} \oplus D^{(j)}$ .



Thus, the exchange-coupled multiplets of molecular magnets can be characterized by irreducible representations of the full rotation group, i.e. the energy spectrum of molecular magnet in the full Hilbert space is given by a Clebsch-Gordan series describing the coupling of spin angular momenta localized at different sites of the magnetic cluster, see also Chapter 8.

## 5.5 Projection operators

The projection operator method is used to find the one-to-one correspondence between the states  $|\alpha; SM_S\rangle$  (see Section 4.3) constituting the energy  $S$  – manifold of a molecular magnet and the irreducible representation of the cluster point group describing this  $S$  – multiplet. This method is also of importance for construction of the symmetry adapted basis functions for a given matrix  $D^{(\alpha)}$  of an irreducible representation. As mentioned above, the basis functions  $\psi_m^{(\alpha)}$  of an irreducible representation  $D^{(\alpha)}$  of a group  $G$  are transformed as  $\psi_{nlm}^{(\alpha)'} \equiv R\psi_{nlm}^{(\alpha)} = \sum_{m'} \psi_{nlm'}^{(\alpha)} D_{mm'}^{(\alpha)}(R)$  by symmetry operations  $\hat{R}$  belonging to

$G$ . Thus, the projection operator is defined by  $P_{l(m)}^{(\beta)} = \frac{d_\beta}{[G]} \sum_R D_{lm}^{(\beta)}(R)^* R$ , where  $[G]$  is the order of the group  $G$ .

In many applications, the diagonal projection operators  $P_{l(l)}^{(\beta)}$  are used, where the projection operator is constructed using the characters  $\chi^{(\beta)}(\hat{R})$  of the rotations in the  $\beta$  - irreducible representation  $P^{(\beta)} \equiv \sum_l P_{l(l)}^{(\beta)} = \frac{d_\beta}{[G]} \sum_{\hat{R}} \chi^{(\beta)}(\hat{R})^* \hat{R}$ ,  $d_\beta$  is the dimension of the  $\beta$  - irreducible representation. The application of the projection operator  $P^{(\beta)}$  to the state  $|\alpha; SM_S\rangle$  reproduces it, if the state is characterized by  $\beta$  - irreducible representation; if not  $P^{(\beta)}|\alpha; SM_S\rangle = 0$ . Thus, the symmetry adapted wavefunctions are the states  $|\alpha; SM_S\rangle$  that satisfy the condition  $P^{(\beta)}|\alpha; SM_S\rangle = |\alpha; SM_S\rangle$  (see Chapter 8).

## 5.6 Group-theoretical classification of exchange coupled multiplets

We start this section with a short summary in order to avoid a jump in the logic and link Section 5.6 to all the previous chapters of this thesis. Our aim is to apply the projection operator method for group-theoretical classification of energy levels of a multinuclear system, i.e. a system of several ions with unfilled electronic shells coupled by chemical bonding (by exchange interactions). Our goal is to introduce the connection between the wavefunctions  $|\alpha; SM_S\rangle$  built in the spin basis and the Heitler-London wavefunctions known in quantum chemistry and adapt the states  $|\alpha; SM_S\rangle$  to the cluster point group.

The concept of exchange coupling was born in the Heitler-London theory of the chemical bond [Heitler, 1927]. Later it was applied by Heisenberg in his theory of

ferromagnetism (for review see [Chatterjee, 2004]) and formulated in terms of the spin vectors by van Vleck [van Vleck, 1932]. Thus, the so called Heisenberg-Dirac-van Vleck model was developed. It describes the isotropic exchange coupling in a system of  $N$  atoms with spins  $S_1, S_2 \dots S_N$  without orbital degeneracy. There it was assumed that the system has a group of  $v$  low lying energy levels, which is well separated from excited states. In absence of spin-orbit terms in the Hamiltonian, these low lying states can be chosen as eigenstates of the total spin variables  $S^2$  and  $S_z$ . Moreover, each such state can be put into one-to-one correspondence with the state of a system of completely separated atoms having the same  $S^2$  and  $S_z$  and having a similar distribution of spin density on the various atoms. That means that the subspace spanned by  $v$  lowest eigenstates is isomorphic to the spin space of  $N$  atomic spins. Thus, the Hamiltonian constructed in the space of  $v$  eigenvectors is equivalent to a constant plus a certain effective Hamiltonian acting in the spin space, i.e. the spin Hamiltonian, possible explicit forms of which were introduced in Chapter 4. The effective spin Hamiltonian describing the isotropic exchange coupling in the high-nuclearity spin cluster is given by the first term of Eq.(4.3-1):

$$\hat{H}^{ISO} = -2 \sum_{i < j} J_{ij} \hat{S}_i \cdot \hat{S}_j . \quad (5.6-1)$$

Nevertheless, Eq. (5.6-1) can be derived on the basis of the Heitler-London approximation. There it is assumed that the antisymmetrized products of single-atom functions (see below) provide a complete basis of the  $v$ -space. Calculations show [Herring, 1962] that the spin Hamiltonian Eq.(5.6-1) is an asymptotic approximation of the expression obtained by the Heitler-London method, with the coefficients  $J_{ij}$  given explicitly as two-atom exchange integrals. Thus, the isotropic exchange coupling constants  $J_{ij}$  for an  $N$ -atom problem can be *a priori* calculated as a two-atom problem. It is a task of quantum chemistry. The current state of the density functional theory studies on molecular magnets is described in [Postnikov, 2004]. Finally, it is intuitively clear that the wavefunctions built in the spin basis  $|\alpha; SM_s\rangle$  must be related to the antisymmetrized products of single-atom functions.

In fact, the many-electron wavefunction  $|\psi(1, \dots, n)\rangle$  of a system constituted from  $n$  electrons consists of the product of  $n$  one-electron wavefunctions, i.e. the spin-orbitals [Harriman, 1978],[Tsukerblat, 1994],[Boca, 1999]. We denote the non-degenerate  $i^{\text{th}}$  spin-orbital of the  $k^{\text{th}}$  electron as  $|\varphi_i(k)\rangle$ . It can be separated into spatial and spin parts. By definition, the many-electron wavefunction has the following characteristics.

- Electrons are indistinguishable. Therefore all permutations of electrons within the given set of occupied spin-orbitals are possible.
- The wavefunction of the system with half-integral spin (like electrons) is antisymmetric: interchange of two particles coordinates changes the sign of the wavefunction.

- The many-electron wavefunction must be normalized to unity. The normalized many-electron wavefunction is described by the Slater determinant:

$$|\psi(1,\dots,n)\rangle = \frac{1}{\sqrt{n!}} \begin{vmatrix} \varphi_1(1) & \varphi_2(1) & \dots & \varphi_n(1) \\ \varphi_1(2) & \varphi_2(2) & \dots & \varphi_n(2) \\ \dots & \dots & \dots & \dots \\ \varphi_1(n) & \varphi_2(n) & \dots & \varphi_n(n) \end{vmatrix}.$$

Different antisymmetric functions  $|\psi_1(1,\dots,n)\rangle, |\psi_2(1,\dots,n)\rangle, \dots, |\psi_p(1,\dots,n)\rangle$  are possible for a many-electron system, if two projections of electron spin are taken into account ( $s_z = \pm 1/2$ ). Therefore the true eigenvectors of an exchange-coupled cluster  $|\alpha S; M_s\rangle$  satisfying the Pauli principle must be given by a linear combination of  $|\psi_1(1,\dots,n)\rangle, |\psi_2(1,\dots,n)\rangle, \dots, |\psi_p(1,\dots,n)\rangle$ , i.e. there is a link between the states  $|\alpha S; M_s\rangle$  and the products of Slater determinants. Group representation theory gives an answer, how to find this link using the properties of symmetric (permutational) groups mentioned in the Chapter 2.4.

The symmetric (permutational) group  $S_n$ <sup>6</sup> is defined as the group of all permutations on  $n$  symbols (for details see [Hamermesh, 1989]). There are many systems in mathematics, physics and chemistry described by the symmetric groups. In mathematics, the tensors can be classified into irreducible sets with respect to any group of linear transformations in  $n$  dimensions, as soon as the representations of the symmetric groups are known. In physics, we are often dealing with systems of  $n$  identical particles with the Hamiltonian which is invariant under interchange of the particles (e.g. high-nuclearity spin clusters). *The method of treating the symmetric groups* suggested F.Hund [Hund,1927] is usual in physics and chemistry. The basic point of this method is *connected with the behavior of the eigenfunctions of the Hamiltonian under permutations of the particle coordinates*. If  $\psi(1,\dots,n)$  belongs to a given eigenvalue of the Hamiltonian, then any one of the  $n!$  permutations of the particle coordinates will yield an eigenfunction belonging to the same energy. By continuous permutations of pairs of particles in  $\psi$  one can construct the functions that are symmetric (antisymmetric) in larger sets of particles. For this aim the so called Young operators/Young tableaux are used, which are out of the scope of this thesis; the interested reader is referred to [Hamermesh, 1989]. Nevertheless, it is important to mention that the product wavefunctions (product of the single-particle states) of a given symmetry can be constructed by using the Young operators. Obviously, the constructed many-electron wavefunctions of the high-nuclearity cluster must “feel” the symmetry of the ligand environment surrounding the spin centers. In other words, they must be adapted to the cluster point group.

The general group-theoretical procedure was given in [Tsukerblat, 1983], [Tsukerblat, 1987] for classification of the energy levels of a high-nuclearity spin cluster under the given point group. It uses the mathematics of the unitary groups<sup>7</sup>. Below we try to explain the results of this method in a simple manner.

<sup>6</sup> It is not the same as a point group

<sup>7</sup> Unitary group is represented by an unitary matrix, i.e. the complex conjugate of the matrix gives its inverse.

We consider an exchange-coupled cluster consisting of  $n$  spin centers:  $s_i, i=1 \dots n$ . The cluster is described by the point group  $G$  with the irreducible representations  $\{\Gamma_j\}$ ,  $j = \beta, \gamma \dots$ . Coupling of the spin angular momenta gives rise to the energy spectrum consisting of more than one manifold (the number of manifolds is given by  $K, K > 1$ ). Each manifold is characterized by the total spin value  $S$  (allowed by the rules of angular momenta addition) and therefore contains  $2S+1$  sublevels in accordance to the magnetic quantum numbers  $M_S$ . The wavefunction of each sublevel of  $S$ -manifold is labeled by  $|\alpha S; M_S\rangle$ . Here  $\alpha$  indicates the set of additional quantum numbers arising in the chosen coupling scheme:  $\alpha = \tilde{S}_1, \tilde{S}_2, \dots, \tilde{S}_{N-1}, \tilde{m}_2, \dots, \tilde{m}_{n-1}$ , where the successive coupling scheme of spins and their projections is given by chains:  $S_1 + S_2 = \tilde{S}_1$ ,  $m_1 + m_2 = \tilde{m}_2$ ;  $\tilde{S}_1 + S_3 = \tilde{S}_2$ ,  $\tilde{m}_2 + m_3 = \tilde{m}_3$ ;  $\dots$ ,  $\tilde{S}_{n-1} + S_n = S$ ,  $\tilde{m}_{n-1} + m_n = M_S$ . The state  $|\alpha S; M_S\rangle$  is the many-electron wavefunctions of the real (“physical”) Hamiltonian of the high-nuclearity system, which involves the kinetic energy of electrons, inter- and intra-atomic interactions (i.e. the Heitler-London states). The wavefunctions of a given total spin value  $S$  can be expressed in terms of linear combinations of Slater determinants [Borras-Almenar, 1999]:

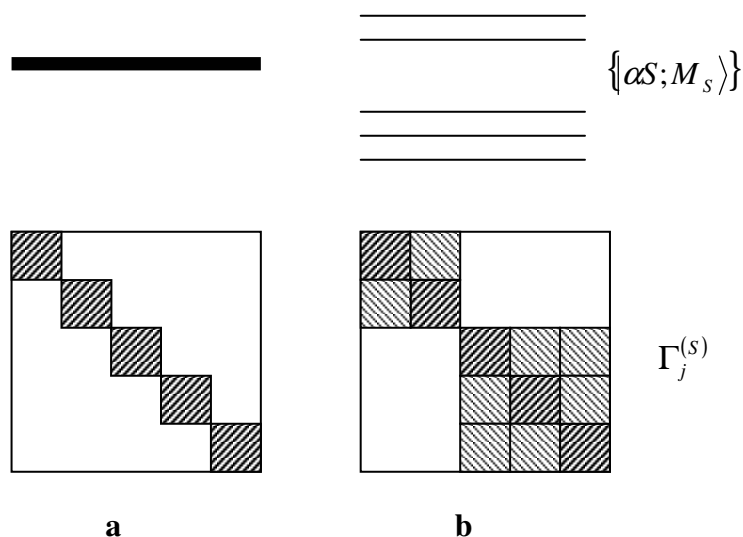
$$|\alpha; SM_S\rangle = \sum_{m_1 m_2 \dots m_n} C_{S_1 m_1 S_2 m_2}^{\tilde{S}_1 \tilde{m}_1} C_{\tilde{S}_2 \tilde{m}_2 S_3 m_3}^{\tilde{S}_3 \tilde{m}_3} \dots C_{\tilde{S}_{n-1} \tilde{m}_{n-1} S_n m_n}^{SM_S} |m_1 m_2 \dots m_n\rangle \quad (5.6-2)$$

here the expressions  $C_{j_1 m_1 j_2 m_2}^{j m}$  are the Clebsch-Gordan coefficients introduced in the Section 3.3. If a many-electron wavefunction  $|\alpha S; M_S\rangle$  belongs to a given eigenvalue of the Hamiltonian:  $E_S$ , then any one of the  $n!$  permutations of the particle coordinates will yield an eigenfunction belonging to the same energy. Therefore all products of the single-particle states correspond to the same energy  $E_S$ . Since the isotropic exchange Hamiltonian is symmetric relative to interchanges of identical particles, its wavefunctions will be transformed according to irreducible representations of the *permutation (symmetric) group* of the cluster. At the same time, the irreducible representations labeling the energy levels of the system, which consists of many identical particles, can be expressed through the irreducible representations  $\{\Gamma_j\}$ ,  $j = \beta, \gamma \dots$  of the *point group*  $G$  characterizing the cluster. On the other hand, the energy spectrum of exchange-coupled cluster is described by the Clebsch-Gordan series valid for the cluster under consideration. That means that the energy multiplets can be classified by irreducible representations of the *full rotation group*, which can be reduced (decomposed) to irreducible representations of the *cluster point group*. This decomposition is given by

$$D^{(s_1)} \otimes D^{(s_2)} \otimes \dots \otimes D^{(s_n)} = \sum_{S,j} n_{S,j} \Gamma_j^{(S)},$$

where  $D^{(s_i)}$  is an irreducible representation of the full rotation group for the individual spins  $s_i$ ,  $\otimes$  indicates the direct product,  $\Gamma_j^{(S)}$  is the  $j$ -irreducible representation of the point group  $G$  that characterizes the multiplet with the total spin value  $S$ ;  $n_{S,j}$  is the number of *identical* irreducible representations  $\Gamma_j^{(S)}$  describing *different* multiplets with one and the same total spin value  $S$  (and different  $\alpha$ ). This decomposition is reflected in the structure of the Hamiltonian matrix: it will be blocked according to the total spin

value  $S$ , its projection  $M_S$  and the irreducible representations  $\{\Gamma_j\}$ ,  $j = \beta, \gamma \dots$  of the cluster point group. The structure of each block is illustrated in Figure 5.6-1 for degenerate (Figure 5.6-1a) and non-degenerate (Figure 5.6-1b) multiplets.



**Figure 5.6-1**  
The  $(2S+1)$ -degenerate multiplet  $\{\alpha S; M_S\}$  is represented by the strictly diagonal matrix of the dimension  $(2S+1) \times (2S+1)$  with equal diagonal elements (a). Removing the degeneracy of the energy multiplet is reflected in non-zero off-diagonal terms of the representation matrix (b). In both cases (a) and (b) the block matrix is constructed by using the wavefunctions of one of the irreducible representations of the cluster point group  $G: \Gamma_j^{(S)}$ .

The projector operator method is used to obtain the symmetry-adapted wavefunctions. In fact, each operator  $\hat{R}$  of the point group  $G$  applied to the spin states  $|\alpha; SM\rangle$  gives rise to permutations of the orbitals in the Slater determinants and mixes the states  $|\alpha; SM\rangle$  with different  $\alpha$  mapped to one and the same  $SM$  space:

$$\hat{R}|\alpha; SM\rangle = \sum_{\alpha'} D_{\alpha'\alpha}(\hat{R})|\alpha'; SM\rangle$$

where  $D_{\alpha'\alpha}(\hat{R})$  are the matrix elements of the representation of the operator  $\hat{R}$  calculated as follows:  $D_{\alpha'\alpha}(\hat{R}) = \langle \alpha'; SM | \hat{R} | \alpha; SM \rangle$ .

Application of the symmetry operator  $\hat{R}$  to each determinant of the many-electron wavefunction Eq.(5.6-2) gives the matrices  $D(\hat{R})$  for each  $\{\alpha; SM\}$  representation. Using the projection operator method presented above, we can obtain all energy terms (states) corresponding to the total spin value  $S$  and the irreducible representation  $\Gamma_\beta$  of the

$$\text{reducible one } D(\hat{R}) : P^{(\beta)} = \frac{d_\beta}{[G]} \sum_{\hat{R}} \chi^{(\beta)}(\hat{R})^* \hat{R}.$$

Thus, the symmetry-adapted wavefunctions will be given by the non-zero results of the projector application to the states attacked by the operators of the cluster point group:  $P^{(\beta)} \sum_{\alpha'} D_{\alpha'\alpha}(\hat{R})|\alpha'; SM\rangle$ , i.e. they might be viewed as linear combination of the initial

determinants  $|m_1 m_2 \dots m_n|$ . The obtained wavefunctions reflect the properties of the rotation-, point- and symmetric groups as it is required for molecular magnets, s. Section 2.4. Application of this method to classification of the exchange coupled multiplets of a tetrameric cluster  $s_i = 1 (i = 1, \dots, 4)$  with the point group  $S_4$  will be shown in the Chapter 8.

Finally, the matrix of any spin Hamiltonian can be block-factorized using the symmetry-adapted wavefunctions as the basis of each  $S$ -block. Doing so, the dimension of the matrices to be diagonalized will be essentially reduced leading to a decrease in calculation time [Waldmann, 2000].

Moreover, classification of the states  $|\alpha; S\rangle$  in terms of the irreducible representations of the cluster point group allows an a priori analysis of the dominant non-Heisenberg interactions in the cluster. Thus, presence of a two-dimensional representation E in the decomposition of the first excited multiplet points to pronounced role of the spin-orbit interaction in the cluster and therefore to antisymmetric exchange as the most probable mechanism leading to the cluster magnetic anisotropy, see Chapter 8.

# VI

## Simulation of FDMR-spectra

The theoretical formalism needed for the analysis of the spectroscopic data on molecular nanomagnets was introduced in the Chapters 3-5. Here we present the experimental technique used for studying the zero-field splittings of mono- and many-nuclearity complexes with high spin ground state: the Frequency-Domain Magnetic Resonance Spectroscopy (FDMRS). An important result of this PhD-thesis is the computer code developed for the FDMR-spectral simulations in terms of the single-spin Hamiltonian formalism. In this chapter we show the measurement geometry, the general logic of EPR-spectral simulations, the mathematical formalism used for evaluation of the quantities measurable by FDMRS and description of the developed program.

### 6.1 Spectral simulations of EPR spectra: the general logic

Electron paramagnetic resonance (EPR) phenomenon was introduced in the Chapter 4.2. The EPR technique is a powerful and useful tool in probing the magnetic interactions in solids, since the EPR absorption is proportional to the imaginary part of the dynamic susceptibility characterizing the sample under study. As already mentioned, magnetic resonance occurs when the energy difference between the eigenstates of the Hamiltonian describing the system is equal to the quantum of the incident microwave radiation regardless of whether this condition is achieved by varying the external magnetic field or by varying the frequency of the microwave radiation. Therefore there are two alternative techniques of obtaining an EPR spectrum: *field-swept and frequency-swept EPR*. The microwave frequency is kept fixed and the external magnetic field is swept over a chosen range in the case of the field-swept EPR. Thus, all the transitions are recorded in an appropriate magnetic-field sweep interval. The magnetic field is kept fixed (or no external magnetic field is applied) and the frequency of the microwave radiation is varied over an appropriate frequency interval by frequency-swept EPR so that all the transitions are recorded.

Usually the measurements of EPR spectra are performed in the field-swept modus e.g.: continuous wave EPR, transient EPR, pulsed-EPR etc [Kammel, 2003]. The Frequency-Domain Magnetic Resonance Spectroscopy (FDMRS) is a unique experimental method to obtain the EPR spectra of high-spin molecules in the frequency-swept mode.

Simulations of the EPR spectra have begun to appear in the 1950s. Since then many successful spectral simulations were published for paramagnetic species in liquid solution, in glassy media, in powders and single crystals. The earliest algebraic and numerical calculations were carried out on mainframe computers with strong computer time limitations. The majority of them used perturbation theory solutions to the spin Hamiltonian. Development of PC's and Workstations in the middle of 1980s opened new possibilities for exploration of simulation methods and statistical analysis. The modern computer simulations exploit various numerical algorithms, where the limitations of

perturbation theory are removed by full matrix diagonalization [Smith, 1994],[Pilbrow, 1996],[Weil, 1999], [Misra, 1999]. The latest trend is incorporation in the programs of more realistic lineshape models that Lorentzian/Gaussian ones [Howarth, 2003].

When simulating a multi-line EPR spectrum, the main parameters of interest are the number of lines, the exact position of each line on the x-scale (i.e. frequency- or field-scale), the lineshape, width and intensity of each. Notably, the EPR spectra measured in the field swept modus give information about the relative intensities, whereas the FDMRS gives access to absolute intensity values.

The dynamic magnetic susceptibility is an EPR-measurable magnetic parameter, since the magnetic resonance absorption is proportional to its imaginary part. The complete energy spectrum of the molecule is needed for exact calculation of this magnetic parameter. Nevertheless, it can be good approximated by computing only over the ground spin multiplet for many molecular magnets as soon as the strong exchange approximation is valid and the excited multiplets can be disregarded. Then the single-spin Hamiltonian is constructed (Chapter 4.2) for spectral simulations. As we have already seen, the single-spin Hamiltonian is a convenient quantum mechanical operator, which contains some semiempirical parameters. Generally they can be imagined as a set of various scalars like the components of the  $g$ -tensor (magnetogyric ratio tensor), the crystal field parameters or the hyperfine splitting parameters. *The extraction of the complete parameter set from the experimental data with maximal accuracy is a prime goal of EPR spectrum simulation.*

The Zero-Field Splittings (ZFSs) of molecular nanomagnets can be easily obtained from the FDMR-spectrum measured in zero-applied magnetic field. An essential advantage of the FDMRS versus field-swept EPR is the reduced number of adjustable parameters, since the Zeeman term is omitted from the spin Hamiltonian. They are restricted by parameters of the crystal field potential ( $D, E, B_m^k$ ), s. (Formula 4.2-3):

$$\hat{H}^{ZFS} = \sum_{k=0,2,4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm) = D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) + E (\hat{S}_x^2 - \hat{S}_y^2) + \sum_{k=4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm).$$

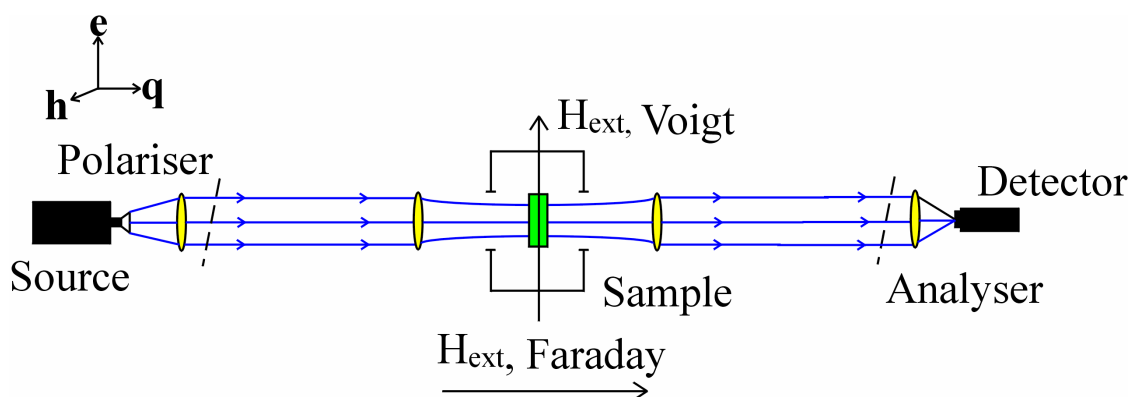
The EPR spectrum (i.e. positions and intensities of the absorption lines) will be fully reconstructed by the diagonalization of the single-spin Hamiltonian acting on the ground multiplet of a molecular nanomagnet only for those ZFS parameters that unambiguously characterize the molecule. A small deviation in the values of ZFS parameters of those characteristic for the molecule leads to calculated magnetic parameter, which does not coincides with the experimental observables.

## 6.2 Description of the FDMRS spectrometer and formation of the FDMR-spectrum

The spectrometer used for FDMRS is shown in the Figure 6.2-1. The Backward Wave Oscillators (BWO) generators are used as a radiation source. Varying the BWO-high voltage allows to sweep the frequency of microwave radiation, which is linearly polarized and focused on the sample. The signal is detected by the Golay-cell. We refer the reader to [van Slageren, 2003] for further experimental details. FDMR-spectra are spectra of transmission versus frequency. Usually they are recorded on the plane parallel samples at various



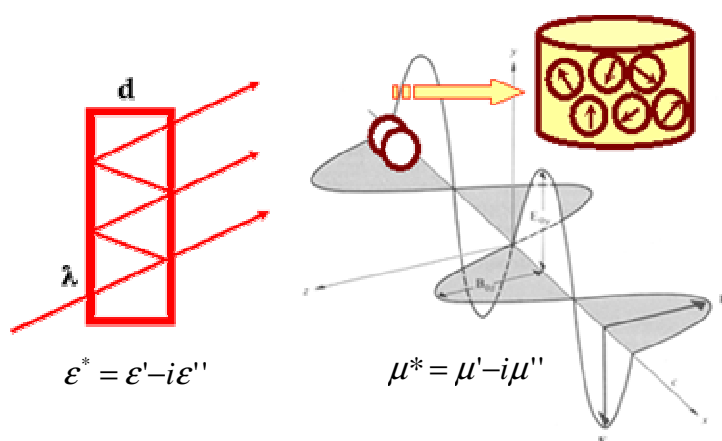
temperatures in zero applied magnetic field. The measurable parameter is the transmission that is dependent on the magnetic parameter (*dynamic magnetic susceptibility*).



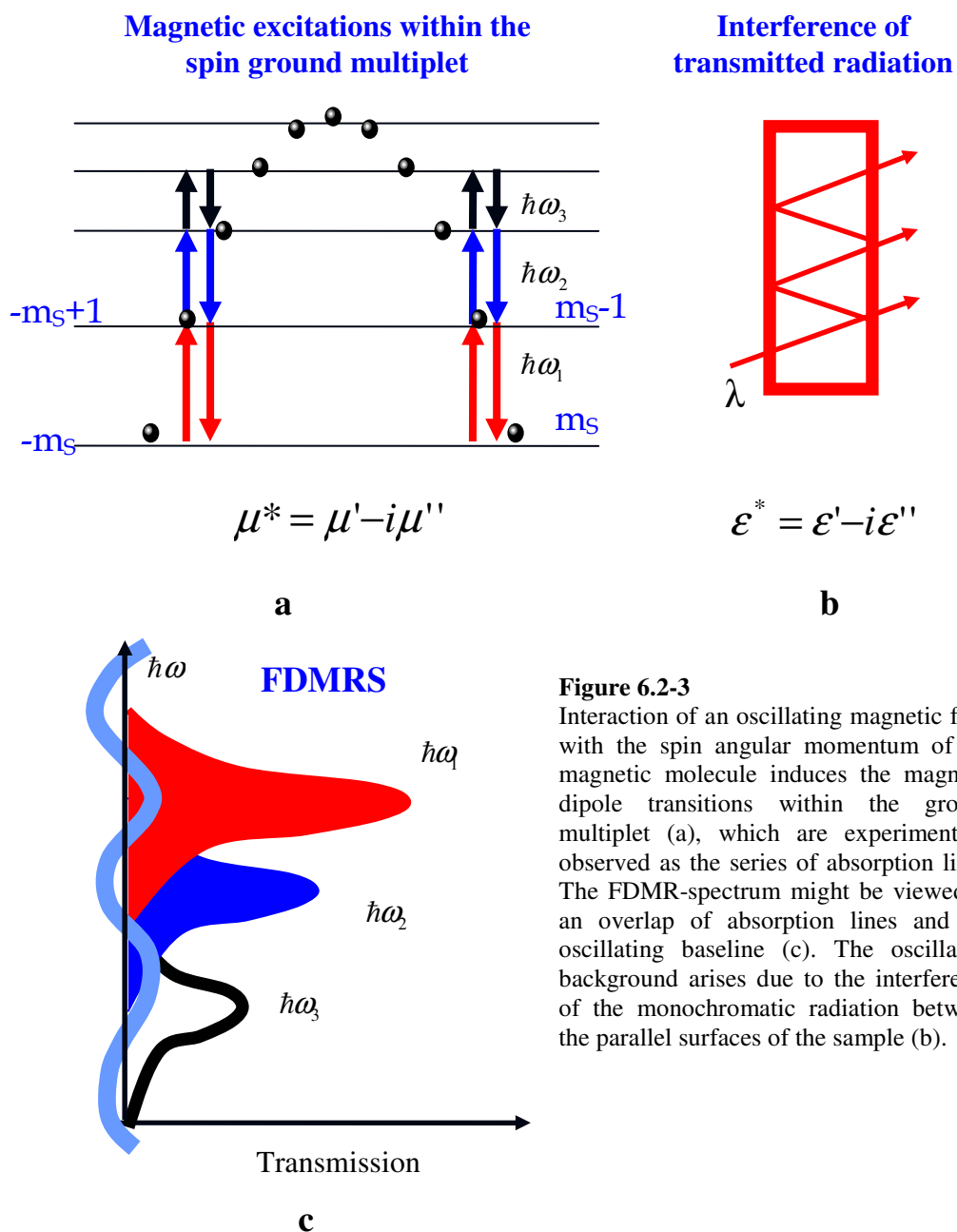
**Figure 6.2-1** (with kindly permission of Joris van Slageren) Schematic layout of the spectrometer used for the Frequency-Domain Magnetic Resonance Spectroscopy. FDMR-spectra can be also recorded in an external static magnetic field  $H_{\text{ext}}$  applied perpendicular to the magnetic polarisation vector  $\mathbf{h}$  of microwave radiation (as usually in EPR). If  $H_{\text{ext}}$  is perpendicular to vector of propagation of the electromagnetic wave  $\mathbf{q}$ , then one speaks about the Voigt geometry. If  $H_{\text{ext}}$  is parallel to  $\mathbf{q}$ , then the spectra are measured in Faraday geometry. No external magnetic field is needed to study the ZFS on molecular magnets.

The shape of the transmission spectra is determined by two effects (Figure 6.2-2). An oscillating magnetic field of the microwave radiation interacts with the spin angular momentum of each molecule of the powder sample. The frequency dispersion of the dynamic susceptibility or static magnetic permeability ( $\mu^* = \mu' - i\mu''$ ) of the sample describes the magnetic resonance phenomenon. Secondly, the electromagnetic wave interacts with the plane parallel surfaces of the sample causing interference of the transmitted radiation. The frequency dispersion of the dielectric permittivity ( $\epsilon^* = \epsilon' - i\epsilon''$ ) reflects this effect.

Therefore two features are observed in the FDMRS spectra on molecular magnets, which are illustrated in Figure 6.2-3. Narrow temperature-dependent absorption lines in the FDMRS-spectra are associated with the allowed magnetic dipole transitions between spin states of the ground multiplet of each molecule. Their intensity decreases essentially with increasing temperature. The second feature is an oscillating and temperature-independent baseline caused by the interference of the radiation between the parallel surfaces of the sample. The frequency dispersion of dielectric permittivity is fitted directly to the baseline measured at high temperatures, where the magnetic dipole transitions are not observed.



**Figure 6.2-2** The frequency dispersion of dielectric permittivity ( $\epsilon^* = \epsilon' - i\epsilon''$ ) is fitted directly to the oscillating baseline caused by interference of the radiation (with the wavelength  $\lambda$ ) between the parallel surfaces of the sample of the thickness  $d$  (left). The frequency dispersion of the magnetic permeability ( $\mu^* = \mu' - i\mu''$ ) describes the magnetic resonance phenomenon, i.e. interaction of an oscillating magnetic field with the spin angular momenta of each magnetic molecule (right)



**Figure 6.2-3**  
 Interaction of an oscillating magnetic field with the spin angular momentum of the magnetic molecule induces the magnetic dipole transitions within the ground multiplet (a), which are experimentally observed as the series of absorption lines. The FDMR-spectrum might be viewed as an overlap of absorption lines and the oscillating baseline (c). The oscillating background arises due to the interference of the monochromatic radiation between the parallel surfaces of the sample (b).

### 6.3 Mathematical formalism used for FDMR-spectral simulation

The first analytical expressions for evaluation of the FDMRS- measurable magnetic parameter were published several years ago [Mukhin, 1998], [Mukhin, 2001], [Mukhin, 2002]. The formulas presented there are valid for particular cases of the FDMRS experiments on  $Mn_{12}Ac$  and  $Fe_8$ . They allow the calculation of the absorption line intensities. Here we present for the first time a complete formalism for evaluating the frequency dispersion of the magnetic permeability used in the generalized program for FDMR-spectrum simulations. The program can be applied to the study of the ZFS parameters by FDMRS of any molecule with the high ground-state spin in terms of the single-spin Hamiltonian model.

The starting point of our considerations is evaluation of the frequency dispersion of the static magnetic permeability. The calculations are performed using the results of perturbation theory, since an oscillating magnetic field (of the microwave radiation) can be considered as a weak perturbation depending harmonically on time. The Cartesian components of the magnetic field strength are expressed as usual:  $H_\alpha(t) = H_\alpha(\exp[-i\omega t] + \exp[i\omega t])$ ,  $\alpha=x,y,z$ .

The coupling of the spin angular momenta of each molecule in the powder sample to the magnetic field of the microwave radiation can be treated as a small perturbation. The corresponding perturbation operator is:

$$\hat{F}(t) = -g\mu_B \hat{S} \cdot \hat{H} [\exp(-i\omega t) + \exp(i\omega t)].$$

Its matrix elements are given by the expression:  $F_{nm} = g\mu_B \sum_{\alpha=x,y,z} H_\alpha \langle n | \hat{S} | m \rangle$ .

The magnetization matrix element can be obtained using the standard formulas for perturbation depending harmonically on time [Landau, 2001]:

$$M_{nm} = M_{nm}^{(0)} \exp(i\omega_{nm}t) - \exp(i\omega_{nm}t) \times \\ \times \sum_k \left\{ \left[ \frac{M_{nk}^{(0)} F_{km}}{\hbar(\omega_{kn} - \omega)} + \frac{M_{km}^{(0)} F_{nk}}{\hbar(\omega_{kn} + \omega)} \right] \exp(-i\omega t) + \left[ \frac{M_{nk}^{(0)} F_{mk}^*}{\hbar(\omega_{kn} + \omega)} + \frac{M_{km}^{(0)} F_{nk}^*}{\hbar(\omega_{kn} - \omega)} \right] \exp(i\omega t) \right\}.$$

In the zero-approximation they are:  $M_{nm}^{(0)} = -g\mu_B \langle n | \hat{S} | m \rangle$ . Then the matrix elements of the magnetization and its quantum mechanical average along a certain direction  $\alpha=x,y,z$  can be evaluated.

$$M_{nm}^\alpha = H_\alpha \exp(i\omega_{nm}t) \times \sum_k \left\{ \left[ \frac{\langle n | \hat{S}_\alpha | k \rangle \langle k | \hat{S}_\alpha | m \rangle}{\hbar(\omega_{km} - \omega)} + \frac{\langle k | \hat{S}_\alpha | m \rangle \langle n | \hat{S}_\alpha | k \rangle}{\hbar(\omega_{kn} + \omega)} \right] \exp(-i\omega t) + \right. \\ \left. + \left[ \frac{\langle n | \hat{S}_\alpha | k \rangle \langle k | \hat{S}_\alpha | m \rangle}{\hbar(\omega_{km} + \omega)} + \frac{\langle k | \hat{S}_\alpha | m \rangle \langle k | \hat{S}_\alpha | n \rangle}{\hbar(\omega_{kn} - \omega)} \right] \exp(i\omega t) \right\}$$

Thus, the quantum mechanical average of the magnetization along the  $\alpha$ -direction is given by the following formula:  $\langle M_\alpha \rangle = \sum_n \langle n | M_\alpha | n \rangle \frac{\exp\left(-\frac{E_n}{kT}\right)}{Z}$ , where  $Z = \sum_m \exp\left(-\frac{E_m}{kT}\right)$  is partition function.

After some calculations we obtain:

$$\langle n | M_\alpha | n \rangle = 2g^2 \mu_B^2 \cdot \underbrace{H_\alpha(\exp(-i\omega t) + \exp(i\omega t))}_{H_\alpha(t)} \sum_k \left( | \langle k | \hat{S}_\alpha | n \rangle |^2 \frac{\omega_{kn}}{\hbar(\omega_{kn}^2 - \omega^2)} \right).$$

By definition, there is a link between  $\langle M_\alpha \rangle$  and the diagonal components of the dynamic magnetic susceptibility tensor as shown below:

$$\chi_{\alpha\alpha} = \frac{\langle M_\alpha \rangle}{H_\alpha(t)} = \sum_n \sum_k \left[ \underbrace{\frac{2g^2 \mu_B^2 | \langle k | \hat{S}_\alpha | n \rangle |^2}{Z \hbar \omega_{kn}}}_{\Delta\chi_{kn}^\alpha(T)} \exp\left(-\frac{E_n}{kT}\right) * \frac{\omega_{kn}^2}{\omega_{kn}^2 - \omega^2} \right], \alpha = x, y, z.$$

The temperature dependent part of the dynamic magnetic susceptibility can be denoted as  $\Delta\chi_{kn}^\alpha(T)$  and the last expression transforms to:

$$\chi_{\alpha\alpha} = \sum_n \sum_k \left[ \Delta\chi_{kn}^\alpha(T) * \frac{\omega_{kn}^2}{\omega_{kn}^2 - \omega^2} \right].$$

The static magnetic permeability is related to the average dynamic susceptibility:  $\mu = 1 + 4\pi\chi_{av}$  and therefore can be expressed using the diagonal elements of the susceptibility tensor:  $\chi_{av} = \frac{1}{3}(\chi_{xx} + \chi_{yy} + \chi_{zz})$ . Then after some calculations we get:

$$\mu = 1 + \sum_n \sum_k \left[ \frac{4\pi}{3} \frac{2g^2 \mu_B^2}{Z} \sum_{\alpha=x,y,z} | \langle k | \hat{S}_\alpha | n \rangle |^2 \frac{\exp\left(-\frac{E_n}{kT}\right)}{\hbar \omega_{kn}} * \frac{\omega_{kn}^2}{\omega_{kn}^2 - \omega^2} \right].$$

Here the summation is done over *all* states  $|k\rangle$  and  $|n\rangle$  of the ground multiplet of a magnetic molecule under study. The temperature dependent part of the static magnetic permeability reflects the intensity of the induced magnetic dipole transition between states  $|k\rangle$  and  $|n\rangle$ .  $\omega_{kn}$  is the resonance frequency. The sum of squares of matrix elements standing in the numerator shows the transition probability between pairs of states  $|k\rangle$  and  $|n\rangle$ , since  $\langle k | \hat{S}_\alpha | n \rangle$ ,  $\alpha = x, y, z$  are the matrix elements of Cartesian projections of the spin angular momentum operator acting within the ground  $S$  – multiplet.

The frequency dispersion of the static magnetic permeability can be rewritten in another form that was integrated into the program for FDMR-spectrum simulations (s. Chapter 6.4). According to the EPR-selection rules, the magnetic dipole-transitions within  $S$  – multiplet ( $\Delta S=0$ ) are allowed for the pairs of states differing by plus/minus one in the values of magnetic quantum numbers ( $\Delta M_S = \pm 1$ ). Thus, the magnetic dipole transitions

between pairs of the states belonging to the ground multiplet of a magnetic molecule and satisfying the EPR selection rules result in a series of the absorption lines observed experimentally in FDMRS spectrum. There each absorption line is caused by transitions in two directions: from the initial state to the final one and back. Therefore it makes sense to express the intensity of the resulting absorption line in terms of the population difference of the initial and the final states. Remembering that the single EPR/FDMRS line can be simulated using the lineshape function centred at the absorption line position, we obtain the following expression for evaluation of the multi-line spectra:

$$\mu = 1 + \sum_{n=1}^N \sum_{if \in n} \Delta\mu_{if,n} R(\omega - \omega_{if,n}) \quad (6.3-1)$$

$$\Delta\mu_{if,n} = \frac{8\pi N_0}{3 Z} \frac{g^2 \mu_B^2 \sum_{\alpha=x,y,z} |\langle f | \hat{S}_\alpha | i \rangle|^2}{\hbar \omega_{fi,n}} \left[ \exp\left(-\frac{E_{i,n}}{kT}\right) - \exp\left(-\frac{E_{f,n}}{kT}\right) \right] \quad (6.3-2)$$

here  $R$  is the lineshape function,  $N_0$  is the number of paramagnetic ions in the sample and  $\Delta\mu_{if,n}$  is the power absorbed during the induced magnetic dipole transition expressed through the population difference between the initial and final states.  $\hbar \omega_{fi,n}$  is the transition frequency  $|f\rangle \rightarrow |i\rangle$  that contribute into the  $n$ -absorption line. Matrix elements  $\langle f | \hat{S}_\alpha | i \rangle$ ,  $\alpha = x, y, z$  are calculated according to Eq.(3.2.3-1). The summation in Eq.(6.3-1) is carried out over all absorption lines observed experimentally.

We have integrated the Lorentzian and the Gaussian lineshape functions used in Eq.(6.3-1). Thus, the explicit expression for static magnetic permeability  $\mu^* = \mu' - i\mu''$  Eq.(6.3-1) has the form Eq.(6.3-3) for Lorentzian lineshape function and Eq.(6.3-4) for the Gaussian one.

$$\mu^*(\omega) = 1 + \sum_{n=1}^N \sum_{if \in n} \frac{\Delta\mu_{if,n} \omega_{if,n}^2}{\omega_{if,n}^2 - \omega^2 + i\Delta\omega_n} \quad (6.3-3)$$

$$\mu''(\omega) = \omega \sqrt{\frac{\pi}{8}} \sum_{n=1}^N \sum_{if \in n} \frac{\Delta\mu_{if,n}}{\sigma_n} \left\{ \exp\left(-\frac{(\omega - \omega_{if,n})^2}{2\sigma_n^2}\right) + \exp\left(-\frac{(\omega + \omega_{if,n})^2}{2\sigma_n^2}\right) \right\} \quad (6.3-4a)$$

$$\mu'(\omega) = 1 + \frac{2}{\pi} \int_0^\infty \frac{\omega' \mu''(\omega')}{\omega'^2 - \omega^2} d\omega' \quad (6.3-4b)$$

Here  $\Delta\omega_n$  and  $\sigma_n$  are the Lorentzian and Gaussian linewidths, respectively.

As soon as the analytical expression for the magnetic permeability is known, the FDMRS spectra can be simulated using the Fresnel formulas for the transmission of a plane parallel sample [Volkov, 1985]:

$$Tr = E \frac{(1-R)^2 + 4R \sin^2 \psi}{(1-RE)^2 + 4RE \sin^2(N + \psi)} \quad (6.3-5)$$

$$E = \exp\left(-\frac{4\pi kd}{\lambda}\right), \quad N = \frac{2\pi nd}{\lambda},$$

$$R = \frac{(a-1)^2 + b^2}{(a+1)^2 + b^2}, \quad \psi = \arctan \frac{2b}{a^2 + b^2 - 1},$$

$$n + ik = \sqrt{\varepsilon^* \mu^*}, \quad a + ib = \sqrt{\frac{\mu^*}{\varepsilon^*}}.$$

Here  $Tr$  is the transmission coefficient,  $E, N, R$  and  $\psi$  are parameters connecting  $Tr$  to the frequency dispersion of the complex magnetic permeability  $\mu^*$  and the dielectric permittivity  $\varepsilon^*$ . Parameters  $n, k, a$  and  $b$  were introduced additionally to underline the multilevel structure of the Eq.(6.3-5). From physical point of view, parameters  $n$  and  $k$  have meaning of the refractive index and the extinction coefficient, respectively.  $\lambda$  is the wavelength of microwave radiation.  $d$  is the thickness of the sample.

## 6.4 Description of the data flow in the program for FDMRS spectral simulation

The code of the program for FDMR-spectral simulations is written using the *Mathematica* language (version 5.1, <http://documents.wolfram.com/>). We have decided for *Mathematica* because it is one of the fully integrated environments for technical computing [Wolfram, 1999]. The current version of *Mathematica* includes a reach library of packages for specific numerical, algebraic, graphical and other tasks. One of the most important features of *Mathematica* is that it is an extensible system. It is always possible to add more functionality by using the *Mathematica* language. For many kinds of calculations the standard version of *Mathematica* is quite sufficient. However, the FDMRS spectral simulations are related to a particular specialized area. We have created new packages that use certain functions built into *Mathematica* to incorporate into the program some specific things like e.g. spin Hamiltonian. The developed FDMRS-code uses also the standard *Mathematica* packages listed below.

The standard package **Utilities`MemoryConserve`** optimizes *Mathematica*'s use of memory whenever memory usage increases by a specified amount. It makes faster memory-intensive calculations like those of the Kramers-Krönig integral, which are needed for the evaluation of the magnetic permeability with the Gaussian lineshape function, the calculation of the Hamiltonian matrix elements using the Wigner-Eckart theorem, the diagonalization of the Hamiltonian matrix constructed in the full Hilbert space.

The matrix diagonalization is a central point of any kind of spectral simulations. In EPR we are dealing with the energy matrix never mind how it was constructed: in terms of the single-spin or the generalized effective spin Hamiltonian models. *Mathematica* allows a simple matrix diagonalization since various mathematical libraries like LAPACK, UMFPACK, ARPACK are already integrated into the standard packages related to the approximate numerical linear algebra: **LinearAlgebra`MatrixManipulation`**.

The package **NumericalMath`PolynomialFit`** was used for determination of the absorption line positions automatically with high precision.

The packages of the group "Statistics" like **DataManipulation`**, **DescriptiveStatistics`**, **NonlinearFit`** were also integrated into the FDMRS-code, since they essentially simplify the access to- and treatment of the experimental data (e.g. by the normalization of the spectra, their interpolation, mugging of the data measured in different or overlapping frequency ranges).

The new created packages are listed in the Table 6.4-1 together with the description of their functionality. Here an important comment is needed. *Mathematica* produces an easy visualization of the analytical expressions like the calculated frequency dispersion of the magnetic permeability or transmission, the temperature dependence of the absorption line intensity. Nevertheless, the algorithms for calculations using the Lorentzian and Gaussian lineshape functions are not the same. In the case of the Lorentzian lineshape model the user sees the resulting curve *without* the access to the numerical values of the generated function  $\mu^*(\omega)$  in particular points. Contrarily, the evaluation of  $\mu^*(\omega)$  with the Gaussian lineshape function requires the calculation of the Kramers-Krönig integral that is performed from point to point by the frequency sweeping. Therefore we evaluate first the imaginary part of the magnetic permeability (absorption) as an analytical expression (package: PermeabilityImGauss). Then the resulting function is mapped into a grid in the frequency interval defined by the user. Finally the frequency dispersion of the complex magnetic permeability is calculated as an array consisting of three columns: frequency, real part- and imaginary part of  $\mu^*$  (package: PermeabilityGauss).

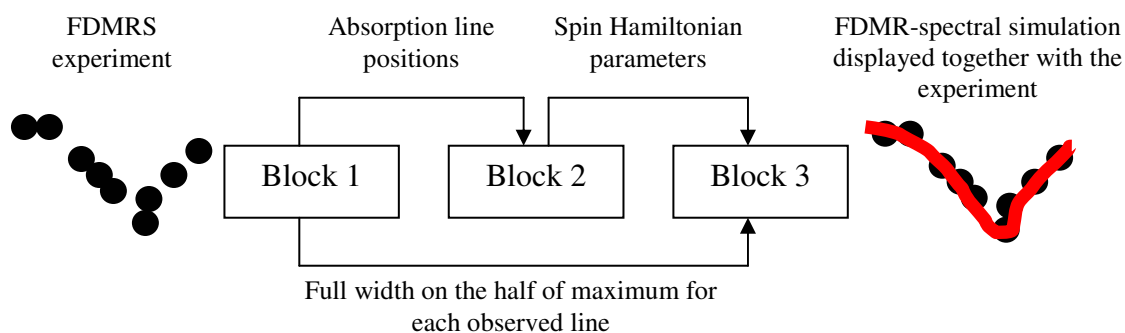
**Table 6.4-1:** The new developed packages for the FDMR-spectral simulations and their functionality

Package	Functionality
PhysConstants	All physical constants and conversion factors are gathered here that link the parameters expressed in SI and CGS systems. Usage of this package enables an automatically conversion of the data from SI to CGS and vice a versa in any point of the program.
SxSySzMatrixconstruction	The matrices of operators $\hat{S}_\alpha$ , $\alpha = x, y, z$ are constructed for any spin value introduced by the user
StevensOperatorMatrix	Construction of the matrices $\hat{O}_k^m(\hat{S}_z, \hat{S}_\pm)$ ; currently the matrices $\hat{O}_4^0, \hat{O}_4^3, \hat{O}_4^4$ are integrated into the program, since they were of prime importance for the analysis of experimental data. The module can be easily extended by any other $\hat{O}_k^m$ .
StevensOperatorsToEvaluate	Construction of the sum: $\hat{H}^{ZFS} = D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) + E (\hat{S}_x^2 - \hat{S}_y^2) + \sum_{k=4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm)$
ZeemanTerm	Evaluating of the term $-g\mu_B \vec{B} \cdot \hat{S}$
EnWFFreqForGivenSHP	Construction of the energy matrix for the Hamiltonian: $\hat{H} = -g\mu_B \vec{B} \cdot \hat{S} + D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) + E (\hat{S}_x^2 - \hat{S}_y^2) + \sum_{k=4,6} \sum_{m=0}^{+k} B_k^m \hat{O}_k^m(\hat{S}_z, \hat{S}_\pm)$



	with given parameters $g, D, E, B_k^m$ and known value of the applied magnetic field $B$ ( $B = 0$ for FDMRS). The matrix is then diagonalized. The resulting eigensystem gives the energy spectrum and the corresponding wave functions. Finally all possible transition frequencies weighted by their probability are calculated. This module can be also easy coupled to a program for EPR spectrum simulations in the field-swept mode as the need arises.
InterpretationWF	The module is useful for analysis of the spin state mixing; it produces the visualization of a spin function as a sum of the basis spin functions
CalculationIntensityTransition	The temperature dependence of the mode intensity $\Delta\mu_{if}(T)$ is calculated according to (Formula 6.3-2) and visualized for all possible transitions inside the selected multiplet.
PermeabilityLorentz	An analytical expression is calculated for the frequency dispersion of the magnetic permeability using the Lorentzian lineshape function (Formula 6.3-3)
PermeabilityLorentzFit	The expression for $\mu^*(\omega)$ obtained in PermeabilityLorentz is evaluated for the set of the fit parameters defined by the user (linewidth and intensity for each observed absorption line).
PermeabilityImGauss	The imaginary part of the magnetic permeability is evaluated as an analytical expression using the Gaussian lineshape function, (Formula 6.3-4a).
PermeabilityGauss	Frequency dispersion of the complex magnetic permeability is calculated according to (Formula 6.3-4b) as an array using the Gaussian lineshape function.
PermeabilityImGaussFit	The imaginary part of the magnetic permeability is evaluated as an analytical expression using the Gaussian lineshape function and the set of fitting parameters (linewidth and intensity for each experimentally observed absorption line)
PermeabilityGaussFit	The frequency dispersion of the complex magnetic permeability is calculated as an array for a given set of fit parameters.
TransmissionLorentz	Transmission versus frequency spectra are calculated and visualized using the Lorentzian lineshape function, (Formula 6.3-5) and (Formula 6.3-3)
TransmissionGauss	Transmission versus frequency spectra are calculated and visualized using the Gaussian lineshape function, (Formula 6.3-5) and (Formula 6.3-4a,4b)

The source code developed for FDMRS spectral simulations consists of three blocks (Figure 6.4-1).



**Figure 6.4-1:** The source code of the program for FDMR-spectral simulations consists of three blocks. Block 1 reads out the experimental data and estimates the absorption line positions and their FWHMs. Block 2 performs the fitting of the spin Hamiltonian parameters to the absorption line positions. Block 3 displays the experimental data together with the spectral simulation obtained for the fit parameters defined by the user.

The first block is responsible for the primary treatment of the experimental data. As the result we obtain the exact position and the full width at a half of maximum (FWHM) of each absorption line in frequency at various temperatures. The second block performs the fitting of the spin Hamiltonian parameters to the absorption line positions. As soon as the spin Hamiltonian parameters have been determined, the transmission versus frequency

spectra are evaluated and displayed together with the experimentally recorded ones (the third block). Each block is described below in the Table 6.4.-2.

**Table 6.4-2:** Description of each block of the program for FDMR-spectral simulations in a step-by step manner

<p><b>Block 1: Determination of the absorption line positions and their FWHMs from the experiment.</b></p> <ul style="list-style-type: none"> <li>• Readout of the experimental data measured at various temperatures, which are then saved in .txt files (ASCII format)</li> <li>• Sorting of the experimental points {frequency, transmission} in increasing order of magnitude, averaging in the regions with overlapping frequencies. The data are finally saved in new files with the ending: _final.txt</li> <li>• Interpolation of the prepared data ( files: “name_final.txt”) in the frequency interval selected by user</li> <li>• Normalization of the spectra: a logarithmical subtraction of the baseline from all the spectra. The highest temperature spectrum is taken as the baseline.</li> <li>• A high-degree polynomial fitting is performed over all the normalized spectra. The local minimum of the resulting polynomial function (<math>P(x) = \sum_{\alpha} a_{\alpha} x^{\alpha}</math>) in the region of resonance is automatically determined with a high precision. The x-coordinate of the found minimum gives the absorption line position on the frequency-scale. The y-coordinate of the local minimum points to the maximal intensity of the absorption line (<math>I</math>). By solving the equation <math>P(x) = I/2</math> the full width on a half of maximum is determined. Calculation of the absorption line positions and their FWHMs is performed <i>automatically</i> for all the normalized spectra.</li> <li>• Preparation of the output file, which contains the found values of the absorption line positions and the FWHMs. The absorption line positions are then used in the Block 2 to extract the spin Hamiltonian parameters from the experiment with maximal accuracy. The found FWHMs are used for a preliminary analysis of the linewidth temperature dependence. They are accepted to be the Lorentzian linewidth values <math>\Delta\omega_n</math> (, which are related to the Gaussian ones by <math>\sigma_n = \frac{\Delta\omega_n}{2\sqrt{2 \cdot \ln[2]}}</math>) for evaluation of <math>\mu^*(\omega)</math> in zero-approximation.</li> </ul>
<p><b>Block 2: Determination of the spin Hamiltonian parameters from the experimental data.</b></p> <ul style="list-style-type: none"> <li>• Input of the initial parameters (total spin value <math>S</math>, magnetic field in spherical coordinates <math>(B, \vartheta, \varphi) = (0, 0, 0)</math><sup>8</sup>, experimentally observed absorption line positions)</li> <li>• Input of the ranges for possible values of the spin <b>H</b>amiltonian <b>p</b>arameters</li> </ul>

<sup>8</sup>  $B = 0$ . Nevertheless the spin Hamiltonian is integrated into the program in its general form including the Zeeman term. Therefore the block can be used for fitting the spin Hamiltonian parameters from swept-field EPR spectra.

(SHPs) and number of points inside of each interval. Present version of the program is able to work with 5 parameters simultaneously:  $D, E, B_4^0, B_4^3, B_4^4$ . This set can be extended if necessary.

- Construction of the table  $\{D^{(k)}, E^{(k)}, B_4^{0(k)}, B_4^{3(k)}, B_4^{4(k)}\}$  for  $k = 1, \dots, \prod_{\tilde{k}=1}^5 N_{\tilde{k}}$ ,

where  $N_{\tilde{k}}$  is the number of points in  $\tilde{k}$ -interval selected by user. The calculations become very much time consuming by increasing of  $N_{\tilde{k}}$ -values. We recommend to choose the number of points in each interval so that  $\prod_{\tilde{k}=1}^5 N_{\tilde{k}} \leq 2000$ , otherwise the user must wait more than one hour.

- The Hamiltonian matrix is diagonalized for each  $k^{\text{th}}$  set of parameters  $\{D^{(k)}, E^{(k)}, B_4^{0(k)}, B_4^{3(k)}, B_4^{4(k)}\}$ . Thus the energy eigenvalues are obtained, which differences must coincide with the transition frequencies observed spectroscopically. The calculated transition frequencies  $\{\hbar\omega_{if}^{(calc)}\}$  are weighted by their probability  $\sum_{\alpha=x,y,z} |\langle i | S_\alpha | f \rangle|^2$  for all possible initial and final states

inside of the selected multiplet:  $i, f = 1 \dots 2S + 1$ . Weak transitions are omitted, which are characterized by a probability less than the value defined by user. The rest frequencies are compared with the absorption line positions. Those of them, which satisfy the inequality:  $|1 - \frac{\hbar\omega_n^{\text{exp}}}{\hbar\omega_{if}^{\text{calc}}}| < \varepsilon_{\text{frequency}}$  (where  $\varepsilon_{\text{frequency}}$  is

defined by user and  $\hbar\omega_n^{\text{exp}}$  is the experimentally observed position of  $n$ -absorption line), are associated in the groups  $\{\hbar\omega_{if,n}^{(calc)}\}$ . The experimentally observed absorption line is approximated as an overlap of the calculated transitions with nearly coinciding frequencies. The discrepancy factor is introduced as the sum of squares of the relative deviations:

$$R^{(n)} = \frac{1}{N} \sum_{if \in n} \left( \frac{\hbar\omega_n^{(\text{exp})} - \hbar\omega_{if,n}^{(\text{calc})}}{\hbar\omega_{if,n}^{(\text{calc})}} \right)^2$$

for all  $N$  transitions contributing to the  $n$ -absorption line. Finally each  $k^{\text{th}}$  set of SHPs is characterized by the mean value of the relative deviations calculated for all experimentally observed absorption lines:  $m^{(k)} = \frac{1}{\tilde{n}} \sum_{n=1}^{\tilde{n}} R^{(n)}$ . The minimal value  $m$  extracted from the set

$\{m^{(k)}\}_{k=1, \dots, \prod_{\tilde{k}=1}^5 N_{\tilde{k}}}$  points to the best SHPs in zero approximation.

- The best set of SHPs for a given experiment is obtained using a full grid search by subsequent narrowing the SHP-ranges around the zero-approximation. The final SHP set must reproduce the absorption line positions as well as possible.

### Block 3: FDMRS spectral simulation

- Readout of the experimental data from the files “name\_final.txt”; input of the parameters of the sample/molecule, positions of the experimentally observed absorption lines and FWHMs resulting from Block 1 and the spin Hamiltonian parameters obtained in Block 2.
- Fitting the dielectric permittivity to the oscillating baseline is performed by visual comparison of the experiment with the simulation “transmission versus frequency” for Lorentzian lineshape model and the magnetic permeability selected as:  $\mu' = 1; \mu'' = 0$ . Thus, the values  $\epsilon', \epsilon''$  are obtained. They are kept fixed for further calculations.
- The complete energy spectrum and the temperature dependence of the intensity for each absorption line  $\Delta\mu_{if,n}(T)$  are calculated using the introduced set of the spin Hamiltonian parameters.
- The FDMRS experiment to be fitted is selected. Temperature of the measurements is fixed for further simulations. Thus, we have two sets of fit parameters: intensity and linewidth of each absorption line, because the absorption line positions are reproduced automatically for the known spin Hamiltonian parameters. The intensity of the observed absorption lines is calculated for the temperature of measurements. The resulting values are chosen as a zero-approximation of the fitting procedure in the “intensity”-dimension. The FWHMs introduced above give the zero-approximation of the fitting procedure in the “linewidth”-dimension.
- FDMRS spectra are calculated using the zero-approximation of the fitting parameters for Lorentzian and Gaussian linewidths, independently.
- The best fit parameters are those that satisfy three requirements. 1) The calculated transmission versus frequency spectrum (Formula 6.3-5) reproduces well the experiment by the visual comparison. 2) The resulting from fit FWHMs correlate well with the FWHM zero-approximation obtained in the Block 1 3) The spectral line intensity resulting from fit is in a good agreement with the intensity calculated according to the (Formula 6.3-2)
- The lineshape function model is selected that gives a best conformance with the experiment. The obtained temperature dependence of the linewidth enables a further analysis of the mechanisms of spectral line broadening.

In the next chapter we present the application of the program to the analysis of the FDMRS experiments on some molecules with non-compensated ground spin value.

## VII

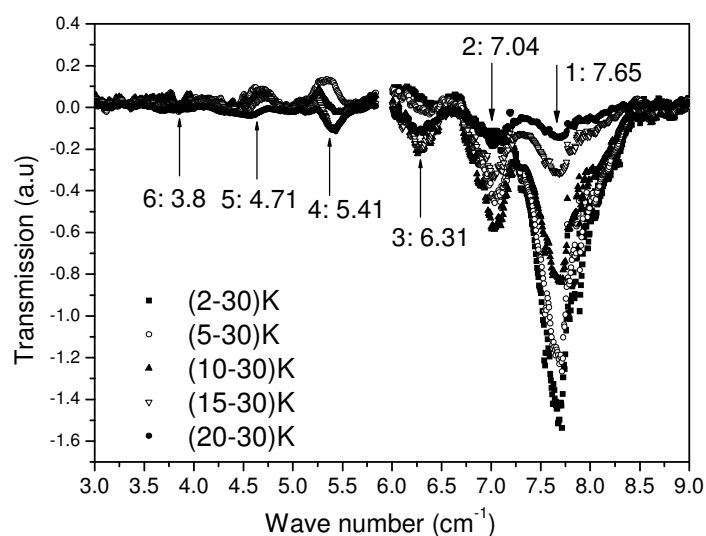
# Zero-Field Splittings (ZFSs) of molecular magnets studies by FDMRS

The computer code presented in the previous chapter was successfully applied to the ZFS-studies by FDMRS on several molecular magnets. Some of them are presented in this chapter: the one-electron reduced  $Mn_{12}$ :  $(PPh_4)[Mn_{12}O_{12}(O_2CET)_{16}(H_2O)_4]$ , one member of the  $Mn_9$ -class:  $[Mn_9O_7(OAc)_{11}(thme)(py)_3(H_2O)_2]$ , the molecule  $[Ni-(HIM2-py)_2NO_3]NO_3$ , which is a possible building block of single-molecule magnets and the tetrameric  $Ni_4 = [Ni_4(MeOH)_4L_4]$  cluster that does not show the SMM-behavior in spite of the high ground-state spin value and the negative axial magnetic anisotropy.

### 7.1 ZFSs of $(PPh_4)[Mn_{12}O_{12}(O_2CET)_{16}(H_2O)_4]$

**Introduction.** The first and the most carefully investigated single molecular magnet is  $[Mn_{12}O_{12}(O_2CCH_3)_{16}(H_2O)] \cdot 4H_2O \cdot 2HO_2CCH_3$  called  $Mn_{12}Ac$  (see Chapter 2). Studies on  $Mn_{12}Ac$  have motivated the search for some new single molecule magnets in the group of dodecanuclear manganese complexes. Work within the area of  $Mn_{12}$  coordination chemistry has led to the development of methods for major alteration of the chemical environment of the  $Mn_{12}$  core. Various  $PPh_4^+$  derivatives of the  $Mn_{12}Ac$  cluster were obtained recently. One of them is the  $Mn^{IV}_4Mn^{III}_7Mn^{II}$  valence trapped salt of  $(PPh_4)[Mn_{12}O_{12}(O_2CET)_{16}(H_2O)_4]$  noted in the following as compound **1**. Here  $PPh_4$  plays a role of donating an electron to the  $Mn_{12}$  molecule; so the  $Mn_{12}$  molecule becomes anionic compared to the well-known  $Mn_{12}$ -acetate. The synthesis, the molecular structure and magnetization relaxation behavior of this complex were described already ten years ago [Eppley, 1995], but the spin Hamiltonian parameters were not well known. A ferrimagnetic ground state with the half integer value ( $S=19/2$ ) and  $g=1.96$  was derived from the temperature dependence of the solid-state dc magnetic susceptibility. This fact caused an additional interest in this half-integer spin complex, because the spin parity effect forbids magnetization quantum tunneling in zero magnetic fields. Nevertheless, the presence of steps in the magnetization hysteresis loops was reported [Aubin, 1999]. The work presented here gives information complementary to the studies of ZFS's in various one-electron reduced  $Mn_{12}$  complexes published in the literature.

**Results and analysis.** FDMRS-Spectra were recorded on a polycrystalline powder pellet (mass=0.4857 g, thickness = 0.3449 cm; diameter = 1 cm) of compound **1** using linearly polarized radiation in the frequency range 2.3...12.5  $cm^{-1}$  at temperatures 1.8...30 K in absence of an external magnetic field. The normalized FDMRS-spectra are summarized in the Figure 7.1-1. Six absorption lines were observed in the experiment, with the lowest frequency one being much more weakly than the other ones. They are located at 7.65, 7.04, 6.31, 5.41, 4.71, 3.8  $cm^{-1}$ , respectively.



**Figure 7.1-1** Normalized FDMRS spectra measured on **1** at various temperatures with the six absorption lines indicated.

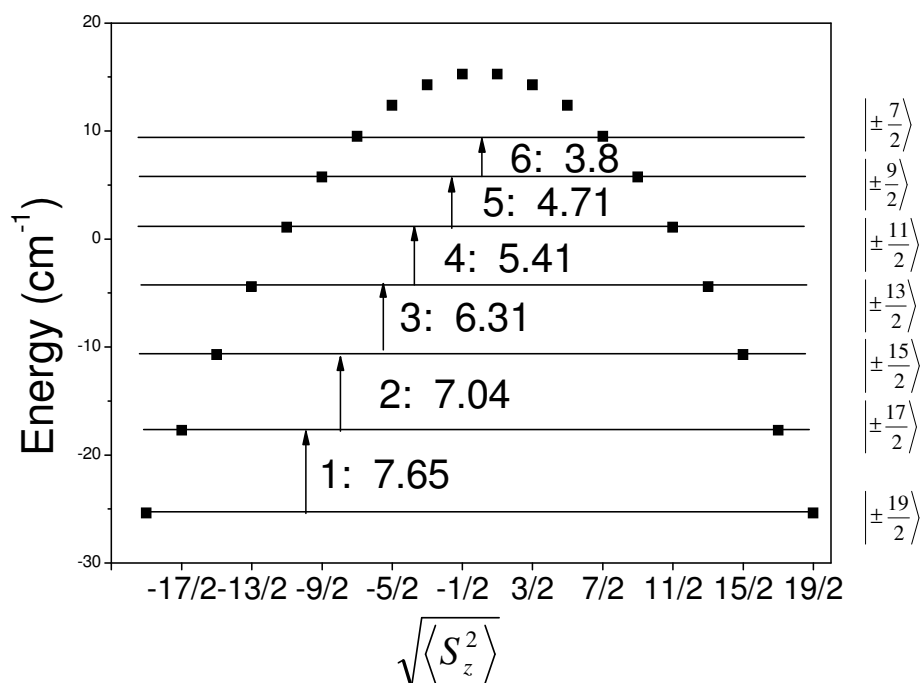
A simple estimation of the axial ZFS-parameters was performed according to the formula  $D_n = \frac{\hbar\omega_n^{\text{exp}}}{[M_n^2 - (M_n - 1)^2]}$ . Here  $\hbar\omega_n^{\text{exp}}$  are the spectral line positions associated to the transitions between the states  $|M_n\rangle \rightarrow |M_n - 1\rangle$ . Thus the possible D-values were found to lie inside the interval  $-0.475 \dots -0.425 \text{ cm}^{-1}$  with the corresponding transverse ZFSs restricted by  $0 \leq E \leq 0.158 \text{ cm}^{-1}$ . The best agreement with the experiment was achieved by fitting with the single-spin model Hamiltonian acting on the spin ground state with  $S=19/2$  shown below:

$$H^{\text{ZFS}} = D \cdot \left[ \hat{S}_Z^2 - \frac{1}{3} S(S+1) \right] + B_4^0 \hat{O}_4^0 \quad (7.1-1).$$

Contrary to the case of  $\text{Mn}_{12}\text{Ac}$ , introduction of transverse terms into the above Hamiltonian does not improve the fit. The resulting ZFS parameters are  $D = -0.454 \pm 0.003 \text{ cm}^{-1}$  and  $B_4^0 = (+1.01 \pm 0.25) \times 10^{-5} \text{ cm}^{-1}$ . This estimate provides a very good description of all six observed absorption lines with the resulting mean relative deviation  $m = 7.60769 \times 10^{-5}$  (see Table 7.1-1). Figure 7.1-2 illustrates formation of the FDMRS spectrum as a series of absorption lines caused by magnetic dipole transitions inside of the spin ground multiplet restricted by the selection rule  $\Delta M_s = \pm 1$ .

**Table 7.1-1** Comparison of the observed absorption line positions with the calculated ones predicted by the single-spin Hamiltonian model (Formula 7.1-1) with  $D=-0.454 \text{ cm}^{-1}$  and  $B_4^0 = +1.01 \times 10^{-5} \text{ cm}^{-1}$ , respectively.

Nr. absorption line	Transition between states	Calculated resonance frequency [ $\text{cm}^{-1}$ ]	Experimentally observed position of absorption line [ $\text{cm}^{-1}$ ]	Relative deviations
1	$ \pm \frac{19}{2}\rangle \rightarrow  \pm \frac{17}{2}\rangle$	7.66655	7.65	$4.67 \times 10^{-6}$
2	$ \pm \frac{17}{2}\rangle \rightarrow  \pm \frac{15}{2}\rangle$	7.00777	7.04	$2.09 \times 10^{-5}$
3	$ \pm \frac{15}{2}\rangle \rightarrow  \pm \frac{13}{2}\rangle$	6.28086	6.31	$2.13 \times 10^{-5}$
4	$ \pm \frac{13}{2}\rangle \rightarrow  \pm \frac{11}{2}\rangle$	5.49432	5.41	$2.42 \times 10^{-4}$
5	$ \pm \frac{11}{2}\rangle \rightarrow  \pm \frac{9}{2}\rangle$	4.65668	4.71	$1.28 \times 10^{-4}$
6	$ \pm \frac{9}{2}\rangle \rightarrow  \pm \frac{7}{2}\rangle$	3.77645	3.8	$3.84 \times 10^{-5}$
Mean relative deviation				$7.60769 \times 10^{-5}$



**Figure 7.1-2** The energy diagram calculated using the single-spin Hamiltonian model Eq.(7.1-1) and ZFSs extracted from the FDMRS experiment on the compound **1** (black points). Arrows indicate the magnetic dipole transitions between the spin microstates that constitute six experimentally observed spectral lines.



The ZFS parameters were studied recently in several one-electron reduced  $Mn_{12}$  compounds with different ligands:  $(PPh_4)[Mn_{12}O_{12}(O_2CET)_{16}(H_2O)_4]$  denoted below as **1** and  $(PPh_4)[Mn_{12}O_{12}(O_2CCHCl_2)_{16}(H_2O)_4]$  noted as **2**) by various experimental methods like reduced magnetization, HF-EPR, DC susceptibility and INS. Table 7.1-2 summarizes the published results. Zero field techniques (FDMRS and INS) indicate the non-zero axial ZFS's of the fourth order, whereas the HFEPR measurements point to the axial ZFS's with the remark: "inclusion of the  $B_4^0$  term does not lead to improvement of the least square fit of the HFEPR data" [Aubin, 1999]. A discrepancy factor (defined in Chapter 6.4) of  $10^{-2}$  was obtained by fitting the FDMRS spectra using only the D term. Inclusion of the axial quadratic zero field term led to a three order of magnitude reduction of this value down to  $10^{-5}$ . The compound **1** was studied by FDMRS and HF-EPR with the approximately the same resulting D-parameters: -0.453 versus -0.4  $cm^{-1}$ , respectively. It is a little bit less than the axial ZFS of the neutral  $Mn_{12}Ac$  (there  $D = -0.47 cm^{-1}$  [Sessoli, 2003]). This fact serves as an experimental proof of the idea discussed in [Basler, 2005] that the added electron decreases the axial anisotropy parameter D. The decrease of the cluster anisotropy was explained by the decrease in number of  $Mn^{3+}$  from eight in the neutral  $Mn_{12}Ac$  molecule to seven in the samples **1** and **2**. Converting a  $Mn^{3+}$  to a  $Mn^{2+}$  ion effectively quenches the single-ion anisotropy in this site, leading to a decrease of the cluster anisotropy.

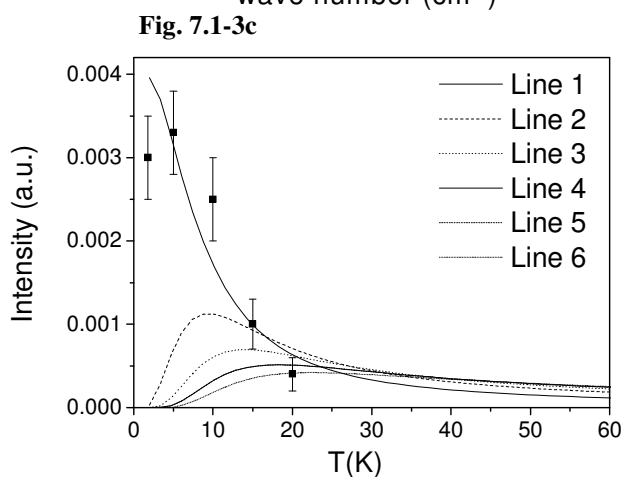
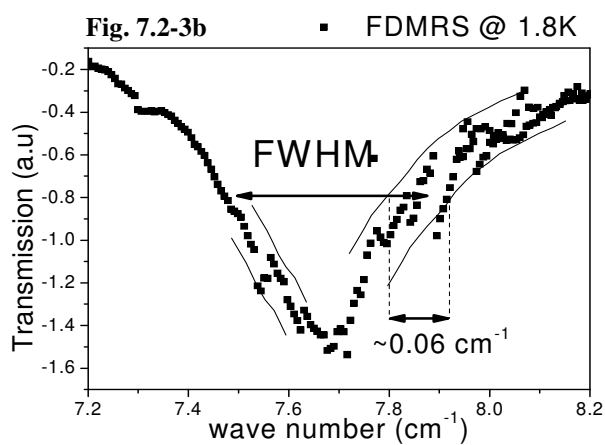
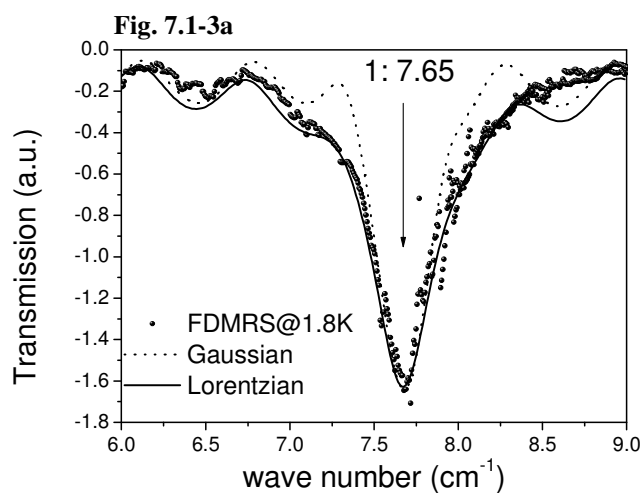
Notably, the  $B_4^0$  parameters obtained in the compounds **1** and **2** by FDMRS and INS have the same order of magnitude, but opposite signs. The  $B_4^0$  parameter extracted from the FDMRS done for **1** gives a lower (compared to INS) discrepancy factor (s. Table 7.1-2).

**Table 7.1-2** Comparison of the zero-field splitting parameters in two one-electron reduced  $Mn_{12}$  complexes studied by different experimental methods. Here  $(PPh_4)[Mn_{12}O_{12}(O_2CET)_{16}(H_2O)_4]$  is noted **1**,  $(PPh_4)[Mn_{12}O_{12}(O_2CCHCl_2)_{16}(H_2O)_4]$  is noted **2**.

Complex	D [ $cm^{-1}$ ]	$B_4^0$ [ $cm^{-1}$ ]	$\chi^2$	Experimental technique	Publication
1	-0.453	$+1.014 \cdot 10^{-5}$	$7.6 \cdot 10^{-5}$	FDMRS	[Kirchner, 2005]
1	+0.4	-	-	reduced magnetization	[Eppley, 1995]
1	-0.4	-	-	HFEPR	[Aubin, 1999]
2	-0.34	-	-	DC magnetic susceptibility	[Soler, 2003]
2	-0.368	$-1.59 \cdot 10^{-5}$	$4 \cdot 10^{-4}$	INS	[Basler, 2005]
	-0.332	$-1.8 \cdot 10^{-5}$	$3.8 \cdot 10^{-3}$		

Since the spin Hamiltonian describing the compound **1** contains no transverse terms, the states with opposite spin orientations are degenerate. Contrary to the  $Mn_{12}Ac$  where ground state magnetization quantum tunneling (MQT) is allowed by the cluster symmetry, the mechanism of tunneling in the one-electron reduced  $Mn_{12}$  compounds must have another origin. It is probably connected with the presence in each molecule of effective internal magnetic fields due to the nuclear spins on the Mn atoms or the dipolar

interactions. To prove these versions additional experiments are required. The FDMRS-spectra of the one-electron reduced  $\text{Mn}_{12}$  sample (compound **1**) were better simulated using a Lorentzian lineshape function (see Figure 7.1-3a), while the FDMRS experiment on the neutral  $\text{Mn}_{12}\text{Ac}$  was reproduced using a Gaussian one [Mukhin, 2002]. An analysis of the EPR-linewidth temperature dependence is usually performed to study the relaxation processes and mechanisms of the spectral line broadening in the high-spin molecules. It is generally a difficult task in the case of the FDMRS, since the spectral linewidth can only be estimated with a relatively low precision. The reason is twofold. The FDMRS spectra are very much sensitive to the standing waves in the cryostat that lead to the broad distribution of the output signal on the intensity-scale, i.e. to measurement artefacts in the spectrum (s. Figure 7.1-3b). The magnitude of the error introduced into the FWHM-estimation depends on the quality of the experiment. This value is about  $0.05 \text{ cm}^{-1}$  in the case of the experiment on compound **1**. Secondly, the intensity of the spectral lines becomes weaker and weaker with increasing temperature as it is illustrated in the Figure 7.1-3c. This is the result of the Boltzman distribution for populations of the energy levels. If the intensity of the absorption line is comparable to the amplitude of the baseline oscillations than the linewidth analysis becomes impossible. Table 7.1-3 summarizes the resulting FWHM-values and the Lorentzian linewidths that were obtained by simulation of the experimental spectra.

**Figure 7.1-3**

The FDMRS spectra of the compound **1** were well reproduced using the Lorentzian line shape function (Fig. 7.1-3a). The spectra are destroyed by the standing waves in the cryostat. It makes difficult the rigorous analysis of the line width temperature dependence (Fig. 7.1-3b). Fig 7.1-3c shows the calculated temperature dependence of the absorption line intensity for all six lines observed experimentally (lines). Black points with bars indicate the absolute intensity of the 1<sup>st</sup> absorption line obtained by fitting the experiment.

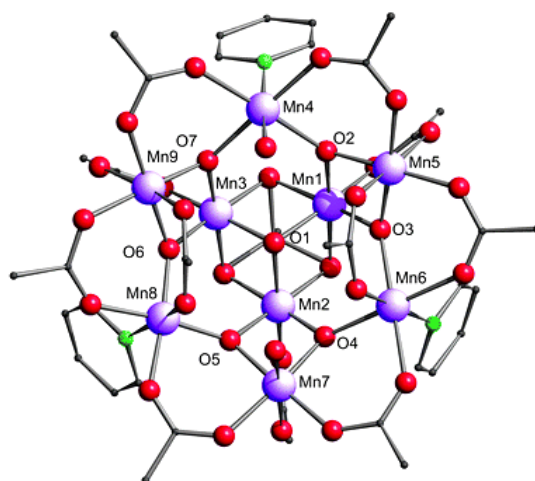
**Table 7.1-3:** Comparison of the full width on the half of maximum (FWHM) with the Lorentzian linewidth values for the first two absorption lines used for FDMRS-spectral simulations on compound **1** at various temperatures.

Temperature [K]	Line 1[cm <sup>-1</sup> ]		Line 2[cm <sup>-1</sup> ]	
	FWHM (T-30)K	Lorentzian linewidth	FWHM (T-30)K	Lorentzian linewidth
1.8	0.43±0.05	0.45	-	-
5	0.41±0.05	0.42	0.38±0.05	0.3
10	0.44±0.05	0.42	0.37±0.05	0.25
15	0.50±0.05	0.4	0.34±0.05	0.28
20	0.55±0.05	0.5	0.34±0.05	0.28

A FWHM about 0.235 cm<sup>-1</sup> was reported for the first absorption line composed by the transitions  $|\pm 10\rangle \rightarrow |\pm 9\rangle$  in the experiment on the neutral Mn<sub>12</sub> molecule [Mukhin, 2002]. This is half of the obtained FWHM (0.43 cm<sup>-1</sup>) of the one-electron reduced Mn<sub>12</sub> (compound **1**) for the absorption line consisting of the transitions  $|\pm 19/2\rangle \rightarrow |\pm 17/2\rangle$ . Therefore, the one-electron reduction leads to an essential change of the microenvironment within the molecule. It affects the electronic and magnetic properties and results in the different lineshape functions and FWHM values needed for simulation of the FDMRS spectra on the neutral and the one-electron reduced Mn<sub>12</sub> molecules.

## 7.2 ZFSs of $[\text{Mn}_9\text{O}_7(\text{OAc})_{11}(\text{thme})(\text{py})_3(\text{H}_2\text{O})_2]$

**Introduction.** A large range of  $\text{Mn}_x$  clusters (e.g.  $x=3$  [Alexiou, 2003], [Scott, 2005];  $x=4$  [Lecren, 2005];  $x=16$  [Price, 2002] and many others) constituted of manganese ions ( $\text{Mn}^{\text{II}}$ ,  $\text{Mn}^{\text{III}}$ ,  $\text{Mn}^{\text{IV}}$ ) has been discovered recently; many of them display SMM properties. Here we present the FDMRS study of the magnetic anisotropy in the  $\text{Mn}_9$ -cluster ( $\text{Mn}_9=[\text{Mn}_9\text{O}_7(\text{OAc})_{11}(\text{thme})(\text{py})_3(\text{H}_2\text{O})_2]$ ), the synthesis and molecular structure of which were published in [Brechin, 2002], [Piligkos, 2005]. The metallic skeleton of this molecule consists of nine manganese ions: two  $\text{Mn}^{2+}$ , four  $\text{Mn}^{3+}$  and three  $\text{Mn}^{4+}$ . The magnetic core of this complex can be imagined as a combination of two rings:  $[\text{Mn}^{\text{III}}_4\text{Mn}^{\text{II}}_2\text{O}_6]^{4+}$  and  $[\text{Mn}^{\text{IV}}_3\text{O}]^{10+}$  ones. They form a part of an idealized icosahedron shown in Figure 7.2-1. The ground multiplet arises from the antiferromagnetic coupling between the central  $\text{Mn}^{4+}$  and the peripheral  $\text{Mn}^{2+}$  and  $\text{Mn}^{3+}$  ions with the total spin value  $S=17/2$  determined initially from reduced magnetization measurements [Brechin, 2002]. This value was later confirmed by theoretical density-functional calculations and experimental studies (inelastic neutron scattering and frequency domain magnetic resonance spectroscopy) [Piligkos, 2005].

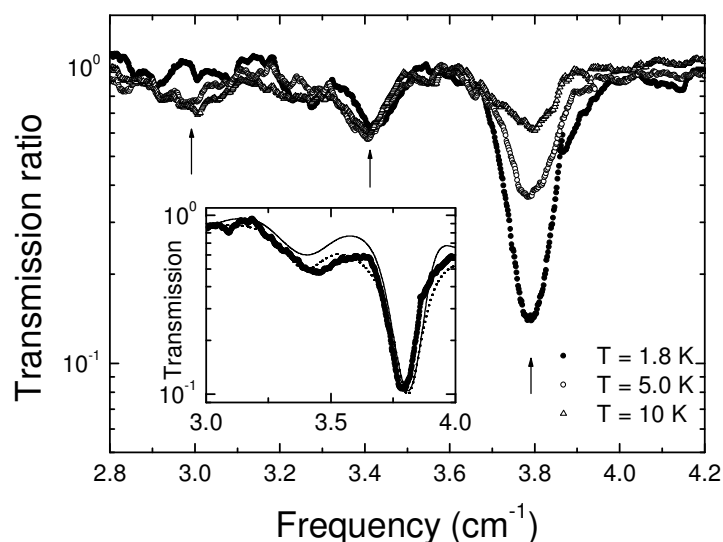


**Figure 7.2-1** Molecular structure of  $\text{Mn}_9$  viewed from above the  $[\text{Mn}^{\text{III}}_4\text{Mn}^{\text{II}}_2\text{O}_6]^{4+}$  ring.

**Results and analysis.** FDMRS spectra were recorded on a pressed powder sample of  $\text{Mn}_9$ -complex. Experimental conditions and sample parameters are listed in the Table 7.2-1. Three absorption lines were observed in the normalized FDMRS-spectra shown in the Figure 7.2-2. Their positions were exactly determined by fitting the resonance lines with high-degree polynomials (see Table 6.4-2, Block 1); the resulting values are  $3.79$ ,  $3.41$ , and  $2.95 \text{ cm}^{-1}$ , respectively.

**Table 7.2-1** Parameters of the sample and conditions of the FDMRS experiment on  $Mn_9$ -complex

Sample	
mass	0.17916 g
thickness	0.1456 cm
diameter	1 cm
Experimental setup	
Radiation/ frequency range	Linearly polarized/ 2.8-5.0 $cm^{-1}$
Temperatures	1.8-30 K

**Figure 7.2-2** Normalized FDMRS-spectra on  $Mn_9$ -complex measured at various temperatures indicate three absorption lines at 3.79, 3.41, and 2.95  $cm^{-1}$ , respectively. The inset shows the non-normalized FDMRS spectrum at 1.8K together with the simulations assuming Gaussian (solid line) and Lorentzian (dotted line) line shape functions

The spectra were treated in terms of the effective single-spin Hamiltonian model applied to the ground multiplet with the total spin value  $S=17/2$ . The observed resonances correspond to the magnetic dipole transitions between pairs of the states  $|\alpha S; \pm M_S\rangle \rightarrow |\alpha S; \pm(M_S - 1)\rangle$ , where  $S=17/2$ ,  $M_S=17/2, 15/2$  and  $13/2$ , and  $\alpha$  denotes additional quantum numbers. The best agreement with the experiment was obtained by using the Eq. (7.2-1)

$$H^{ZFS} = D \cdot \left[ S_z^2 - \frac{1}{3} S(S+1) \right] + B_4^0 \hat{O}_4^0 \quad (7.2-1).$$

The negative sign of the axial zero-field splitting parameter  $D$  was immediately defined from the fact that the highest frequency resonance line has highest intensity at lowest temperatures. A number of the single-spin Hamiltonian models with various adjustable parameters were tested. The quality of the fit was characterized by the minimal discrepancy factor resulting by comparing of the experimentally observed absorption line positions with the calculated ones (s. Table 6.4-2, Block 2). Nearly the same discrepancy factors were obtained by fitting the experiment to the ZFS-Hamiltonian including only the axial ZFS-term and both (axial and transverse) ZFS terms with a negligible resulting  $E$ -parameter about  $10^{-2} \text{ cm}^{-1}$ . An essential improvement of the fit was achieved with help of the model that contains the axial ZFSs and  $B_4^0 \hat{O}_4^0$  term, i.e. Eq.(7.2-1). Adding transverse anisotropy in fourth order (i.e. the term  $B_4^3 \hat{O}_4^3$  allowed by trigonal symmetry) also did not improve the fit. Finally, the best adjustable parameters were determined to be  $D = -0.247 \pm 0.005 \text{ cm}^{-1}$  and  $B_4^0 = (+4.6 \pm 0.1) \times 10^{-6} \text{ cm}^{-1}$  (s. Table 7.2-2). Therefore the system is an easy-axis system with a magnetic anisotropy barrier of about  $18 \text{ cm}^{-1}$  (or 26 K).

Finally, we would like to stress here that the ZFS parameters extracted from the FDMRS experiment on the  $\text{Mn}_9$ -cluster are in excellent agreement with the outcome of other magnetic studies using the inelastic neutron scattering, bulk and single-crystal measurements, and theoretical density functional calculations (see Table 7.2-3).

**Table 7.2-2** FDMRS experiment on the  $Mn_9$ -complex: comparing the observed absorption line positions and the calculated ones resulting from the effective single-spin Hamiltonian model with the adjustable parameters  $D=-0.247 \text{ cm}^{-1}$  and  $B_4^0 = +4.6 \times 10^{-6} \text{ cm}^{-1}$ .

Nr. absorption line	Transition between states $ \pm M_S\rangle \rightarrow  \pm(M_S - 1)\rangle$	Calculated resonance frequency [ $\text{cm}^{-1}$ ]	Experimentally observed absorption line position [ $\text{cm}^{-1}$ ]	Relative deviations
1	$ \pm \frac{17}{2}\rangle \rightarrow  \pm \frac{15}{2}\rangle$	3.79744	3.79	$3.85 \times 10^{-6}$
2	$ \pm \frac{15}{2}\rangle \rightarrow  \pm \frac{13}{2}\rangle$	3.39038	3.41	$3.3 \times 10^{-5}$
3	$ \pm \frac{13}{2}\rangle \rightarrow  \pm \frac{11}{2}\rangle$	2.95627	2.95	$4.52 \times 10^{-6}$
<b>Mean relative deviation</b>				$1.38 \times 10^{-5}$

**Table 7.2-3** Comparison of the ZFS parameters obtained by FDMRS, INS, Reduced Magnetization versus H/T Measurements and single-crystal measurements on  $Mn_9$ -complex

ZFS [ $\text{cm}^{-1}$ ]	FDMRS	INS	magnetization	$\mu$ -SQUID	DFT
D	$-0.247 \pm 0.005$	$-0.249(5)$	$-0.29(3)$	-0.258	-0.235
$B_4^0$	$(+4.6 \pm 0.1) \times 10^{-6}$	$+7.4 \times 10^{-6}$			



### 7.3 ZFSs of $[\text{Ni}(\text{HIM2-py})_2\text{NO}_3]\text{NO}_3$ <sup>9</sup>

**Introduction.** High magnetic anisotropy of a uniaxial type in the spin ground multiplet is a prerequisite for single-magnet behavior in exchange-coupled systems. There are several physical mechanisms that change the magnitude of the experimentally observed ZFSs in high-nuclearity spin clusters. One of them is the single-ion anisotropy. Even in the case of compounds with the spin centers arising from identical ions substituted into intermediate ligand fields of the same symmetry, the principal axes of the single-ion D-tensors may have a space orientation differing from the cluster D-tensor. Thus, the different spin sites characterized by equal single-ion ZFS parameters produce different contributions to the cluster magnetic anisotropy. There the magnitude of the single-ion contribution for a given site depends on the total molecular symmetry. The search for the mononuclear complexes acting as building blocks of single-molecule magnets is a current task of synthetic chemistry. Transition metal ions (e.g.  $\text{Ni}^{\text{II}}$ ,  $\text{Mn}^{\text{II}}$ ,  $\text{Mn}^{\text{III}}$ ,  $\text{Mn}^{\text{IV}}$ ,  $\text{Fe}^{\text{III}}$ ) have high spin-ground states in intermediate ligand fields and therefore are frequently used for this end. The ZFS studies on isolated ions listed above help to design the high-nuclearity complexes with a desired magnetic anisotropy. FDMRS was applied to investigate the zero-field splittings of a mononuclear complex  $[\text{Ni}(\text{HIM2-py})_2\text{NO}_3]\text{NO}_3$ , of which the molecular structure is shown in Figure 7.3-1.

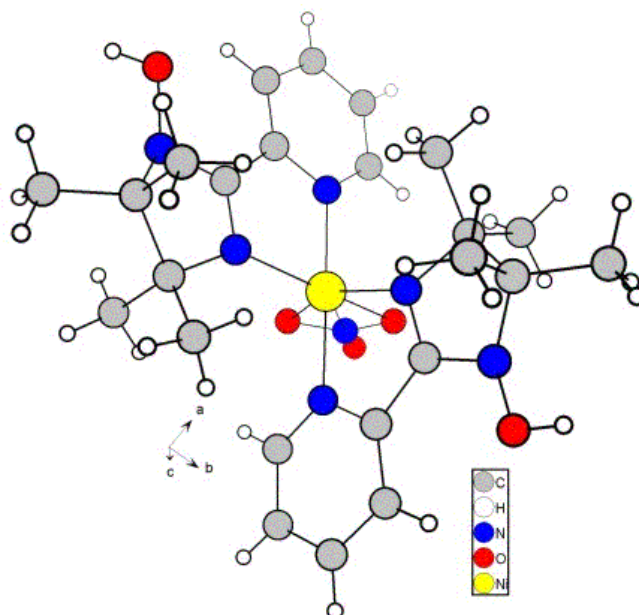


Figure 7.3-1 Molecular structure of  $[\text{Ni}(\text{HIM2-py})_2\text{NO}_3]\text{NO}_3$

<sup>9</sup> HIM2-py: 2-(2-pyridyl)-4,4,5,5-tetramethyl-4,5-dihydro-1H-imidazolyl-1-hydroxy

**Results and analysis.** FDMRS spectra were recorded on a powder pellet of the [Ni-(HIM2-py)<sub>2</sub>NO<sub>3</sub>]NO<sub>3</sub> complex under conditions listed in the Table 7.3-1. The spin center of this compound arises due to octahedrally coordinated Ni(II) (d<sup>8</sup>)-ion. The ground state of each Ni(II) ion is the orbital F-state with L=3 and S=1, [Abragam, 1970]. In nearly octahedral crystal field each F-state is split into ground spin triplet state ( $\Gamma_2$ , S=1) and two excited orbital states ( $\Gamma_5$  and  $\Gamma_4$ ) with fictitious orbital momentum L=1 as shown in Figure 7.3-2. The contribution from excited orbital states to the energy spectrum is usually

**Table 7.3-1** Parameters of the sample and conditions of the FDMRS experiment on [Ni-(HIM2-py)<sub>2</sub>NO<sub>3</sub>]NO<sub>3</sub> complex

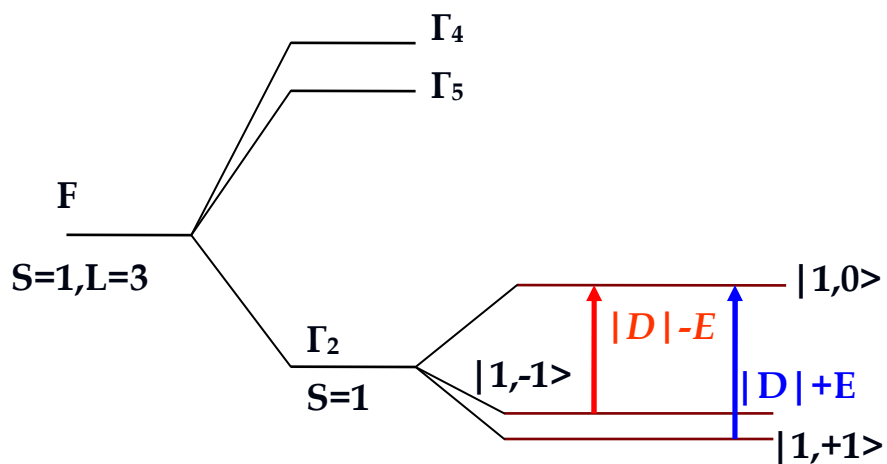
Sample	
mass	0.155 g
thickness	0.182 cm
diameter	1 cm
Experimental setup	
Radiation/ in the frequency range	Linearly polarized/ 6-18 cm <sup>-1</sup>
Temperatures	1.8-60 K

omitted in the explanation of the experimental data, since the states ( $\Gamma_5$  and  $\Gamma_4$ ) are lying much above the  $\Gamma_2$  state. The isolated Ni<sup>II</sup> ion in octahedral ligand field gives rise to a ground state with the total spin value S=1, see Figure 7.3-2. Therefore only two magnetic resonance modes were expected by studying the magnetic excitations in this triplet using FDMRS. In fact, magnetic dipole transitions are restricted by the selection rule  $\Delta M_s = \pm 1$ , so that only two are allowed for S=1:  $|S;1\rangle \rightarrow |S;0\rangle$  and  $|S;-1\rangle \rightarrow |S;0\rangle$  with the corresponding transition frequencies  $|D|+E$  and  $|D|-E$ . Nevertheless, the FDMRS spectra shown in Figure 7.3-3 indicate one absorption line. A slight asymmetry of the absorption line was observed at the lowest temperatures. It originates from the overlap of two modes with the experimentally distinguishable transition frequencies ( $\nu_1=10.3\pm 0.01$  cm<sup>-1</sup> and  $\nu_2=9.7\pm 0.01$  cm<sup>-1</sup>) and different intensities.

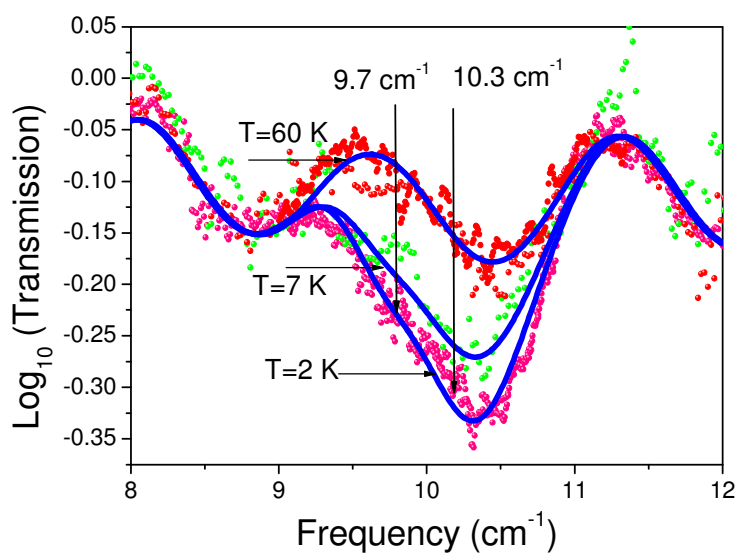
The experimental data were analyzed in terms of the standard ZFS Hamiltonian model  $\hat{H}^{ZFS} = D\left(\hat{S}_z^2 - \frac{1}{3}S(S+1)\right) + E(\hat{S}_x^2 - \hat{S}_y^2)$  leading to the following parameters: D=-10.0±0.01 cm<sup>-1</sup> and E=0.3±0.01 cm<sup>-1</sup>. These results are in a very good agreement (within 10 %) with those found from the field-dependent magnetization- and HF-EPR measurements, which were confirmed theoretically by ZFS calculations based on the angular overlap model (Table 7.3-2).

**Table 7.3-2** Comparison of the ZFS parameters [cm<sup>-1</sup>] obtained by FDMRS, field-dependent magnetization-, HF-EPR measurements and AOM calculations on [Ni-(HIM2-py)<sub>2</sub>NO<sub>3</sub>]NO<sub>3</sub> complex [Rogez, 2005]

ZFS [cm <sup>-1</sup> ]	FDMRS	Magne-tization	HF-EPR	AOM
D	-10.00±0.01	-11.2	-10.15	-10.1
E/ D	~0.03	0	0.01	0.1

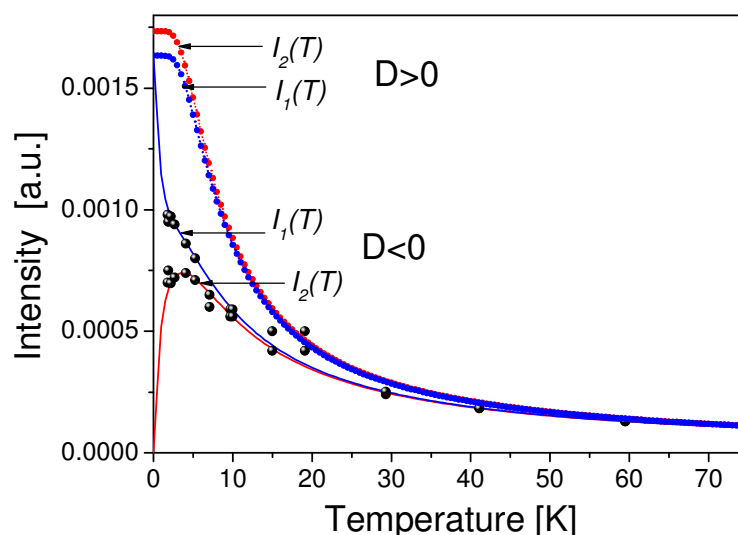


**Figure 7.3-2** Splitting of the triplet orbital state of a Ni(II) ion with  $d^8$ -electronic configuration in octahedral crystal field. The triplet  $\Gamma_2$  was studied by FDMRS, where two magnetic resonance modes were expected.



**Figure 7.3-3** FDMRS-spectra on  $[\text{Ni}-(\text{HIM2-py})_2 \text{NO}_3]\text{NO}_3$ -complex at various temperatures are shown (points: experiment, solid lines: simulations). The spectra were simulated using the Gaussian line shape function for the ZFS Hamiltonian with the parameters:  $D=-10.0 \text{ cm}^{-1}$  and  $E=0.3 \text{ cm}^{-1}$ .

Moreover, the developed code for FDMRS spectral simulations allows unambiguous determination of the sign of zero-field splitting parameters. Figure 7.3-4 illustrates this fact. There the temperature dependence of intensity was automatically calculated for two excitations ( $\nu_1$  and  $\nu_2$ ) constituting the absorption line for both cases of  $D>0$  and  $D<0$ . The intensities of the transitions extracted from the experimental data were compared with the theoretical prediction leading to the definite conclusion: the axial ZFS parameter is negative.



**Figure 7.3-4**  $I_1(T)$  and  $I_2(T)$  shows the temperature dependence of the intensity for the modes ( $\nu_1$  and  $\nu_2$ ) calculated for  $D>0$  and  $D<0$  (lines). Points indicate the intensity values extracted from the experiment. Comparison of the simulation with the experimental results points to a negative  $D$ -parameter.

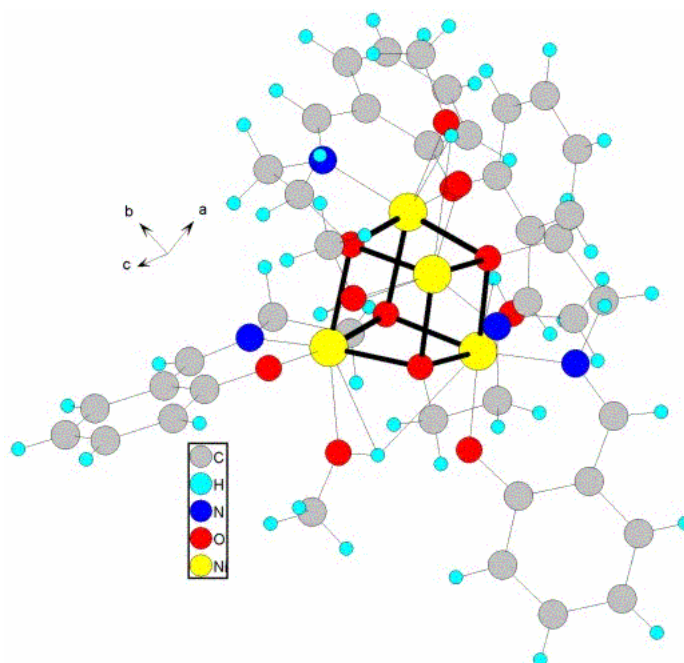
We would like to stress here, that the analytical formalism used for the FDMRS spectral simulations has been significantly improved since the first FDMRS studies, e.g. on the mononuclear  $\text{Ni}^{\text{II}}$  complex:  $\text{Ni}(\text{PPh}_3)_2\text{Cl}_2$  ( $\text{Ph} = \text{C}_6\text{H}_5$ ) [Vongtragool, 2003]. There, only the absolute values of the ZFS-parameters were determined:  $|D| = 13.35(1) \text{ cm}^{-1}$ ,  $|E| = 1.93(1) \text{ cm}^{-1}$ . The current status of the program allows an exact determination of the ZFS-fingerprints from the FDMRS experiments and makes this technique on par with inelastic neutron scattering.

To the best of our knowledge, the compound  $[\text{Ni}-(\text{HIM2-py})_2 \text{NO}_3]\text{NO}_3$  shows the largest Ising-like magnetic anisotropy ( $D<0$ ) ever reported for an isolated octahedral  $\text{Ni}^{\text{II}}$  complex. Thus, this complex is a very suitable building block to prepare single-molecular magnets with high blocking temperatures.

## 7.4 ZFSs of $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ <sup>10</sup>

**Introduction.** Since early 90s the transition-metal complexes consisting of four  $\text{Ni}^{\text{II}}$  ions were of interest in scientific community. Thus, in [Mlynarski, 1991] the  $\text{Ni}_4$  cluster was chosen as a model to study the electronic properties of transition-metal surfaces using different versions of the density-functional method of calculations. Later the melting behavior of  $\text{Ni}_4$  clusters (i.e., the solid-to-liquid phase transition) was studied theoretically by molecular dynamics and Monte-Carlo simulations [Güven, 1999]. A variety of publications on  $\text{Ni}_4$  clusters has appeared in literature since discovery of the specific quantum properties of single molecular magnets (s. e.g. [Karmakar, 2002], [Nakano, 2002], [Yang, 2003], [Aromi, 2003], [Moragues-Canovas, 2004], [del Barco, 2004]). These studies are devoted usually to the synthesis, structure and investigation of magnetic phenomena in various tetrameric  $\text{Ni}^{\text{II}}$  clusters, which are arranged under different geometries and contain different kinds of ligands.

In this section we present the FDMRS experiment on the  $\text{Ni}_4$ -cluster of the complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ . The molecular structure of this compound is shown in Figure 7.4-1; it was precisely described in [Sieber, 2005]. The cluster symmetry is slightly distorted from the  $S_4$  symmetry. The magnetic subsystem of this compound consists of four  $\text{Ni}(\text{II})$  ions leading to the formation of a spin ground state with a total spin value  $S=4$ . The complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  is of high interest, since the whole family of the similar  $\text{Ni}_4$  compounds containing the ethyl and methyl groups as ligands have shown single magnet behavior [Edwards, 2003], only the complex under study does not show it down to 40mK.



**Figure 7.4-1** Molecular structure of the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex

<sup>10</sup> L = salicylidene - 2 - ethanolamine

**Results and analysis.** FDMRS spectra were recorded on the complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  in powder form (Table 7.4-1.)

**Table 7.4-1** Parameters of the sample and conditions of the FDMRS experiment on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex

<b>Sample</b>	
mass	0.31364 g
thickness	0.2792 cm
diameter	1 cm
<b>Experimental setup</b>	
Radiation / in the frequency range	Linearly polarized / 2-8 $\text{cm}^{-1}$
Temperatures	1.8-30 K

The experiment was well explained in terms of single-spin Hamiltonian acting on the spin ground state with the total spin value  $S=4$ :

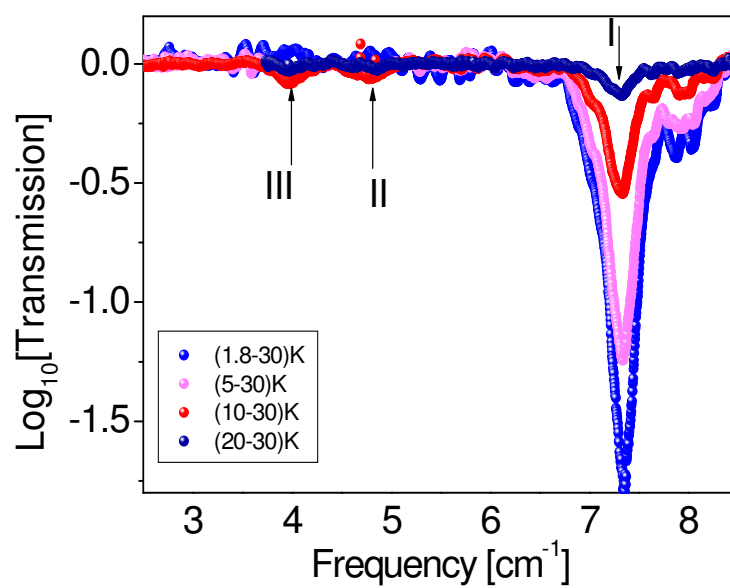
$$\hat{H}^{ZFS} = D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) + E \left( \hat{S}_x^2 - \hat{S}_y^2 \right) + B_4^0 \hat{O}_4^0 + B_4^4 \hat{O}_4^4 \quad (7.4-1).$$

The axial  $D$  and  $B_4^0$  terms of the model split the ground multiplet in the set of five degenerate levels:  $|S, \pm M_S\rangle$ , where  $S=4$ ,  $M_S=0, 1, \dots, 4$ . The  $E$ -term, not expected in view of the  $S_4$  symmetry, removes degeneracy of the states  $|S, \pm 1\rangle$ . Due to the  $B_4^4$  term, the eigenstates of the ground manifold represent the symmetric

$$|S, M_S\rangle_S = \frac{1}{\sqrt{2}} \left( |S, +M_S\rangle + |S, -M_S\rangle \right) \quad \text{and} \quad \text{antisymmetric}$$

$$|S, M_S\rangle_A = \frac{1}{\sqrt{2}} \left( |S, +M_S\rangle - |S, -M_S\rangle \right) \quad \text{linear combinations of the respective } M_S \text{ functions.}$$

On the basis of the  $\Delta M_S = \pm 1$  selection rule, nine transitions were expected within the  $S=4$  ground state in the FDMRS and Inelastic Neutron Scattering (INS) spectra. Three of them were observed in the FDMRS (see Figure 7.4-2) and eight in the INS experiment (see Figure 8.1-1 and [Sieber, 2005]).

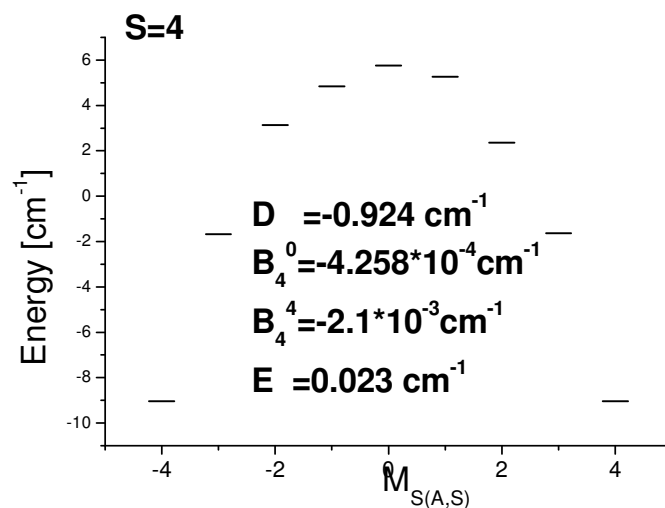


**Figure 7.4-2** The normalized FDMRS spectra taken at various temperatures indicate three absorption lines (I,II,III) located at 7.33, 4.79 and 3.99  $\text{cm}^{-1}$ , respectively

Both INS and FDMRS transition frequencies were reproduced well by the program described in Section 6.4 using the following set of the ZFS parameters:  $D=-0.93(2) \text{ cm}^{-1}$ ,  $E=0.023(8) \text{ cm}^{-1}$ ,  $B_4^0=-4.3(16)*10^{-4} \text{ cm}^{-1}$ ,  $B_4^4=-2.1(4)*10^{-3} \text{ cm}^{-1}$  (see Table 7.4-2). The resulting energy spectrum characterized by the states  $|S, M_S\rangle_A$  and  $|S, M_S\rangle_S$  has the form shown in Figure 7.4-3, where  $M_{S(A)}$  is negative and  $M_{S(S)}$  is positive.

**Table 7.4-2** Comparison of the transition frequencies observed in INS and FDMRS experiments and those calculated with the single-spin Hamiltonian (1) with the parameters:  $D=-0.93 \text{ cm}^{-1}$ ,  $E=0.023 \text{ cm}^{-1}$ ,  $B_4^0=-4.3*10^{-4} \text{ cm}^{-1}$ ,  $B_4^4=-2.1*10^{-3} \text{ cm}^{-1}$ .

Initial state	Final state	Calculated transition frequency [cm <sup>-1</sup> ]	INS [cm <sup>-1</sup> ]	FDMRS [cm <sup>-1</sup> ]
4, 0>	4, 1> <sub>A</sub>	0.916597	0.82	-
4, 1> <sub>A</sub>	- 4, 2> <sub>A</sub>	1.69317	1.67	-
4, 1> <sub>S</sub>	- 4, 2> <sub>A</sub>	2.11513	2.18	-
4, 1> <sub>A</sub>	- 4, 2> <sub>S</sub>	2.47678	2.5	-
4, 1> <sub>S</sub>	- 4, 2> <sub>S</sub>	2.89874	2.92	-
- 4, 2> <sub>S</sub>	- 4, 3> <sub>S</sub>	3.96345	4.01	3.99
4, 2> <sub>A</sub>	- 4, 3> <sub>A</sub>	4.00149		
- 4, 2> <sub>A</sub>	- 4, 3> <sub>S</sub>	4.74706	4.77	4.79
- 4, 2> <sub>A</sub>	- 4, 3> <sub>A</sub>	4.78509		
- 4, 3> <sub>A</sub>	4, 4> <sub>A</sub>	7.32205	7.36	7.33
- 4, 3> <sub>A</sub>	4, 4> <sub>S</sub>	7.32832		
- 4, 3> <sub>S</sub>	4, 4> <sub>A</sub>	7.36009		
- 4, 3> <sub>S</sub>	4, 4> <sub>S</sub>	7.36636		



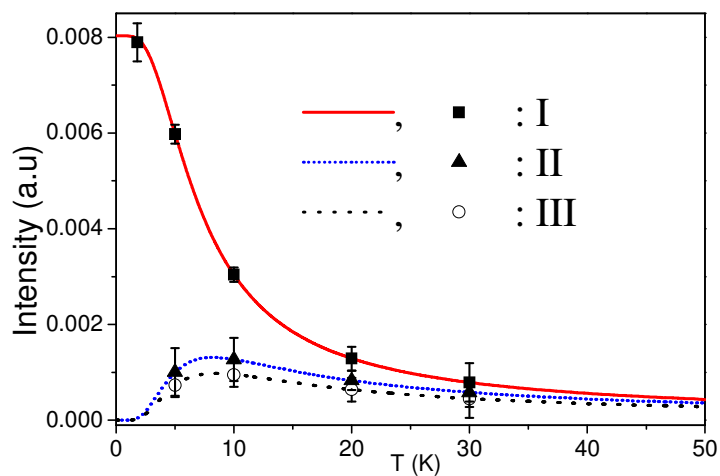
**Figure 7.4-3** Energy levels with the states  $|S, M_S\rangle_A$  and  $|S, M_S\rangle_S$  of a system described by the single-spin Hamiltonian (Formula 7.4-1) acting within the multiplet with the total spin  $S=4$ , where  $M_{S(A)} < 0$  and  $M_{S(S)} > 0$



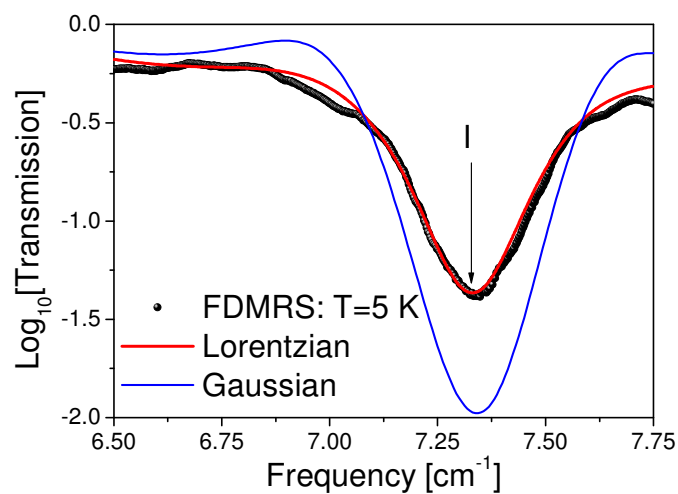
These ZFSs give also an excellent agreement between the calculated temperature dependence of the absorption line intensities and the absolute intensity values extracted from the FDMRS experiment (see Figure 7.4-4).

The origin of the fourth order axial and transverse terms will be analyzed in detail in the Chapter 8.

Finally, we mention that the FDMRS spectra were successfully simulated using the Lorentzian lineshape function as shown in Figure 7.4-5. Interestingly, the FDMRS linewidth is temperature independent. This fact cannot be explained neither in terms of the direct nor the two-phonon spin-lattice relaxation processes. The large value of the linewidth  $0.33 \text{ cm}^{-1}$  together with the Lorentzian type of the lineshape exclude dipole-dipole interaction as the only source for the spectral line broadening. This situation is typical for the systems where the temperature independent exchange interaction inside of the cluster dominates over the purely dipole interaction [Abragam, 1970]. The next chapter of this thesis is devoted to the study of the dominant mechanisms of exchange interactions in  $\text{Ni}_4$  cluster responsible for the cluster anisotropy.



**Figure 7.4-4** Temperature dependence of the intensities of the FDMRS absorption lines I,II,III calculated with  $D=-0.93 \text{ cm}^{-1}$ ,  $E=0.023 \text{ cm}^{-1}$ ,  $B_4^0=-4.3 \times 10^{-4} \text{ cm}^{-1}$ ,  $B_4^4=-2.1 \times 10^{-3} \text{ cm}^{-1}$ . Figures at 5, 10, 20 and 30 K indicate the absolute intensity values extracted from the FDMRS experiment



**Figure 7.4-5** FDMRS spectrum (black points) measured at 5 K are compared with the simulations performed using the Lorentzian (red line) and Gaussian (blue line) line shape functions

# VIII

## Origin of magnetic anisotropy in [Ni<sub>4</sub>(MeOH)<sub>4</sub>L<sub>4</sub>] complex

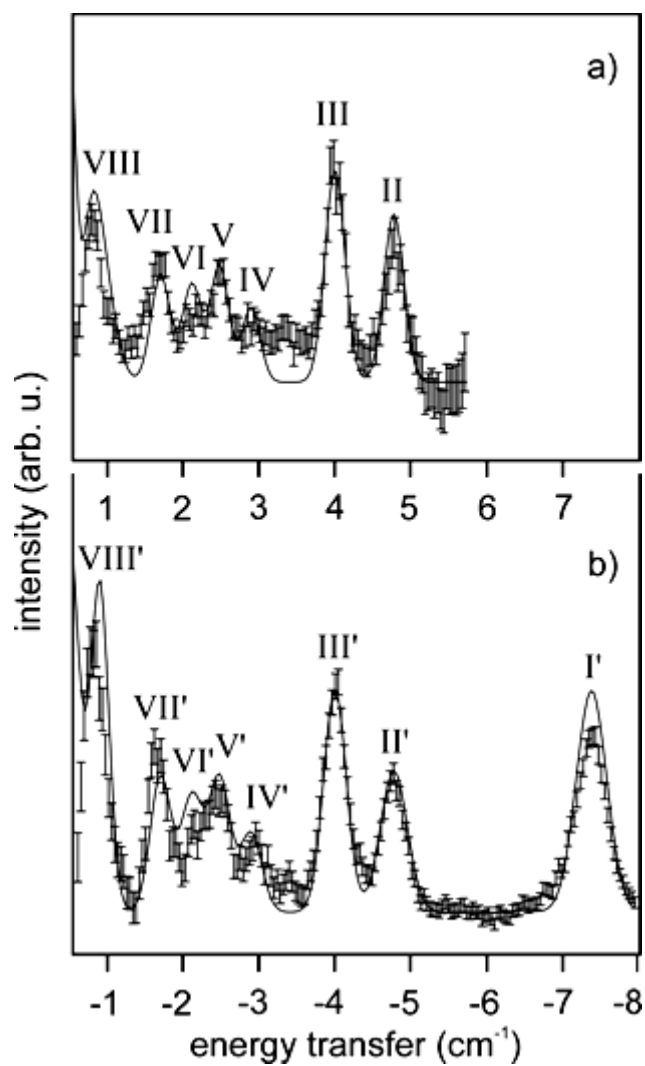
In previous chapters we have seen how to study the zero-field splittings of molecular magnets by the FDMRS-technique in terms of the single-spin Hamiltonian approach. In this chapter we try to explain the physical mechanisms responsible for experimentally detected energy spectrum of the compound [Ni<sub>4</sub>(MeOH)<sub>4</sub>L<sub>4</sub>] (see Section 7.4). We start from the group-theoretical analysis of the exchange-coupled multiplets of this tetrameric Ni(II) cluster possessing S<sub>4</sub> point group symmetry, because the symmetry considerations allow an priori selection of the dominant non-Heisenberg terms of the microscopic spin Hamiltonian suitable for the cluster. The microscopic spin Hamiltonian expressed through irreducible tensor operators (see Section 3.4) is known as the generalized effective spin Hamiltonian, i.e. gesH model (see Section 4.3). In this chapter we show how to construct the gesH model to study the origin of magnetic anisotropy of the [Ni<sub>4</sub>(MeOH)<sub>4</sub>L<sub>4</sub>] complex.

### 8.1 Motivation

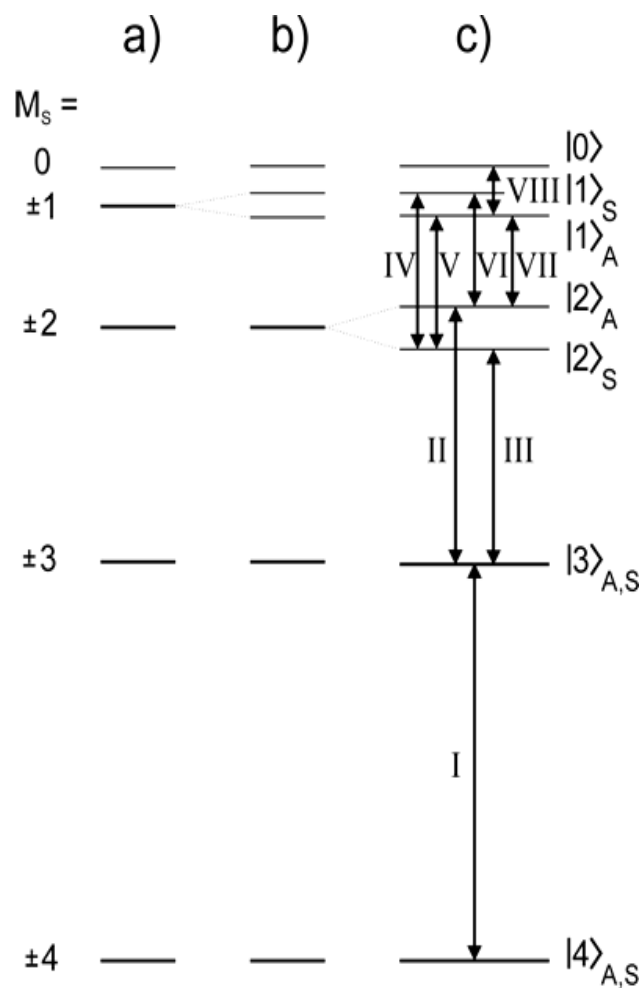
The interpretation of the FDMRS- and INS- data presented in Section 7.4 was performed in terms of an effective spin Hamiltonian acting within the multiplet characterized by the total spin value  $S = 4$ , see [Sieber, 2005]. The positions of the INS lines, i.e.: I(I'), II (II') and III (III') are in a very good agreement with the positions of the FDMRS spectral lines (see Figure 8.1-1, Figure 7.4-2 and Table 7.4-2). The INS experiment is much more informative than the FDMRS one in the low-frequency part of the spectrum. Nevertheless, it was impossible to explain unambiguously the INS spectrum in terms of the single-spin Hamiltonian model: it describes well the INS-lines IV(IV'), V(V'), VI(VI'), VII(VII') and VIII(VIII'), but does not clarify the origin of a weak spectral line located at  $\sim 3.5 \text{ cm}^{-1}$  (see Figure 8.1-1). The zero-field splitting of the  $S = 4$  ground state of [Ni<sub>4</sub>(MeOH)<sub>4</sub>L<sub>4</sub>] with the parameters  $D = -0.93 \text{ cm}^{-1}$ ,  $E = 0.023 \text{ cm}^{-1}$ ,  $B_4^0 = -4.3 \cdot 10^{-4} \text{ cm}^{-1}$ ,  $B_4^4 = -2.1 \cdot 10^{-3} \text{ cm}^{-1}$  is shown in Figure 8.1-2 and in Figure 7.4-3. The parameters  $D$  and  $B_4^0$  describe the shift of energy levels constituting the  $S = 4$  multiplet (Figure 8.1.-2a), whereas the non-zero transverse ZFS parameter  $E$  and the fourth order transverse parameter  $B_4^4$  reflect the splitting of the levels and the admixture of the corresponding wavefunctions (see Figure 8.1-2c and Figure 7.4-3).

Thus, the obtained zero-field splitting parameters allow a satisfactory simulation of both the INS- and the FDMRS experiments. However, they give no information about the origin of the cluster magnetic anisotropy, i.e. about the physical mechanisms leading to the shift, splitting and admixture of the energy levels described quantitatively by non-zero values of the single-spin Hamiltonian parameters. Finally, the single-spin Hamiltonian

model can not explain a weak spectral line situated between the lines III and IV, which can be associated with the transition between the multiplets differing in the total spin value by one (as follows from INS-selection rules  $\Delta S = 0,1$ ,  $M_S = \pm 1$ ). The aim of the Chapter 8 is to construct the microscopic spin Hamiltonian for the tetrameric Ni(II) cluster of  $S_4$  symmetry, which allows an unambiguous interpretation of the INS experiment.



**Figure 8.1-1** Inelastic neutron scattering spectrum recorded at 22 K on a polycrystalline sample of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  with an incident wavelength  $\lambda=8 \text{ \AA}$  after background subtraction: (a) energy loss side, (b) energy gain side, from [Sieber, 2005].



**Figure 8.1-2:** Zero-field splitting of the  $S = 4$  ground state of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  using the parameters  $D = -0.93 \text{ cm}^{-1}$ ,  $E = 0.023 \text{ cm}^{-1}$ ,  $B_4^0 = -4.3 \cdot 10^{-4} \text{ cm}^{-1}$ ,  $B_4^4 = -2.1 \cdot 10^{-3} \text{ cm}^{-1}$ . (a) First two terms of eq (7.4-1). (b) Including the  $E$  term in eq (7.4-1). (c) Including  $B_4^4$  in eq (7.4-1). The double arrows show the observed INS-transitions, from [Sieber, 2005].

## 8.2 Group-theoretical classification of exchange-coupled multiplets for a tetrameric cluster characterized by $S_4$ point group

The complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  consists of four octahedrally coordinated Ni(II) ions with  $d^8$ -electronic configurations. The energy spectrum of a Ni(II)-ion in an octahedral crystal field was described in Section 7.3 (see Figure 7.3-2). There it was shown that the contribution from excited orbital states into the energy spectrum of single-ion can be omitted by the interpretation of the experimental data, since the orbital states are lying much above the ground pure spin state (see Section 7.3 and Section 4.2). Thus, the complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  can be approximated by an exchange-coupled tetrameric cluster formed by four magnetic sites with the local spins  $S_1=S_2=S_3=S_4=1$  (see also Figure 8.4-1). As already mentioned in Section 7.4, the symmetry of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  is slightly distorted from the  $S_4$  point group<sup>11</sup>. However, we will assume  $S_4$  as the cluster point group for our analysis.

Due to the interaction of four Ni(II) ions through the diamagnetic ligands, the local spins  $S_i=1$  ( $i=1\dots 4$ ) are no longer good quantum numbers. Instead, good quantum numbers are the total spin values calculated according to the coupling scheme chosen for the cluster (see Section 3.3). These total spin values characterize the degeneracy of the exchange-coupled multiplets, where notation  $|\alpha; SM_S\rangle$  is used throughout this PhD-thesis to identify the coupled spin states of each energy level, which belong to an  $S$  – multiplet.

The coupling of the spins is performed according to the rules of angular momentum addition (see Section 3.3). Thus, the complete energy spectrum of Ni(II) tetrameric cluster is described by the following Clebsch-Gordan series (see Section 5.4):

$$D^{(S_1=1)} \otimes D^{(S_2=1)} \otimes D^{(S_3=1)} \otimes D^{(S_4=1)} = 3D^{(S=0)} + 6D^{(S=1)} + 6D^{(S=2)} + 3D^{(S=3)} + D^{(S=4)} \quad (8.2-1)$$

Eq. (8.2-1) shows that the energy spectrum constituted from 19 multiplets ( $3+6+6+3+1=19$ ) arises due to the coupling of four spin angular momenta  $S_i = 1, i = 1\dots 4$ . There are three singlets with total spin  $S = 0$ , six triplets with  $S = 1$ , six quintets with  $S = 2$ , three septets with total spin  $S = 3$  and one nonet with total spin  $S = 4$ . Thus, the exchange-coupled multiplets of the tetrameric Ni(II) cluster are characterized by the irreducible representations of the full rotation group  $D^{(S)}$  with  $S = 0,1,2,3,4$ , where each  $S$  – manifold consists of  $2S + 1$  levels. The excited states with *one and the same total spin* value  $S$  have *different intermediate spin* values (see Eq. (8.2-2)). This kind of degeneracy according to intermediate spin numbers is known as “accidental” degeneracy, i.e. the degeneracy for reasons other than symmetry [Inui, 1990],[Tsukerblat, 1994]. This degeneracy is removed by interactions of non-Heisenberg type in high-nuclearity spin clusters. Thus, the group-theoretical classification of the exchange-coupled multiplets in terms of the irreducible representations of the cluster point group reflects the physical nature of non-Heisenberg interactions removing the “accidental” degeneracy, i.e. it allows a pre-selection of the dominant non-Heisenberg terms of the microscopic Hamiltonian of the cluster.

<sup>11</sup> Similar  $\text{Ni}_4$  compounds containing the ethyl and methyl groups as ligands are strictly described by the  $S_4$  point group [Edwards, 2003]

According to Eq. (8.2-1) each multiplet is characterized by an irreducible representation of the full rotation group  $D^{(s)}$  describing the effective spin angular momentum operator acting within the  $S$  – manifold, which generates the transformation of the quantum-mechanical system under infinitesimal rotations. Otherwise, the complete symmetry of the molecule is reflected in its point group, which determines the properties of the quantum-mechanical system under finite rotations. Obviously, any finite rotation can be achieved after a series of infinitesimal rotations. Thus, there is a link between the irreducible representations of the full rotation group  $D^{(s)}$  and the irreducible representations of the cluster point group. This connection follows directly from the structure of the (point group) symmetry adapted Heitler-London many-electron wavefunctions, which satisfy the properties of the symmetric group. In fact, we have shown in Section 5.6 that the coupled spin states  $|\alpha; SM_s\rangle$  are closely related to the Heitler-London wavefunctions, which can be adapted to the cluster point group symmetry by using the projection operator method (see Section 5.5). Thus, the aim of this section is to find an unambiguous connection between the coupled spin states  $|\alpha; SM_s\rangle$  and the irreducible representations of the  $S_4$  point group describing the  $\text{Ni}_4$  cluster.

In our further calculations we use the coupling scheme given by the chains:  $S_1 + S_2 = S_{12}$ ,  $m_1 + m_2 = m_{12}$ ,  $S_3 + S_4 = S_{34}$ ,  $m_3 + m_4 = m_{34}$ ,  $S_{12} + S_{34} = S$ ,  $m_{12} + m_{34} = M_s$ , where  $S_i = 1$  are the single-ion spins and  $m_i = 0, \pm 1$  are the corresponding magnetic quantum numbers,  $i = 1 \dots 4$  is an integer counter of the spin centres in the cluster,  $S_{12}$  and  $S_{34}$  are the intermediate spin values<sup>12</sup>,  $m_{12}$  and  $m_{34}$  are additional magnetic quantum numbers<sup>13</sup>,  $S$  is the total spin and  $M_s$  is the magnetic quantum number identifying each level of the  $S$  – manifold. Obviously, each state  $|\alpha; SM_s\rangle$  is unequivocally characterized by the chain:

$$m_1, m_2, m_3, m_4, m_{12}, m_{34}, S_1, S_2, S_3, S_4, S_{12}, S_{34}, S, M_s \quad (8.2-2).$$

Thereby, the kets  $|S_{12}S_{34}S, M_s\rangle$  are of importance for evaluation of the Hamiltonian matrix elements by using the Wigner-Eckart theorem, i.e. for calculation of the spectroscopic transition frequencies and their intensities. Otherwise, the symmetry dependence of the states  $|S_{12}S_{34}S, M_s\rangle$  follows from the structure of the symmetry adapted Heitler-London wavefunctions satisfying the Pauli principle. As we have seen in Section 5.6, the Heitler-London wavefunctions are expressed in terms of the Slater determinants constructed from the one-electron spin-orbitals localized on each spin center of the cluster. They are calculated according to Eq.(5.6-2) and they have the following form for a tetrameric cluster and the coupling scheme introduced above:

$$|S_{12}S_{34}S, M\rangle = \sum_{\substack{m_1, m_2, \\ m_3, m_4}} \sum_{m_{12}, m_{34}} C_{S_1 m_1 S_2 m_2}^{S_{12} m_{12}} C_{S_3 m_3 S_4 m_4}^{S_{34} m_{34}} C_{S_{12} m_{12} S_{34} m_{34}}^{SM} |m_1 m_2 m_3 m_4\rangle \quad (8.2-3)$$

<sup>12</sup>  $S_{ij} = |S_i - S_j|, \dots, S_i + S_j$  ( $ij = 12, 34$ )

<sup>13</sup>  $m_i = -S_i, \dots, +S_i$ , ( $i = 1, \dots, 4$ );  $m_{ij} = -S_{ij}, \dots, +S_{ij}$ , ( $ij = 12, 34$ )



here the expressions  $C_{j_1 m_1 j_2 m_2}^{j m}$  are the Clebsch-Gordan coefficients and  $|m_1 m_2 m_3 m_4|$  are the Slater determinants.

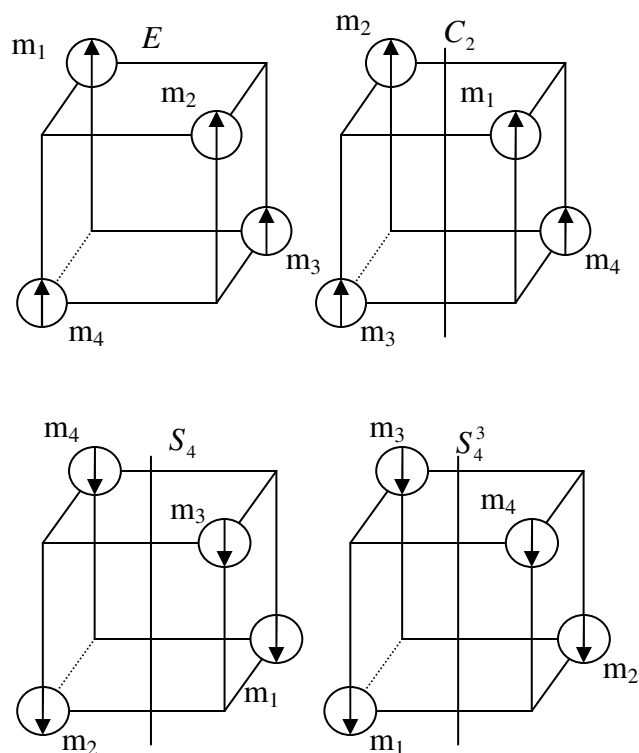
The character table (see e.g. [Koster, 1963]) of the cluster point group must be known in order to perform the group theoretical classification. The character table of the point group  $S_4$  is shown in Table 8.2-1. Thus, the point group  $S_4$  contains three irreducible representations (two one-dimensional: A (or  $\Gamma_1$ ) and B (or  $\Gamma_2$ ) and one two-dimensional: E, which consists of two complex conjugate one-dimensional representations  $\{\Gamma_3, \Gamma_4\}$ )<sup>14</sup>. The last column of the Table 8.2-1 shows the transformation rules of the basis states according to the irreducible representations of the point group. Thus, e.g. the z-component of the axial vector, i.e. the spin- or orbital angular momentum operator, transforms according to the irreducible representation A (or  $\Gamma_1$ ), while the raising (lowering) operator transforms according to one of the one-dimensional representations constituting the two-dimensional representation E:  $\Gamma_3$  ( $\Gamma_4$ ). These transformation properties are of importance e.g. for analysis of the spin-orbit splitting of many-electron (exchange-coupled) terms, see Section 8.3.

**Table 8.2-1:** Character table of the point group  $S_4$

$S_4$	E	$S_4$	$C_2$	$S_4^3$	Bases
A	1	1	1	1	$S_z$
B	1	-1	1	-1	z or xy
E	1	i	-1	-i	$-S_+$
	1	-i	-1	i	$+S_-$

The generators of the  $S_4$  group are the rotation operators  $\hat{R} = \hat{E}, \hat{C}_2, \hat{S}_4, \hat{S}_4^3$ . These symmetry operations induce permutations of the localized spin orbitals (Figure 8.2-1). Each wave function  $|S_{12} S_{34} S, M\rangle$  contains a finite number ( $n \geq 1$ ) of Slater determinants  $|m_1 m_2 m_3 m_4|$  corresponding to all possible combinations of  $m_1, m_2, m_3, m_4, m_{12}, m_{34}, S_{12}, S_{34}, S$  resulting in a certain value of  $M$ . Thus, the action of each symmetry operator  $\hat{R} = \hat{E}, \hat{C}_2, \hat{S}_4, \hat{S}_4^3$  on the wavefunction  $|S_{12} S_{34} S, M\rangle$  is equivalent to the action of this point group generator on each determinant constituting  $|S_{12} S_{34} S, M\rangle$ , i.e. each  $i$ -determinant  $|m_{1,i} m_{2,i} m_{3,i} m_{4,i}|$ ,  $i = 1, \dots, n$  will be transformed according to the rules presented in Figure 8.2-1.

<sup>14</sup> The irreducible representations of any point group can be expressed in terms of Milliken notations (A,B,E) or by using the Bethe notations ( $\Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4$  etc.)



$$\hat{E}|m_1 m_2 m_3 m_4\rangle = |m_1 m_2 m_3 m_4\rangle \quad \hat{S}_4|m_1 m_2 m_3 m_4\rangle = |-m_4 -m_3 -m_1 -m_2\rangle$$

$$\hat{C}_2|m_1 m_2 m_3 m_4\rangle = |m_2 m_1 m_4 m_3\rangle \quad \hat{S}_4^3|m_1 m_2 m_3 m_4\rangle = |-m_3 -m_4 -m_2 -m_1\rangle$$

**Figure 8.2-1:** Symmetry operations ( $\hat{E}, \hat{C}_2, \hat{S}_4, \hat{S}_4^3$ ) of the point group  $S_4$  induce permutations of the localized spin-orbitals. Components of the Slater determinant transform according to the rules shown in this Figure.

Obviously, the transformation of the wavefunction  $|S_{12}S_{34}S, M\rangle$  under action of  $\hat{R}$  is given by the matrix  $D^{(M)}(\hat{R})$  of dimension  $n \times n$  as shown below:

$$\hat{R} \begin{pmatrix} m_{1,1} & m_{2,1} & m_{3,1} & m_{4,1} \\ m_{1,2} & m_{2,2} & m_{3,2} & m_{4,2} \\ \dots & \dots & \dots & \dots \\ m_{1,n} & m_{2,n} & m_{3,n} & m_{4,n} \end{pmatrix} = \begin{pmatrix} \hat{R}(m_{1,1}) & m_{2,1} & m_{3,1} & m_{4,1} \\ \hat{R}(m_{1,2}) & m_{2,2} & m_{3,2} & m_{4,2} \\ \dots & \dots & \dots & \dots \\ \hat{R}(m_{1,n}) & m_{2,n} & m_{3,n} & m_{4,n} \end{pmatrix} = D^{(M)}(\hat{R}) \begin{pmatrix} m_{1,1} & m_{2,1} & m_{3,1} & m_{4,1} \\ m_{1,2} & m_{2,2} & m_{3,2} & m_{4,2} \\ \dots & \dots & \dots & \dots \\ m_{1,n} & m_{2,n} & m_{3,n} & m_{4,n} \end{pmatrix}$$

The matrix  $D^{(M)}(\hat{R})$  can be either reducible or irreducible. We denote the representation of  $D^{(M)}(\hat{R})$  as  $\Gamma^{(M)}$ . Thus, the character  $\chi$  of each irreducible part of  $D^{(M)}(\hat{R})$  can be calculated. The analysis of the expressions obtained for  $\chi(\hat{E}), \chi(\hat{C}_2), \chi(\hat{S}_4), \chi(\hat{S}_4^3)$  enables the group-theoretical classification of the levels  $|S_{12}S_{34}S, M\rangle$  in terms of the irreducible representations of the cluster point group.

Let us consider the state  $|S_{12}S_{34}S, M_s\rangle = |2,2,4,4\rangle$ . There is only one chain (8.2-2) leading to the ket  $|S_{12}S_{34}S, M_s\rangle = |2,2,4,4\rangle$ , i.e.:

$$m_1, m_2, m_3, m_4, m_{12}, m_{34}, S_1, S_2, S_3, S_4, S_{12}, S_{34}, S, M_s = \\ = 1, 1, 1, 1, 2, 2, 1, 1, 1, 1, 2, 2, 4, 4$$

In other words, there is only one Slater determinant  $|m_1, m_2, m_3, m_4\rangle = |1, 1, 1, 1\rangle$  describing the state with  $M = 4$  ( $\Gamma^{(M=4)}$  is one-dimensional and irreducible).

The states with  $M = 3$  are given by linear combinations of four different determinants describing all possible combinations of  $|m_1, m_2, m_3, m_4\rangle = \{|0, 1, 1, 1\rangle, |1, 0, 1, 1\rangle, |1, 1, 0, 1\rangle, |1, 1, 1, 0\rangle\}$  ( $\Gamma^{(M=3)}$  is four-dimensional).

The states with  $M = 2$  are constituted from 10 different determinants building two groups (i.e.,  $\Gamma^{(M=2)}$  is decomposable into two parts: one four-dimensional- and one six-dimensional representation, indicated in the Table 8.2-2 as I and II, respectively). The group I arises due to all possible combinations:

$$|m_1, m_2, m_3, m_4\rangle = \{|-1, 1, 1, 1\rangle, |1, -1, 1, 1\rangle, |1, 1, -1, 1\rangle, |1, 1, 1, -1\rangle\}.$$

The group of determinants II consists of six combinations:

$$|m_1, m_2, m_3, m_4\rangle = \{|0, 0, 1, 1\rangle, |0, 1, 0, 1\rangle, |0, 1, 1, 0\rangle, |1, 0, 0, 1\rangle, |1, 0, 1, 0\rangle, |1, 1, 0, 0\rangle\}.$$

Our calculations show that the states with  $M = 1$  consist of 16 determinants of two kinds ( $\Gamma^{(M=1)}$  is decomposable into two parts: four-dimensional- and 12-dimensional representations). The states with  $M = 0$  arise from 19 different determinants split into three groups ( $\Gamma^{(M=0)}$  is decomposable into three parts: one-, six- and 12-dimensional representations). Table 8.2-2 presents the results of our calculations. Each S-multiplet is identified by a set of irreducible representations of the cluster point group  $S_4$  by decomposition of the found representations  $\Gamma^{(M)}$  into irreducible parts for all basis sets as shown below:

$$\begin{aligned} \Gamma^{(S=4)} &= \Gamma^{(M=4)} = \text{B} \\ \Gamma^{(S=3)} &= \Gamma^{(M=3)} - \Gamma^{(S=4)} = \text{A} + \text{E} \\ \Gamma^{(S=2)} &= \Gamma^{(M=2)} - \Gamma^{(S=4)} - \Gamma^{(S=3)} = \text{A} + \text{B} + 2\text{E} \end{aligned}$$

$$\begin{aligned}\Gamma^{(S=1)} &= \Gamma^{(M=1)} - \Gamma^{(S=4)} - \Gamma^{(S=3)} - \Gamma^{(S=2)} = \text{A+B+2E} \\ \Gamma^{(S=0)} &= \Gamma^{(M=0)} - \Gamma^{(S=4)} - \Gamma^{(S=3)} - \Gamma^{(S=2)} - \Gamma^{(S=1)} = \text{A+2B}\end{aligned}$$

Finally we conclude that the energy levels of the  $\text{Ni}_4$  cluster are given by the following decomposition:

$$\begin{aligned}3\text{D}^{(0)} &= {}^1\text{A} + 2\text{}^1\text{B} \\ 6\text{D}^{(1)} &= {}^3\text{A} + {}^3\text{B} + 2\text{}^3\text{E} \\ 6\text{D}^{(2)} &= {}^5\text{A} + {}^5\text{B} + 2\text{}^5\text{E} \\ 3\text{D}^{(3)} &= {}^7\text{A} + {}^7\text{E} \\ \text{D}^{(4)} &= {}^9\text{B}\end{aligned}\tag{8.2-4}$$

We see that the ground state (S=4) of the  $\text{Ni}_4$  cluster is an orbital singlet  ${}^9\text{B}$ , i.e. the “spin-only” state. The first excited state with S=3 consisting of **three** manifolds ( $|S_{12}S_{34}S\rangle = |2,2,3\rangle, |2,1,3\rangle, |1,2,3\rangle$ ) involves **two** irreducible representations: the orbital singlet  ${}^7\text{A}$  and the orbital doublet  ${}^7\text{E}$ .

The projector operator method is usually employed to find the one-to-one correspondence between the coupled spin states with different intermediate spin values and the irreducible representations of the cluster point group. If this problem is solved, the symmetry-adapted wavefunctions  $|S_{12}S_{34}S, M\rangle$  expressed in terms of the Slater determinants are automatically obtained.

By definition (see Section 5.5,5.6), the projection operator is constructed using the characters  $\chi^{(\Gamma)}(\hat{R})$  of the symmetry operators of the cluster point group in the  $\Gamma$ -irreducible representation:  $P^{(\Gamma)} = \frac{d_\Gamma}{[G]} \sum_{\hat{R}} \chi^{(\Gamma)}(\hat{R})^* \hat{R}$ , where  $[G]$  is the order of the group  $G$ ,  $d_\Gamma$  is the dimension of the  $\Gamma$ -irreducible representation. The application of the projection operator  $P^{(\Gamma)}$  to the state  $|S_{12}S_{34}S, M\rangle$  reproduces it, if the state belongs to  $\Gamma$ ; if not, then application of  $P^{(\Gamma)}$  to  $|S_{12}S_{34}S, M\rangle$  gives a zero-result:

$$P^{(\Gamma)}|S_{12}S_{34}S, M\rangle = \begin{cases} |S_{12}S_{34}S, M\rangle, & |S_{12}S_{34}S, M\rangle \in \Gamma \\ 0, & |S_{12}S_{34}S, M\rangle \notin \Gamma \end{cases}.$$

This method was applied to the problem of classification of the exchange-coupled multiplets in terms of the irreducible representations of the  $S_4$  point group for a tetrameric cluster ( $S_i = 1, i = 1, \dots, 4$ ). The results are summarized in the Table 8.2-3. Thus, the method of group-theoretical classification links the many-electron Heitler-London wavefunctions to the states of the Heisenberg exchange model. It turns out that the ground state of  $\text{Ni}_4$  cluster, i.e.  $D^{(S=4)} = |2,2,4\rangle$ , is the pure spin multiplet  ${}^9\text{B}$ . The excited multiplets with total spin S=3 consist of three states:  $3D^{(S=3)} = |2,2,3\rangle, |1,2,3\rangle, |2,1,3\rangle$ . There the state  $|2,2,3\rangle$  is an orbital singlet  ${}^7\text{A}$ , i.e. a pure spin multiplet. The states with *one and the same total spin* S=3 and *different intermediate spins* are degenerate: the **pair** of the coupled spin states  $|1,2,3\rangle$  and  $|2,1,3\rangle$  is described by **one** orbital doublet  ${}^7\text{E}$ .

The calculated symmetry-adapted wavefunctions for the ground- and the first excited multiplets of the  $\text{Ni}_4$  cluster are shown in Appendix 1.

**Table 8.2-2:** The characters of  $S_4$  point group generators for all basis sets (columns 2-5). Decomposition of the representations  $D^{(M)}(\hat{R})$  into irreducible parts (column 1)

$S_4$	E	$S_4$	$C_2$	$S_4^3$	
A	1	1	1	1	
B	1	-1	1	-1	
E	1	i	-1	-i	
	1	-i	-1	i	
B	1	-1	1	-1	$\chi^{(M=4)}$
A+B+E	4	0	0	0	$\chi^{(M=3)}$
A+B+E	4	0	0	0	$\chi^{(M=2)}$ I
2(A+B)+E	6	0	2	0	$\chi^{(M=2)}$ II
A+B+E	4	0	0	0	$\chi^{(M=1)}$ I
3(A+B)+3E	12	0	0	0	$\chi^{(M=1)}$ II
B	1	-1	1	-1	$\chi^{(M=0)}$ I
2(A+B)+E	6	0	2	0	$\chi^{(M=0)}$ II
3(A+B)+3E	12	0	0	0	$\chi^{(M=0)}$ III

**Table 8.2-3:** Spin states of the tetrameric cluster ( $S_i=1, i=1, \dots, 4$ ) characterized by irreducible representations of the point group  $S_4$ .

$(S_{12} S_{34} S)$	$S\Gamma(S_4)$
(2 2 4)	${}^9\text{B}$
(2 2 3)	${}^7\text{A}$
(2 1 3)	${}^7\text{E}$
(1 2 3)	${}^7\text{E}$
(2 2 2)	${}^5\text{B}$
(2 1 2)	${}^5\text{E}$
(2 0 2)	${}^5\text{E}$
(1 2 2)	${}^5\text{E}$
(1 1 2)	${}^5\text{A}$
(0 2 2)	${}^5\text{E}$
(2 2 1)	${}^3\text{A}$
(2 1 1)	${}^3\text{E}$
(1 2 1)	${}^3\text{E}$
(1 1 1)	${}^3\text{B}$
(1 0 1)	${}^3\text{E}$
(0 1 1)	${}^3\text{E}$
(0 0 0)	${}^1\text{B}$
(1 1 0)	${}^1\text{A}$
(2 2 0)	${}^1\text{B}$

### 8.3 Spin-orbit splitting of many-electron terms in the $\text{Ni}_4$ -cluster

In the previous section, we have obtained the group-theoretical classification of exchange-coupled multiplets for a tetrameric cluster ( $S_i = 1, i = 1 \dots 4$ ) with the overall symmetry given by the  $S_4$  point group. Spin-orbit interaction is an addition to the crystal field factor leading to splitting, admixture and shift of the energy levels. Thus, we have to take the spin-orbit interaction into account in order to analyze the fine structure of the energy spectrum. Classification of the fine-structure levels was performed according to the method described in [Tsukerblat, 1994]. The representations  $D^{(S)}$  of the full rotation group were reduced to the cluster point group  $S_4$ . Then the direct products  $\Gamma \times D^{(S)}$  were calculated, where  $\Gamma$  indicates the irreducible representation of the  $S_4$  point group characterizing the  $S$ -multiplet. The decomposition of the products  $\Gamma \times D^{(S)}$  into irreducible parts illustrates an additional splitting of multiplets caused by the spin-orbit interaction. Results of the fine-structure analysis are summarized in Table 8.3-1.

A few comments are necessary before proceeding. The angular momentum is an imaginary operator (in contrast to the crystal field potential, which is real). It reverses sign under time inversion and therefore it is called a T-odd<sup>15</sup> operator. The T-odd operators (such as spin- and orbital angular momentum) transform according to the imaginary representations  $\Gamma'$  of the cluster point group. The selection rules for the matrix elements of any operator acting inside of the energy term  $\Gamma$  depend on two factors. The first one is the number of electrons in unfilled electronic shells of all ions constituting the cluster. The second criterion is the behaviour of representation  $\Gamma'$  under time inversion (for details see [Tsukerblat, 1994]). It turns out that each energy term  $\Gamma$  is **split** by spin-orbit interaction in first order of perturbation theory, if the antisymmetric part of the direct product  $\Gamma \times \Gamma$  contains at least one of the irreducible representations of the cluster point group describing transformation of the orbital angular momentum operator. Two levels ( $\Gamma_1$  and  $\Gamma_2$ ) with the total spin values differing by one ( $|S_1 - S_2| = 1$ ) will be **admixed** by spin-orbit interaction, if the direct product  $\Gamma_1 \times \Gamma_2$  includes the irreducible representation giving the transformation rules of the Cartesian components of the orbital momentum.

We consider the ground- and the first excited states (i.e., the terms  ${}^9B$  and  ${}^7A, {}^7E$ ) in order to construct the generalized effective spin Hamiltonian model, which explain the INS experiment on  $\text{Ni}_4$ -cluster.

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<sup>15</sup> T-odd=Time odd

**Table 8.3-1:** Splitting of exchange-coupled multiplets of the  $\text{Ni}_4$ -cluster in the  $S_4$  point group caused by spin-orbit interaction. Column 1 contains results of the group-theoretical classification of many-electron terms in the crystal field of  $S_4$  symmetry. Column 2 shows the representations  $D^{(s)}$  of the full rotation group reduced to the point group  $S_4$ . Column 3 gives the decomposition of the direct product  $\Gamma \times D^{(s)}$  into irreducible representations.

Exchange-coupled multiplets of $\text{Ni}_4$ -cluster in the crystal field of $S_4$ symmetry	Representations $D^{(s)}$ of the full rotation group reduced to the point group $S_4$	$\Gamma \times D^{(s)}$
$3D^{(0)} = {}^1A + 2{}^1B$	$D^{(0)} = A$	$A \times D^{(0)} = A$ $B \times D^{(0)} = B$
$6D^{(1)} = {}^3A + {}^3B + 2{}^3E$	$D^{(1)} = A + E$	$A \times D^{(1)} = A + E$ $B \times D^{(1)} = B + E$ $E \times D^{(1)} = 2A + 2B + E$
$6D^{(2)} = {}^5A + {}^5B + 2{}^5E$	$D^{(2)} = A + 2B + E$	$A \times D^{(2)} = A + 2B + E$ $B \times D^{(2)} = B + 2A + E$ $E \times D^{(2)} = 2A + 2B + 3E$
$3D^{(3)} = {}^7A + {}^7E$	$D^{(3)} = A + 2B + 2E$	$A \times D^{(3)} = A + 2B + 2E$ $E \times D^{(3)} = 4A + 4B + 3E$
$D^{(4)} = {}^9B$	$D^{(4)} = 3A + 2B + 2E$	$B \times D^{(4)} = 3B + 2A + 2E$

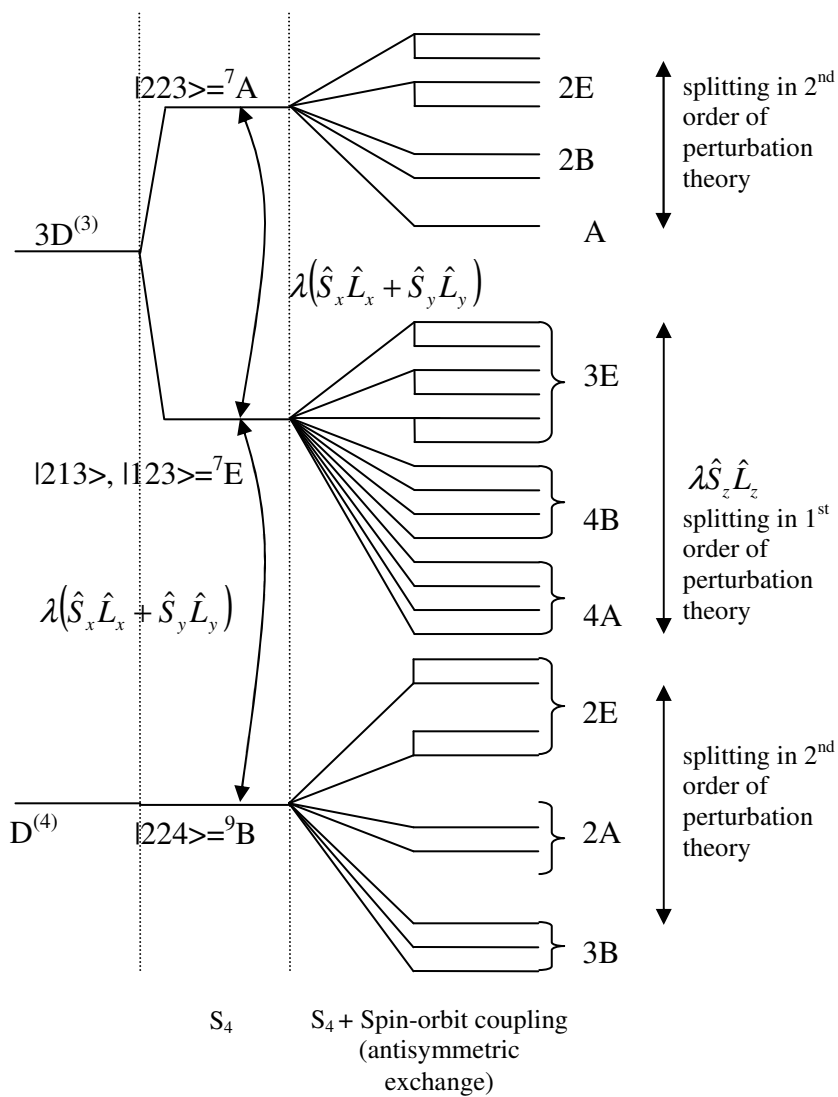
We start our analysis from the term  ${}^7E$ . It is of special interest, since it is an *orbitally degenerate doublet*. It is a non-Kramers doublet, since the total spin values are integer. The wavefunctions of such doublets form the basis of two-fold degenerate ordinary representation E of the point group  $S_4$ . We got the following result for the direct product:  $E \times E = 2A + 2B$  with the antisymmetric part  $\{E^2\} = A$  and the symmetric one  $[E^2] = A + 2B$ . The antisymmetric part is the one-dimensional representation A. As follows from the character table for the point group  $S_4$  (see Table 8.2-1), the  $L_z$  component is transformed according to this representation. Thus, the effective Hamiltonian of spin-orbit interaction acting inside of the term  ${}^7E$  includes only the longitudinal term  $\lambda \hat{S}_z \hat{L}_z$  (, where  $\lambda$  is the spin-orbit coupling constant). Thus, the term  ${}^7E$  will be split by spin-orbit interaction in the first order of perturbation theory into 14 energy levels (eight one-dimensional representations and three two-dimensional ones:  $4A + 4B + 3E$ ). This result can be well explained under assumption that  $L = 1$  and  $M_L = \pm 1$  for the term  ${}^7E$ . In fact, we obtain 14 energy levels according to the projections of the total angular momentum  $M_J$ , i.e. there are 14 allowed states according to  $M_S = \pm 3, \pm 2, \pm 1, 0$ ,  $M_L = \pm 1$  and resulting  $M_J = -2, -1, 0, 1, 2, +3, +4$  and  $M_J = -4, -3, -2, -1, 0, 1, +2$ .

What happens with the term  ${}^7A$  under the action of spin-orbit interaction? The direct product  $A \times E = E$  is the two-dimensional representation E with the basis functions  $\mp(L_x \pm iL_y)$ . Thus, the transverse components of the orbital angular momentum operator transforms according to E in the  $S_4$  point group. Therefore  ${}^7A$  will be admixed with  ${}^7E$  by transverse components of the effective spin-orbit operator:  $\lambda(S_x L_x + S_y L_y)$ . Notably, spin-orbit interaction will admix only the states with the same  $M_J$  of the terms  ${}^7A$  and  ${}^7E$ . Thus, we expect:  $2S + 1 = 7$  ( $S = 3$ ) levels according to  $M_S = \pm 3, \pm 2, \pm 1, 0$ . Since  $M_L = 0$ , we get 7 energy levels with  $M_J = \pm 3, \pm 2, \pm 1, 0$ . This fact is reflected in decomposition  $A \times D^{(3)} = A + 2B + 2E$  giving rise to three singlets and two doublets (, i.e. seven energy levels).

Now we analyze, whether the states with  $S = 3$  (terms  ${}^7A$  and  ${}^7E$ ) and  $S = 4$  (term  ${}^9B$ ) will be admixed by spin-orbit interaction or not. We get the following direct products  $E \times B = E$  and  $A \times B = B$ . Since  $E \times B = E$  ( $E$  is two-dimensional representation according which  $L_x, L_y$  components transform), the term  ${}^7E$  will be admixed with the term  ${}^9B$  by transverse components of the orbital angular momentum. The direct product  $A \times B$  is the one-dimensional representation  $B$ , which does not describe the transformation of any axial vector (, i.e. vector operator of angular momentum) in the point group  $S_4$ . Therefore, the  ${}^7A$  term will be shifted by spin-orbit interaction and admixed with  ${}^7E$  as it was described above.

Finally we get the diagram shown in the Figure 8.3-1 for the fine structure of the terms  ${}^9B$  and  ${}^7A, {}^7E$  caused by the spin-orbit interaction.



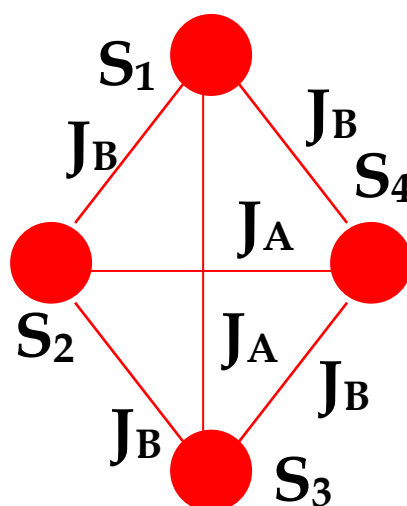


**Figure 8.3-1** Fine structure of the ground and first excited states of a tetrameric cluster ( $s_i=1, i=1\dots 4$ ) in the crystal field of  $S_4$  symmetry caused by spin-orbit interaction

### 8.4 Generalized effective spin Hamiltonian model for $\text{Ni}_4$ -cluster

The aim of this section is to construct the microscopic spin Hamiltonian for the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex and to rewrite it in terms of irreducible tensor operators, i.e. to construct the generalized effective spin Hamiltonian model (gesH, see Section 4.3) suitable for this tetrameric Ni(II) cluster. The Heisenberg-Dirac-van Vleck (HDvV) model mentioned in Section 5.6 was developed to describe the spin-spin interactions of polynuclear orbitally degenerate clusters. In section 8.3 we have shown, that the spin-orbit interaction cannot be neglected in the case of the tetrameric Ni(II) cluster with the overall symmetry given by  $S_4$  point group. Thus, the microscopic spin Hamiltonian of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex must contain some terms additional to the isotropic exchange interactions expressed by HDvV Hamiltonian (see Eq.(5.6-1)).

In fact, the molecular structure of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  can be approximated by the tetrahedral tetrameric cluster shown in Figure 8.4-1 with the isotropic exchange coupling constants  $J_A = -3 \text{ cm}^{-1}$  and  $J_B = 8 \text{ cm}^{-1}$  estimated from susceptibility measurements [Sieber, 2005].



**Figure 8.4-1:** The tetrameric spin cluster ( $S_i=1, i=1, \dots, 4$ ) of the molecular complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  of with the overall symmetry  $S_4$ .

The isotropic exchange Hamiltonian of this system is given by [Bencini, 1990], [Tsukerblat, 1994], [Boca, 1999]:

$$H^{iso} = -2J_A (\hat{s}_1 \hat{s}_2 + \hat{s}_3 \hat{s}_4) - 2J_B (\hat{s}_1 \hat{s}_3 + \hat{s}_1 \hat{s}_4 + \hat{s}_2 \hat{s}_3 + \hat{s}_2 \hat{s}_4) \quad (8.4-1).$$

In presence of  $S_4$  symmetry, the isotropic exchange interactions between spin centres give rise to the energy spectrum consisting of 19 degenerate multiplets (see Eq. (8.2-1)) characterized by irreducible representations of the  $S_4$  point group (see Table 8.2-3). It is easy to find the eigenvalues of the Hamiltonian Eq.(8.4-1). They are calculated according to the following formula [Tsukerblat, 1994], [Boca, 1999]:

$$E(S_{12}S_{34}S) = -J_B (S(S+1) - S_{12}(S_{12}+1) - S_{34}(S_{34}+1)) - J_A \left( S_{12}(S_{12}+1) + S_{34}(S_{34}+1) - \sum_{i=1}^4 S_i(S_i+1) \right) \quad (8.4-2)$$

The explicit expressions for energy values of all 19 multiplets obtained by using Eq.(8.4-2) are summarized in the Table 8.4-1 and shown in Figure 8.4-2. Thus, e.g. the ground spin multiplet ( $S = 4$ ) must be strictly degenerate according to the HDvV model. This result is in contradiction with the experimental data, which point to the splitting of ( $S = 4$ )-multiplet in absence of the external magnetic field (see Section 7.4 and Section 8.1).

The group-theoretical analysis of the fine structure levels (see Section 8.3) also points to the splitting of exchange-coupled multiplets by spin-orbit interaction in the first and second order of perturbation theory. Thus, the antisymmetric exchange term (see Section 4.3) must be introduced into the microscopic spin Hamiltonian to describe the admixture of the first excited orbitally degenerate state  ${}^7E$  into the ground spin state  ${}^9B$  by spin-orbit coupling. In addition, the influence of the excited orbital states of single Ni(II) ions (see Figure 7.3-2) is significant by formation of the cluster energy spectrum. Therefore, the collective action of the single-ion ligand- (crystal-) fields must be also introduced into the cluster spin Hamiltonian. Thus, for interpretation of the INS and FDMRS experiments on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  compound we suggest the following spin Hamiltonian:

$$H = H^{iso} + H^{LA} + H^{AS} \quad (8.4-3)$$

where  $H^{iso}$  is the isotropic exchange term give by Eq. (8.4-1),  $H^{LA}$  indicates the collective action of the single-ion (local) crystal fields (i.e. the contribution of the local anisotropies into the cluster anisotropy =LA) and  $H^{AS}$  is the antisymmetric exchange terms.

In order to calculate the energy spectrum of a system described by (8.4-3), the Hamiltonian matrix must be constructed and diagonalized. As mentioned in Section 4.3, the Wigner-Eckart theorem (see also Section 3.4) is a powerful tool to evaluate the matrix elements of any operator expressed through the irreducible tensor operators (ITOs). The microscopic spin Hamiltonian Eq.(8.4-3) constructed by using the ITO-technique is known as the generalized effective spin Hamiltonian (gesH) model (see Section 4.3). In the case of  $\text{Ni}_4$  (see Figure 8.4-1) and the chosen coupling scheme (8.2-2), Eq.(4.3-3) was rewritten as follows:

$$H = \sum_{k_1 k_2 k_3 k_4} \sum_{k_{12} k_{34}} \sum_{kq} C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k) \times \hat{T}_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k) \quad (8.4-4)$$

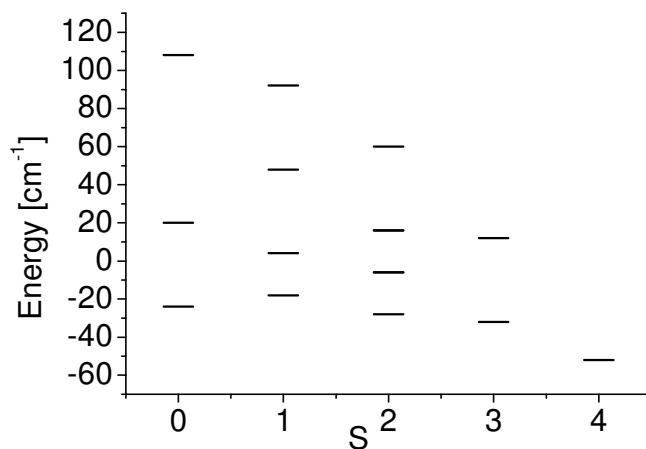
where  $\hat{T}_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  is composed from the ITOs acting in the spin space of the individual spins. The coupling of single-spin ITOs was expressed by the following formula according to the chosen coupling scheme (8.2-2):

$$\hat{T}_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k) = \left\{ \left[ \hat{T}^{(k_1)}(\vec{s}_1) \otimes \hat{T}^{(k_2)}(\vec{s}_2) \right]^{(k_{12})} \otimes \left[ \hat{T}^{(k_3)}(\vec{s}_3) \otimes \hat{T}^{(k_4)}(\vec{s}_4) \right]^{(k_{34})} \right\}_q^{(k)} \quad (8.4-5)$$

where  $k_i$ ,  $i = 1 \dots 4$ , are the ranks of the single-spin tensors,  $k_{12}$ ,  $k_{34}$  and  $k$  are the ranks of the compound ITOs resulting due to the coupling of the spin centres.

**Table 8.4-1:** Energy spectrum of the tetrameric cluster ( $S_i=1, i=1,\dots,4$ ) with overall symmetry  $S_4$  calculated in terms of the isotropic exchange model.

$(S_{12} S_{34} S)$	$E(S_{12} S_{34} S)$	$E(S_{12} S_{34} S) [\text{cm}^{-1}]$ , $J_A=-3 \text{ cm}^{-1}$ and $J_B=8 \text{ cm}^{-1}$
(2 2 4)	$-4J_A-8J_B$	-52
(2 2 3)	$-4J_A$	+12
(2 1 3)	$-4J_B$	-32
(1 2 3)	$-4J_B$	-32
(2 2 2)	$4J_A+6J_B$	+60
(2 1 2)	$2J_B$	+16
(2 0 2)	$2J_A$	-6
(1 2 2)	$2J_B$	+16
(1 1 2)	$4J_A-2J_B$	-28
(0 2 2)	$2J_A$	-6
(2 2 1)	$-4J_A+10J_B$	+92
(2 1 1)	$6J_B$	+48
(1 2 1)	$6J_B$	+48
(1 1 1)	$4J_A+2J_B$	+4
(1 0 1)	$6J_A$	-18
(0 1 1)	$6J_A$	-18
(0 0 0)	$8J_A$	-24
(1 1 0)	$4J_A+4J_B$	+20
(2 2 0)	$-4J_A+12J_B$	+108



**Figure 8.4-2:** Energy spectrum of the molecular complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  calculated in isotropic exchange approximation (see Eq. (8.4-2) and Table 8.4-1) as function of the total spin value characterizing the multiplets

The matrix elements of the Hamiltonian Eq. (8.4-4) were evaluated by using the Wigner-Eckart theorem:

$$\langle S_{12}' S_{34}' S' M' | H | S_{12} S_{34} S M \rangle = \frac{(-1)^{2k}}{\sqrt{2S'+1}} C_{SMkq}^{S'M'} \langle S_{12}' S_{34}' S' | R | S_{12} S_{34} S \rangle \quad (8.4-6)$$

where  $C_{SMkq}^{S'M'}$  are the Clebsch-Gordon coefficients and  $\langle S_{12}' S_{34}' S' | R | S_{12} S_{34} S \rangle$  is the symbol used to indicate the reduced matrix element of the compound ITO's  $\hat{T}_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  in the basis set of the coupled spin states.

The reduced matrix elements were calculated with help of *single-spin* reduced matrix elements and 9j symbols as shown below (see Section 4.3):

$$\begin{aligned} & \langle S_{12}' S_{34}' S' | R | S_{12} S_{34} S \rangle = \\ & = \langle S_1 \| \hat{T}^{(k_1)}(S_1) \| S_1 \rangle \langle S_2 \| \hat{T}^{(k_2)}(S_2) \| S_2 \rangle \times \\ & \times \langle S_3 \| \hat{T}^{(k_3)}(S_3) \| S_3 \rangle \langle S_4 \| \hat{T}^{(k_4)}(S_4) \| S_4 \rangle \times \\ & \times [(2S'_{12}+1)(2S_{12}+1)(2k_{12}+1)]^{1/2} \left\{ \begin{array}{ccc} S_1 & S_1 & k_1 \\ S_2 & S_2 & k_2 \\ S'_{12} & S_{12} & k_{12} \end{array} \right\} \times \\ & \times [(2S'_{34}+1)(2S_{34}+1)(2k_{34}+1)]^{1/2} \left\{ \begin{array}{ccc} S_3 & S_3 & k_3 \\ S_4 & S_4 & k_4 \\ S'_{34} & S_{34} & k_{34} \end{array} \right\} \times \\ & \times [(2S'+1)(2S+1)(2k+1)]^{1/2} \left\{ \begin{array}{ccc} S'_{12} & S_{12} & k_{12} \\ S'_{34} & S_{34} & k_{34} \\ S' & S & k \end{array} \right\} \end{aligned} \quad (8.4-7)$$

We have used in our considerations the rules for calculation of the single-spin reduced matrix elements published in [Borras-Almenar, 1999]:

$$\langle S' \| \hat{T}^{(k)}(S) \| S \rangle = \begin{cases} \sqrt{2S+1} \delta_{S'S}, & k=0 \\ \sqrt{S(S+1)(2S+1)} \delta_{S'S}, & k=1 \\ \frac{1}{\sqrt{6}} \sqrt{(2S+3)(2S+1)S(S+1)(2S-1)} \delta_{S'S}, & k=2 \end{cases} \quad (8.4-8)$$

As follows from Eq.(8.4-7) and Eq.(8.4-8) the reduced matrix element does not depend on magnetic quantum numbers. Thus, the matrix  $R$ , of which the elements are calculated by Eq. (8.4-7) in the coupled spin basis, has dimension 19x19, i.e. 361 elements. In contrast, the complete Hamiltonian matrix constructed in the full Hilbert space contains 81x81=6561 elements. The evaluation of only *one* reduced matrix element is needed to construct the block of the Hamiltonian matrix describing interaction of the states  $|S_{12}' S_{34}' S'\rangle$  and  $|S_{12} S_{34} S\rangle$  consisting of  $M \times M$  states. Due to this fact reduction in calculation time by a factor of almost 20 is achieved.

The next step in our considerations is to map Eq. (8.4-3) into Eq. (8.4-4), i.e. to express the terms  $H^{iso}$ ,  $H^{LA}$  and  $H^{AS}$  (see Eq. (8.4-3)) through ITOs of the correct ranks.

We have used the rules summarized in the Table 8.4-2 to evaluate the correct chains  $(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$ ,  $q$  for any kind of exchange interactions including in Eq.(8.4-3) by coupling of the single-spin ITOs into the compound ITOs of different ranks according to the coupling scheme Eq.(8.4-5). These rules were derived by comparison of the publications [Tsukerblat, 1983], [Bencini, 1990], [Gatteschi, 1993-1,2], [Tsukerblat, 1994], [Borras-Almenar, 1999], [Boca, 1999].

**Table 8.4-2** Rules for coupling the ranks of ITOs used in the Hamiltonian (8.4-4)

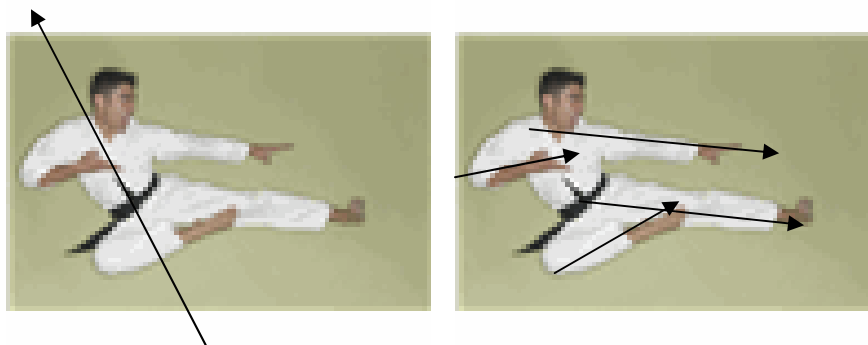
Interaction	ITO	$k_i$	$k_j$	$k_{ij}$
ISO	$\hat{T}^{(0)}(\vec{s}_i \otimes \vec{s}_j)$	0	0	0
		1	0	1
		0	1	1
		1	1	0
LA	$\hat{T}^{(2)}(\vec{s}_i \otimes \vec{s}_i)$	0	0	0
		2	0	2
		0	2	2
		1	1	2
		1	0	1
		0	1	1
AS	$\hat{T}^{(1)}(\vec{s}_i \otimes \vec{s}_j)$	1	1	1
		0	0	0
		1	0	1
		0	1	1

We start again from the term of Eq. (8.4-3) describing the isotropic exchange interaction in the system given by Eq. (8.4-1). Here it is important to mention that the isotropic exchange coupling constants  $J_A$  and  $J_B$  are literally constants, which values do not depend on the coordinate system, where they are evaluated. The difference between the local- and the cluster coordinate systems is illustrated in Figure 8.4-3. As mentioned in Section 3.4, the Cartesian components of the spin angular momentum operator are related to ITO components with rank  $k = 1$ ,

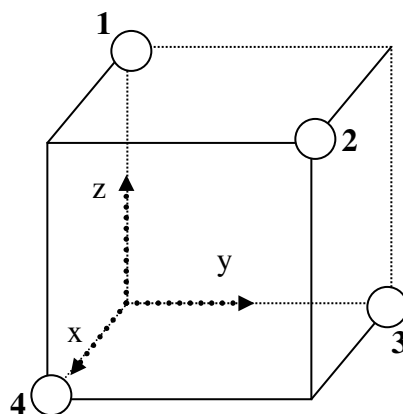
$$\begin{aligned}\hat{T}_1^{(1)}(\vec{s}) &= -\frac{1}{\sqrt{2}}(\hat{S}_x + i\hat{S}_y) \\ \hat{T}_0^{(1)}(\vec{s}) &= \hat{S}_z \\ \hat{T}_{-1}^{(1)}(\vec{s}) &= \frac{1}{\sqrt{2}}(\hat{S}_x - i\hat{S}_y)\end{aligned}\tag{8.4-9}$$

The scalar product of two vectors can be found by using Eq.(8.4-9). It turns out that it is expressed through the zero-component of the compound ITO of the rank  $k = 0$  as shown below:

$$\vec{s}_i \cdot \vec{s}_j = -\sqrt{3} \cdot \hat{T}_0^{(0)}(\vec{s}_i \otimes \vec{s}_j)\tag{8.4-10}$$



**Figure 8.4-3** The z-axis of the cluster coordinate system (the body of a man) is shown in the figure left; the space orientation of the z-axes of the local coordinate systems (arms and legs) is shown right. The explicit expressions of non-Heisenberg terms of Eq.(8.4-3) depend on the coordinate system, where they are calculated. The isotropic exchange (see Eq.(8.4-1)) is given by multiplication of the scalar constant and the scalar product of two vectors. Therefore its explicit expression in terms of ITOs is independent on the coordinate system (whether the cluster- or the local coordinate systems), in which it was calculated.



**Figure 8.4-4** xyz is the cluster coordinate system chosen for calculations on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex

Substituting Eq.(8.4-10) into Eq. (8.4-1) we obtain the  $H^{iso}$  term as the sum of the compound ITOs in accordance to the structure of Eq.(8.4-4):

$$H^{ISO} = \sum_{i=1}^4 \sum_{j<i}^4 2\sqrt{3}J_{ij}\hat{T}_0^{(0)}(\vec{s}_i \otimes \vec{s}_j) \quad (8.4-11)$$

The parameters  $C_q^{(k)}(k_1k_2k_3k_4k_{12}k_{34}k)$  for pairwise isotropic exchange interactions between the spin centres and the coupling of the ranks of single-spin ITOs are shown in Table 8.4-3.

**Table 8.4-3**

Isotropic exchange										
i	j	$k_1$	$k_2$	$k_3$	$k_4$	$k_{12}$	$k_{34}$	$k$	$q$	$C_q^{(k)}$ expressed in cluster coordinate system
2	1	1	1	0	0	0	0	0	0	$2\sqrt{3}J_A$
4	3	0	0	1	1	0	0	0	0	$2\sqrt{3}J_A$
3	1	1	0	1	0	1	1	0	0	$2\sqrt{3}J_B$
4	1	1	0	0	1	1	1	0	0	$2\sqrt{3}J_B$
3	2	0	1	1	0	1	1	0	0	$2\sqrt{3}J_B$
4	2	0	1	0	1	1	1	0	0	$2\sqrt{3}J_B$

The explicit forms of the microscopic Hamiltonians describing the terms  $H^{LA}$  and  $H^{AS}$  depends on the mutual orientation of the cluster- and local coordinate systems.

If the orientation of the single-ion  $\overline{\overline{D}}_i$ -tensors,  $i=1\dots 4$ , is parallel to the cluster coordinate system then the collective action of the local crystal fields of four spin centres in formation of the cluster magnetic anisotropy is given by [Tsukerblat, 2001], [Palii, 2004]:

$$H^{LA} = \sum_{i=1}^4 \left( D_i \left( \hat{s}_{ix}^2 - \frac{1}{3} s_i (s_i + 1) \right) \right)^{local} + \sum_{i=1}^4 \left( E_i \left( \hat{s}_{ix}^2 - \hat{s}_{iy}^2 \right) \right)^{local} \quad (8.4-12)$$

where  $D_i, E_i$  are zero-field splitting parameters of individual Ni-ions related to the diagonal components of the local  $\overline{\overline{D}}_i$ -tensors,  $i=1\dots 4$ , the word *local* shows that the expression is written in the local coordinate system.

As mentioned in Section 4.3, the term  $H^{AS}$  describing antisymmetric exchange interactions between the spin centres is given by Eq. (8.4-13), if the local AS-tensors for each pair of interacting spins are collinear with the cluster coordinate system:

$$H^{AS} = \sum_{i=1}^4 \sum_{j<i}^4 \left( H_{ij}^{AS} \right)^{local} = \sum_{i=1}^4 \sum_{j<i}^4 \left( \vec{G}_{ij} [\vec{s}_i \times \vec{s}_j] \right)^{local} \quad (8.4-13)$$



where  $\vec{G}_{ij}$  are antisymmetric exchange parameters,  $[\hat{s}_i \times \hat{s}_j]$  is a vector product. The physical origin of the vector  $\vec{G}_{ij} = \vec{G}_i - \vec{G}_j$  is the admixture of excited states into the ground state through spin-orbit coupling.

Thus, under assumption of colinearity of the local and the cluster coordinate systems, the terms  $H^{LA}$  and  $H^{AS}$  can be expressed by ITOs as follows [Borras-Almenar, 1999], [Boca, 1999]:

$$H^{LA} = \sum_{i=1}^4 D_i \left( \sqrt{\frac{2}{3}} \hat{T}_0^{(2)}(\vec{s}_i \otimes \vec{s}_i) \right) + \sum_{i=1}^4 E_i \left( \hat{T}_2^{(2)}(\vec{s}_i \otimes \vec{s}_i) + \hat{T}_{-2}^{(2)}(\vec{s}_i \otimes \vec{s}_i) \right) \quad (8.4-14)$$

$$H^{AS} = -i\sqrt{2} \sum_{i=1}^4 \sum_{j < i}^4 \sum_q (-1)^q G_{q,ij}^{(1)} \hat{T}_{-q}^{(1)}(\vec{s}_i \otimes \vec{s}_j) \quad (8.4-15)$$

where parameters  $G_{q,ij}^{(1)}$  are given by the following relation:

$$G_{q,ij}^{(1)} = \begin{cases} \mp \frac{1}{\sqrt{2}} (G_{ij}^x \pm iG_{ij}^y), & q = \pm 1 \\ G_{ij}^z, & q = 0 \end{cases} \quad (8.4-16)$$

Obviously, the parameters  $C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  of the generalized effective spin Hamiltonian (see Eq. (8.4-4), compare Eq.(8.4-4) and Eq.(8.4-11), Eq.(8.4-14), Eq.(8.4-15)) are linked to the isotropic exchange interaction parameters  $J_{ij}$ , to the single-ion ZFS parameters  $(D_i, E_i)$  and to the parameters of pairwise antisymmetric exchange interaction  $\vec{G}_{ij}$ . It is important to mention that  $D_i, E_i$  and  $\vec{G}_{ij}$  in Eq.(8.4-14) and Eq.(8.4-15) are expressed in local coordinate systems.

The calculations according to Eq. (8.4-4) are straightforward for a system, where the principal axes of the local  $\vec{D}_i$ -tensors and the vector parameters of antisymmetric exchange  $\vec{G}_{ij}$  coincide with the cluster coordinate axes. This is obviously *not* the case for the  $\text{Ni}_4$ -cluster. Clearly, the evaluation of each summand in Eq. (8.4-4) must be performed in one and the same coordinate system to obtain the correct result.

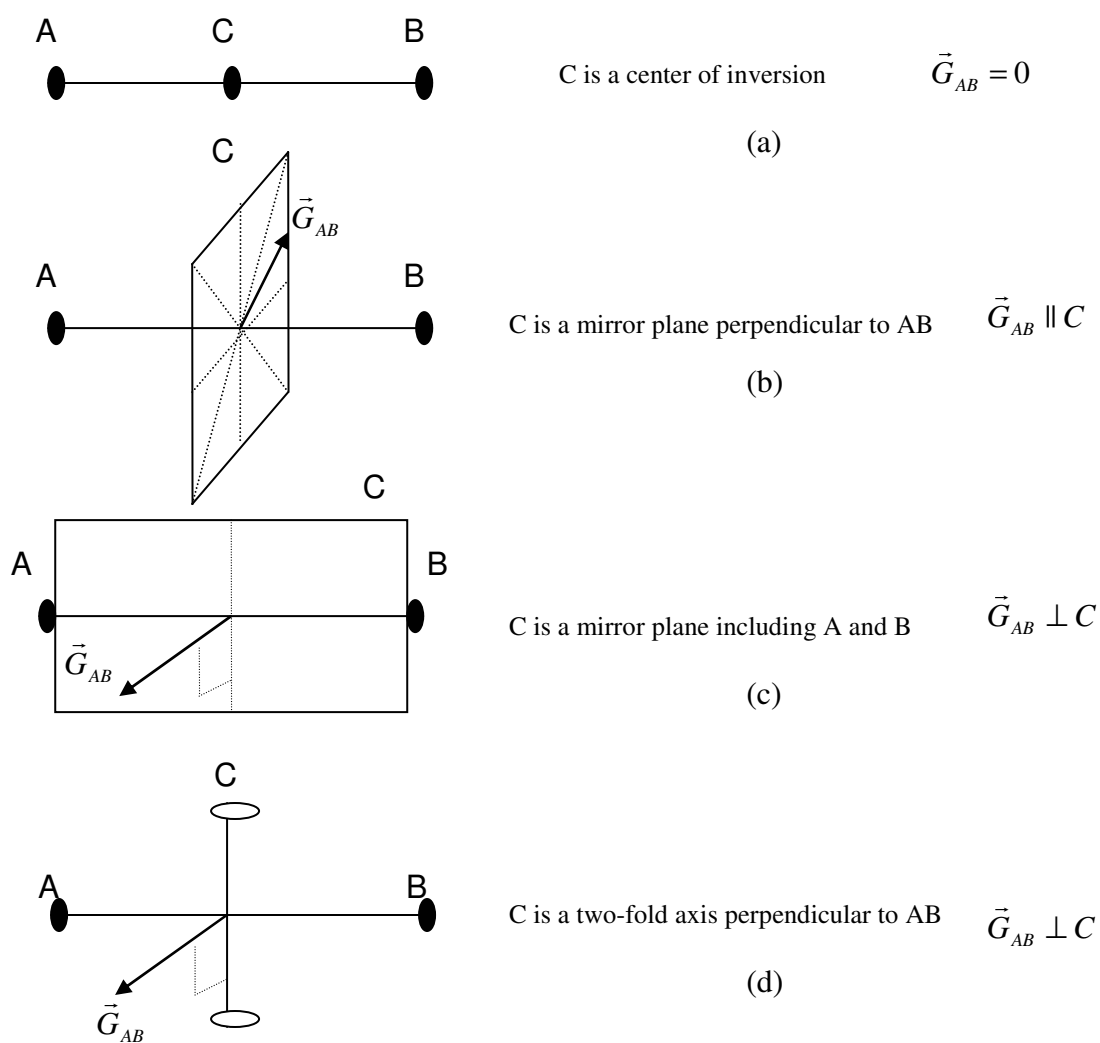
Thus, the aim of the next sections is to find the correct orientations of the single-ion  $\vec{D}_i$ -tensors and the vectors  $\vec{G}_{ij}$  that follow from the molecular structure of the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex. If they are found, we can derive the expressions for  $C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  in the cluster coordinate system as well as the explicit form of Eq.(8.4-3) in terms of ITOs Eq.(8.4-4). To the best of our knowledge, the method presented in this PhD thesis was applied for the first time to construct the gesH model in presence of non-collinear tensors describing the exchange interactions of non-Heisenberg type.

### 8.4.1 Antisymmetric exchange

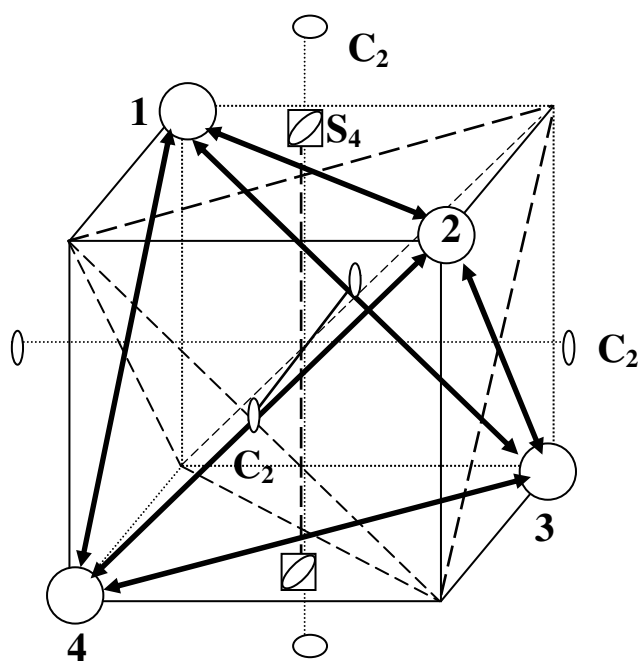
We start our considerations from the formula (8.4-13), in order to derive the expressions for the parameters  $C_q^{(k)}(k_1k_2k_3k_4k_{12}k_{34}k)$  of the pairwise antisymmetric exchange interactions in the cluster coordinate system of the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex (see Figure 8.4-4). As mentioned in Section 4.3, the orientation of AS-parameter for a pair of spins follows from the molecular symmetry [Moryia, 1960]. Figure 8.4-5 illustrates the rules for selection of the AS-parameter orientation. There the letters A and B indicate the position of spin centres  $\vec{S}_A$  and  $\vec{S}_B$ . The point bisecting the straight line AB is denoted as C.

When a center of inversion is located at C,  $\vec{G}_{AB} = 0$  (Figure 8.4-5a). When a mirror plane perpendicular to AB passes through C then  $\vec{G}_{AB}$  is parallel to this mirror plane (Figure 8.4-5b). When there is a mirror plane including A and B then  $\vec{G}_{AB}$  is perpendicular to this mirror plane (Figure 8.4-5c). When a two-fold rotation axis perpendicular to AB passes through C then  $\vec{G}_{AB}$  is perpendicular to the two-fold axis (Figure 8.4-5d). These rules were applied to the  $\text{Ni}_4$ -care, i.e. to orientation selection of the AS-parameters in the tetrameric cluster with the point group  $S_4$ . Thus, Figure 8.4-6a shows the two-fold axes and the mirror planes (dashed line) perpendicular to the planes including pairs of spins i and j, which are linked by bold arrows. Figure 8.4-6b represents the found orientations of the vectors  $\vec{G}_{ij}$ , where  $ij = 12, 23, 34, 14, 42, 13$ ., with the cluster coordinate system indicated by  $\{x, y, z\}$ .

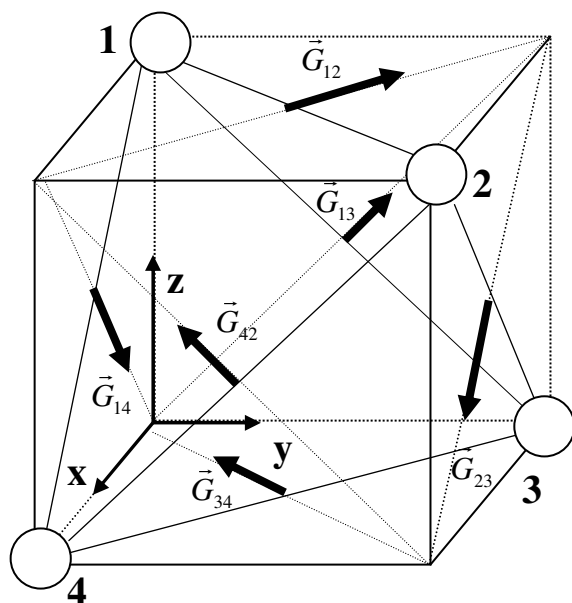
Then local coordinate systems were introduced for each pair of spins ( $\vec{s}_i$  and  $\vec{s}_j$ ) coupled by antisymmetric exchange interaction. The local z-axes were fixed along the found direction of the AS-parameter  $\vec{G}_{ij}$  for each pair ij. The local coordinate systems were denoted with the letters of Greek alphabet for convenience, i.e. the subscript  $\alpha$  denotes the coordinate system of the pair 12,  $\beta$  the pair 23,  $\gamma$  the pair 34,  $\sigma$  the pair 14,  $\xi$  the pair 42,  $\theta$  the pair 31. The relation between obtained local- and cluster coordinate systems is presented in Figure 8.4-7. Thus, each vector written in the local coordinate system can be transformed into the cluster one by using the rules summarized in the Table 8.4-4.



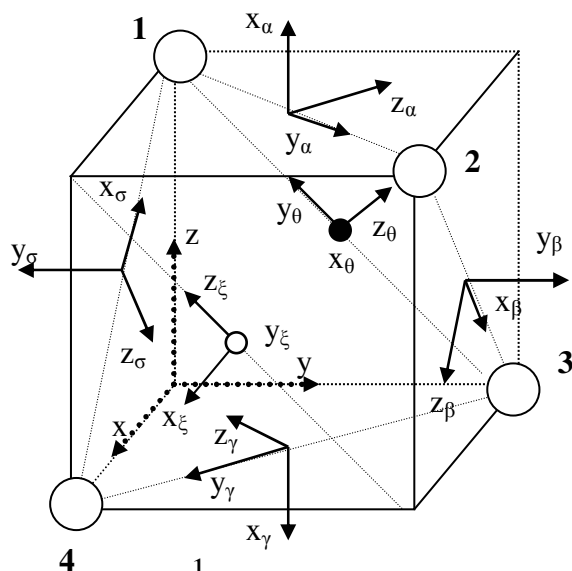
**Figure 8.4-5** Rules for selection of the antisymmetric coupling parameter. C is a symmetry element located at the point bisecting the straight line AB



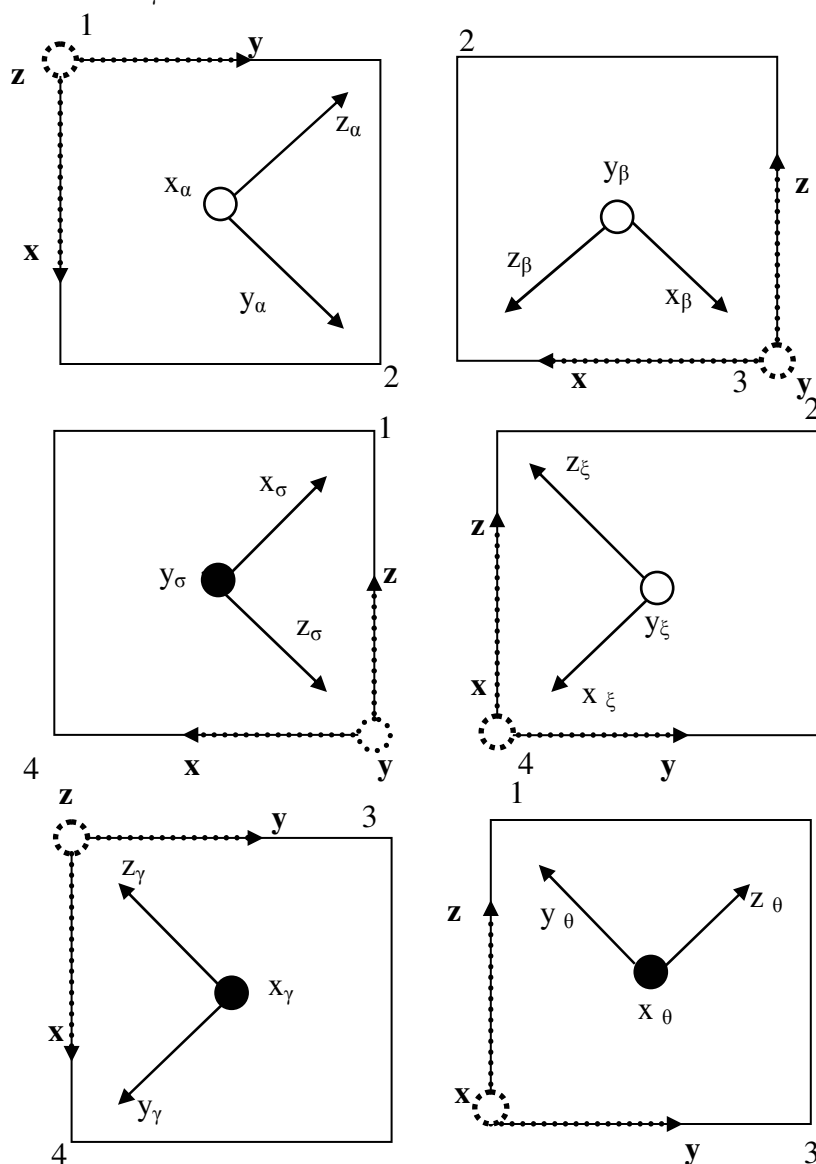
**Figure 8.4-6a** shows the symmetry elements of the point group  $S_4$  of the tetrameric cluster used for the selection of the orientation of the pair-wise AS-vectors  $\vec{G}_{ij}$ ,  $ij=12, 23, 34, 14, 42, 13$  (bold arrows). The two-fold axes are indicated with the symbols  $C_2$ , the four-fold improper rotation axis with the symbol  $S_4$  as usual in crystallography. The black dashed lines are the intersections of the mirror planes perpendicular to the line connecting a pair of centres  $ij$  with the face of the cube.



**Figure 8.4-6b** Orientation of the antisymmetric exchange vector parameters  $\vec{G}_{ij}$ ,  $ij=12, 23, 34, 14, 42, 13$  derived from the Moryia rules.  $\{x,y,z\}$  is the cluster coordinate system.



**Figure 8.4-7.** Relation between cluster- and local coordinate systems. Bold arrows show the local coordinate systems of the pairwise antisymmetric exchange interaction  $\{x_{local}, y_{local}, z_{local}\}$ ,  $local = \alpha, \beta, \gamma, \sigma, \xi, \theta$  chosen according to the Moriya rules. The dotted arrows indicate the cluster coordinate system  $\{x, y, z\}$ . The bottom figures show the projections of the cluster- and local coordinate systems on the planes including the pair of interacting spins, where white circles indicate vectors pointing out of the plane and black circles vectors pointing into the plane.



**Table 8.4-4** Antisymmetric exchange: transformation rules from local- to cluster coordinate system

$$\begin{pmatrix} x_\alpha \\ y_\alpha \\ z_\alpha \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \quad \begin{pmatrix} x_\beta \\ y_\beta \\ z_\beta \end{pmatrix} = \begin{pmatrix} -\frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

$$\begin{pmatrix} x_\sigma \\ y_\sigma \\ z_\sigma \end{pmatrix} = \begin{pmatrix} -\frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & -1 & 0 \\ -\frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \quad \begin{pmatrix} x_\xi \\ y_\xi \\ z_\xi \end{pmatrix} = \begin{pmatrix} 0 & -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ 1 & 0 & 0 \\ 0 & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

$$\begin{pmatrix} x_\gamma \\ y_\gamma \\ z_\gamma \end{pmatrix} = \begin{pmatrix} 0 & 0 & -1 \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \quad \begin{pmatrix} x_\theta \\ y_\theta \\ z_\theta \end{pmatrix} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

As soon as we know how to link the cluster- and local coordinate systems, we can construct the antisymmetric exchange Hamiltonian for the  $\text{Ni}_4$ -cluster. This term has the following form in the local coordinate system for each pair of spins involved in the AS exchange interaction, see Eq. (8.4-13):

$$\left(\mathbf{H}_{ij}^{\text{AS}}\right)^{\text{local}} = \left(\vec{G}_{ij} \left[\vec{s}_i \times \vec{s}_j\right]\right)^{\text{local}} = G_{ij}^{x,\text{local}} \left[\vec{s}_i \times \vec{s}_j\right]_{x,\text{local}} + G_{ij}^{y,\text{local}} \left[\vec{s}_i \times \vec{s}_j\right]_{y,\text{local}} + G_{ij}^{z,\text{local}} \left[\vec{s}_i \times \vec{s}_j\right]_{z,\text{local}},$$

where  $G_{ij}^{k,\text{local}}$  are the projections of the AS vector parameters and  $\left[\vec{s}_i \times \vec{s}_j\right]_{k,\text{local}}$  are the projections of the vector product  $\left[\vec{s}_i \times \vec{s}_j\right]$  on the  $k$ -axis of the local coordinate system ( $k = x, y, z$ ). The perpendicular components of the antisymmetric exchange can be omitted in the first approximation, since  $G_{ij}^{x,\text{local}} = G_{ij}^{y,\text{local}} = 0$  for tetragonal systems and  $G_{ij}^{x,\text{local}}, G_{ij}^{y,\text{local}} \lll G_{ij}^{z,\text{local}}$  if symmetry is slightly broken (see [Tsukerblat, 1983]). Thus, we use only the axial component of the antisymmetric exchange interaction in our further calculations, i.e. the formula shown below:

$$\left(\mathbf{H}_{ij}^{\text{AS}}\right)^{\text{local}} = G_{ij}^{z,\text{local}} \left[\vec{s}_i \times \vec{s}_j\right]_{z,\text{local}} = G_{ij}^{z,\text{local}} \left(s_i^x s_j^y - s_i^y s_j^x\right)_{\text{local}} \quad (8.4-17)$$

where  $s_{i,j}^{x,y}$  are x- and y- projections of the spins  $\vec{s}_i$  and  $\vec{s}_j$  expressed in the local coordinate system.

As mentioned in Section 3.4, the Cartesian basis can be linked to the spherical one and vice versa. Thus, the Eq. (8.4-9) can be rewritten as Eq. (8.4-18):

$$\begin{aligned} s_i^x &= \frac{1}{\sqrt{2}} \left(\hat{T}_{-1}^{(1)}(i) - \hat{T}_{+1}^{(1)}(i)\right) \\ s_i^y &= \frac{i}{\sqrt{2}} \left(\hat{T}_{-1}^{(1)}(i) + \hat{T}_{+1}^{(1)}(i)\right) \\ s_i^z &= \hat{T}_0^{(1)}(i) \end{aligned} \quad (8.4-18)$$

i.e. each Cartesian component of the vector  $\vec{s}_i$  can be expressed through components of the irreducible tensor operator of rank one acting in the space of i-spin center.

Thus, each term  $\left(\mathbf{H}_{ij}^{\text{AS}}\right)^{\text{local}}$ , see Eq. (8.4-17), can be rewritten by using Eq. (8.4-18) and transformed into the cluster coordinate system with help of the rules summarized in the Table 8.4-4. The obtained results were significantly simplified by introduction of the compound irreducible tensor operators of rank one tabulated e.g. in [Boca, 1999], i.e.:

$$\begin{aligned} \left\{\hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(j)\right\}_1^{(1)} &= \frac{1}{\sqrt{2}} \left(\hat{T}_1^{(1)}(i) \hat{T}_0^{(1)}(j) - \hat{T}_0^{(1)}(i) \hat{T}_1^{(1)}(j)\right) \\ \left\{\hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(j)\right\}_0^{(1)} &= \frac{1}{\sqrt{2}} \left(\hat{T}_1^{(1)}(i) \hat{T}_{-1}^{(1)}(j) - \hat{T}_{-1}^{(1)}(i) \hat{T}_1^{(1)}(j)\right) \\ \left\{\hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(j)\right\}_{-1}^{(1)} &= \frac{1}{\sqrt{2}} \left(\hat{T}_0^{(1)}(i) \hat{T}_{-1}^{(1)}(j) - \hat{T}_{-1}^{(1)}(i) \hat{T}_0^{(1)}(j)\right) \end{aligned} \quad (8.4-19)$$

Finally we got the following expressions for pairwise AS-exchange interactions in the  $\text{Ni}_4$  cluster:

$$\mathbf{H}_{21}^{\text{AS}} = \left[ -\frac{(i+1)}{2} G_{12}^{\text{loc}} \sqrt{2} \left\{T^{(1)}(2) \otimes T^{(1)}(1)\right\}_{-1}^{(1)} + i \frac{(i+1)}{2} G_{12}^{\text{loc}} \sqrt{2} \left\{T^{(1)}(2) \otimes T^{(1)}(1)\right\}_1^{(1)} \right]$$

$$\begin{aligned} \mathbf{H}_{32}^{AS} &= \left[ -\frac{\sqrt{2}}{2} i G_{23}^{loc} \{T^{(1)}(3) \otimes T^{(1)}(2)\}_{\uparrow 1}^{(1)} - i G_{23}^{loc} \{T^{(1)}(3) \otimes T^{(1)}(2)\}_{\uparrow 0}^{(1)} + \frac{\sqrt{2}}{2} i G_{23}^{loc} \{T^{(1)}(3) \otimes T^{(1)}(2)\}_{\uparrow -1}^{(1)} \right] \\ \mathbf{H}_{43}^{AS} &= \left[ -i \frac{(i+1)}{2} G_{34}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(3)\}_{\uparrow -1}^{(1)} + \frac{(i+1)}{2} G_{34}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(3)\}_{\uparrow 1}^{(1)} \right] \\ \mathbf{H}_{41}^{AS} &= \left[ -\frac{i}{2} G_{14}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(1)\}_{\uparrow +1}^{(1)} + \frac{i}{2} G_{14}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(1)\}_{\uparrow -1}^{(1)} + i G_{14}^{loc} \{T^{(1)}(4) \otimes T^{(1)}(1)\}_{\uparrow 0}^{(1)} \right] \\ \mathbf{H}_{42}^{AS} &= \left[ -\frac{1}{2} G_{42}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(2)\}_{\uparrow +1}^{(1)} - \frac{1}{2} G_{42}^{loc} \sqrt{2} \{T^{(1)}(4) \otimes T^{(1)}(2)\}_{\uparrow -1}^{(1)} - G_{42}^{loc} i \{T^{(1)}(4) \otimes T^{(1)}(2)\}_{\uparrow 0}^{(1)} \right] \\ \mathbf{H}_{31}^{AS} &= \left[ \frac{1}{2} G_{31}^{loc} \sqrt{2} \{T^{(1)}(3) \otimes T^{(1)}(1)\}_{\uparrow +1}^{(1)} + \frac{1}{2} G_{31}^{loc} \sqrt{2} \{T^{(1)}(3) \otimes T^{(1)}(1)\}_{\uparrow -1}^{(1)} - G_{31}^{loc} i \{T^{(1)}(3) \otimes T^{(1)}(1)\}_{\uparrow 0}^{(1)} \right] \end{aligned}$$

From these formulas we derived the expressions for  $C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  in the cluster coordinate system by comparing the last result with the general expression of the effective spin Hamiltonian describing the antisymmetric exchange in the tetrameric cluster, i.e. Eq. (8.4-4) and Eq.(8.4-15). The outcome is summarized in the Table 8.4-5.



**Table 8.4-5**

Antisymmetric exchange										
$i$	$j$	$k_1$	$k_2$	$k_3$	$k_4$	$k_{12}$	$k_{34}$	$k$	$q$	$C_q^{(k)}$ expressed in cluster coordinate system
2	1	1	1	0	0	1	0	1	-1	$-(i+1)\frac{\sqrt{2}}{2}G_{12}^{loc}$
4	3	0	0	1	1	0	1	1	-1	$-i(i+1)\frac{\sqrt{2}}{2}G_{34}^{loc}$
3	1	1	0	1	0	1	1	1	-1	$\frac{\sqrt{2}}{2}G_{31}^{loc}$
4	1	1	0	0	1	1	1	1	-1	$i\frac{\sqrt{2}}{2}G_{14}^{loc}$
3	2	0	1	1	0	1	1	1	-1	$i\frac{\sqrt{2}}{2}G_{23}^{loc}$
4	2	0	1	0	1	1	1	1	-1	$-\frac{\sqrt{2}}{2}G_{42}^{loc}$
2	1	1	1	0	0	1	0	1	0	0
4	3	0	0	1	1	0	1	1	0	0
3	1	1	0	1	0	1	1	1	0	$-iG_{31}^{loc}$
4	1	1	0	0	1	1	1	1	0	$iG_{14}^{loc}$
3	2	0	1	1	0	1	1	1	0	$-iG_{23}^{loc}$
4	2	0	1	0	1	1	1	1	0	$-iG_{42}^{loc}$
2	1	1	1	0	0	1	0	1	1	$i(i+1)\frac{\sqrt{2}}{2}G_{12}^{loc}$
4	3	0	0	1	1	0	1	1	1	$(i+1)\frac{\sqrt{2}}{2}G_{34}^{loc}$
3	1	1	0	1	0	1	1	1	1	$\frac{\sqrt{2}}{2}G_{31}^{loc}$
4	1	1	0	0	1	1	1	1	1	$-i\frac{\sqrt{2}}{2}G_{14}^{loc}$
3	2	0	1	1	0	1	1	1	1	$-i\frac{\sqrt{2}}{2}G_{23}^{loc}$
4	2	0	1	0	1	1	1	1	1	$-\frac{\sqrt{2}}{2}G_{42}^{loc}$

### 8.4.2 Local anisotropy

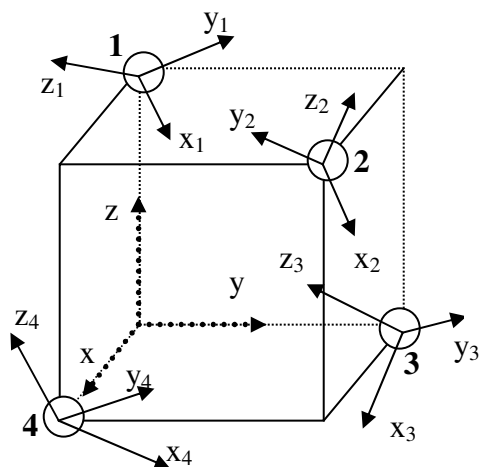
The task of this section is to find the expressions for the parameters  $C_q^{(k)}(k_1k_2k_3k_4k_{12}k_{34}k)$  in the cluster coordinate system of those summands in Eq. (8.4-4) that describe the contribution of the single-ion anisotropy to the cluster anisotropy. For clarity, we have divided this section into two parts. The task of subsection 8.4.2A is to show how to relate the cluster  $\overline{\overline{D}}$ -tensor of a certain state  $|S_{12}S_{34}S\rangle$  to the local (single-ion)  $\overline{\overline{D}}_i$ -tensors of *arbitrary orientation* with respect to the cluster coordinate system (*given by Euler angles*) for a tetrameric cluster of  $S_4$  symmetry. In subsection 8.4.2B we demonstrate how to calculate  $C_q^{(k)}(k_1k_2k_3k_4k_{12}k_{34}k)$  in the cluster coordinate system from the single-ion zero-field splitting parameters fixed in the local (=single-ion) coordinate systems.

#### 8.4.2A How to relate the cluster D-tensor of a certain state $|S_{12}S_{34}S\rangle$ to the local (single-ion) $\overline{\overline{D}}_i$ -tensors of arbitrary orientations for a tetrameric cluster of $S_4$ symmetry?

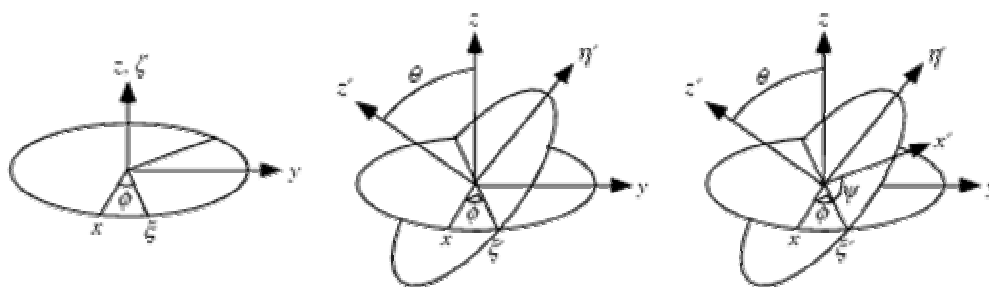
Let us start our considerations from Figure 8.4-8, where we see a tetrameric cluster with the cluster coordinate system denoted as  $\{x,y,z\}$  and four local coordinate systems that indicate the principal axes of the single-ion  $\overline{\overline{D}}_i$ -tensors. The space orientation of the local coordinate systems with respect to each other and to the cluster coordinate system depends on the nature and geometry of ligands surrounding the spin centres. In order to derive the rules that link these five coordinate systems, we have to analyze the site symmetry resulting from the cluster point group. The situation becomes rather simple if the tetrameric cluster is described by the  $S_4$  point group. Below we show how to relate the cluster  $\overline{\overline{D}}$ -tensor of a certain state  $|S_{12}S_{34}S\rangle$  to the local (single-ion)  $\overline{\overline{D}}_i$ -tensors of arbitrary orientations for a tetrameric cluster of  $S_4$  symmetry.

The cluster  $\overline{\overline{D}}$ -tensor can be constructed with help of the local  $\overline{\overline{D}}_i$ -tensors and the combination coefficients for any ket  $|S_{12}S_{34}S\rangle$  by using the replacement theorem (see [Bencini, 1990],[Boca, 1999] and Section 4.2). The dipole-dipole interaction is omitted from considerations, since it is much weaker than the single-ion ligand fields. Then the total  $\overline{\overline{D}}$ -tensor of the state  $|S_1S_2S_3S_4S_{12}S_{34}S\rangle$  can be written as follows:

$$\begin{aligned} \overline{\overline{D}}_{total}(|S_{12}S_{34}S\rangle) &= C_1(S_1S_2S_3S_4S_{12}S_{34}S)\overline{\overline{D}}_1 + C_2(S_1S_2S_3S_4S_{12}S_{34}S)\overline{\overline{D}}_2 + \\ &+ C_3(S_1S_2S_3S_4S_{12}S_{34}S)\overline{\overline{D}}_3 + C_4(S_1S_2S_3S_4S_{12}S_{34}S)\overline{\overline{D}}_4 \end{aligned} \quad (8.4-20)$$



**Figure 8.4-8:** Principal axes of the single-ion  $\overline{\overline{D}}_i$ -tensors  $\{x_i, y_i, z_i\}$ ,  $i=1, \dots, 4$  of arbitrary orientations and the cluster coordinate system  $\{x, y, z\}$  (dotted arrows).



**Figure 8.4-9** Euler angles  $(\varphi, \theta, \psi)$ : the first rotation is by an angle  $\varphi$  about the  $z$ -axis, the second is by an angle  $\theta \in [0, \pi]$  about the  $x$ -axis, and the third is by an angle  $\psi$  about the  $z$ -axis (again)

We obtain the formulas for the total  $\overline{\overline{D}}$ -tensor of the state  $|S_{12}S_{34}S\rangle$  shown below by using the combination coefficients in dinuclear systems [Boca, 1999], i.e.:

$$\overline{\overline{D}}_{total}(|224\rangle) = \frac{1}{28}(\overline{\overline{D}}(|224\rangle)_1 + \overline{\overline{D}}_2(|224\rangle) + \overline{\overline{D}}_3(|224\rangle) + \overline{\overline{D}}_4(|224\rangle)) \quad (8.4-21)$$

$$\overline{\overline{D}}_{total}(|123\rangle) = -\frac{1}{10}(\overline{\overline{D}}_1 + \overline{\overline{D}}_2) + \frac{1}{15}(\overline{\overline{D}}_3 + \overline{\overline{D}}_4)$$

$$\overline{\overline{D}}_{total}(|223\rangle) = \frac{1}{30}(\overline{\overline{D}}_1 + \overline{\overline{D}}_2 + \overline{\overline{D}}_3 + \overline{\overline{D}}_4) \text{ etc.}$$

It is important to mention that each  $\overline{\overline{D}}_i$ -tensor is written in the *local* coordinate system in the formulas above. Thus, we have to express  $\overline{\overline{D}}_i$  in the *cluster* coordinate system because the calculations are performed under assumption that the principal axes of the  $\overline{\overline{D}}_i$ -tensors are *not parallel* to the cluster coordinate system.

In order to solve the problem we use the Euler's rotation theorem [Wolfram, 1999]. According to this theorem any rotation may be described using three angles, see Figure 8.4-9. If the rotations are written in terms of rotation matrices then a general rotation can be written also as (Euler-) matrix  $\overline{\overline{A}}$ . The three angles giving the three rotation matrices are called Euler angles. There are several conventions for Euler angles, depending on the axes about which the rotations are carried out. The so-called "x-convention," illustrated in the Figure 8.4-9, is the most common definition. In this convention, the rotation is given by Euler angles  $(\varphi, \theta, \psi)$ , where the first rotation is by an angle  $\varphi$  about the  $z$ -axis, the second is by an angle  $\theta \in [0, \pi]$  about the  $x$ -axis, and the third is by an angle  $\psi$  about the  $z$ -axis (again). The Euler-Matrix is usually expressed as follows:

$$\overline{\overline{A}} = \overline{\overline{A}}(\varphi, \theta, \psi) = \quad (8.4-22)$$

$$\begin{pmatrix} \cos\varphi\cos\psi - \cos\theta\sin\varphi\sin\psi & \sin\varphi\cos\psi + \cos\theta\cos\varphi\sin\psi & \sin\theta\sin\psi \\ -\cos\varphi\sin\psi - \cos\theta\sin\varphi\cos\psi & -\sin\varphi\sin\psi + \cos\theta\cos\varphi\cos\psi & \sin\theta\cos\psi \\ \sin\varphi\sin\theta & -\sin\theta\cos\varphi & \cos\theta \end{pmatrix}$$

Keeping in mind that each  $\overline{\overline{D}}_i$ -tensor is given by diagonal matrix (see Section 4.2) in the local coordinate system:

$$\overline{\overline{D}}^{local} = \begin{bmatrix} -\frac{D_i}{3} + E_i & 0 & 0 \\ 0 & -\frac{D_i}{3} - E_i & 0 \\ 0 & 0 & \frac{2D_i}{3} \end{bmatrix} \quad (8.4-23)$$

we can calculate the  $\overline{\overline{D}}_i$ -components in the cluster coordinate system by using the Euler matrix:  $\overline{\overline{D}}^{cluster} = \overline{\overline{A}}^{-1}\overline{\overline{D}}^{local}\overline{\overline{A}}$ .

Our calculations are simplified for two reasons. First of all, single-ions constituting the cluster and symmetry of their sites are identical and we assume  $D_i = D^{local}$  and  $E_i = E^{local}$  for all  $i = 1...4$ . Thus,  $\overline{\overline{D}}_1$  has the form shown below:

$$\overline{\overline{D}}_1 = \begin{bmatrix} -\frac{D^{local}}{3} + E^{local} & 0 & 0 \\ 0 & -\frac{D^{local}}{3} - E^{local} & 0 \\ 0 & 0 & \frac{2D^{local}}{3} \end{bmatrix} \quad (8.4-24)$$

Secondly, the local  $\overline{\overline{D}}_i$  tensors are related to each other by symmetry operators of the point group  $S_4$ , i.e. by the corresponding Euler matrices  $\overline{\overline{S}}_4^{(k)}$  with  $k = 1, 2, 3$ .

$$\begin{aligned} \overline{\overline{D}}_2 &= \overline{\overline{S}}_4^{(1)} \overline{\overline{D}}_1 \overline{\overline{S}}_4^{(1)-1} \\ \overline{\overline{S}}_4^{(1)} &= \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \overline{\overline{S}}_4^{(1)-1} = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ \overline{\overline{D}}_3 &= \overline{\overline{S}}_4^{(2)} \overline{\overline{D}}_1 \overline{\overline{S}}_4^{(2)-1} \\ \overline{\overline{S}}_4^{(2)} &= \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \overline{\overline{S}}_4^{(2)-1} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ \overline{\overline{D}}_4 &= \overline{\overline{S}}_4^{(3)} \overline{\overline{D}}_1 \overline{\overline{S}}_4^{(3)-1} \\ \overline{\overline{S}}_4^{(3)} &= \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \overline{\overline{S}}_4^{(3)-1} = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned} \quad (8.4-25)$$

Thus, we obtain the following expression for the ground state  $|224\rangle$ , i.e. the term  ${}^9B$ , see Eq. (8.4-21):

$$\begin{aligned} \overline{\overline{D}}_{total}^{cluster}(|224\rangle) &= \frac{1}{28} \left( \overline{\overline{D}}_1^{cluster}(|224\rangle) + \overline{\overline{D}}_2^{cluster}(|224\rangle) + \overline{\overline{D}}_3^{cluster}(|224\rangle) + \overline{\overline{D}}_4^{cluster}(|224\rangle) \right) = \\ &= \begin{pmatrix} -\frac{a(|224\rangle)}{2} & 0 & 0 \\ 0 & -\frac{a(|224\rangle)}{2} & 0 \\ 0 & 0 & a(|224\rangle) \end{pmatrix}, \end{aligned}$$

where

$$a(|224\rangle) = \frac{1}{42} D^{local} (1 + 3 \cos 2\theta) - \frac{1}{7} E^{local} \cos 2\psi \sin^2 \theta.$$

Remembering that  $D(|S_{12}S_{34}S\rangle) = \frac{3}{2}a(|S_{12}S_{34}S\rangle)$  (see Section 4.2), we can link the single-ion ZFS parameters with the axial ZFS parameter of the ground state of a tetragonal tetrameric cluster, constituted by  $s_i = 1, i = 1 \dots 4$ .

$$\boxed{\begin{aligned} D(|224\rangle) &= \frac{1}{28} D^{local} (1 + 3 \cos 2\theta) - \frac{3}{14} E^{local} \cos 2\psi \sin^2 \theta \\ E(|224\rangle) &= 0 \end{aligned}} \quad (8.4-26)$$

We substitute the results obtained in [Yang, 2005] in the Eq. (8.4-26), in order to prove the correctness of the last expression. There it was reported the high-field electron paramagnetic resonance (HFEP) on single crystal of a similar complex  $[\text{Zn}_{3.91}\text{Ni}_{0.09}(\text{hmp})_4(\text{dmb})_4\text{Cl}_4]$ . The Euler angles were determined experimentally to be equal to  $(\varphi, \theta, \psi) = (0^\circ, 15^\circ, 70^\circ)$  with the Ni(II) single-ion ZFS parameters  $D_{\text{Ni(II)}} = -5.3 \text{ cm}^{-1}$  and  $E_{\text{Ni(II)}} = \pm 1.2 \text{ cm}^{-1}$ . The resulting axial ZFS parameter of the ground state with the total spin  $S=4$  was reported to be  $D = -0.69 \text{ cm}^{-1}$ . We get the same result  $D = -0.69426 \text{ cm}^{-1} (-0.6678 \text{ cm}^{-1})$  assuming  $E_{\text{Ni(II)}}$  as negative (positive) by substitution of  $(\varphi, \theta, \psi) = (0^\circ, 15^\circ, 70^\circ)$  into the eq. (8.4-26). Thus, the Eq. (8.4-26) is general. It can be applied for evaluation of the D-parameter of the ground state of any tetrameric cluster with  $S_4$  symmetry and the single spin sites  $s_i = 1, i = 1 \dots 4$ .

Finally we can relate the axial ZFS parameter obtained by fitting the FDMRS-experiment on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  in terms of the single-spin Hamiltonian model to the ZFS parameters of single Ni(II) ion. Thus, we get from the Eq. (8.4-26):

$$D(|S_{12}S_{34}S\rangle) = -\frac{1}{14} D_{\text{Ni(II)}} + \frac{3}{14} E_{\text{Ni(II)}} \quad (8.4-27)$$

for orientation of the principal axes of single-ion  $\overline{\overline{D}}_i$ -tensors assumed in [Sieber, 2005] and illustrated on the Figure 8.4-10, i.e.  $(\varphi, \theta, \psi) = \left(0, \frac{\pi}{2}, \frac{\pi}{2}\right)$ . The transverse ZFS is by

convention less than the axial one:  $|E| \leq \frac{D}{3}$  (see Section 4.2), in particular for mononuclear Ni(II)-complexes (see Section 7.3 and [Vongtragool, 2003])  $E_{\text{Ni(II)}} \ll D_{\text{Ni(II)}}$ . In the first approximation we get  $D_{\text{Ni(II)}} = 13.02 \text{ cm}^{-1}$  assuming  $E_{\text{Ni(II)}} \sim 0$  from the axial ZFS of the ground state ( $D = -0.93 \text{ cm}^{-1}$ , see Section 7.4 and Section 8.1) obtained by FDMRS and INS studies on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex.

### 8.4.2B How to express parameters $C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$ in the cluster coordinate system from the single-ion zero-field splitting parameters fixed in the local (=single-ion) coordinate systems?

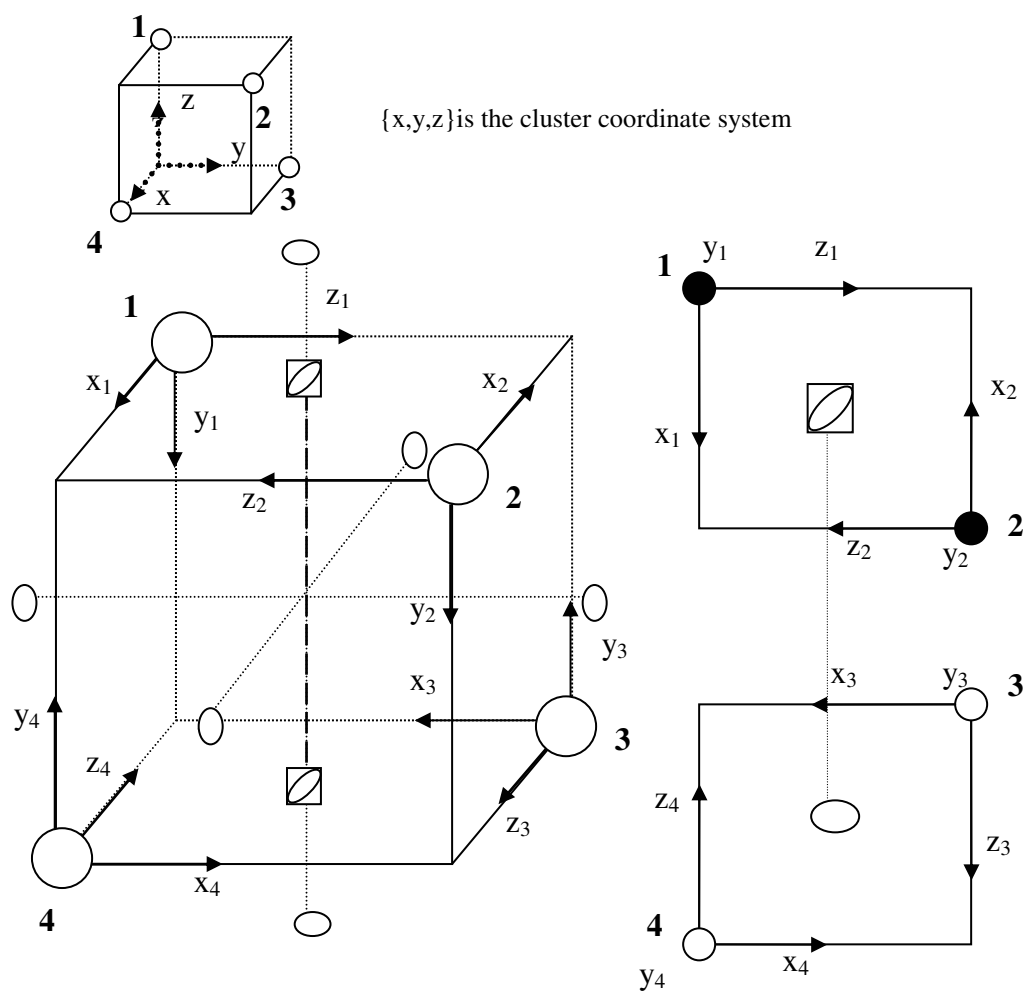
The aim of this subsection is to construct an effective spin Hamiltonian that describes a collective contribution of the single-ion ligand fields into the cluster magnetic anisotropy. We start our considerations from the Eq. (8.4-12)

$$H^{LA} = \sum_{i=1}^4 \left( D_i \left( \hat{s}_{iz}^2 - \frac{1}{3} s_i (s_i + 1) \right) \right)^{local} + \sum_{i=1}^4 \left( E_i \left( \hat{s}_{ix}^2 - \hat{s}_{iy}^2 \right) \right)^{local} \quad (8.4-12)$$

The single-ion zero-field splitting parameters  $D_i$  and  $E_i$  are expressed in the *local* coordinate systems. The mutual orientation of the local coordinate systems follows directly from the requirement that the effective spin Hamiltonian must be invariant under all symmetry operations of the point group. The presence of the four-fold improper rotation axis is specific for the point group  $S_4$ . It is indicated on the Figure 8.4-10 with the usual crystallographic symbol. The simultaneous action of the symmetry operations  $S_4$  and  $C_2$  allows to assume the mutual orientation of the local coordinate systems  $\{x_i, y_i, z_i\}$ ,  $i=1, \dots, 4$  shown on the Figure 8.4-10. They leave the Hamiltonian (8.4-12) invariant under all symmetry operations of the  $S_4$  point group. These orientations have also physical meaning: in this case the z-axes are directed along the elongated O-Ni-O bonds, which are approximately perpendicular to the  $S_4$  axes in the complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  shown in the Figure 7.4-1 (see Section 7.4). Thus, the transformations from the local- to cluster coordinate systems can be expressed by the matrices summarized in the Table 8.4-6.

**Table 8.4-6** Local anisotropy: transformation rules from local- to cluster coordinate system

$$\begin{array}{l} \begin{pmatrix} x_1 \\ y_1 \\ z_1 \end{pmatrix} = \begin{pmatrix} +1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & +1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ \begin{pmatrix} x_3 \\ y_3 \\ z_3 \end{pmatrix} = \begin{pmatrix} 0 & -1 & 0 \\ 0 & 0 & +1 \\ +1 & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \end{array} \quad \begin{array}{l} \begin{pmatrix} x_2 \\ y_2 \\ z_2 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ \begin{pmatrix} x_4 \\ y_4 \\ z_4 \end{pmatrix} = \begin{pmatrix} 0 & +1 & 0 \\ 0 & 0 & +1 \\ -1 & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \end{array}$$



**Figure 8.4-10:** The top figure shows the cluster coordinate system. Improper rotation axes  $S_4$  (dashed lines) and symmetry axes  $C_2$  (dotted lines) are illustrated on the figure left. They define the rules for transformation of the local coordinate systems one into another. Figure right shows projections of the local coordinate systems on the horizontal plane, where white circles indicate vectors pointing out of the plane and black circles vectors pointing into the plane.



Now we can recall the crystal field term of each single-ion written in the local coordinate system:

$$\mathbf{H}_i^{LA} = D_{Ni}^{loc} \left( \hat{s}_{iz}^2 - \frac{1}{3} s_i (s_i + 1) \right) + E_{Ni}^{loc} \left( \hat{s}_{ix}^2 - \hat{s}_{iy}^2 \right) \quad i = 1, \dots, 4 \quad (8.4-28)$$

and rewrite them in the cluster coordinate system. Doing so, we obtain the following formulas:

$$\mathbf{H}_i^{LA} = \begin{cases} D_{Ni}^{loc} \left( s_{i,y}^2 - \frac{1}{3} s_i (s_i + 1) \right) + E_{Ni}^{loc} \left( s_{i,x}^2 - s_{i,z}^2 \right) & i = 1, 2 \\ D_{Ni}^{loc} \left( s_{i,x}^2 - \frac{1}{3} s_i (s_i + 1) \right) + E_{Ni}^{loc} \left( s_{i,y}^2 - s_{i,z}^2 \right) & i = 3, 4 \end{cases} \quad (8.4-29)$$

Then we express each Cartesian component of the vector  $\vec{s}_i$  through components of the rank one irreducible tensor operator acting in the space of spin center  $i$  (see Eq. (8.4-18)) and rewrite them by using the compound ITOs. It is convenient to express the obtained result via additional parameters  $A(i), B(i), C(i)$ :

$$\begin{aligned} A(i) &\equiv \left( \hat{T}_{-1}^{(1)}(i) + \hat{T}_1^{(1)}(i) \right)^2 = \\ &= \frac{2}{\sqrt{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(0)} + \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_{-2}^{(2)} + \end{aligned} \quad (8.4-30)$$

$$\begin{aligned} &+ \sqrt{\frac{2}{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(2)} + \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_2^{(2)} \\ B(i) &\equiv \left( \hat{T}_{-1}^{(1)}(i) - \hat{T}_1^{(1)}(i) \right)^2 = \\ &= -\frac{2}{\sqrt{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(0)} + \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_{-2}^{(2)} \end{aligned} \quad (8.4-31)$$

$$\begin{aligned} &- \sqrt{\frac{2}{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(2)} + \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_2^{(2)} \\ C(i) &\equiv \hat{T}_0^{(1)}(i) \hat{T}_0^{(1)}(i) = -\frac{1}{\sqrt{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(0)} + \sqrt{\frac{2}{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(2)} \end{aligned} \quad (8.4-32)$$

$$D(i) \equiv -\frac{1}{3} s_i (s_i + 1) = -\frac{1}{\sqrt{3}} \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(0)} \quad (8.4-33)$$

Thus, Eq. (8.4-29) becomes the form shown below:

$$\mathbf{H}_i^{LA} = \begin{cases} D_{Ni}^{loc} \left( -\frac{1}{2} A(i) - D(i) \right) + E_{Ni}^{loc} \left( \frac{1}{2} B(i) - C(i) \right) & i = 1, 2 \\ D_{Ni}^{loc} \left( \frac{1}{2} B(i) - D(i) \right) + E_{Ni}^{loc} \left( -\frac{1}{2} A(i) - C(i) \right) & i = 3, 4 \end{cases} \quad (8.4-34)$$

After some calculations we obtain Eq. (8.4-29) written as the sum of components for compound ITOs with ranks zero and two:

$i = 1, 2$

$$\begin{aligned} H_i^{LA} = & -\frac{1}{2}(D_{Ni}^{loc} - E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_{-2}^{(2)} \\ & - \frac{1}{\sqrt{6}}(D_{Ni}^{loc} + 3E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(2)} - \\ & - \frac{1}{2}(D_{Ni}^{loc} - E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_2^{(2)} \end{aligned} \quad (8.4-35)$$

$i = 3, 4$

$$\begin{aligned} H_i^{LA} = & \frac{1}{2}(D_{Ni}^{loc} - E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_{-2}^{(2)} - \\ & - \frac{1}{\sqrt{6}}(D_{Ni}^{loc} + 3E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_0^{(2)} + \\ & + \frac{1}{2}(D_{Ni}^{loc} - E_{Ni}^{loc}) \left\{ \hat{T}^{(1)}(i) \otimes \hat{T}^{(1)}(i) \right\}_2^{(2)} \end{aligned} \quad (8.4-36)$$

Finally, we can extract  $C_q^{(k)}(k_1 k_2 k_3 k_4 k_{12} k_{34} k)$  parameters from the last result, i.e from Eq. (8.4-35) and Eq. (8.4-36). They are summarized in the Table 8.4-7. They allow calculation of the single-ion crystal field contributions in to the cluster magnetic anisotropy according to the formula Eq.(8.4-4).

Concluding the Section 8.4 we mention, that the generalized effective spin Hamiltonian model is constructed in agreement with the symmetry properties of the molecular complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ . The non-Heisenberg terms  $H^{LA}$  and  $H^{AS}$  were included into the model in accordance with the group-theoretical analysis of the exchange-coupled multiplets (see Sections 8.2 and 8.3). All parameters needed for evaluation of the Hamiltonian (see Eq.(8.4-3)) matrix elements (see Eq.(8.4-4)) are listed in the Table 8.4-3 (isotropic exchange), Table 8.4-5 (antisymmetric exchange) and Table 8.4-7 (local anisotropy).

Table 8.4-7

Local anisotropy										
i	j	$k_1$	$k_2$	$k_3$	$k_4$	$k_{12}$	$k_{34}$	$k$	$q$	$C_q^{(k)}$ expressed in cluster coordinate system
1	1	2	0	0	0	2	0	2	0	$-\frac{1}{\sqrt{6}}(D_{\text{Ni}}^{\text{loc}} + 3E_{\text{Ni}}^{\text{loc}})$
2	2	0	2	0	0	2	0	2	0	$-\frac{1}{\sqrt{6}}(D_{\text{Ni}}^{\text{loc}} + 3E_{\text{Ni}}^{\text{loc}})$
3	3	0	0	2	0	0	2	2	0	$-\frac{1}{\sqrt{6}}(D_{\text{Ni}}^{\text{loc}} + 3E_{\text{Ni}}^{\text{loc}})$
4	4	0	0	0	2	0	2	2	0	$-\frac{1}{\sqrt{6}}(D_{\text{Ni}}^{\text{loc}} + 3E_{\text{Ni}}^{\text{loc}})$
1	1	2	0	0	0	2	0	2	2	$-\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
2	2	0	2	0	0	2	0	2	2	$-\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
3	3	0	0	2	0	0	2	2	2	$\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
4	4	0	0	0	2	0	2	2	2	$\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
1	1	2	0	0	0	2	0	2	-2	$-\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
2	2	0	2	0	0	2	0	2	-2	$-\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
3	3	0	0	2	0	0	2	2	-2	$\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$
4	4	0	0	0	2	0	2	2	-2	$\frac{1}{2}(D_{\text{Ni}}^{\text{loc}} - E_{\text{Ni}}^{\text{loc}})$

## 8.5 Results of the INS-spectrum simulation

### 8.5.1 Structure of the calculated Hamiltonian matrix

The aim of this section is to calculate the Hamiltonian matrix according to Eq.(8.4-3) and Eq.(8.4-4) with the parameters listed in the Tables 8.4-3, 8.4-5 and 8.4-7, to diagonalize it and to find the values of the AS parameters and the single-ion ZFS parameters ( $D_{Ni}^{loc}$  and  $E_{Ni}^{loc}$ ) that provide a good understanding of the INS-experiment on the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex, see Section 8.1. Since the INS selection rules are restricted by  $\Delta S = 0, \pm 1$  and  $\Delta M_S = \pm 1$ , we have performed our calculation within the ground ( $S = 4$ ) multiplet  ${}^9B$  and the first two excited multiplets  ${}^7A$  and  ${}^7E$  with the total spin value ( $S = 3$ ).

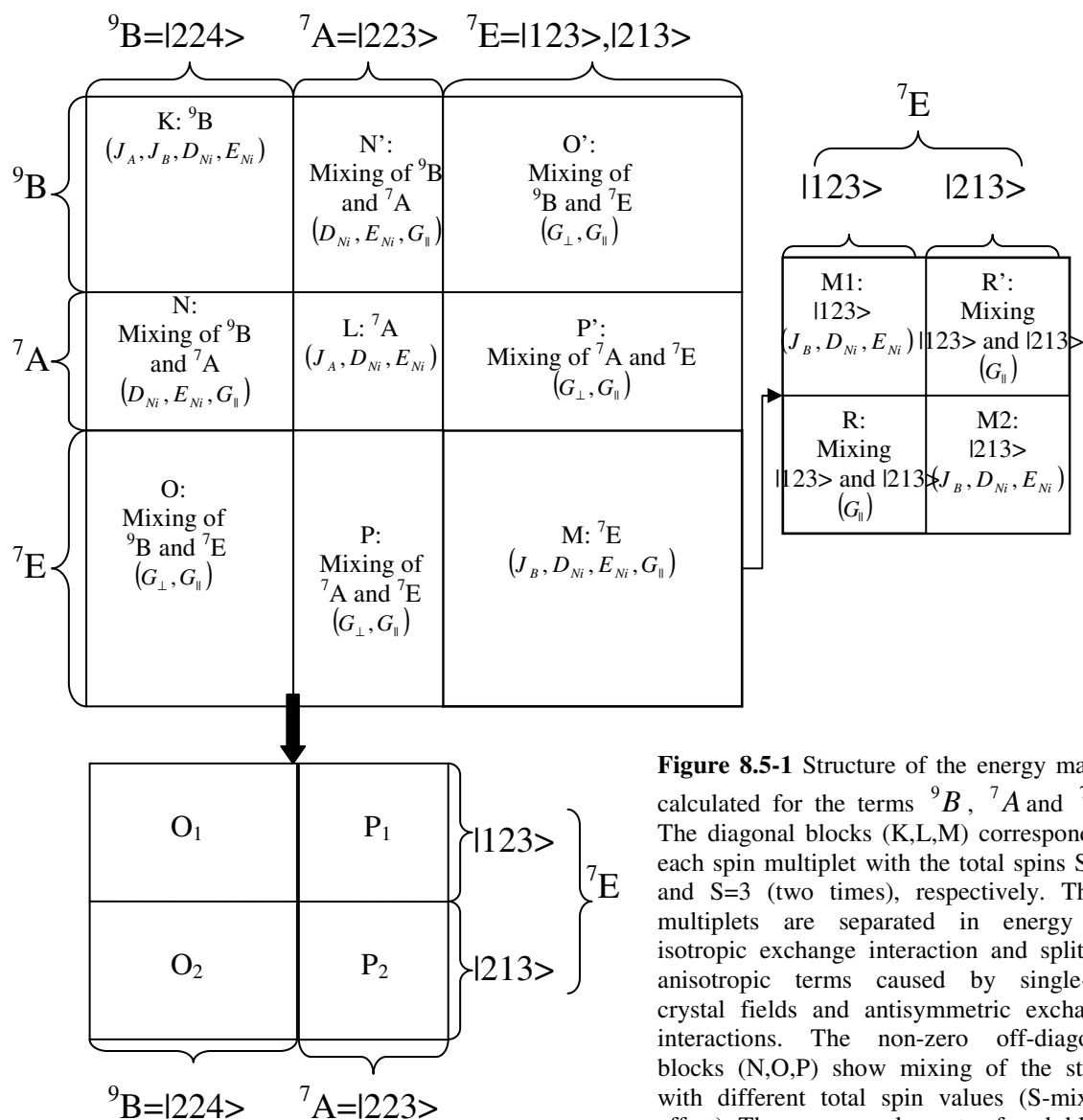
The whole Hamiltonian Eq.(8.4-3) rewritten as Eq.(8.4-4) contains 8 adjustable parameters: six for the antisymmetric exchange term and two for the local anisotropy term, assuming that the isotropic exchange coupling constants  $J_A$  and  $J_B$  are known from the susceptibility measurements, see [Sieber, 2005]. Strictly speaking, there are ten adjustable parameters (including  $J_A$  and  $J_B$ ) that must be fit simultaneously, what makes the model over-parameterized. The fitting of ten parameters over nine INS spectral lines is by definition impossible.

To reduce the number of adjustable parameters, let us recall again the information concerning the antisymmetric exchange. As already mentioned in the Section 8.3, the results of the group-theoretical analysis of the fine-structure levels can be well explained only by introduction of the pseudoangular momentum  $L=1$  acting within the orbital doublet  ${}^7E$ . This orbital momentum operator defines two principal directions in the cluster coordinate system: the longitudinal- (determined by  $L_z$ ) and the transverse one (determined by the collective action of the transverse components  $L_x$  and  $L_y$ ). For that reason two kinds of antisymmetric exchange parameters were applied in our calculations, since the antisymmetric exchange term calculated in the spin model corresponds to the effective spin-orbit operator acting in the Heitler-London scheme, i.e. the AS vector parameters  $\vec{G}_{ij}$  indicate the admixture of the excited states into the ground state through spin-orbit coupling (see Section 8.3). Thus, we can divide six pairwise AS vector parameters  $\vec{G}_{ij}$  into two groups. The first one is analogous to axial- ( $G_{\parallel}$ ) and the second one is analogous to transverse ( $G_{\perp}$ ) parts of the effective spin-orbit coupling operator. If the pair of interacting spins is linked by a line lying perpendicular to the cluster z-axis (coinciding with the axis  $S_4$  as shown in Figure 8.4-6a), then  $G_{12}^{loc} = G_{34}^{loc} \equiv G_{\perp}$ . If it is located in the plane including the cluster z-axis, then  $G_{31}^{loc} = G_{14}^{loc} = G_{24}^{loc} = G_{23}^{loc} \equiv G_{\parallel}$ . Doing so we obtain the model including six semiempirical parameters:  $J_A, J_B, G_{\parallel}, G_{\perp}$  and the pair of the single-ion Ni(II) ZFS parameters, i.e.:  $D_{Ni}^{loc} \equiv D_{Ni}$  and  $E_{Ni}^{loc} \equiv E_{Ni}$ . In our further calculations we have fixed  $J_A$  and  $J_B$  at the values determined by susceptibility measurements, i.e.  $J_A = -3 \text{ cm}^{-1}$  and  $J_B = +8 \text{ cm}^{-1}$ , see [Sieber, 2005]. Thus, our model Hamiltonian contains four adjustable

parameters:  $G_{\parallel}$ ,  $G_{\perp}$ ,  $D_{\text{Ni}}$  and  $E_{\text{Ni}}$ , which we have tried to fit over nine INS spectral lines, see Figure 8.1-1.

The Hamiltonian matrix Eq.(8.4-4) was calculated in the basis of the coupled spin states  $|224\rangle$  (the term  ${}^9B$ ),  $|223\rangle$  (the multiplet  ${}^7A$ ) and the pair of the states  $|123\rangle$ ,  $|213\rangle$  (the orbital doublet  ${}^7E$ ) by using the Wigner-Eckart theorem Eq. (8.4-6), where the reduced matrix element was evaluated according to Eq.(8.4-7). The structure of the calculated Hamiltonian matrix is presented in the Figure 8.5-1.

The diagonal blocks (K,L,M) of the Hamiltonian matrix (see Figure 8.5-1) correspond to spin multiplets with the total spins  $S=4$  (K) and  $S=3$  (L,M), respectively. These multiplets are separated in energy by isotropic exchange interaction and split by anisotropic terms caused by single-ion crystal fields and antisymmetric exchange interactions. The non-zero off-diagonal blocks (N,O,P, where the blocks N',O',P' are interrelated through a transposition and differing from N,O,P only in sign) show mixing of the states with different total spin values (the so called S-mixing effect [Liviotti, 2002]). Thus, an analysis of non-zero off-diagonal elements in the energy-matrix allows the understanding of the nature of the zero-field splittings in the selected multiplets  ${}^9B$ ,  ${}^7A$  and  ${}^7E$ . All calculated blocks are collected in Appendix 2. In the next sections we show, that the results of our calculations are in agreement with those predicted by the group-theoretical classification of exchange-coupled multiplets in the presence of spin-orbit coupling in the tetrameric Ni(II) cluster with the overall symmetry given by the  $S_4$  point group (see Section 8.3).



**Figure 8.5-1** Structure of the energy matrix calculated for the terms  ${}^9\text{B}$ ,  ${}^7\text{A}$  and  ${}^7\text{E}$ . The diagonal blocks (K,L,M) correspond to each spin multiplet with the total spins  $S=4$ , and  $S=3$  (two times), respectively. These multiplets are separated in energy by isotropic exchange interaction and split by anisotropic terms caused by single-ion crystal fields and antisymmetric exchange interactions. The non-zero off-diagonal blocks (N,O,P) show mixing of the states with different total spin values (S-mixing effect). The non-zero elements of each block depend on the set of parameters given in round brackets.

### 8.5.2 Antisymmetric exchange ( $H = H^{\text{AS}}$ ) acting within ${}^7\text{E}$ -term

Group-theoretical analysis of the fine-structure levels predicts the splitting of the orbital doublet  ${}^7\text{E}$  by the longitudinal component of the effective spin-orbit operator  $\lambda \hat{S}_z \hat{L}_z$  with  $M_L = \pm 1$  acting in the  ${}^7\text{E}$  basis. That means that the multiplet  ${}^7\text{E}$  will be split into 14 states according to  $\lambda \hat{L}_z \hat{S}_z |E_{M_L M_S}\rangle = \lambda M_L M_S |E_{M_L M_S}\rangle$  with the resulting energy of each sublevel  $\varepsilon_{M_L M_S} = \lambda M_L M_S$ . Thus, the energy spectrum of the zero-field split orbital doublet  ${}^7\text{E}$  is represented by seven pairs of energy levels expressed through the spin-orbit coupling constant  $\lambda$ :

$$\varepsilon_{\pm 1 \pm 3} = 3\lambda$$

$$\varepsilon_{\pm 1 \pm 2} = 2\lambda$$

$$\varepsilon_{\pm 1 \pm 1} = \lambda$$

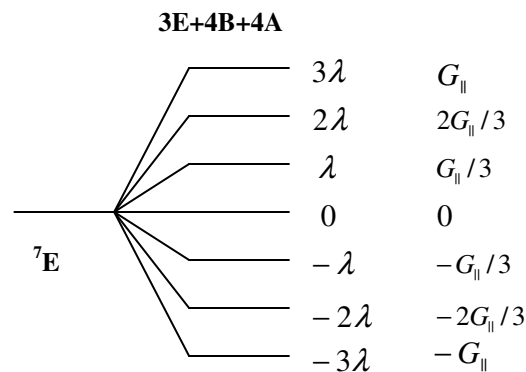
$$\varepsilon_{\pm 1, 0} = 0$$

$$\varepsilon_{\pm 1 \mp 1} = -\lambda$$

$$\varepsilon_{\pm 1 \mp 2} = -2\lambda$$

$$\varepsilon_{\pm 1 \mp 3} = -3\lambda$$

From another point of view, the diagonalization of the block M (see Figure 8.5-1 and Appendix 8.5) constructed for the Hamiltonian including **only** the antisymmetric exchange terms (see Table 8.4-5) gives also 14 eigenvalues, i.e. seven pairs of the levels with energies expressed through  $G_{\parallel}$ :  $G_{\parallel}, \frac{2}{3}G_{\parallel}, \frac{1}{3}G_{\parallel}, 0, -\frac{1}{3}G_{\parallel}, -\frac{2}{3}G_{\parallel}, -G_{\parallel}$ , respectively (see Figure 8.5-2). This fact confirms the correctness of the developed model for antisymmetric exchange part of the effective spin Hamiltonian and indicates the connecting link between the spin-orbit coupling constant and the axial AS-parameter:  $\lambda = \frac{1}{3}G_{\parallel}$ .



**Figure 8.5-2:** Splitting of the orbital doublet  ${}^7\text{E}$  by axial component of the effective spin-orbit operator  $\lambda \hat{S}_z \hat{L}_z$  with  $M_L = \pm 1$  is equivalent to the action of the axial part of antisymmetric exchange term of the effective spin Hamiltonian. The spin-orbit coupling constant is linked to the axial AS-parameter:

$$\lambda = \frac{1}{3}G_{\parallel}$$

### 8.5.3 Hamiltonian $H = H^{\text{iso}} + H^{\text{LA}} + H^{\text{AS}}$ acting within ${}^9B$ -term

The group-theoretical classification of the exchange-coupled multiplets (see Section 8.2) predicts that the ground state  $|224\rangle$  is the orbitally non-degenerate (i.e. “pure” spin-) multiplet indicated by the one-dimensional representation  $B$  of the cluster point group  $S_4$ . In fact, the magnetic anisotropy of the state  $|224\rangle$  is given by the effective spin Hamiltonian:

$$H^{\text{LA}} = D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) + E \left( \hat{S}_x^2 - \hat{S}_y^2 \right) \quad (8.5-1)$$

where  $D = D(|224\rangle)$  and  $E = E(|224\rangle)$  are the zero-field splitting parameters of the  $\overline{D}$ -tensor acting within the state  $|224\rangle$ , (i.e.  $S = 4$ ) and expressed in the cluster coordinate system. The cluster transverse ZFS parameter of a pure spin state is zero for  $S_4$  symmetry, see Section 8.4.2A and Eq.(8.4-26):  $E(|224\rangle) = 0$ . Therefore, Eq. (8.5-1) transforms to:

$$H^{\text{LA}} = D \left( \hat{S}_z^2 - \frac{1}{3} S(S+1) \right) \quad (8.5-2)$$

The Hamiltonian Eq. (8.5-2) is represented by the diagonal matrix constructed in the basis  $|224 M_{S=4}\rangle$ ,  $M_{S=4} = \pm 4, \pm 3, \pm 2, \pm 1, 0$ , which have nine eigenvalues given by  $D \cdot M_{S=4}^2$ . Thus, the zero-field ground multiplet  ${}^9B$  must be described by the energy spectrum consisting of four degenerate doublets and one singlet  $|2240\rangle$  *without the mixing* of the corresponding wavefunctions. Since those transition frequencies are of importance for INS/FDMRS spectral simulations, which are differing by  $\Delta M_{S=4} = \pm 1$ , we expect that:

$$\begin{aligned} \nu_1 &= D \cdot (4^2 - 3^2) = 7D && \text{for } |224 \pm 4\rangle \leftrightarrow |224 \pm 3\rangle, \\ \nu_2 &= D \cdot (3^2 - 2^2) = 5D && \text{for } |224 \pm 3\rangle \leftrightarrow |224 \pm 2\rangle, \\ \nu_3 &= D \cdot (2^2 - 1^2) = 3D && \text{for } |224 \pm 2\rangle \leftrightarrow |224 \pm 1\rangle, \\ \nu_4 &= D \cdot (1^2 - 0^2) = D && \text{for } |224 \pm 1\rangle \leftrightarrow |224 \pm 0\rangle. \end{aligned}$$

In other words, the relation between the frequencies of the allowed magnetic dipole transitions within the ground spin multiplet must be given by Eq.(8.5-3):

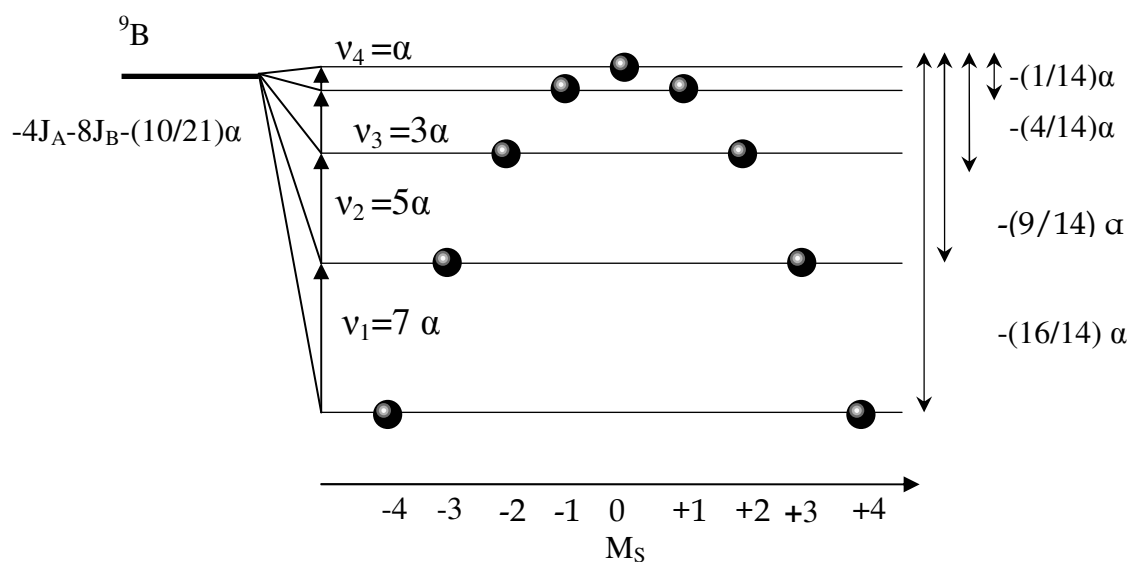
$$\nu_1 : \nu_2 : \nu_3 : \nu_4 = 7 : 5 : 3 : 1 \quad (8.5-3)$$

On the other hand our calculations show, the block K (see Figure 8.5-1) is the diagonal matrix (see Appendix 8.5). The diagonal elements of K are expressed through  $(J_A, J_B, D_{Ni}, E_{Ni})$ , i.e. it *does not contain* the parameters of antisymmetric exchange. The orbital moment is quenched within the state  $|224\rangle$ . This result is in agreement with the group-theoretical classification of the exchange-coupled multiplets, which subscribes the one-dimensional representation  ${}^9B$  to the coupled spin state  $|224\rangle$ . The splitting of this multiplet is caused by the collective action of the local crystal fields, as it follows from our calculations, see Eq.(8.5-4) shown below. Results of calculations are visualized on the diagram of Figure 8.5-3. The calculated frequencies of the allowed magnetic dipole transitions inside of this multiplet are:  $\nu_1(|{}^9B, \pm 4\rangle \rightarrow |{}^9B, \pm 3\rangle) = 7\alpha$ ,  $\nu_2(|{}^9B, \pm 3\rangle \rightarrow |{}^9B, \pm 2\rangle) = 5\alpha$ ,  $\nu_3(|{}^9B, \pm 2\rangle \rightarrow |{}^9B, \pm 1\rangle) = 3\alpha$  and  $\nu_4(|{}^9B, \pm 1\rangle \rightarrow |{}^9B, \pm 0\rangle) = \alpha$ , i.e.  $\nu_1 : \nu_2 : \nu_3 : \nu_4 = 7 : 5 : 3 : 1$  (compare with Eq. (8.5-3)).



$$E(^9B) = -4J_A - 8J_B - \frac{10}{21}\alpha + \begin{cases} 0, & |^9B, 0\rangle \\ -\frac{1}{14}\alpha, & |^9B, \pm 1\rangle \\ -\frac{4}{14}\alpha, & |^9B, \pm 2\rangle \\ -\frac{9}{14}\alpha, & |^9B, \pm 3\rangle \\ -\frac{16}{14}\alpha, & |^9B, \pm 4\rangle \end{cases} \quad (8.5-4)$$

where  $\alpha = D_{\text{Ni}} + 3E_{\text{Ni}}$ .



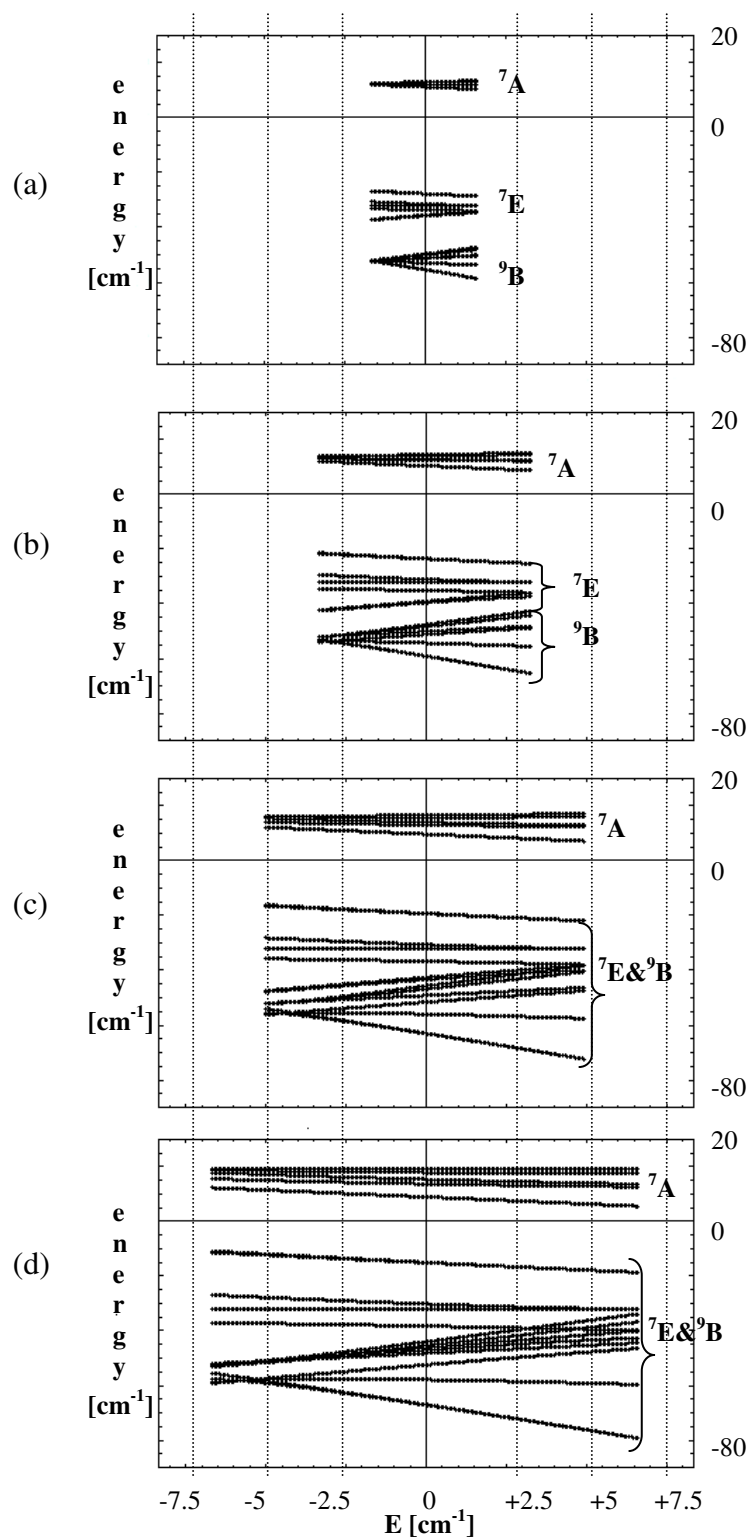
**Figure 8.5-3** The ground state  $^9B$  will be split under collective action of local crystal fields in a manner shown on this Figure, where  $\alpha = D_{\text{Ni}} + 3E_{\text{Ni}}$ . The spin reversal barrier arises only if axial- and transverse single-ion ZFS parameters have the same sign.

Thus, the results presented in the Sections 8.5.1 and 8.5.2 show that our calculations in terms of the generalized effective spin Hamiltonian model give the correct results for the complete set of the input parameters collected in the Tables 8.4-3, 8.4-5 and 8.4-7. The computer code developed to perform the calculations according to Eq. (8.4-4)-Eq.(8.4-8) contains no computational mistake.

### 8.5.4 Hamiltonian $H = H^{iso} + H^{LA}$ acting within ${}^9B, {}^7E$ and ${}^7A$ terms

Since the INS transitions are restricted by selection rules  $\Delta S = 0, 1$  and  $\Delta M_S = \pm 1$ , we expect that the spectral lines associated with the transitions  ${}^9B \leftrightarrow {}^7E$  and  ${}^9B \leftrightarrow {}^7A$  may contribute to the measured INS spectrum recorded in the frequency range  $0 \dots 22 \text{ cm}^{-1}$  (see Section 8.1), where no spectral lines were observed above  $7.36 \text{ cm}^{-1}$ . As shown in Table 8.4-1 and in Figure 8.4-2, the multiplet  ${}^7A$  ( $+12 \text{ cm}^{-1}$ ) is lying much above the multiplets  ${}^7E$  ( $-32 \text{ cm}^{-1}$ ) and  ${}^9B$  ( $-52 \text{ cm}^{-1}$ ) for the isotropic exchange coupling constants  $J_A = -3 \text{ cm}^{-1}$  and  $J_B = +8 \text{ cm}^{-1}$ . In this section we analyze the limiting case, when the antisymmetric exchange interactions are much weaker than the action of the local crystal fields, i.e. we assume  $G_{\parallel}, G_{\perp} \sim 0$ . For such a situation the blocks  $O(O')$  and  $P(P')$  (see Figure 8.5-1) will be zero: if the effective spin-orbit coupling operator is completely quenched then the pairs of the states  $\{ {}^7A \text{ and } {}^7E \}$  and  $\{ {}^7E \text{ and } {}^9B \}$  will be not admixed. The block  $N(N')$  will still contain the non-zero terms expressed through the Ni(II) zero-field splitting parameters. In other words, the multiplets  ${}^7A$  and  ${}^9B$  remain admixed by the single-ion crystal fields even in the absence of antisymmetric exchange. The aim of the present section is to investigate the relative positions of the multiplets  ${}^9B, {}^7E$  and  ${}^7A$  as function of the single-ion zero-field splitting parameters in order to conclude, whether the contribution from the term  ${}^7A$  into the experimentally recorded INS spectrum should be included or not.

The result of diagonalization of the Hamiltonian matrix (see Figure 8.5-1) calculated for  $G_{\parallel}, G_{\perp} = 0$  and various values of the Ni(II) axial ZFS parameter  $D_{Ni}$  is shown in Figure 8.5-4. There the calculated energy levels of the terms  ${}^9B, {}^7E$  and  ${}^7A$  are shown as function of the transverse single-ion ZFS parameter  $|E_{Ni}| \leq \frac{D_{Ni}}{3}$  for possible  $D_{Ni}$  fixed at 5, 10, 15 and  $20 \text{ cm}^{-1}$ . Thus, even for large Ni(II) ZFS parameters, the energy gap between the multiplets  ${}^9B$  and  ${}^7A$  is larger than  $20 \text{ cm}^{-1}$ . The transition  ${}^9B \leftrightarrow {}^7A$  would not be observed in the experimental INS spectrum, if the action of the local crystal fields dominates over the antisymmetric exchange interactions in the system.

**Figure 8.5-4**

The energy spectrum of multiplets  ${}^9\text{B}$ ,  ${}^7\text{E}$  and  ${}^7\text{A}$  as function of the single-ion transverse ZFS parameter  $E$  [ $\text{cm}^{-1}$ ] calculated in absence of the antisymmetric exchange interactions for various values of the axial Ni(II) ZFS parameter:  $D=5 \text{ cm}^{-1}$  (a),  $D=10 \text{ cm}^{-1}$  (b),  $D=15 \text{ cm}^{-1}$  (c),  $D=20 \text{ cm}^{-1}$  (d).

### 8.5.5 Hamiltonian $H = H^{iso} + H^{LA} + H^{ISO}$ acting within ${}^9B$ , ${}^7E$ and ${}^7A$ terms

It was impossible to diagonalize in analytical form the Hamiltonian matrix (see Figure 8.5-1) calculated in the basis of the states  ${}^9B$ ,  ${}^7E$  and  ${}^7A$  for the Hamiltonian including simultaneously the isotropic and antisymmetric exchange interactions as well as the local anisotropy term (see Table 8.4-3, 8.4-5, 8.4-7). Therefore we have calculated the diagrams: eigenvalues as function of the axial AS parameter for three cases:

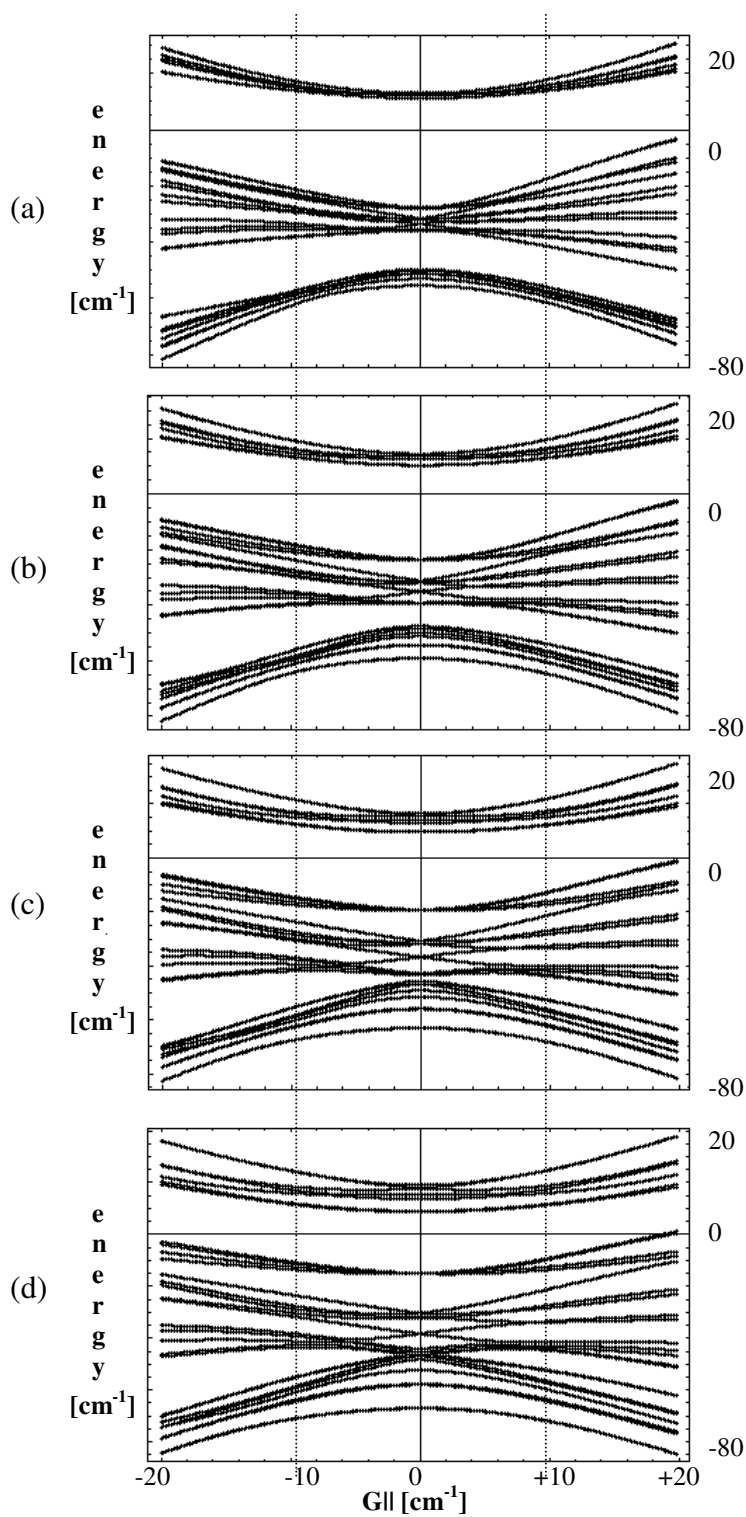
- I.  $D_{Ni} = 5, 10, 15, 20 \text{ cm}^{-1}$ ,  $E_{Ni} = 0$  and  $G_{\perp} = 0$  (see Figure 8.5-5);
- II.  $D_{Ni} = 5, 10, 15, 20 \text{ cm}^{-1}$ ,  $E_{Ni} = \frac{D_{Ni}}{3}$  and  $G_{\perp} = 0$  (see Figure 8.5-6);
- III.  $D_{Ni} = 15 \text{ cm}^{-1}$ ,  $E_{Ni} = \frac{D_{Ni}}{3}$  and  $G_{\perp} = 5, 10, 15, 20 \text{ cm}^{-1}$  (see Figure 8.5-7).

In the first two cases the transverse component of AS exchange is switched off; the calculations were performed at fixed values of the axial Ni(II) ZFS parameter for the minimal possible ( $E_{Ni} = 0$ ) and maximal possible ( $E_{Ni} = \frac{D_{Ni}}{3}$ ) transverse ZFS parameter.

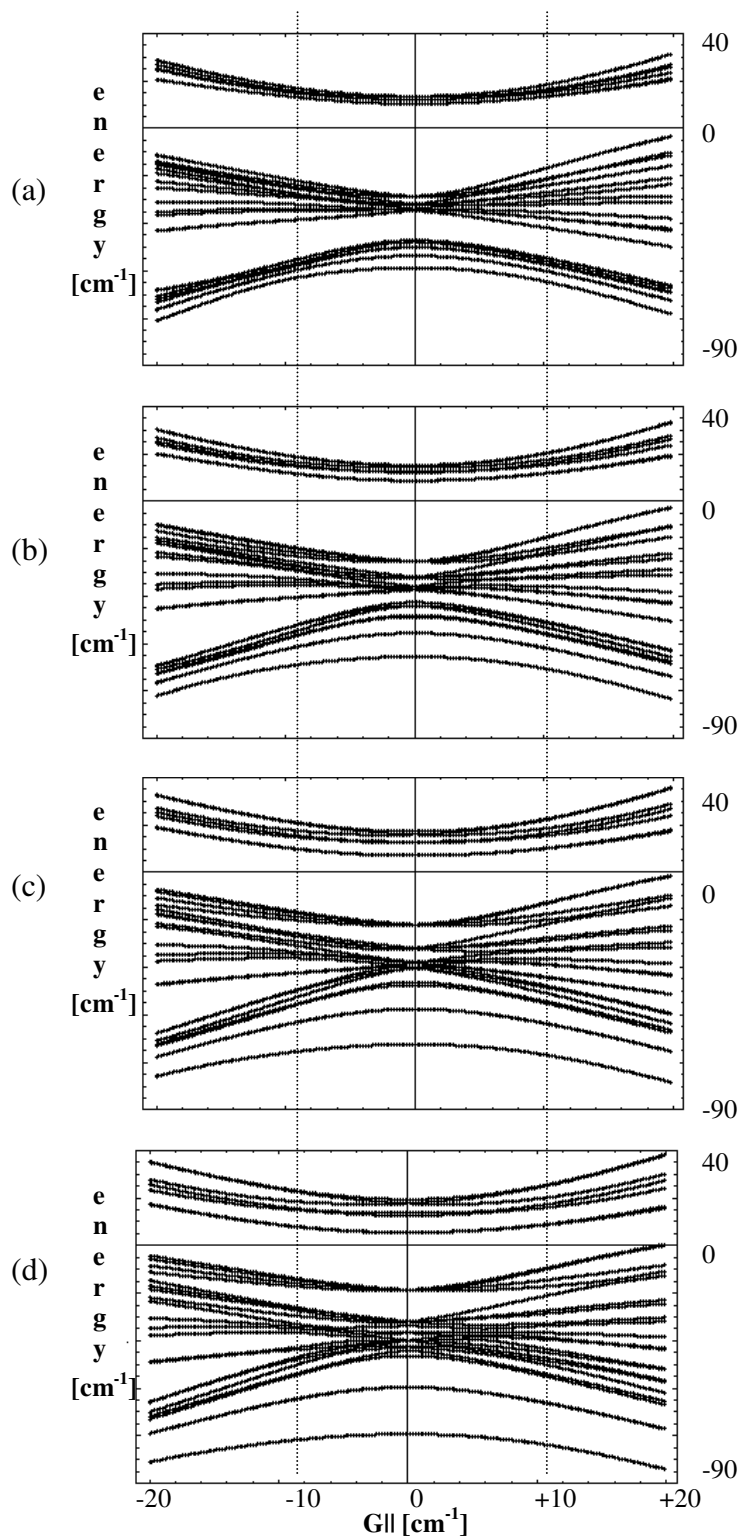
In the third case we analyze the behavior of the energy spectrum under simultaneous action of the axial and transverse AS components at fixed non-zero values of the local crystal fields.

In all three cases the energy gap between the terms  ${}^9B$  ( $S=4$ ) and  ${}^7A$  ( $S=3$ ) is larger than  $20 \text{ cm}^{-1}$ . Thus, the allowed transitions between  ${}^9B$  and  ${}^7A$  do not contribute into the INS spectrum recorded in the frequency range up  $22 \text{ cm}^{-1}$ . We exclude  ${}^7A$  from our further considerations.

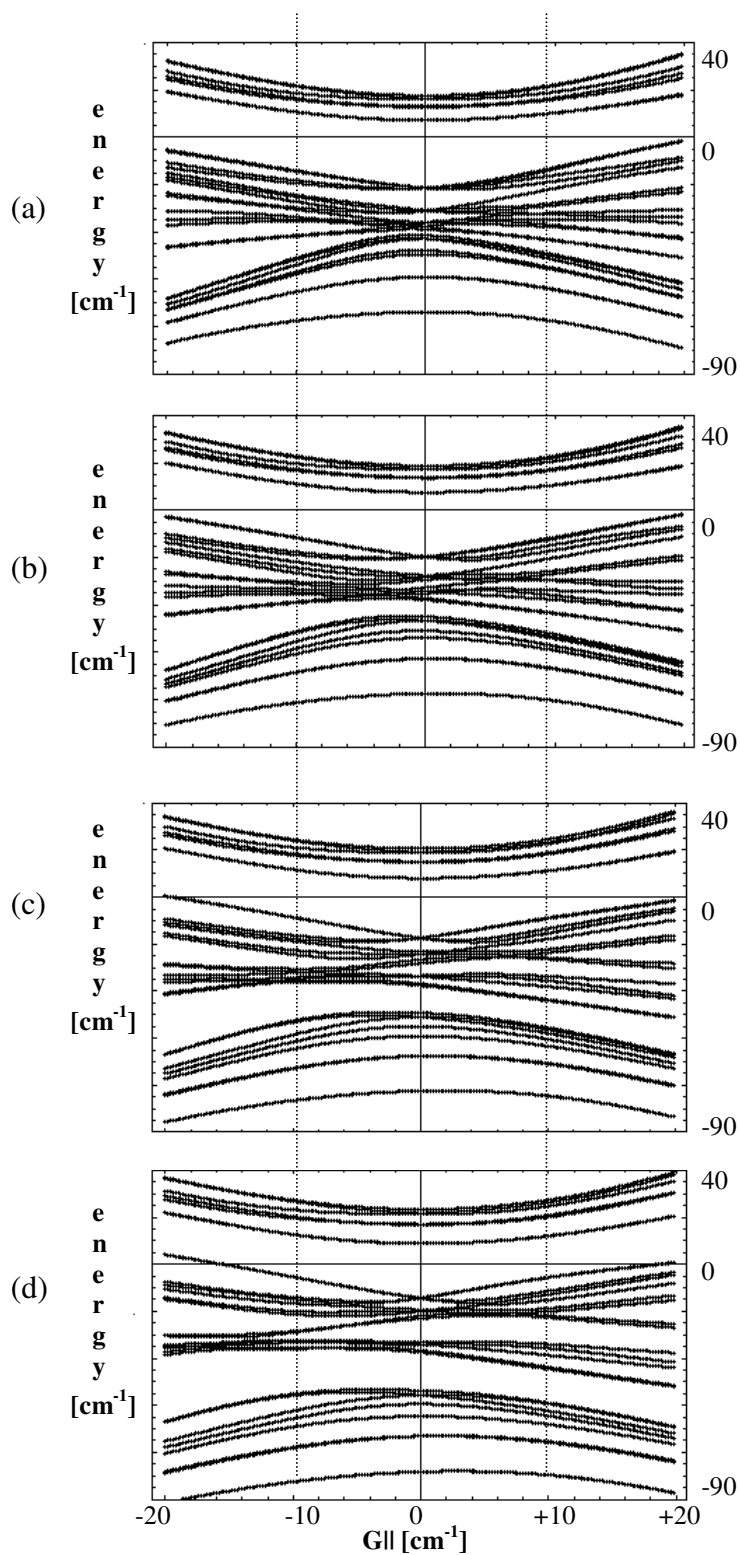
Increasing of the axial Ni(II) ZFS parameter leads to the broadening of the energy dispersion zone of each multiplet  ${}^9B$  and  ${}^7E$ . Non-zero transverse part of the local crystal fields enforces this effect. For  $D_{Ni} > 10 \text{ cm}^{-1}$  and  $E_{Ni} \neq 0$  the energy gap between the terms  ${}^9B$  and  ${}^7E$  becomes smaller and smaller: the multiplets even overlap for  $|G_{\parallel}| \leq 10 \text{ cm}^{-1}$  (see Figures 8.5-5 and 8.5-6). The large parameters of AS exchange:  $|G_{\parallel}| > 10 \text{ cm}^{-1}$  and  $G_{\perp} > 5 \text{ cm}^{-1}$  induce the repulsion of the terms  ${}^9B$  and  ${}^7E$ , i.e. they lead to the formation of the energy gap between these multiplets larger than  $3.5 \text{ cm}^{-1}$ . Thus, the parameters of AS exchange must be lower than  $10 \text{ cm}^{-1}$ , otherwise the INS spectral line at  $3.5 \text{ cm}^{-1}$  (see Figure 8.1-1) can not be explained as the transition between two multiplets differing in the total spin values by one.

**Figure 8.5-5**

The energy spectrum of multiplets <sup>9</sup>B, <sup>7</sup>E and <sup>7</sup>A as function of the antisymmetric component of the exchange  $G_{||}$  [cm<sup>-1</sup>] calculated for various values of the axial Ni(II) ZFS parameter:  $D=5$  cm<sup>-1</sup> (a),  $D=10$  cm<sup>-1</sup> (b),  $D=15$  cm<sup>-1</sup> (c),  $D=20$  cm<sup>-1</sup> (d), if the transverse Ni(II) ZFS parameter and the transverse AS parameter are fixed at zero-values, i.e.  $E_{\text{Ni}}=0$  and  $G_{\perp}=0$ .

**Figure 8.5-6**

The energy spectrum of multiplets  ${}^9\text{B}$ ,  ${}^7\text{E}$  and  ${}^7\text{A}$  as function of the axial component of the antisymmetric exchange  $G_{||}$  [ $\text{cm}^{-1}$ ] calculated for various values of the Ni(II) ZFS parameters:  $D=5 \text{ cm}^{-1}$  and  $E_{\text{Ni}}=1.66 \text{ cm}^{-1}$  (a),  $D=10 \text{ cm}^{-1}$  and  $E_{\text{Ni}}=3.33 \text{ cm}^{-1}$  (b),  $D=15 \text{ cm}^{-1}$  and  $E_{\text{Ni}}=5 \text{ cm}^{-1}$  (c),  $D=20 \text{ cm}^{-1}$  and  $E_{\text{Ni}}=6.66 \text{ cm}^{-1}$  (d), if the transverse AS parameter is fixed at zero-value, i.e. and  $G_{\perp}=0$ .

**Figure 8.5-7**

The energy spectrum of multiplets  ${}^9\text{B}$ ,  ${}^7\text{E}$  and  ${}^7\text{A}$  as function of the axial component of the antisymmetric exchange  $G_{||}$  [ $\text{cm}^{-1}$ ] calculated for the set of the Ni(II) ZFS parameters fixed at  $D=15 \text{ cm}^{-1}$  and  $E_{\text{Ni}}=5 \text{ cm}^{-1}$  and various transverse AS parameters:  $G_{\perp}=5 \text{ cm}^{-1}$  (a),  $G_{\perp}=10 \text{ cm}^{-1}$  (b),  $G_{\perp}=15 \text{ cm}^{-1}$  (c) and  $G_{\perp}=20 \text{ cm}^{-1}$  (d).

### 8.5.6 Fitting the INS experiment with Hamiltonian $H = H^{iso} + H^{LA} + H^{ISO}$ acting within ${}^9\text{B}$ and ${}^7\text{E}$ multiplets.

The INS intensity in a spin cluster is given by the cross section [Waldmann, 2005]:

$$\frac{d^2\sigma}{d\Omega d\omega} \sim \sum_{n,m} \frac{e^{-\beta E_n}}{Z(T)} I_{nm}(Q) \delta\left(\omega - \frac{E_m - E_n}{\hbar}\right), \quad (8.5-5)$$

where  $\beta = \frac{1}{k_B T}$ ,  $k_B$  is the Boltzmann constant,  $Z(T)$  is the partition function. The

sum runs over all transitions  $|n\rangle \rightarrow |m\rangle$ . For a powder  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  sample in zero-magnetic field  $I_{nm}$  is given by:

$$\begin{aligned} I_{nm} &= \sum_{i,j=1}^4 I_{nm,ij} \\ (8.5-6) \\ I_{nm,ij}(Q) &= \frac{2}{3} j_0(QR_{ij}) (\tilde{S}_{ix} \tilde{S}_{jx} + \tilde{S}_{iy} \tilde{S}_{jy} + \tilde{S}_{iz} \tilde{S}_{jz}) + \\ &+ \frac{1}{6} j_2(QR_{ij}) \left( \frac{3R_{ij,z}^2 - R_{ij}^2}{R_{ij}^2} \right) (2\tilde{S}_{iz} \tilde{S}_{jz} - \tilde{S}_{ix} \tilde{S}_{jx} - \tilde{S}_{iy} \tilde{S}_{jy}) + \\ &+ \frac{1}{2} j_2(QR_{ij}) \left( \frac{R_{ij,x}^2 - R_{ij}^2}{R_{ij}^2} \right) (\tilde{S}_{ix} \tilde{S}_{jx} - \tilde{S}_{iy} \tilde{S}_{jy}) + \\ &+ j_2(QR_{ij}) \left( \frac{R_{ij,x} R_{ij,y}}{R_{ij}^2} \right) (\tilde{S}_{ix} \tilde{S}_{jy} + \tilde{S}_{iy} \tilde{S}_{jx}) + \\ &+ j_2(QR_{ij}) \left( \frac{R_{ij,x} R_{ij,z}}{R_{ij}^2} \right) (\tilde{S}_{ix} \tilde{S}_{jz} + \tilde{S}_{iz} \tilde{S}_{jx}) + \\ &+ j_2(QR_{ij}) \left( \frac{R_{ij,y} R_{ij,z}}{R_{ij}^2} \right) (\tilde{S}_{iy} \tilde{S}_{jz} + \tilde{S}_{iz} \tilde{S}_{jy}) \end{aligned} \quad (8.5-7)$$

where  $i, j$  are the counter integers indicating the  $i$ -th and  $j$ -th spin centers of the cluster,  $j_k$  ( $k=0,2$ ) is the spherical Bessel function of order  $k$ ,  $\vec{R}_{ij} = \vec{R}_i - \vec{R}_j$  is the distance vector between the  $i$ -th and  $j$ -th spin centers of the cluster,  $\vec{Q} = \vec{k} - \vec{k}'$  is the scattering vector.  $\tilde{S}_{ix}$ ,  $\tilde{S}_{iy}$  and  $\tilde{S}_{iz}$  are the nm-elements of the matrices reproducing the Cartesian components of the single-ion spin operators acting on the site  $i$  of the cluster, i.e.:  $\tilde{S}_{i\alpha} \tilde{S}_{j\beta} = \langle n | \hat{S}_\alpha(i) | m \rangle \langle m | \hat{S}_\beta(j) | n \rangle$ . These operators were expressed through single-ion ITOs (see Eq.(8.4-18)). The corresponding matrices were calculated in the coupled spin basis by using of Eq.(8.4-6), Eq.(8.4-7) and Eq.(8.4-8) with the parameters listed in the table 8.5-1.



**Table 8.5-1**

										$S_x$	$S_y$
i	j	$k_1$	$k_2$	$k_3$	$k_4$	$k_{12}$	$k_{34}$	$k$	$q$	$C_q^{(k)}$	$C_q^{(k)}$
1	1	1	0	0	0	1	0	1	1	$-\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
2	2	0	1	0	0	1	0	1	1	$-\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
3	3	0	0	1	0	0	1	1	1	$-\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
4	4	0	0	0	1	0	1	1	1	$-\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
1	1	1	0	0	0	1	0	1	-1	$\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
2	2	0	1	0	0	1	0	1	-1	$\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
3	3	0	0	1	0	0	1	1	-1	$\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$
4	4	0	0	0	1	0	1	1	-1	$\frac{1}{\sqrt{2}}$	$\frac{i}{\sqrt{2}}$

										$S_z$
i	j	$k_1$	$k_2$	$k_3$	$k_4$	$k_{12}$	$k_{34}$	$k$	$q$	$C_q^{(k)}$
1	1	1	0	0	0	1	0	1	0	1
2	2	0	1	0	0	1	0	1	0	1
3	3	0	0	1	0	0	1	1	0	1
4	4	0	0	0	1	0	1	1	0	1

An attempt to simulate the INS spectrum by using the full grid search method without any pre-selection of the adjustable parameters was not quite successful. Parameter  $D_{\text{Ni}}$  was tested in the range  $-20\dots 20 \text{ cm}^{-1}$ ,  $E_{\text{Ni}}$  in the interval  $0\dots \frac{D_{\text{Ni}}}{3}$ ,  $G_{\parallel}, G_{\perp}$  were verified in the range  $-20\dots +20 \text{ cm}^{-1}$ . No unambiguously result was obtained. For that reason, we have developed the following pre-selection criterion.

Three spectral lines (I,II,III) observed both in the FDMRS (see Figure 7.4-2) and in the INS (see Figure 8.1-1) are associated with the transitions within the ground multiplet  ${}^9B$ , since the selection rules for the magnetic resonance transitions are given by  $\Delta S = 0$ ,  $M_S = \pm 1$ . Therefore, as the first approximation for  $D_{\text{Ni}}$  and  $E_{\text{Ni}}$  we take those possible combinations that produce the spectral line situated at  $7.3\dots 7.37 \text{ cm}^{-1}$  for the transitions:  $|{}^9B; +4\rangle \rightarrow |{}^9B; +3\rangle$  and  $|{}^9B; -4\rangle \rightarrow |{}^9B; -3\rangle$ , where  $|{}^9B; \pm 4\rangle$  are the ground states of the multiplet  ${}^9B$  in order to create the magnetization reversal barrier.

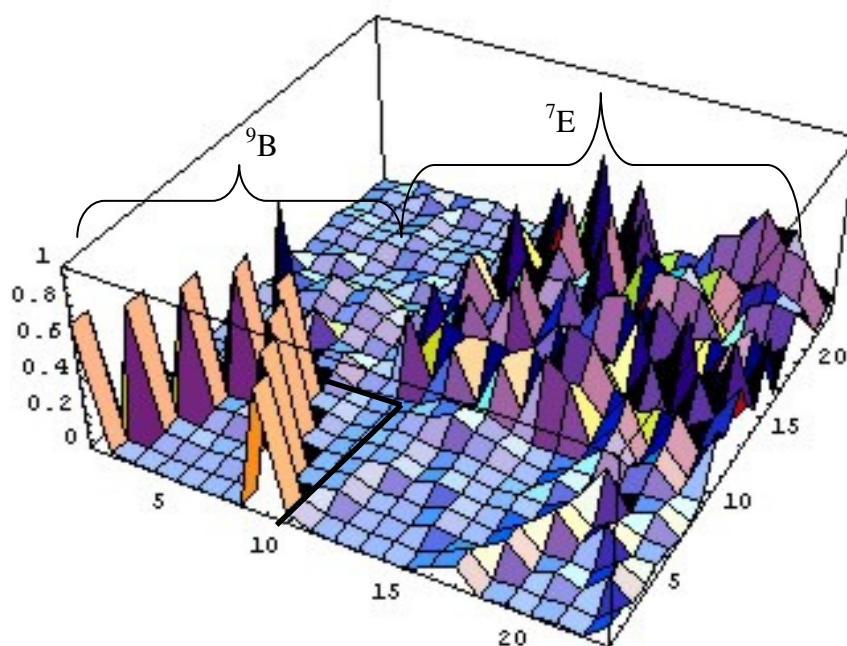
We have calculated the eigensystem of the Hamiltonian Eq.(8.4-3) in the basis of the terms  ${}^9B$  and  ${}^7E$ . The pairs of the obtained eigenvalues and eigenstates were denoted as  $E_i$  and  $\psi_i$ , where  $i = 1\dots 23$ . Any eigenstate of the Hamiltonian Eq.(8.4-3) can be expressed as follows:

$$\psi_i = \sum_{i=1\dots 9} a_{i,{}^9B} |{}^9B, m(i)\rangle + \sum_{i=10\dots 23} a_{i,{}^7E} |{}^7E, m(i)\rangle \quad (8.5-8)$$

where  $a_{i,{}^9B}$  and  $a_{i,{}^7E}$  are the expansion coefficients,  $m(1\dots 9) = -4, -3, \dots, +3, +4$ ,  $m(10\dots 16)$  and  $m(17\dots 23)$  are  $-3, -2, \dots, +2, +3$ . The structure of the eigenstates Eq. (8.5-8) is illustrated in Figure 8.5-8, where the Hamiltonian matrix Eq.(8.4-3) was calculated for  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9.2 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.84 \text{ cm}^{-1}$ ,  $G_{\parallel} = 3 \text{ cm}^{-1}$  and  $G_{\perp} = 2 \text{ cm}^{-1}$  chosen as an example. If the AS-term of Eq.(8.4-3) is zero, there is no interaction between the states belonging to the terms  ${}^9B$  and  ${}^7E$ . The states Eq.(8.5-8) can be separated into two parts, each corresponding to the certain multiplet. If single-ion transverse anisotropy parameter  $E_{\text{Ni}}$  is not large ( $|E_{\text{Ni}}| \leq \frac{D_{\text{Ni}}}{3}$ ), then the states of the term  ${}^9B$  are not admixed and they are approximated well by  $|{}^9B, M_{S=4}\rangle$ , where  $M_{S=4} = -4, -3, \dots, +4$ . Inclusion of the AS-term into Eq.(8.4-3) destroys the localized wavefunctions (see Figure 8.5-8). Nevertheless our calculations show, the eigenstates of the  ${}^9B$  term are well approximated by symmetric and antisymmetric combinations of the unperturbed states  $|{}^9B, M_{S=4}\rangle$  with  $M_{S=4} = -4, -3, \dots, +4$ , i.e.:

$$\psi_{i,i=1\dots 9} = \psi({}^9B, m(i)) \equiv \psi_{i,(S,A)} \sim \sum_{m=0\dots +4} a_m \left( |{}^9B, m\rangle \pm |{}^9B, -m\rangle \right) \quad (8.5-9)$$

where  $a_m \sim \frac{1}{\sqrt{2}} = 0.7$ .



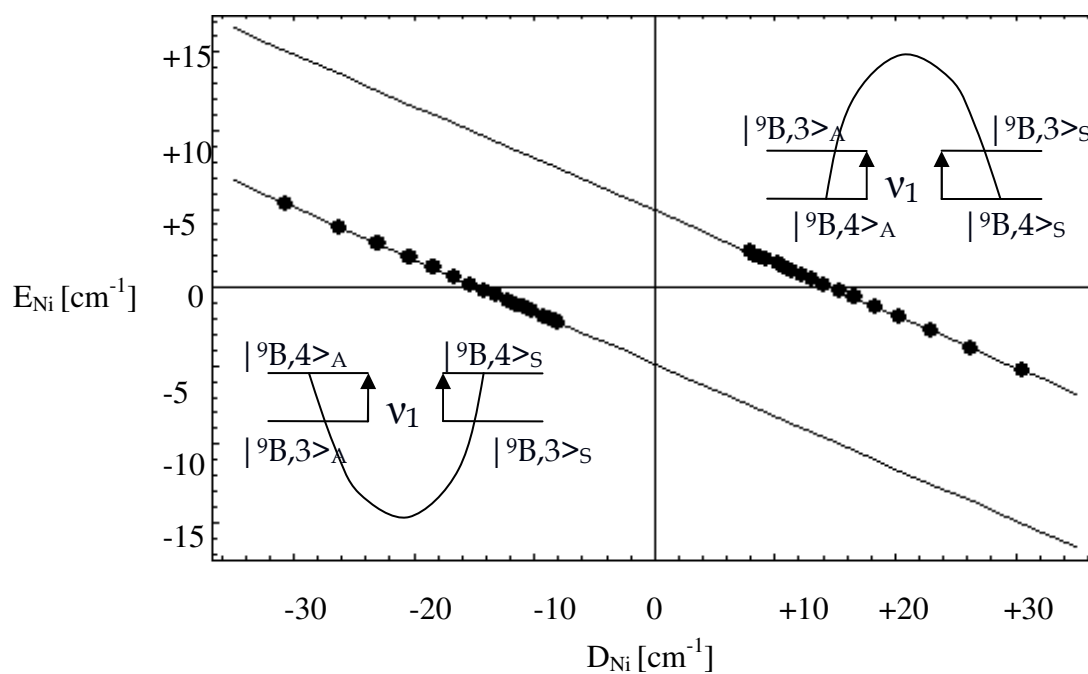
**Figure 8.5-8:** The eigenstates of the Hamiltonian Eq.(8.4-3), i.e. the absolute values of  $a_{i,9B}$  and  $a_{i,7E}$  as function of  $i$  (see Eq. (8.5-8)) calculated for  $D_{\text{Ni}}=9.2 \text{ cm}^{-1}$ ,  $E_{\text{Ni}}=1.84 \text{ cm}^{-1}$ ,  $G_{\parallel}=3 \text{ cm}^{-1}$  and  $G_{\perp}=2 \text{ cm}^{-1}$  as an example.

We assume the strong exchange limit, i.e. that the non-Heisenberg interactions are weaker than the isotropic one. Thus, to find the preselection rule for adjustable parameters, we construct the Hamiltonian matrix Eq.(8.4-3) in absence of the AS-term ( $G_{\parallel}$  and  $G_{\perp}=0$ ) and sweep the single-ion ZFS parameters in the possible ranges:  $D_{\text{Ni}}=-20\dots+20 \text{ cm}^{-1}$  and  $E_{\text{Ni}} \leq \frac{|D_{\text{Ni}}|}{3}$ . Then we select those combinations  $\{D_{\text{Ni}}, E_{\text{Ni}}\}$  that give the expansion coefficients of Eq. (8.5-9):  $a_m > 0.6$  and the spectral line associated to the transitions  $|{}^9B;4\rangle_S \rightarrow |{}^9B;3\rangle_S$  and  $|{}^9B;4\rangle_A \rightarrow |{}^9B;3\rangle_A$  situated at  $7.3\dots7.37 \text{ cm}^{-1}$ , i.e.  $E({}^9B,4)_{S,A} - E({}^9B,3)_{S,A} = 7.33\dots7.37 \text{ cm}^{-1}$ . The result of our calculations is visualized on the Figure 8.5-9. There is a linear dependence between the single-ion ZFS parameters  $E_{\text{Ni}}$  and  $D_{\text{Ni}}$  that satisfy the conditions described above. Moreover, only positive values of the parameter  $D_{\text{Ni}}$  give rise to the multiplet  ${}^9B$  with the lowest lying states originating from the pure wavefunctions  $|{}^9B;\pm 4\rangle$ . It turns out that the dependence  $E_{\text{Ni}}(D_{\text{Ni}})$  can be approximated by the function:

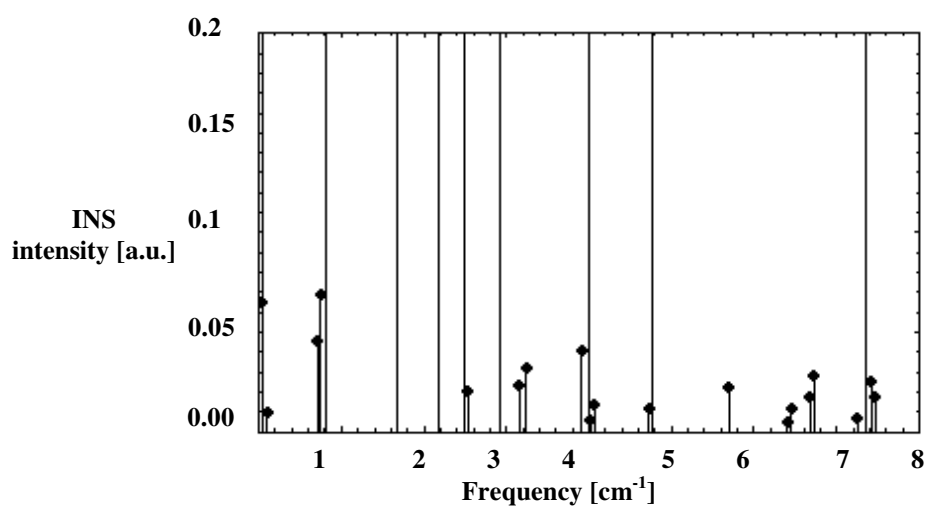
$$E_{\text{Ni}} = 4.889(3) - 0.333(2) * D_{\text{Ni}} \quad (8.5-10)$$

The value of the axial local anisotropy term must be larger than  $6 \text{ cm}^{-1}$ , otherwise the transverse Ni(II) ZFS-parameter satisfying the preselection condition will be more than  $\frac{|D_{\text{Ni}}|}{3}$ . Then we have tried to find those parameters of the AS-term that reproduce the energy gap  $\sim 0.76 \text{ cm}^{-1}$  between the INS lines II and III (see Figure 8.1-1) caused by the transitions  $|{}^9B;3\rangle_S \rightarrow |{}^9B;2\rangle_S$  and  $|{}^9B;3\rangle_A \rightarrow |{}^9B;2\rangle_A$  (see Figure 8.1-2). Again, no unambiguous solution was obtained. All our attempts to simulate the INS spectrum point to the very large values of the parameters of the antisymmetric exchange interactions. One of the reasonable INS-simulations was obtained for the set of parameters:  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9.3 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.79 \text{ cm}^{-1}$ ,  $G_{\perp} = 13.8 \text{ cm}^{-1}$  and  $G_{\parallel} = 9.8 \text{ cm}^{-1}$  (see Figure 8.5-10). It explains the lines I,II,III, IV and VIII (see Figure 8.1-1) as well as the weak line situated at  $\sim 3.5 \text{ cm}^{-1}$ . This set of parameters gives rise to the set of eigenvalues of the model Hamiltonian Eq. (8.4-3) that produces *no INS spectral lines in the frequency range  $8\dots22 \text{ cm}^{-1}$  as it is expected from the experiment*. Otherwise, these parameters induce some spectral lines in the range between  $5\dots7 \text{ cm}^{-1}$ , which were experimentally not observed.

In conclusion to this section we would like to mention, that the presented model explains well the origin of the parameter  $B_4^4$  obtained by fitting the INS experiment according to the single-spin Hamiltonian (see Sections 7.4 and 8.1): it is caused by the collective action of the axial and transverse parts of the antisymmetric exchange interactions expressed in the cluster coordinate system. The model gives reasonable results up to the constant that is equivalent to the action of the term  $B_4^0 O_4^0$  of single-spin Hamiltonian. To improve the result, we have to calculate the energy matrix in the full Hilbert space, i.e. to perform the calculations according to Eq. (8.5-5) over all multiplets (see Table 8.4-1 and 8.4-2, not only  ${}^9B, {}^7E$ ), since the contribution of the excited pure spin levels into the value of  $B_4^0$  have different sign.



**Figure 8.5-9:** The diagram  $E_{\text{Ni}}$  versus  $D_{\text{Ni}}$  calculated over the terms  ${}^9B$  and  ${}^7E$ : points show those combinations of the Ni(II) ZFS parameters that lead to the spectral line situated at  $\nu_1=7.3..7.37 \text{ cm}^{-1}$ , which is associated with the transitions  $|{}^9B;\pm 4\rangle \rightarrow |{}^9B;\pm 3\rangle$ . Our analysis indicates that the magnetization reversal barrier arises only for the positive values of the axial ZFS parameter  $D_{\text{Ni}}$ .



**Figure 8.5-10:** The INS spectrum (lines with points) calculated according to the model described in Section 8.4 in the basis of the manifolds  ${}^9\text{B}$  and  ${}^7\text{E}$  for the following parameters:  $J_{\text{A}}=-3 \text{ cm}^{-1}$ ,  $J_{\text{B}}=8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}}=9.3 \text{ cm}^{-1}$ ,  $E_{\text{Ni}}=1.79 \text{ cm}^{-1}$ ,  $G_{\perp}=13.8 \text{ cm}^{-1}$  and  $G_{\parallel}=9.8 \text{ cm}^{-1}$  at  $T=22\text{K}$ . The calculated spectrum contains no spectral lines in the range  $8\dots22 \text{ cm}^{-1}$ . The solid lines indicate the experimentally observed INS-line positions.

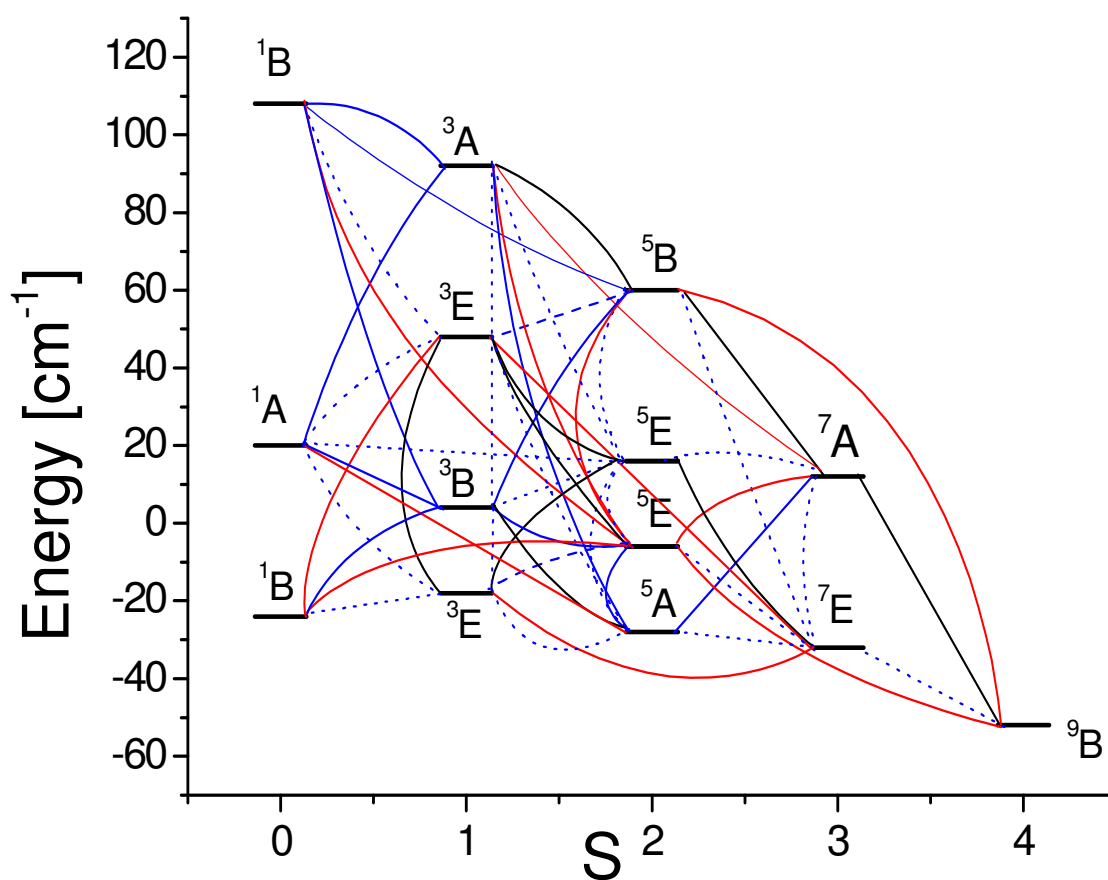
### 8.5.7 Analysis of the energy spectrum of the $\text{Ni}_4$ cluster calculated in the full Hilbert space

We have calculated the Hamiltonian matrix of Eq. (8.4-3) according to Eq. (8.4-4) for all 19 manifolds (see Eq.(8.2-1)) in the coupled spin basis (see Table 8.2-3), in order to analyze the influence of the excited spin multiplets other than  ${}^7E$  and  ${}^7A$  on the resulting cluster energy spectrum. Our calculations show that the multiplets are admixed in a very complicated manner. Admixture of the states is visualized in Figure 8.5-11 (without their zero field splitting). The interaction between the low-lying multiplets with different spin values (like  ${}^1B, {}^3E, {}^5A, {}^7E$  and  ${}^9B$ ) is especially important for interpretation of the experimental data. Non-zero values of the model parameters can cause approach or repulsion of the levels of the manifolds in a very tricky way. We illustrate it with a few diagrams. Figure 8.5-12 shows eigenstates as function of the axial component of the AS-term was calculated for the limiting case of zero local crystal fields and in absence of the transverse AS part. For small  $G_{\parallel}$ , the results of our calculations are in agreement with those given by the isotropic exchange model Eq. (8.4-2). Increasing of the absolute value of the axial AS-parameters couples the neighbouring multiplets  ${}^7E, {}^5A, {}^1B, {}^3E, {}^5E, {}^3E, {}^7A, {}^5E$  and  ${}^1A$  into one energetic zone and converge them to the ground state. Introduction of the single-ion ZFS parameters broadens additionally the energetic zone of each multiplet and makes it possible (from theoretical point of view) the experimental observation of the transitions within the excited multiplets. The energetic structure becomes even more complicated, if it is considered under the simultaneous action of the antisymmetric exchange and the local crystal fields as it is illustrated in Figure 8.5-13, where the diagram is presented calculated for  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 10.2 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.49 \text{ cm}^{-1}$ ,  $G_{\perp} = 2 \text{ cm}^{-1}$ . For  $|G_{\parallel}| > 6 \text{ cm}^{-1}$  complete overlap of the ground and the excited multiplets takes place.

We have made numerous attempts to model the INS spectrum of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex for various values of  $D_{\text{Ni}}$ ,  $E_{\text{Ni}}$ ,  $G_{\perp}$  and  $G_{\parallel}$ . The isotropic exchange coupling constants were fixed at the values obtained by fitting of the temperature dependence of the magnetic susceptibility in terms of the isotropic exchange model  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ .

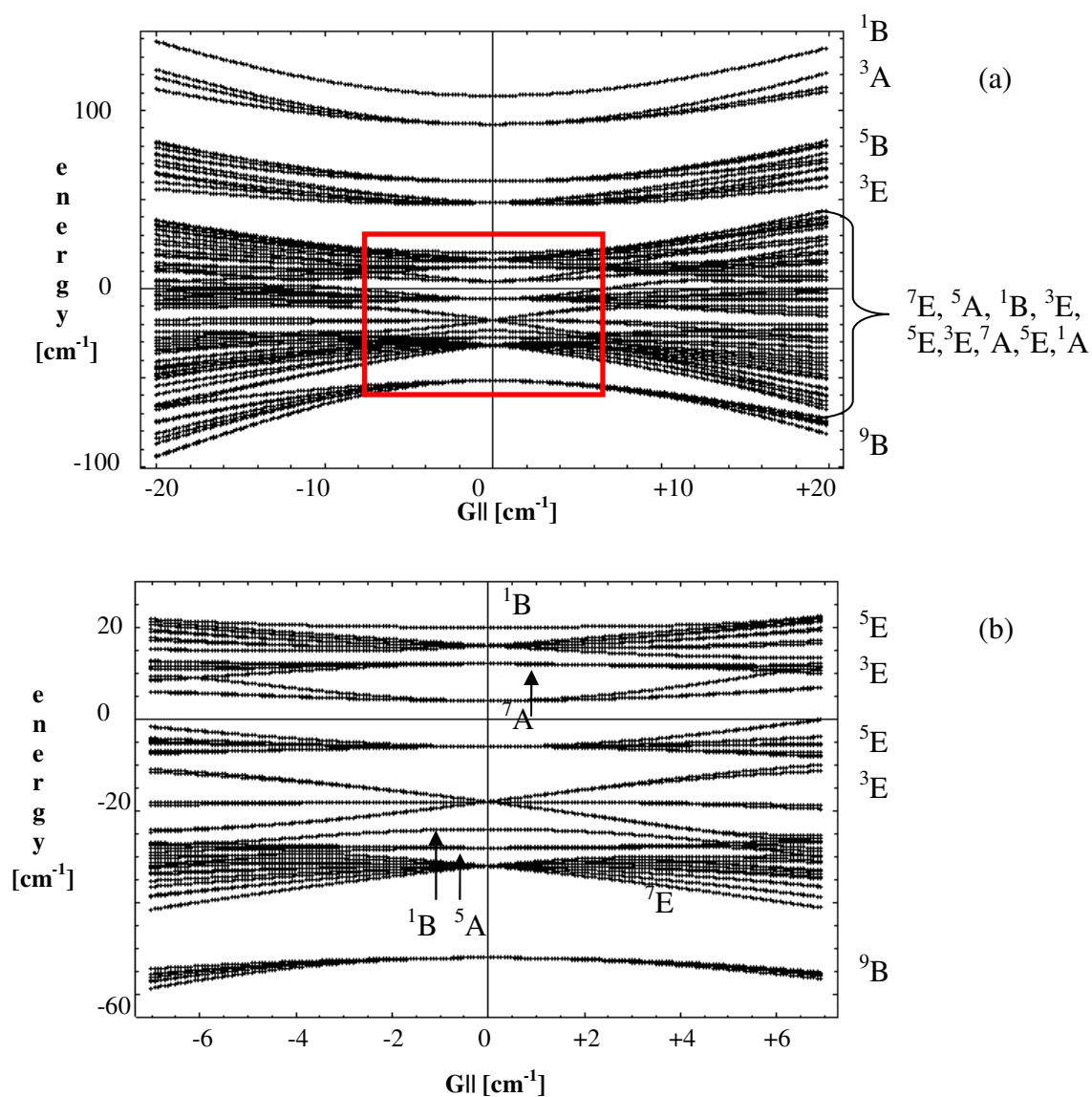
Despite all of our efforts, we have not found a satisfactory description of the INS spectrum. One of the possible results is shown in diagram Figure 8.5-14 calculated for  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.89 \text{ cm}^{-1}$ ,  $G_{\perp} = 3.2 \text{ cm}^{-1}$  and  $G_{\parallel} = 3.4 \text{ cm}^{-1}$ . In this case the calculated spectrum reproduces well experimentally observed INS-line positions, including the weak peak at  $3.5 \text{ cm}^{-1}$ . Nevertheless these model parameters can not be accepted as the ideal ones, since the calculated spectrum contains two features at  $4.5 \text{ cm}^{-1}$  and  $6.5 \text{ cm}^{-1}$  as well as the tail of weak lines in the range  $8 \dots 22 \text{ cm}^{-1}$ , which were experimentally not observed.

In the next section we discuss the obtained results.

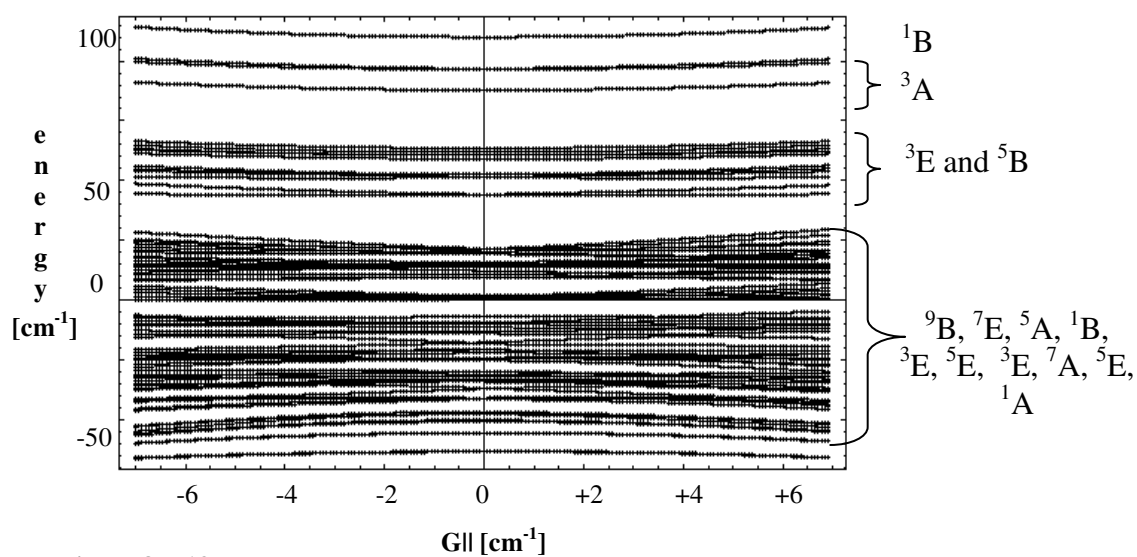
**Figure 8.5-11**

Admixture of the multiplets through the collective action of the local crystal fields (red lines), the both components of the AS-exchange (blue, dot), the axial AS-component (blue, solid), the transverse AS-component (blue, dash) and the simultaneous interaction of the LA- and AS-terms (black lines)

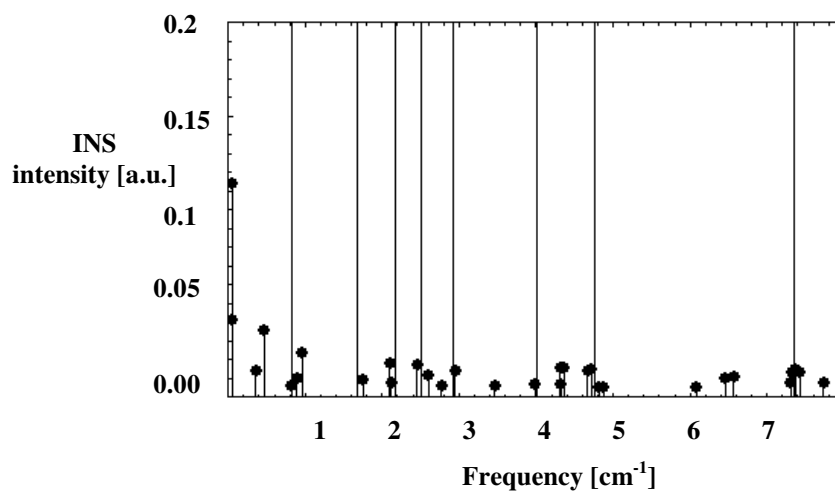


**Figure 8.5-12**

The complete energy spectrum of the  $\text{Ni}_4$ -cluster calculated as function of the axial component of the antisymmetric exchange  $G_{||}$  [ $\text{cm}^{-1}$ ] in absence of the local crystal fields for the transverse AS parameter:  $G_{\perp}=0 \text{ cm}^{-1}$  (a). The inset on the bottom (b) illustrates the fine structure of the levels selected on the figure (a) by the bracket.

**Figure 8.5-13**

The complete energy spectrum of the  $\text{Ni}_4$ -cluster calculated as function of the axial component of the antisymmetric exchange  $G_{||}$  [ $\text{cm}^{-1}$ ] for  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 10.2 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.49 \text{ cm}^{-1}$ ,  $G_{\perp} = 2 \text{ cm}^{-1}$ .



**Figure 8.5-14:** The INS spectrum (lines with points) calculated according to the model described in Section 8.4 in the full Hilbert space for the following parameters:  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.89 \text{ cm}^{-1}$ ,  $G_{\perp} = 3.2 \text{ cm}^{-1}$  and  $G_{\parallel} = 3.4 \text{ cm}^{-1}$ . The calculated spectrum contains the tail of spectral lines in the range  $8 \dots 22 \text{ cm}^{-1}$ . The solid lines indicate the experimentally observed INS-line positions.

### 8.5.8 Conclusion

The nature of exchange coupling in polynuclear metal complexes remains a topic of great interest in inorganic chemistry and physics. High-spin magnetic molecules like  $\text{Mn}_{12}\text{Ac}$  (see Chapter 2) show specific magnetic properties, which follow directly from the physical mechanisms realizing the coupling of the spin centers into the cluster. Despite the recent progress in understanding their magnetic behavior, it is still a challenge to give a theoretical interpretation of the model parameters describing the experimental data. The Heisenberg isotropic exchange model is usually applied for theoretical evaluation of the low-temperature properties of the systems with large number of metal ions like  $\text{Mn}_{12}\text{Ac}$  [Regnault, 2002] or  $\text{Mo}_{72}\text{Fe}_{30}$  [Schnack, 2001], since the large number of the high-spin ions per molecule increases dramatically the dimension of the corresponding Hamiltonian leading to essential difficulties in the diagonalization of the Hamiltonian matrix. Nevertheless, the interactions of non-Heisenberg type provide understanding of the fine-structure of the zero-field split multiplets of the polynuclear metal complexes and their behaviour in magnetic fields. It was already shown that the single-ion ligand-field contributions are the origin of the cluster magnetic anisotropy in  $\text{Mn}_{12}\text{Ac}$  [Barra, 1997] or in  $\text{Cr}_8$  [Carretta, 2003], [van Slageren, 2002]. The dipole-dipole interaction was found to be responsible for more than 60% of the total anisotropy in  $\text{Mn}(\text{II})$ -[3x3] grid [Guidi, 2004]. The pronounced role of the antisymmetric exchange in the trimeric  $\text{Cu}(\text{II})$  cluster was indicated in [Yoon, 2004]. The role of the orbitally degenerate  $\text{Mn}(\text{III})$  ions in the single magnet behaviour of the cyanide  $\text{Mn}_5$  cluster was analyzed in [Palii, 2004].

The tetrameric  $\text{Ni}(\text{II})$  complexes serve as good model systems to study the non-Heisenberg exchange interactions due to their simple chemical and magnetic structure. Magnetization and magnetic torque measurements were well explained in some of them in terms of the model Hamiltonian including the symmetric part of the spin-spin interaction term (local anisotropy term) besides of the isotropic next-neighbour exchange interactions and the Zeeman term [Koch, 2003]. It was shown in [Waldmann, 1998], that the biquadratic exchange acting additionally to single-ion anisotropy is a significant non-Heisenberg part of the microscopic Hamiltonian, which enables modelling of magnetization measurements of the  $\text{Ni}$ -[2x2] grid containing four  $\text{Ni}(\text{II})$  ions in a square type arrangement. Recently the bulk magnetic, electron paramagnetic and magneto-optical properties of the tetrahedral  $\{\text{Ni}_4\text{Mo}_{12}\}$  complex with zero-ground state spin were investigated both experimentally and theoretically [Schnack, cond-mat/0509476]. The non-Heisenberg zero-field part of microscopic Hamiltonian suggested there includes the single-site anisotropic (ligand-field) term as well as the biquadratic exchange, where the field dependence of the model parameters was indicated.

Despite of intensive research in area of polynuclear metal complexes, it is still a challenge to deduce the underline spin Hamiltonian [Schnack, cond-mat/0509476]. The only classification of principles for selection of non-Heisenberg terms of the model Hamiltonian valid for exchange-coupled compounds is given in [Tsukerblat, 1994]. These selection rules are based on the group-theoretical classification of spin multiplets. They were applied in Sections 8.4-2 – 8.4.-4 to construct the model for interpretation of the spectroscopic studies (INS- and FDMRS-) on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ . We have performed the group-theoretical analysis of exchange coupled multiplets of this tetrameric  $\text{Ni}(\text{II})$  cluster under assumption that the cluster point group is  $S_4$ . Nevertheless, the real magnetic

structure of  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  is based on a distorted cubane [Sieber, 2005] and can not be strictly characterized by the  $S_4$  point group. Our model does not take into account the effect of symmetry lowering. However, the suggested microscopic spin Hamiltonian can be applied for simulation and interpretation of the low-temperature experimental data on many other tetrameric Ni(II) systems possessing the  $S_4$  symmetry [Edwards, 2003]. The group theoretical analysis points unambiguously to the admixture of the excited states into the ground multiplet through the spin-orbit coupling (antisymmetric exchange term) accompanied by the collective action of the local crystal fields. The spin-orbit coupling was introduced in the spin basis according to the Moriya rules. To avoid overparameterization of the model describing six pairwise antisymmetric exchange interactions (i.e. 18 model parameters arising due to three Cartesian components of each vector AS-parameter in six local coordinate systems), we have assumed the axial AS model. According to this simplification the local transverse AS-components are negligible in comparison to the axial ones. It was shown in [Tsukerblat, 1983] that the axial AS-model gives good results for tetragonal systems even in the cases of slightly broken symmetry. To the best of our knowledge we have developed for the first time the method and the mathematical formalism describing the non-Heisenberg exchange interactions expressed in terms of non-collinear tensors both for antisymmetric exchange and the single-site anisotropy terms. We have derived a general formula that links the cluster axial ZFS parameter with the single-ion ZFS parameters as function of the Euler angles connecting the principal axes of any local D-tensor to the cluster coordinated system under the overall  $S_4$  symmetry. The formula allows the analysis of contributions of the local crystal fields to the cluster axial ZFS parameter in absence of antisymmetric exchange interactions. Moreover, the suggested method for calculation of the LA-term takes into account the real orientation of the local D-tensors realized in  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  molecule. It can be extended and generalized for any orientation of the single-site D-tensors, which is not necessary parallel to the cluster coordinate system.

The model developed in Section 8.4 gives numerical results, which are in agreement with the group theoretical predictions. Thus, we present the analysis of the possible limiting cases of the model. We have found the connection between the spin-orbit coupling and the AS model parameter  $G_{\parallel}$ :  $\lambda = \frac{1}{3}G_{\parallel}$ . The behavior of the ground spin multiplet and the first excited doublet was considered analytically. Our analysis indicates overlap of the multiplets  ${}^7E$  and  ${}^9B$  for some combinations of AS-parameters, which is reflected in non-zero value of the transverse ZFS parameter of the fourth order  $B_4^4$  obtained in terms of the single-spin Hamiltonian model. Moreover, the mentioned overlap may be the reason of the weak INS-line situated at  $3.5 \text{ cm}^{-1}$  associated with the transition restricted by  $\Delta S = 1$ . In Section 8.5.6 we suggest the method for preselection of the single-site anisotropy parameters and try to apply it for the INS-spectral simulation. Despite all our efforts, it was impossible to obtain a complete reconstruction of the INS spectrum working in the basis of the ground- and the first excited states. However there are some combinations of the model parameters like  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9.3 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.79 \text{ cm}^{-1}$ ,  $G_{\perp} = 13.8 \text{ cm}^{-1}$  and  $G_{\parallel} = 9.8 \text{ cm}^{-1}$  that reconstruct six INS lines out of eight (or nine including the peak at  $3.5 \text{ cm}^{-1}$ ) observed experimentally without lines calculated in the range  $8 \dots 22 \text{ cm}^{-1}$ . The obtained AS parameters are unacceptable large. Therefore we have performed the same

calculations in full Hilbert space, which indicate a very complicated admixture of the excited states into the ground manifold. It was succeeded to find the possible set of parameters  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$ ,  $D_{\text{Ni}} = 9 \text{ cm}^{-1}$ ,  $E_{\text{Ni}} = 1.89 \text{ cm}^{-1}$ ,  $G_{\perp} = 3.2 \text{ cm}^{-1}$  and  $G_{\parallel} = 3.4 \text{ cm}^{-1}$ , which reconstruct all the experimentally observed INS lines, but induces some unclear features at  $4.5 \text{ cm}^{-1}$  and  $6.5 \text{ cm}^{-1}$  as well as the tail of the weak lines in the range  $8 \dots 22 \text{ cm}^{-1}$ . Thus, the obtained model parameters give reasonable, but not quite satisfactory description of the INS-spectrum. As the possible sources of this discrepancy we would like to mention the distortion from  $S_4$  symmetry as well as the simplification of the axial model of the local antisymmetric exchange interactions. All our calculations were performed at fixed values of the isotropic exchange coupling constants  $J_A = -3 \text{ cm}^{-1}$ ,  $J_B = 8 \text{ cm}^{-1}$  determined by fitting the temperature dependence of susceptibility. Fitting  $J_A$  and  $J_B$  simultaneously with  $D_{\text{Ni}}$ ,  $E_{\text{Ni}}$ ,  $G_{\perp}$  and  $G_{\parallel}$  makes the model over-parameterized. Finally, we would like to underline the time-consuming nature of the INS intensity calculations in full Hilbert space, what makes the fit procedure very inflexible.

To conclude, we would like to point to the fact that the developed gesH model gives analytically correct results. To refine the model parameters, it must be applied for simulation of other than INS experimental data on  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ .

# IX

## Summary and outlook

This PhD-thesis is devoted to the analysis of the spectral data on molecular magnets. This work was motivated by the need to develop a generalized computer code for simulation and interpretation of the Frequency-Domain Magnetic Resonance spectra (FDMRS) on molecular magnets as well as to understand the physical origin of zero-field splitting parameters. Therefore, after a short introduction into the problem given in Chapter 1, we have dedicated Chapter 2 to the description of the main properties of the molecular magnets. There we have shown that these molecules consist of a finite number of the metal ions, providing the spins to the system, which are coupled into fixed structural motifs by the diamagnetic ligands. The coupling of the spin angular momenta localized on the different spin sites of the molecule gives rise to the energy spectrum composed of several multiplets with varying total spin values. The ground multiplet of molecular magnets can have a large spin. This property together with the high negative uniaxial symmetry of these quantum mechanical systems is responsible for observation of specific phenomena unusual for conventional magnetic materials in some of them (i.e. in the single molecular magnets, SMM): hysteresis of purely molecular origin and quantum tunneling of magnetization. The prerequisites for single-molecule behavior are summarized in Section 2.1. Since molecular magnets belong to category of spin tunneling systems, we discuss the similarities and distinctions between the particle-like- and the spin tunneling systems (Section 2.2). The first and most studied single molecular magnet is  $\text{Mn}_{12}\text{Ac}$ , which we have used as an illustrative example of an SMM. The behavior of the energy levels and the corresponding wavefunctions of the ground spin multiplet of  $\text{Mn}_{12}\text{Ac}$  under the influence of the overall cluster crystal field of  $S_4$  symmetry and of the external magnetic field was analyzed in Section 2.3. There we have shown that the crystal field symmetry is the main force lying behind the tunneling mechanism in  $\text{Mn}_{12}\text{Ac}$  molecule. The Section 2.4 presents the link between the symmetry of the quantum mechanical system and its energy spectrum. Rotation-, point- and symmetric groups are introduced, since the energetics of molecular magnets can be estimated analytically and numerically by using of the properties of these groups.

The quantum theory of angular momentum is a common tool for the description of the spin coupling in magnetic nanoclusters and of the symmetry properties of their energy spectrum. We have summarized the most important results of the quantum theory of angular momentum in Chapter 3. Thus, in Section 3.1 we describe how to characterize the action of the transformations of a symmetry group on a quantum mechanical system. The total, orbital and spin angular momentum operators were introduced in Section 3.2, where the rules were presented, which are used for the calculation of the matrix elements of these operators, their Cartesian components, and the raising and lowering operators. The coupling of angular momenta is of importance in the context of molecular magnetism. Thus, in Section 3.3 we show how to calculate the eigenstates of the sum of several angular momenta in terms of the products of individual angular momentum eigenstates. The Clebsch-Gordan coefficients,  $3j$ -,  $6j$ - and  $9j$ -symbols were also presented there, since they are used for the evaluation of the matrix elements of the microscopic Hamiltonian valid for the magnetic cluster. Section 3.4 deals with the concept of the irreducible tensor operators (ITOs), which completely characterizes the transformation properties of the angular

momentum operators under rotations. There we show that any operator composed of angular momentum operators (including the Hamiltonian of the magnetic cluster) can be rewritten through ITO components. Concluding Section 3.4, we formulate the Wigner-Eckart theorem, which is usually applied for the calculation of the matrix elements of any ITO-component of a given rank.

Spin Hamiltonian models are traditionally applied to describe the energetics of molecular magnets. In Section 4.1 we present a short overview of the known spin Hamiltonians and the requirements for the construction of any of them. We pay much attention to the single-spin Hamiltonian (ssH) and to the generalized effective spin Hamiltonian (gesH), since both of them were applied in this thesis for interpretation of the experimental results. Concluding Section 4.1, we discuss the advantages and the disadvantages of the practical use of the ssH- and gesH-models. If the strong exchange limit is valid, then the single-spin Hamiltonian reproduces well the relative positions of the energy levels of the ground spin multiplet, the positions of the experimentally observed spectral lines caused by the magnetic excitations within the ground manifold and their intensities. To analyze the physical mechanisms leading to formation of the cluster anisotropy, the gesH is usually applied, the description of the modern trends of which concludes Section 4.1. Section 4.2 indicates the connecting link between the crystal field potential and the single-spin Hamiltonian expressed through Stevens operators. The explicit expression for the Hamiltonian was derived in a manner common for electron-paramagnetic resonance spectroscopy (EPR), since we are dealing with magnetic resonance spectroscopy: FDMRS. Section 4.3 presents the generalized effective spin Hamiltonian model, i.e. the microscopic spin Hamiltonian of the magnetic molecule expressed through irreducible tensor operators. In this section we discuss the origin of its possible terms: the isotropic Heisenberg exchange interactions between the spin centers as well as the non-Heisenberg ones like the local crystal fields and the antisymmetric (Dzialozhinski-Moriya) exchange interactions. Finally we rewrite the Wigner-Eckart theorem in the form suitable for the calculation of the Hamiltonian matrix elements of the cluster of any nuclearity.

Despite of the recent progress in understanding the magnetic properties of the many-nuclear spin clusters, it is still a challenge to deduce the underlying microscopic spin Hamiltonian. Group theory enables an easy selection of its dominant terms, if the number of spin centers and the cluster point group are known. In Section 5.1, we present a short overview of the role of group theory in modeling of spectroscopic data on molecular magnets. The splitting and shift of the energy levels as well as the admixture of the corresponding wavefunctions can be unambiguously characterized in terms of the irreducible representations of the cluster point group. Therefore, we introduce the concept of “representation” in Section 5.2. Section 5.3 is devoted to the formula for reduction of representations, which allows classifying the splitting of energy levels by a perturbation. Section 5.4 shows how to describe the coupling of angular momenta in terms of representation theory. In Section 5.5 we give a description of the projection operators, which makes it possible to find the one-to-one correspondence between the coupled spin states and the irreducible representations of the cluster point group. The method for group-theoretical classification of the exchange coupled multiplets of polynuclear metal complexes is presented in Section 5.6.

After the overview of the theoretical formalism needed to perform the analysis of the spectroscopic data on magnetic molecules (Chapters 3-5), we have described the results of this PhD-thesis. The first is the comprehensive computer code developed for simulation of the frequency-domain magnetic resonance spectra on molecular nanomagnets in terms of the single-



spin Hamiltonian approach. The program enables automatic and high-precision determination of the zero-field splitting parameters of mono- and poly-nuclear metal complexes. Thus, Section 6.1 explains the general logic of spectral simulations of magnetic resonance spectra. Section 6.2 describes the FDMRS spectrometer and clarifies the formation of the FDMRS spectrum. In Section 6.3 we have presented for the first time the mathematical formalism used for FDMRS-spectral simulations. Finally, Section 6.4 delineates in detail the data flow in the developed program for FDMRS-spectral simulations consisting of three blocks. The first one serves for the determination of the absorption line positions and their full width at a half maximum by high-degree polynomial fitting in the region of resonance. The second block affords the fit of the single-spin Hamiltonian parameters to the absorption line positions using a full grid search, where the varying interval of the model parameters and the number of points within it is defined by the user. The current version of the program allows the simultaneous fit of five single-spin Hamiltonian parameters, although the precision of the ZFS-determination decreases and the calculation time increases with the increase of the total spin value of the ground multiplet. The third block is conducted to calculation of intensity of induced magnetic dipole transitions for the set of obtained ZFS parameters as function of temperature and its comparison with the experimentally observed values. Finally, the transmission versus frequency spectra are computed with Lorentzian and Gaussian lineshape functions. Adjusting the spectral line intensities and their linewidths to the experimental observables allows the complete reconstruction of the FDMRS spectra. This computer code was successfully applied to the zero-field splitting studies by FDMRS on several magnetic molecules. The results were summarized in the Chapter 7.

Studies on  $Mn_{12}Ac$  have motivated the search for some new single molecule magnets in the group of dodecanuclear manganese complexes. Work within the area of  $Mn_{12}$  coordination chemistry has led to the development of methods for major alteration of the chemical environment of the  $Mn_{12}$  core. Various  $PPh_4^+$  derivatives of the  $Mn_{12}Ac$  cluster were obtained recently. One of them is the one-electron reduced  $Mn_{12}$  complex  $[Mn_{12}]^{-1} = (PPh_4)[Mn_{12}O_{12}(O_2Cet)_{16}(H_2O)_4]$  with the half integer spin ground state. The ZFS studies on this complex (see Section 7.1) are interesting, because the spin parity effect forbids magnetization quantum tunneling in half-integer spin complexes in zero magnetic fields. Nevertheless, the presence of steps in the magnetization hysteresis loops was reported. The ZFS study on  $[Mn_{12}]^{-1}$  by FDMRS was complementary to the same studies by other experimental techniques like inelastic neutron scattering (INS), reduced magnetization, HF-EPR and DC magnetic susceptibility. The experimental observables were well reproduced using the spin Hamiltonian model, which contains only the axial terms. Thus the obtained ZFS parameters are:  $D = -0.454 \pm 0.003 \text{ cm}^{-1}$  and  $B_4^0 = (+1.01 \pm 0.25) \times 10^{-5} \text{ cm}^{-1}$ . This fact excludes the crystal field symmetry as the possible source of the tunneling mechanism in  $[Mn_{12}]^{-1}$  complex.

A large range of  $Mn_x$  clusters (e.g.  $x=3, 4$ , etc.) constituted of manganese ions ( $Mn^{II}$ ,  $Mn^{III}$ ,  $Mn^{IV}$ ) exhibiting the SMM properties has been discovered recently. One of them is the  $Mn_9$ -molecule, i.e. the  $[Mn_9O_7(OAc)_{11}(thme)(py)_3(H_2O)_2]$  complex. The ZFS parameters extracted from the FDMRS experiment on it are in excellent agreement with the outcome of other magnetic studies using the inelastic neutron scattering, bulk and single-crystal measurements, and theoretical density functional calculations (see Section 7.2). They are:  $D = -0.247 \pm 0.005 \text{ cm}^{-1}$  and  $B_4^0 = (+4.6 \pm 0.1) \times 10^{-6} \text{ cm}^{-1}$ .

In Section 7.3 we present the ZFS study on the mononuclear Ni(II) complex  $[Ni-(HIM2-py)_2NO_3]NO_3$ , which is a possible building block of single-molecule magnets. It has the largest

negative axial ZFS reported for mononuclear complexes to date  $D=-10.0\pm 0.01\text{ cm}^{-1}$  and  $E=0.3\pm 0.01\text{ cm}^{-1}$ .

In Section 7.4 we consider the FDMRS experiment on the tetrameric  $\text{Ni}_4 = [\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  complex, which is of high interest, since the whole family of the similar  $\text{Ni}_4$  compounds containing the ethyl and methyl groups as ligands have shown single magnet behavior. Only the complex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  does not show magnetic hysteresis down to 40mK. Although the single-spin Hamiltonian including the axial and transverse terms of the fourth order is acceptable for simulation of the FDMRS and INS experiments ( $D=-0.93(2)\text{ cm}^{-1}$ ,  $E=0.023(8)\text{ cm}^{-1}$ ,  $B_4^0=-4.3(16)\cdot 10^{-4}\text{ cm}^{-1}$ ,  $B_4^4=-2.1(4)\cdot 10^{-3}\text{ cm}^{-1}$ ), it is not able to explain well some fine features in the low-frequency range of the INS-spectrum. Moreover, very importantly the single-spin Hamiltonian model is not able to clarify the nature of the physical processes leading to non-zero ZFS parameters of the fourth order. The local crystal fields contribute in to the cluster ZFS parameters of the second order (i.e.  $D$  and  $E$ ). The non-zero  $B_4^0$  and  $B_4^4$  indicate the influence of the excited spin states to the ground multiplet, i.e. the many-spin effects.

The most interesting result of this thesis is the new development of the generalized effective spin Hamiltonian model applied to the study of the origin of magnetic anisotropy in a tetrameric Ni(II) cluster (see Chapter 8). Small magnetic molecules like  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ , with their simple chemical and magnetic structure, are the best candidates to study the dependence of the magnetic observables on the model parameters. To derive the microscopic spin Hamiltonian, we have performed the group-theoretical classification of the exchange-coupled multiplets for the  $S_4$  cluster point group (see Section 8.2). It turns out that the first excited multiplet is an orbital doublet. This fact points to a pronounced role of non-compensated orbital moment in this system. In Section 8.3 we have presented the group-theoretical analysis of the spin-orbit splitting of many-electron terms in the  $\text{Ni}_4$ -cluster possessing the overall  $S_4$ -symmetry. Our analysis qualitatively predicts the splitting of exchange-coupled multiplets caused by the spin-orbit interaction in the first and second order of perturbation theory as well as the admixture of excited states into the ground manifold through spin-orbit coupling. For that reason we have included into the microscopic spin Hamiltonian, in addition to isotropic exchange, non-Heisenberg interactions of two types. The first term is related to antisymmetric exchange interactions, which models the spin-orbit coupling operator within the orbital doublet. The second one describes the collective action of the local crystal fields, which were treated on the same level with the antisymmetric exchange interactions due to the high spin value of the ground multiplet. The generalized effective spin Hamiltonian was constructed in Section 8.4. For the first time to the best of our knowledge, we have treated the AS-vector parameters and the local crystal fields as non-collinear tensors. The presented methodology applied to the concrete case of the  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  can be extended and generalized. The sections 8.5.1-8.5.6 present the arguments speaking for the correctness of the developed model and the analytical description of the calculated energy spectrum as function of the model parameters. The first attempts to simulate the INS-spectrum (also in the full Hilbert space) are shown in the section 8.5.6 and 8.5.7. So far no excellent agreement between the calculated and the experimentally observed INS-spectra was obtained. The reasons of this discrepancy were analyzed in the section 8.5.8. Nevertheless, the new development of the generalized effective spin Hamiltonian model presented in this thesis sheds new light on the field of molecular magnetism.

As the possible direction of further work we suggest the improvement of the gesH-model, i.e. the introduction of e.g. the biquadratic exchange term into the microscopic spin Hamiltonian. It would be interesting to apply the developed model for simulation of the temperature

dependence of the magnetic susceptibility. The non-Heisenberg terms included in our model were not regarded by estimation of the exchange coupling constants fixed in our calculations. The matrices for transformation of the single-ion crystal fields to the cluster coordinate system can be expressed in a general manner in terms of the Euler angles (see e.g. Section 8.4.2A) corresponding to the spin-site symmetry. It would enable the application of the model to analysis of the contributions of the local crystal fields to the cluster anisotropy for any tetrameric system. Finally, we would like to mention the technical difficulties of calculations: they are too much time consuming. The algorithm for evaluation of the matrix elements according to the Wigner-Eckart theorem can not be essentially improved from our point of view. The current version of the program for calculation of the gesH-matrix is written in Mathematica, which calculates about one hour the Hamiltonian matrix including 36 tensors of the model presented in this thesis in the full Hilbert space on the fastest computer available in the institute. Calculation of the INS spectrum for one set of the model parameters takes about twenty seconds and even more depending on the number of spectral lines produced by the given set of the model parameters. It makes the fitting procedure in terms of the full grid search very inflexible. Thus, Mathematica is good enough for the first test of the model. Nevertheless, we would suggest e.g. C/C++ for Windows or Visual Fortran for development of the generalized computer code for simulation of the spectral data on molecular magnets by using the gesH-model. Regarding the ssH model, the minimization fitting algorithm is sufficient for the present quality of the experiment essentially destroyed by the standing waves especially in the low frequency range. The only thing that can be introduced is the broader likelihood interval for simulation of the lower frequency lines in comparison to those situated in the high-frequency range.

# Appendix

## Appendix 1

The calculated symmetry-adapted wavefunctions for the multiplets  ${}^9B$ ,  ${}^7E$  and  ${}^7A$ .

$ S_{12}S_{34}S, M\rangle$	
$ 2,2,4,-4\rangle$	$ -1,-1,-1,-1 $
$ 2,2,4,-3\rangle$	$\frac{1}{2}( -1,-1,-1,0  +  -1,-1,0,-1  +  -1,0,-1,-1  +  0,-1,-1,-1 )$
$ 2,2,4,-2\rangle$	$\frac{1}{2\sqrt{7}}( -1,-1,-1,1  +  -1,-1,1,-1  +  -1,1,-1,-1  +  1,-1,-1,-1 ) +$ $+ \frac{1}{\sqrt{7}}( -1,-1,0,0  +  -1,0,1,0  +  -1,0,0,-1  +  0,-1,-1,0  +  0,-1,0,-1  +  0,0,-1,-1 )$
$ 2,2,4,-1\rangle$	$\frac{1}{2\sqrt{7}}( -1,-1,0,1  +  -1,-1,1,0  +  -1,0,-1,1  +  -1,0,1,-1  +$ $+  0,-1,-1,1  +  0,-1,1,-1  +  -1,1,-1,0  +  -1,1,0,-1  +$ $+  1,-1,-1,0  +  1,-1,0,-1  +  0,1,-1,-1  +  1,0,-1,-1 )$ $+ \frac{1}{\sqrt{7}}( -1,0,0,0  +  0,-1,0,0  +  0,0,-1,0  +  0,0,0,-1 )$
$ 2,2,4,0\rangle$	$\sqrt{\frac{2}{35}}( -1,0,0,1  +  -1,0,1,0  +  0,-1,0,1  +  0,-1,1,0  +$ $+  -1,1,0,0  +  0,0,-1,1  +  0,0,1,-1  +  1,-1,0,0  +$ $+  0,1,-1,0  +  0,1,0,-1  +  1,0,-1,0  +  1,0,0,-1 )$ $+ 2\sqrt{\frac{2}{35}}( 0,0,0,0 ) +$ $+ \frac{1}{\sqrt{70}}( -1,-1,1,1  +  -1,1,-1,1  +  -1,1,1,-1  +  1,-1,-1,1  +$ $+  1,-1,1,-1  +  1,1,-1,-1 )$
$ 2,2,4,+1\rangle$	$\frac{1}{2\sqrt{7}}( -1,1,0,1  +  0,-1,1,1  +  -1,1,0,1  +  -1,1,1,0  +$ $+  1,-1,0,1  +  1,-1,1,0  +  0,1,-1,1  +  0,1,1,-1  +$ $+  1,0,-1,1  +  1,0,1,-1  +  1,1,-1,0  +  1,1,0,-1 )$ $+ \frac{1}{\sqrt{7}}( 1,0,0,0  +  0,1,0,0  +  0,0,1,0  +  0,0,0,1 )$

$ 2,2,4,+2\rangle$	$\frac{1}{2\sqrt{7}}( -1,1,1,1\rangle +  1,-1,1,1\rangle +  1,1,-1,1\rangle +  1,1,1,-1\rangle) +$ $+ \frac{1}{\sqrt{7}}( 1,1,0,0\rangle +  1,0,1,0\rangle +  1,0,0,1\rangle +  0,1,1,0\rangle +  0,1,0,1\rangle +  0,0,1,1\rangle)$
$ 2,2,4,+3\rangle$	$\frac{1}{2}( 1,1,1,0\rangle +  1,1,0,1\rangle +  1,0,1,1\rangle +  0,1,1,1\rangle)$
$ 2,2,4,+4\rangle$	$ 1,1,1,1\rangle$
$ 1,2,3,-3\rangle$	$\frac{1}{\sqrt{2}}( 0,-1,-1,-1\rangle -  -1,0,-1,-1\rangle)$
$ 1,2,3,-2\rangle$	$\frac{1}{\sqrt{6}}( 0,-1,-1,0\rangle +  0,-1,0,-1\rangle +  1,-1,-1,-1\rangle -$ $-  -1,0,-1,0\rangle -  -1,0,0,-1\rangle -  -1,1,-1,-1\rangle)$
$ 1,2,3,-1\rangle$	$\sqrt{\frac{2}{15}}( 0,-1,0,0\rangle +  1,-1,-1,0\rangle +  1,-1,0,-1\rangle -$ $-  -1,0,0,0\rangle -  -1,1,-1,0\rangle -  -1,1,0,-1\rangle) +$ $\sqrt{\frac{1}{30}}( 0,-1,-1,1\rangle +  0,-1,1,-1\rangle +  1,0,-1,-1\rangle -$ $-  -1,0,-1,1\rangle -  -1,0,1,-1\rangle -  0,1,-1,-1\rangle)$
$ 1,2,3,0\rangle$	$\frac{1}{\sqrt{5}}( 1,-1,0,0\rangle -  -1,1,0,0\rangle)$ $+ \frac{1}{2\sqrt{5}}( 0,-1,0,1\rangle +  0,-1,1,0\rangle +  1,-1,-1,1\rangle +  1,-1,1,-1\rangle +$ $+  1,0,-1,0\rangle +  1,0,0,-1\rangle -  0,1,0,-1\rangle -  0,1,-1,0\rangle -$ $-  -1,1,1,-1\rangle -  -1,1,-1,1\rangle -  -1,0,1,0\rangle -  -1,0,0,1\rangle)$
$ 1,2,3,+1\rangle$	$\sqrt{\frac{2}{15}}( 1,0,0,0\rangle +  1,-1,1,0\rangle +  1,-1,0,1\rangle -  -1,1,0,1\rangle -  -1,1,1,0\rangle -  0,1,0,0\rangle) +$ $\sqrt{\frac{1}{30}}( 0,-1,1,1\rangle +  1,0,1,-1\rangle +  1,0,-1,1\rangle -  -1,0,1,1\rangle -  0,1,1,-1\rangle -  0,1,-1,1\rangle)$
$ 1,2,3,+2\rangle$	$\frac{1}{\sqrt{6}}( 1,-1,1,1\rangle +  1,0,0,1\rangle +  1,0,1,0\rangle -$ $- ( -1,1,1,1\rangle -  0,1,0,1\rangle -  0,1,1,0\rangle))$
$ 1,2,3,+3\rangle$	$\frac{1}{\sqrt{2}}( 1,0,1,1\rangle -  0,1,1,1\rangle)$
$ 2,1,3,-3\rangle$	$\frac{1}{\sqrt{2}}( -1,-1,0,-1\rangle -  -1,-1,-1,0\rangle)$
$ 2,1,3,-2\rangle$	$\frac{1}{\sqrt{6}}( -1,-1,1,-1\rangle +  -1,0,0,-1\rangle +  0,-1,0,-1\rangle -$ $-  -1,-1,-1,1\rangle -  -1,0,-1,0\rangle -  0,-1,-1,0\rangle)$

$ 2,1,3,-1\rangle$	$\frac{1}{\sqrt{30}} \left(  -1,-1,1,0\rangle +  -1,1,0,-1\rangle +  1,-1,0,-1\rangle - \right. \\ \left. - -1,-1,0,1\rangle -  -1,1,-1,0\rangle -  1,-1,-1,0\rangle \right) + \\ \frac{2}{\sqrt{15}} \left(  -1,0,-1,1\rangle +  0,-1,-1,1\rangle +  0,0,-1,0\rangle - \right. \\ \left. - -1,0,-1,1\rangle -  0,-1,-1,1\rangle -  0,0,-1,0\rangle \right)$
$ 2,1,3,0\rangle$	$\frac{1}{\sqrt{5}} ( 0,0,1,-1\rangle -  0,0,-1,1\rangle) + \\ \frac{1}{2\sqrt{5}} \left(  -1,0,1,0\rangle +  0,-1,1,0\rangle +  -1,1,1,-1\rangle + \right. \\ \left. +  1,-1,1,-1\rangle +  0,1,0,-1\rangle +  1,0,0,-1\rangle - \right. \\ \left. - -1,0,0,1\rangle -  0,-1,0,1\rangle -  -1,1,-1,1\rangle - \right. \\ \left. - 1,-1,-1,1\rangle -  0,1,-1,0\rangle -  1,0,-1,0\rangle \right)$
$ 2,1,3,+1\rangle$	$\frac{1}{\sqrt{30}} \left(  -1,1,1,0\rangle +  1,-1,1,0\rangle +  1,1,0,-1\rangle \right) + \\ \frac{2}{\sqrt{15}} \left(  0,0,1,0\rangle +  0,1,1,-1\rangle +  1,0,1,-1\rangle - \right. \\ \left. - 0,0,0,1\rangle -  0,1,-1,1\rangle -  1,0,-1,1\rangle \right)$
$ 2,1,3,+2\rangle$	$\frac{1}{\sqrt{6}} \left(  0,1,1,0\rangle +  1,0,1,0\rangle +  1,1,1,-1\rangle - \right. \\ \left. - 0,1,0,1\rangle -  1,0,0,1\rangle -  1,1,-1,1\rangle \right)$
$ 2,1,3,+3\rangle$	$\frac{1}{\sqrt{2}} ( 1,1,1,0\rangle -  1,1,0,1\rangle)$
$ 2,2,3,-3\rangle$	$\frac{1}{2} \left(  -1,0,-1,-1\rangle +  0,-1,-1,-1\rangle - \right. \\ \left. - -1,-1,-1,0\rangle -  -1,-1,0,-1\rangle \right)$
$ 2,2,3,-2\rangle$	$\frac{1}{\sqrt{3}} ( 0,0,-1,-1\rangle -  -1,-1,0,0\rangle) + \\ \frac{1}{2\sqrt{3}} \left(  -1,1,-1,-1\rangle +  1,-1,-1,-1\rangle - \right. \\ \left. - -1,-1,-1,1\rangle -  -1,-1,1,-1\rangle \right)$
$ 2,2,3,-1\rangle$	$\frac{\sqrt{3}}{2\sqrt{5}} \left(  0,1,-1,-1\rangle +  1,0,-1,-1\rangle - \right. \\ \left. - -1,-1,0,1\rangle -  -1,-1,1,0\rangle \right) + \\ \frac{1}{\sqrt{15}} \left(  0,0,-1,0\rangle +  0,0,0,-1\rangle - \right. \\ \left. - -1,0,0,0\rangle -  0,-1,0,0\rangle \right) + \\ \frac{1}{2\sqrt{15}} \left(  -1,1,-1,0\rangle +  -1,1,0,-1\rangle +  1,-1,-1,0\rangle +  1,-1,0,-1\rangle - \right. \\ \left. - -1,0,-1,1\rangle -  -1,0,1,-1\rangle -  0,-1,-1,1\rangle -  0,-1,1,-1\rangle \right)$
$ 2,2,3,0\rangle$	$\frac{1}{10} \left(  0,1,-1,0\rangle +  0,1,0,-1\rangle +  1,0,-1,0\rangle + \right. \\ \left. +  1,0,0,-1\rangle +  1,1,-1,-1\rangle -  -1,-1,1,1\rangle - \right. \\ \left. - -1,0,0,1\rangle -  -1,0,1,0\rangle -  0,-1,0,1\rangle -  0,-1,1,0\rangle \right)$

$ 2, 2, 3, +1\rangle$	$\frac{\sqrt{3}}{2\sqrt{5}} \left(  1, 1, -1, 0\rangle +  1, 1, 0, -1\rangle -   -1, 1, 0, 1\rangle -  0, -1, 1, 1\rangle \right) +$ $\frac{1}{\sqrt{15}} \left(  0, 1, 0, 0\rangle +  1, 0, 0, 0\rangle -   -0, 0, 0, 1\rangle -  0, 0, 1, 0\rangle \right) +$ $\frac{1}{2\sqrt{15}} \left(  0, 1, -1, 1\rangle +  0, 1, 1, -1\rangle +  1, 0, -1, 1\rangle +  1, 0, 1, -1\rangle -   -1, 1, 0, 1\rangle -   -1, 1, 1, 0\rangle -  1, -1, 0, 1\rangle -  1, -1, 1, 0\rangle \right)$
$ 2, 2, 3, +2\rangle$	$\frac{1}{\sqrt{3}} ( 1, 1, 0, 0\rangle -  0, 0, 1, 1\rangle) +$ $\frac{1}{2\sqrt{3}} \left(  1, 1, -1, 1\rangle +  1, 1, 1, -1\rangle -   -1, 1, 1, 1\rangle -  1, -1, 1, 1\rangle \right)$
$ 2, 2, 3, +3\rangle$	$\frac{1}{2} \left(  1, 1, 0, 1\rangle +  1, 1, 1, 0\rangle -   -0, 1, 1, 1\rangle +  1, 0, 1, 1\rangle \right)$

## Appendix 2

Hamiltonian matrix, which structure is shown in Figure 8.5-1.

Block K:  $\{|224\rangle, |224\rangle\}$  characterizes the zero-field splitting of the ground state  ${}^9B$  the non-zero elements are expressed through parameters  $\alpha$  and  $\beta$ , where  $\alpha = -4J_A - 8J_B$  and  $\beta = D_{Ni} + 3E_{Ni}$ .

$M_s$	-4	-3	-2	-1	0	1	2	3	4
-4	$\alpha - \frac{2}{3}\beta$	0	0	0	0	0	0	0	0
-3	0	$\alpha - \frac{1}{6}\beta$	0	0	0	0	0	0	0
-2	0	0	$\alpha + \frac{4}{21}\beta$	0	0	0	0	0	0
-1	0	0	0	$\alpha + \frac{17}{42}\beta$	0	0	0	0	0
0	0	0	0	0	$\alpha + \frac{10}{21}\beta$	0	0	0	0
1	0	0	0	0	0	$\alpha + \frac{17}{42}\beta$	0	0	0
2	0	0	0	0	0	0	$\alpha + \frac{4}{21}\beta$	0	0
3	0	0	0	0	0	0	0	$\alpha - \frac{1}{6}\beta$	0
4	0	0	0	0	0	0	0	0	$\alpha - \frac{2}{3}\beta$



Block L  $\{|223\rangle, |223\rangle\}$  characterizes the zero-field splitting of the state  ${}^7A$ , where the non-zero elements are expressed through parameters  $\alpha$  and  $\beta$ , where  $\alpha = -4J_A$  and  $\beta = D_{Ni} + 3E_{Ni}$ .

$M_s$	-3	-2	-1	0	1	2	3
-3	$\alpha - \frac{1}{6}\beta$	0	0	0	0	0	0
-2	0	$\alpha$	0	0	0	0	0
-1	0	0	$\alpha + \frac{1}{10}\beta$	0	0	0	0
0	0	0	0	$\alpha + \frac{2}{15}\beta$	0	0	0
1	0	0	0	0	$\alpha + \frac{1}{10}\beta$	0	0
2	0	0	0	0	0	$\alpha$	0
3	0	0	0	0	0	0	$\alpha - \frac{1}{6}\beta$

Blocks M1  $\{|123\rangle, |123\rangle\}$  and M2  $\{|213\rangle, |213\rangle\}$ : characterizes the zero-field splitting of the states  $|123\rangle$  and  $|213\rangle$  of the orbital doublet  ${}^7E$ . The non-zero elements are expressed through the parameters  $\alpha$ ,  $\beta_1$  and  $\beta_2$ , where  $\alpha = -4J_B$ ,  $\beta_1 = D_{Ni} + 3E_{Ni}$  and  $\beta_2 = (D_{Ni} - E_{Ni})$ . The upper sign corresponds to the matrix M1 and the lower one to the matrix M2.

		$ 123\rangle/ 213\rangle$						
$M_S$		-3	-2	-1	0	1	2	3
$ 123\rangle$ $ 213\rangle$	-3	$\alpha - \frac{1}{6}\beta_1$	0	$\pm\sqrt{\frac{3}{20}}\beta_2$	0	0	0	0
	-2	0	$\alpha$	0	$\pm\sqrt{\frac{3}{10}}\beta_2$	0	0	0
	-1	$\pm\sqrt{\frac{3}{20}}\beta_2$	0	$\alpha + \frac{1}{10}\beta_1$	0	$\pm\frac{3}{5}\beta_2$	0	0
	0	0	$\pm\sqrt{\frac{3}{10}}\beta_2$	0	$\alpha + \frac{2}{15}\beta_1$	0	$\pm\sqrt{\frac{3}{10}}\beta_2$	0
	1	0	0	$\pm\frac{3}{5}\beta_2$	0	$\alpha + \frac{1}{10}\beta_1$	0	$\pm\sqrt{\frac{3}{20}}\beta_2$
	2	0	0	0	$\pm\sqrt{\frac{3}{10}}\beta_2$	0	$\alpha$	0
	3	0	0	0	0	$\pm\sqrt{\frac{3}{20}}\beta_2$	0	$\alpha - \frac{1}{6}\beta_1$

Block N{|223>,|224>} shows admixture of the states  ${}^9B$  and  ${}^7A$ . The non-zero elements are expressed through the parameters  $\alpha = \frac{3}{\sqrt{7}}iG_{\parallel}$  and  $\beta = D_{Ni} - E_{Ni}$

		224>								
$M_s$		-4	-3	-2	-1	0	1	2	3	4
223>	-3	$\alpha$	$-\frac{1}{\sqrt{2}}\alpha$	$-\frac{1}{2\sqrt{7}}\alpha$	$-\frac{3}{14}\beta$	0	0	0	0	0
	-2	$\frac{\sqrt{3}}{7}\beta$	$\frac{1}{2}\sqrt{3}\alpha$	$-\sqrt{\frac{6}{7}}\alpha$	$-\frac{1}{2}\sqrt{\frac{3}{7}}\alpha$	$-\frac{1}{7}\sqrt{\frac{15}{2}}\beta$	0	0	0	0
	-1	0	$\frac{1}{2}\sqrt{\frac{15}{7}}\beta$	$\frac{1}{2}\sqrt{\frac{15}{7}}\alpha$	$-\sqrt{\frac{15}{14}}\alpha$	$-\sqrt{\frac{3}{14}}\alpha$	$-\sqrt{\frac{15}{7}}\beta$	0	0	0
	0	0	0	$\frac{3}{7}\sqrt{\frac{5}{2}}\beta$	$\sqrt{\frac{15}{14}}\alpha$	$-2\sqrt{\frac{2}{7}}\alpha$	$-\sqrt{\frac{5}{14}}\alpha$	$-\frac{3}{7}\sqrt{\frac{5}{2}}\beta$	0	0
	1	0	0	0	$\sqrt{\frac{15}{7}}\beta$	$\sqrt{\frac{3}{14}}\alpha$	$-\sqrt{\frac{15}{14}}\alpha$	$-\frac{1}{2}\sqrt{\frac{15}{7}}\alpha$	$-\frac{1}{2}\sqrt{\frac{15}{7}}\beta$	0
	2	0	0	0	0	$\frac{1}{7}\sqrt{\frac{15}{2}}\beta$	$\frac{1}{2}\sqrt{\frac{3}{7}}\alpha$	$-\sqrt{\frac{6}{7}}\alpha$	$-\frac{1}{2}\sqrt{3}\alpha$	$-\frac{\sqrt{3}}{7}\beta$
	3	0	0	0	0	0	$\frac{3}{14}\beta$	$\frac{1}{2\sqrt{7}}\alpha$	$-\frac{1}{\sqrt{2}}\alpha$	$-\alpha$

Block O1{|224>, |123>} shows admixture of the states  ${}^9B$  and  ${}^7E$ . The non-zero elements are expressed through the parameters  $\alpha_1 = \frac{3}{\sqrt{14}}(G_{\parallel} - (i+1)G_{\perp})$ , and  $\alpha_2 = \frac{3i}{2\sqrt{7}}G_{\parallel}$

		224>								
$M_S$		-4	-3	-2	-1	0	1	2	3	4
123>	-3	$\alpha_1$	$\alpha_2$	$\frac{1}{2\sqrt{7}}\alpha_1^*$	0	0	0	0	0	0
	-2	0	$\frac{1}{2}\sqrt{3}\alpha_1$	$2\sqrt{\frac{3}{7}}\alpha_2$	$\frac{1}{2}\sqrt{\frac{3}{7}}\alpha_1^*$	0	0	0	0	0
	-1	0	0	$\frac{1}{2}\sqrt{\frac{15}{7}}\alpha_1$	$\sqrt{\frac{15}{7}}\alpha_2$	$\sqrt{\frac{3}{14}}\alpha_1^*$	0	0	0	0
	0	0	0	0	$\sqrt{\frac{15}{14}}\alpha_1$	$\frac{4}{\sqrt{7}}\alpha_2$	$\sqrt{\frac{15}{14}}\alpha_1^*$	0	0	0
	1	0	0	0	0	$\sqrt{\frac{3}{14}}\alpha_1$	$\sqrt{\frac{15}{7}}\alpha_2$	$\frac{1}{2}\sqrt{\frac{15}{7}}\alpha_1^*$	0	0
	2	0	0	0	0	0	$\frac{1}{2}\sqrt{\frac{3}{7}}\alpha_1$	$2\sqrt{\frac{3}{7}}\alpha_2$	$\frac{1}{2}\sqrt{3}\alpha_1^*$	0
	3	0	0	0	0	0	0	$\frac{1}{2\sqrt{7}}\alpha_1$	$\alpha_2$	$\alpha_1^*$

Block O2{|224>, |213>} shows admixture of the states  ${}^9B$  and  ${}^7E$ . The non-zero elements are expressed through the parameters  $\alpha_1 = -\frac{3}{\sqrt{14}}(G_{\parallel} + (1-i)G_{\perp})$  and  $\alpha_2 = \frac{3i}{2\sqrt{7}}G_{\parallel}$

		224>								
$M_S$		-4	-3	-2	-1	0	1	2	3	4
123>	-3	$\alpha_1$	$\alpha_2$	$\frac{1}{2\sqrt{7}}\alpha_1^*$	0	0	0	0	0	0
	-2	0	$\frac{1}{2}\sqrt{3}\alpha_1$	$2\sqrt{\frac{3}{7}}\alpha_2$	$\frac{1}{2}\sqrt{\frac{3}{7}}\alpha_1^*$	0	0	0	0	0
	-1	0	0	$\frac{1}{2}\sqrt{\frac{15}{7}}\alpha_1$	$\sqrt{\frac{15}{7}}\alpha_2$	$\sqrt{\frac{3}{14}}\alpha_1^*$	0	0	0	0
	0	0	0	0	$\sqrt{\frac{15}{14}}\alpha_1$	$\frac{4}{\sqrt{7}}\alpha_2$	$\sqrt{\frac{15}{14}}\alpha_1^*$	0	0	0
	1	0	0	0	0	$\sqrt{\frac{3}{14}}\alpha_1$	$\sqrt{\frac{15}{7}}\alpha_2$	$\frac{1}{2}\sqrt{\frac{15}{7}}\alpha_1^*$	0	0
	2	0	0	0	0	0	$\frac{1}{2}\sqrt{\frac{3}{7}}\alpha_1$	$2\sqrt{\frac{3}{7}}\alpha_2$	$\frac{1}{2}\sqrt{3}\alpha_1^*$	0
	3	0	0	0	0	0	0	$\frac{1}{2\sqrt{7}}\alpha_1$	$\alpha_2$	$\alpha_1^*$

Block P1  $\{|223\rangle, |123\rangle\}$  shows admixture of the states  ${}^7A$  and  ${}^7E$ . The non-zero elements are expressed through the parameters  $\alpha_1 = -\frac{1}{2\sqrt{6}}((i+1)G_{\perp} + G_{\parallel})$ , and  $\beta = \frac{i}{6}G_{\parallel}$

		$ 223\rangle$							
		$M_s$	-3	-2	-1	0	1	2	3
$ 123\rangle$	-3	$3\beta$	$-\alpha_1^*$	0	0	0	0	0	0
	-2	$\alpha_1$	$2\beta$	$-\sqrt{\frac{5}{3}}\alpha_1^*$	0	0	0	0	0
	-1	0	$\sqrt{\frac{5}{3}}\alpha_1$	$\beta$	$-\sqrt{2}\alpha_1^*$	0	0	0	0
	0	0	0	$\sqrt{2}\alpha_1$	0	$-\sqrt{2}\alpha_1^*$	0	0	0
	1	0	0	0	$\sqrt{2}\alpha_1$	$-\beta$	$-\sqrt{\frac{5}{3}}\alpha_1^*$	0	0
	2	0	0	0	0	0	$\sqrt{\frac{5}{3}}\alpha_1$	$-2\beta$	$-\alpha_1^*$
	3	0	0	0	0	0	0	$\alpha_1$	$-3\beta$

Block P2{ $|223\rangle, |213\rangle$ } shows admixture of the states  ${}^7A$  and  ${}^7E$ . The non-zero elements are expressed through the parameters  $\alpha_1 = -\frac{1}{2\sqrt{6}}((i-1)G_{\perp} + G_{\parallel})$ , , and  $\beta = \frac{i}{6}G_{\parallel}$

		$ 223\rangle$						
$M_s$		-3	-2	-1	0	1	2	3
$ 123\rangle$	-3	$-3\beta$	$-\alpha_1^*$	0	0	0	0	0
	-2	$\alpha_1$	$-2\beta$	$-\sqrt{\frac{5}{3}}\alpha_1^*$	0	0	0	0
	-1	0	$\sqrt{\frac{5}{3}}\alpha_1$	$-\beta$	$-\sqrt{2}\alpha_1^*$	0	0	0
	0	0	0	$\sqrt{2}\alpha_1$	0	$-\sqrt{2}\alpha_1^*$	0	0
	1	0	0	0	$\sqrt{2}\alpha_1$	$\beta$	$-\sqrt{\frac{5}{3}}\alpha_1^*$	0
	2	0	0	0	0	$\sqrt{\frac{5}{3}}\alpha_1$	$2\beta$	$-\alpha_1^*$
	3	0	0	0	0	0	$\alpha_1$	$3\beta$

Block R $\{|213\rangle, |123\rangle\}$  shows admixture of the states  ${}^7E$ . The non-zero elements are expressed

through the parameter  $\alpha_1 = \frac{i}{2\sqrt{3}} G_{\parallel}$

		123>						
$M_s$		-3	-2	-1	0	1	2	3
213>	-3	$-\sqrt{6}\alpha_1$	$\alpha_1$	0	0	0	0	0
	-2	$\alpha_1$	$-2\sqrt{\frac{2}{3}}\alpha_1$	$\sqrt{\frac{5}{3}}\alpha_1$	0	0	0	0
	-1	0	$\sqrt{\frac{5}{3}}\alpha_1$	$-\sqrt{\frac{2}{3}}\alpha_1$	$\sqrt{2}\alpha_1$	0	0	0
	0	0	0	$\sqrt{2}\alpha_1$	0	$\sqrt{2}\alpha_1$	0	0
	1	0	0	0	$\sqrt{2}\alpha_1$	$\sqrt{\frac{2}{3}}\alpha_1$	$\sqrt{\frac{5}{3}}\alpha_1$	0
	2	0	0	0	0	$\sqrt{\frac{5}{3}}\alpha_1$	$2\sqrt{\frac{2}{3}}\alpha_1$	$\alpha_1$
	3	0	0	0	0	0	$\alpha_1$	$\sqrt{6}\alpha_1$



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# Zusammenfassung

Das Forschungsgebiet des Magnetismus erlebt gegenwärtig eine Renaissance durch die schnelle Entwicklung der Molekülchemie in den letzten Jahrzehnten. Das weltweite Interesse an molekularen Magneten hat sowohl wissenschaftliche also auch technologische Gründe. Diese Moleküle machen es möglich, die mit den herkömmlichen anorganischen Magneten verbundenen Eigenschaften zu erweitern, wie z.B. eine niedrige Dichte, optische Transparenz, elektrische Isolation, die Herstellung bei tiefen Temperaturen und die Kombination der magnetischen Ordnung mit anderen Eigenschaften, wie Photoempfindlichkeit. Sie erlauben auch neue Möglichkeiten der Verarbeitungstechnologien, da diese Magnete auf Moleküle als Bausteine basieren. Über mehrere Klassen der molekularen Magnete wurde vor kurzem berichtet [ Miller, 2000 ], wobei eine Vielzahl neuer Phänomene beobachtet worden ist. Viele molekulare Magnete enthalten Metallionen; jedoch nehmen auch die organischen Bestandteile dieser Moleküle eine Schlüsselrolle zum Verständnis ihres magnetischen Verhaltens ein. Anders als herkömmliche anorganische Magnete, die seit dem 12. Jahrhundert verwendet werden, können die organischen Bestandteile der molekularen Magnete entweder selbst einen Spin tragen oder - falls sie spinlos sind – die Spins der metallischen Zentren in einzelne feste Fragmente (Clustern) koppeln. Folglich zeichnen sie sich durch eine breite Vielfalt der Bindung und der Struktur aus. Diese schließen lokalisierte Moleküle (null-dimensional, 0D), solche mit ausgedehnten Bindungen entlang von Ketten (1D), innerhalb von Schichten (2D) und innerhalb von Netzstrukturen (3D) ein. Molekulare Magnete werden normalerweise in Familien gruppiert durch zwei Eigenschaften: entweder durch die Orbitale, in denen die Spins liegen, oder durch die chemische Bindung, die benachbarte Spins verbindet. Die weitere Klassifikation in Untergruppen wird entsprechend der festgestellten Art der magnetischen Ordnung durchgeführt. Zusätzlich zum ferri- und ferromagnetischem Verhalten können andere magnetische Phänomene in molekularen Magneten auftreten: Metamagnetismus, gekippter Antiferromagnetismus und Spinglasverhalten. Eine spezielle Kategorie der molekularen Magnete ist verbunden mit kleinen magnetischen Clustern, d.h. molekulare Nanomagnete, die keine weitreichende magnetische Ordnung besitzen. Es gibt Äquivalente zu dem Namen "molekularer Nanomagnet" wie z.B. "magnetisches Nanocluster" oder "Hoch-Spin-Cluster" aus Gründen, die im Kapitel 2 dargelegt werden. Einige von ihnen zeigen physikalische Effekte, die üblicherweise in herkömmlichen magnetischen Materialien nicht auftreten: Hysterese infolge eines reinen molekularen Ursprunges und der Quantentunneleffekt der Magnetisierung. Die Bezeichnung Einzelmolekülmagnete (Single Molecule Magnets, SMMs) bezieht sich auf diese Familie der magnetischen Nanoclustern. Sie sind im Fokus dieser Dissertation.

Magnetische Nano-Cluster sind Moleküle, die aus Metallionen bestehen, die durch organische Liganden verbunden werden. Das Energiespektrum der Ionen, die das Cluster formieren, wird durch die elektronische Konfiguration in dem umgebenden Ligandenfeld bestimmter Symmetrie festgelegt. Die Kopplung der Einzelionenspins verursacht ein Energiespektrum, das aus Multipletts mit definiertem Gesamtspin besteht. Ein nicht kompensierter Grundzustandspin ist typisch für molekulare Nanomagnete. Somit wird jede energetische Entartung verursacht von konkurrierenden Austauschwechselwirkungen in Multispinsystemen. Das energisch entartete Spin-Multiplett entsteht in Folge der isotropen

Heisenberg Austauschwechselwirkung zwischen Spinzentren. Das Experiment zeigt jedoch, dass jedes Multiplett normalerweise bereits ohne äußeres Magnetfeld aufgespalten ist.

Die Nullfeldaufspaltung (Zero-Field Splitting, ZFS) des Multipletts vom Grundzustand der Einzelmolekülmagnete kann mit einem Doppelmuldenpotenzial verglichen werden, die so hoch wie möglich sein muss, um diese Moleküle erfolgreich in dem Bereich der Speichermedien oder der Quantenberechnungen anwenden zu können. Die quantitative Charakterisierung der Nullfeldaufspaltung und das Verständnis der Natur der Nicht-Heisenberg-Wechselwirkungen, die die Entartung aufheben, sind von Bedeutung für die Synthese von neuen Einzelmolekülmagneten mit definierten Werten der Invertierungsmagnetisierungsbarriere.

Trotz der Fortschritte der Dichtefunktionaltheorie (DFT) bleiben die Ab-Initio-Berechnungen der Nullfeldaufspaltung eine Herausforderung der Forschung [ Kaupp, 2004 ]. Die Hauptquelle der Informationen über die Nullfeldaufspaltungen bleibt das Experiment. Es gibt verschiedene experimentelle Techniken, die verwendet werden, um die Effekte der Nullfeldaufspaltung in vielkernigen Komplexen zu studieren. Dazu gehören die Methoden der magnetischen Suszeptibilität bei konstantem Magnetfeld, der Elektronen-Paramagnetischen-Resonanz-Spektroskopie (EPR), der unelastische gestreuten Neutronen (Inelastic Neutron Scattering, INS) sowie der Frequenz-Domain-Magnetresonanz-Spektroskopie (FDMRS), die in dieser Doktorarbeit präsentiert werden. Alle diese Techniken ermöglichen das Studium der magnetischen Anregungen innerhalb des aufgespaltenen Grundzustandsmultipletts in Abwesenheit des äußeren Magnetfeldes.

Im Gegensatz zur DFT, in der der vollständige molekulare Hamilton-Operator in der relativistischen Näherung konstruiert wird, wird ein Konzept des Spin-Hamilton-Operators zur Modellierung der experimentellen Daten verfolgt, d.h. zur Beschreibung der Energiespektren und der Dynamik der molekularen Nanomagnete. Der Definition entsprechend muss er so entworfen werden, dass seine Diagonalisierung die Energieniveaus des Multipletts richtig reproduziert; d.h. eine adäquate Bewertung des messbaren magnetischen Parameters liefert (wie die Magnetisierung, die statische und dynamische magnetische Suszeptibilität und der INS Querschnitt) und eine korrekte Simulation der thermodynamischen Messungen oder der spektroskopischen Linien und deren Intensitäten ermöglicht.

Es werden drei Arten von Spin-Hamilton-Operatoren unterschieden in Abhängigkeit von der Methode ihrer Konstruktion und von dem mathematischen Formalismus zur Berechnung der Hamilton-Matrixelementen [ Tsukerblat, 1994 ]. Die Gruppentheorie dient als Grundlage für jeden von ihnen, da sich die Symmetrieeigenschaften der Moleküle in der Symmetrie des Hamilton-Operators widerspiegeln. Das vollständigste Spin-Hamilton-Modell verwendet das Wigner-Eckart-Theorem für finite Punktgruppen [ Washimia, 1970 ]. Es erlaubt die Vorhersage der Feinstruktur der Vielelektronenterme und die a-priori Kenntnis der Auswahlregeln von spektroskopischen (elektrischen Dipol-, magnetischen Dipol-, elektrischen Quadrupol-) Übergängen sowie die Polarisationsabhängigkeit der Intensität der erlaubten Übergänge. Dieses Modell gehört zum Gipfel der Hierarchie der Spin-Hamilton-Modelle und bleibt ein Werkzeug von Experten. Es wurde noch nie praktisch im Kontext der Einzelmolekülmagnete angewendet. Daher wird es in dieser Doktorarbeit nicht weiter verfolgt.

Der Definition entsprechend schließt der Spin-Hamilton-Operator die Drehimpuls-Operatoren sowie ihre gegenseitige Wechselwirkung ein (Bahn-Bahn-, Spin-Bahn- sowie

Spin-Spin-Kopplung) und ihre Wechselwirkung mit einem externen magnetischen Feld ein. Die zweite Art der Spin-Hamilton-Operatoren (auch bezeichnet als *Generalisierte Effektive Spin-Hamiltonmodell*, *GESH*) wendet das Wigner-Eckart-Theorem für die Rotationsgruppen an, da der Gesamtdrehimpuls-Operator Transformationen des quantenmechanischen Systems durch unendlich kleine Drehungen erzeugt, die durch die irreduzible Darstellung der vollständigen Rotationsgruppe charakterisiert werden können. Die gruppentheoretische Betrachtung der Spin-Hamilton-Operatoren wurde bereits durch Bleaney und Stevens [ Bleaney, 1953 ] sowie Griffith [ Griffith, 1961, 1962 ] durchgeführt. Sie haben gezeigt, dass der Hamilton-Operator mit einer dem Operator äquivalenten Methode für finite Gruppen abgeleitet werden kann. Die von Stevens vorgeschlagene Methode zieht die Tatsache in Betracht, dass die Drehimpulsoperatoren dieselben Transformationseigenschaften haben, wie die entsprechenden Kugelflächenfunktionen, die zur Entwicklung der Kristallfeldpotenziale der zugehörigen Symmetrie erforderlich sind [ Abragam, 1970 ], [ Sugano, 1970 ]. Diese Methode erlaubt das quantenmechanische Äquivalent einer gegebenen Kugelflächenfunktion als explizite Funktion vom Gesamtdrehimpulsoperator  $J$  bezüglich eines ausgewählten  $J$ -Multipletts zu bestimmen, die auch als Stevens Operatoren genannt werden und z.B. in [ Abragam, 1970 ] tabelliert sind. Der dritte Typ der Spin-Hamilton-Operatoren wird durch den sogenannten effektiven Spin-Hamilton-Operator repräsentiert, der durch die Stevens Operatoren für jedes Spinmultiplett ausgedrückt werden kann. Die Auswahl des passenden Spin-Hamilton-Operators zur Modellierung der experimentellen Daten hängt von den spezifischen Eigenschaften des Energiespektrums des untersuchten Systems ab. Wenn die isotrope Heisenberg-Austauschwechselwirkung vorherrscht, spricht man vom sogenannten Grenzfall der starken Austauschwechselwirkung. In diesem Fall werden die Spinmultipletts in wohl separierten Gruppen eingeteilt, so dass die Wirkung der angeregten Zustände auf den Grundzustand in erster Näherung vernachlässigt werden kann. In der Mehrheit der berichteten Einzelmolekül-Magnete [ Sessoli, 2003 ] sind die angeregten Spinzustände bei niedrigen Temperaturen experimentell unzugänglich und können folglich vernachlässigt werden. Diese Tatsache öffnet den Weg zur spektroskopischen Simulationen durch Verwendung des effektiven Spin-Hamilton-Operators, der ausschließlich das Grundmultiplett einbezieht und als *Einzel-Spin-Hamilton-* oder *Riesen-Spin-Hamilton-Modell* bezeichnet wird [ Waldmann, 2005 ]. Es stimmt mit dem Modell der Nullfeldaufspaltung überein, die durch die Stevens Operatoren in Abwesenheit des äußeren magnetischen Feldes ausgedrückt werden.

Jeder Spin-Hamilton-Operator kann in zwei Teile getrennt werden. Das erste Teil hängt nur von der Symmetrie ab; das zweite hat seinen Ursprung in dem physikalischen Verhalten des Systems. Es kann als ein regulierbarer, semi-empirischer Parameter behandelt werden, der vom Experiment extrahiert werden kann. Durch die Anwendung des Einzelspin-Hamilton-Modells auf die experimentelle Datenanalyse der molekularen Nanomagnete wird ein Parametersatz der Nullfeldaufspaltung (ZFS) erhalten. Sie liefern einen Fingerabdruck, d.h. die relative Lage der Energieniveaus des Grundmultipletts. Das Generalisierte Effektive Spin-Hamilton-Modell wird benutzt, um die Natur der physikalischen Mechanismen zu analysieren, die für die Ausbildung der magnetischen Anisotropie des Clusters verantwortlich sind. Es schließt Parameter von einer isotropen Austauschwechselwirkung und von einer Nicht-Heisenberg-Wechselwirkungen ein. Ihre Größe weist auf die Rolle und auf das spezifischen Verhalten der entsprechenden Art der

Intra-Cluster Wechselwirkung hin. Diese Informationen sind von Bedeutung für die Synthese von neuen Molekülen mit vorbestimmten Eigenschaften. Das Einzelspin- und das Generalisierte Effektive Spin-Hamilton-Modell sind komplementäre Werkzeuge für die Analyse der Energiespektren von ein und demselben molekularen Magnet. Das erste ermöglicht aufgrund seiner Einfachheit eine schnelle Antwort auf die Frage, wie das Energiespektrum des Moleküls beschaffen ist, während das zweite hauptsächlich von dem Problem handelt, warum das Energiespektrum die experimentell beobachtete Struktur hat.

Diese Doktorarbeit ist der Analyse der spektroskopischen Messdaten an molekularen Magneten gewidmet. Sie war motiviert durch den Bedarf, ein Computer Programm für die Simulation und die Interpretation der FDMR-Spektren zu entwickeln und den physikalischen Ursprung der Nullfeldaufspaltungsparameter zu verstehen. Daher folgt nach einer kurzen Einleitung in die Problemstellung (Kapitel 1) die Beschreibung der Haupteigenschaften der molekularen Magnete im Kapitel 2. Die Grundvoraussetzungen für das einzelmolekulare magnetische Verhalten sind in Abschnitt 2.1 beschrieben. Da die molekularen Magnete zur Kategorie der Spintunnelsysteme gehören, werden die Gemeinsamkeiten und Unterschiede zwischen den Teilchen- und Spintunnelsystemen in Abschnitt 2.2 diskutiert. Der erster und am besten untersuchte Einzelmolekülmagnet ist  $Mn_{12}Ac$ , der als ein illustratives Beispiel der SMM benutzt wird. Das Verhalten der Energieniveaus und der zugehörigen Wellenfunktionen vom Grundzustand der  $Mn_{12}Ac$  Moleküle wurde unter dem Einfluss der Kristallfeldsymmetrie  $S_4$  und des äußeren Magnetfeld in Abschnitt 2.3 analysiert. Dort wurde gezeigt, dass die Kristallfeldsymmetrie die Hauptkraft gleich nach dem Magnetisierungstunnelmechanismus in dem  $Mn_{12}Ac$  Molekül ist. Der Abschnitt 2.4 zeigt die Verbindung zwischen der Symmetrie eines quantenmechanischen Systems und dessen Energiespektrum. Rotations-, Punkt- und symmetrische Gruppen werden eingeführt, da die Energiespektren der molekularen Magnete durch die Eigenschaften dieser Gruppen analytisch und numerisch ermittelt werden können.

Die Quantentheorie des Drehimpulses ist ein oft verwendetes Werkzeug, um die Spinkopplung innerhalb des magnetischen Clusters und die Symmetrieeigenschaften des Energiespektrums zu beschreiben. Die wichtigsten Ergebnisse der Drehimpulstheorie sind im Kapitel 3 zusammengefasst. In Abschnitt 3.1 wird beschrieben, wie man die Wirkung der Transformationen einer Symmetriegruppe an einem quantenmechanischen System charakterisieren kann. Die Gesamt-, Bahn- und Spin-Drehimpulsoperatoren werden im Abschnitt 3.2 eingeführt. Dort werden die Regeln präsentiert, nach denen man die Matrixelemente von diesen Operatoren, ihre kartesischen Komponenten und die Erzeugungs- und Vernichtungsoperatoren berechnet. Für Berechnungen des molekularen Magnetismus ist insbesondere die Drehimpulskopplung von Bedeutung. Im Abschnitt 3.3 wird gezeigt, wie man den Gesamtdrehimpulszustand aus der Summe der Produkte der einzelnen Drehimpulszustände berechnet. Die Clebsch-Gordan Koeffizienten und die  $3j$ -,  $6j$ - und  $9j$ -Symbole werden dort ebenfalls behandelt, weil man sie für die Berechnung der Matrixelemente des zum magnetischen Cluster zugehörigen mikroskopischen Hamilton-Operator benutzt. Abschnitt 3.4 handelt von dem Konzept der Irreduziblen Tensor-Operatoren (ITO), das vollständig die Transformationseigenschaften der Drehimpulsoperatoren unter Rotation charakterisiert. Dort zeigen wir, dass jeder Operator, der aus Drehimpulsoperatoren zusammengesetzt ist, durch ITO-Komponenten beschrieben werden kann. Als Schlussfolgerung des Vorhergehenden wird in Abschnitt 3.4 das Wigner-Eckart-

Theorem formuliert, das für die Berechnung der Matrixelemente einer jeden ITO-Komponente vom gegebenen Rang benötigt wird.

Da man die Spin-Hamilton-Modelle traditionell für die Beschreibung der Energiespektren der molekularen Magnete benutzt, wird in Abschnitt 4.1 ein kurzer Überblick der bekannten Arten von Spin-Hamilton-Operatoren und die Anforderungen zur ihrer Beschaffenheit gegeben. Besondere Aufmerksamkeit wird dem Einzel-Spin- und dem Generalisierten Effektiven Spin-Hamilton-Operator (SSH bzw. GESH) beigemessen, da diese beiden zur Interpretation der experimentellen Ergebnissen in Rahmen dieser Doktorarbeit benutzt werden. Am Ende des Abschnitts 4.1 werden die Vor- und Nachteile der praktischen Anwendung von SSH- und GESH-Modellen diskutiert. Wenn die Näherung der starker Austauschwechselwirkung gültig ist, dann werden durch den Einzelspin-Hamilton-Operator die relative Lage der energetischen Niveaus des Spingrundzustandes, die Position der experimentell beobachteten Spektrallinien, die durch die magnetischen Anregungen innerhalb des Grundzustand-Multipletts verursacht sind, und deren Intensitäten gut reproduziert. Die Beschreibung moderner Trends in der Entwicklung des GESH-Models beschließt den Abschnitt 4.1, weil gerade das GESH-Modell für die Analyse der physikalischen Mechanismen angewandt wird, die zur Bildung der magnetischen Cluster-Anisotropie führt. Abschnitt 4.2 zeigt die Verbindung zwischen dem Kristallfeldpotential und dem Einzel-Spin-Hamilton-Operator, der durch Stevensoperatoren ausgedrückt wird. Der explizite Ausdruck für den Hamilton-Operator wurde - wie in der Elektron-Paramagnetische-Resonanz Spektroskopie üblich - abgeleitet. Abschnitt 4.3 stellt das Generalisierte Spin-Hamilton-Modell vor, d.h. den mikroskopischen Spin-Hamilton-Operator des magnetischen Moleküls ausgedrückt durch die Irreduziblen-Tensor-Operatoren. In diesem Abschnitt wird der Ursprung seiner möglichen Terme diskutiert: die isotrope Heisenberg-Austauschwechselwirkung zwischen den Spinzentren als auch die Nicht-Heisenberg-Terme, wie z.B. die Einzelion-kristallfelder und die antisymmetrische (Dzialozhinski-Moriya-) Austauschwechselwirkung. Schließlich wird das Wigner-Eckart-Theorem in einer Form umgeschrieben, die die Berechnung der Hamilton-Matrixelemente des Clusters mit einer beliebigen Anzahl von Spinzentren erlaubt.

Trotz neuester Fortschritte im Verständnis der magnetischen Eigenschaften der Multispin-Cluster, ist es immer noch eine Herausforderung, den zu Grunde liegenden mikroskopischen Spin-Hamilton-Operator zu deduzieren. Die Gruppentheorie erlaubt eine einfache Auswahl der dominanten Terme, wenn die Anzahl der Spinzentren pro Molekül und die Clusterpunktgruppe bekannt sind. In Abschnitt 5.1 wird ein kurzer Überblick über die Rolle der Gruppentheorie bei der Modellierung der spektroskopischen Dateien an molekularen Magneten gegeben. Die Aufspaltung und die Verschiebung der Energieniveaus als auch die Mischung der entsprechenden Wellenfunktionen kann eindeutig in Rahmen der irreduziblen Darstellungen der Clusterpunktgruppe charakterisiert werden. Daher wird im Abschnitt 5.2 das Konzept der „Darstellung“ eingeführt. Abschnitt 5.3 ist der Formel der Reduktion von Darstellungen gewidmet, die die Klassifizierung der Aufspaltung der Energieniveaus durch die Störung erlaubt. Abschnitt 5.4 zeigt, wie man die Kopplung der Drehimpulse im Rahmen der Darstellungstheorie beschreibt. Im Abschnitt 5.5 werden die Projektionoperatoren erläutert, die es ermöglichen, die eins-zu-eins-Übereinstimmung zwischen den gekoppelten Spinzuständen und den irreduziblen Darstellungen der Clusterpunktgruppe zu finden. Die Methode für die gruppentheoretische



Klassifikation der durch die Austauschwechselwirkung gekoppelten Multipletts der vielkernigen metallischen Komplexe wird in Abschnitt 5.6 vorgestellt.

Nach der Übersicht des theoretischen Formalismus (Kapitel 3-5), der für die Analyse der spektroskopischen Daten von magnetischen Molekülen notwendig ist, werden die Ergebnisse dieser Doktorarbeit beschrieben. Das erste Ergebnis ist ein umfangreiches Computerprogramm, das für die Simulation der FDMR-Spektren an den molekularen Magneten im Rahmen des Einzelspin-Hamilton-Modells entwickelt wurde. Das Programm ermöglicht die automatische und hochgenaue Bestimmung der Parameter der Nullfeldaufspaltung von mono- und polykernigen metallischen Komplexen. Abschnitt 6.1 erklärt die allgemeine Logik der Simulation von Magnetresonanzspektren. Abschnitt 6.2 beschreibt das FDMRS Spektrometer und verdeutlicht die Entstehung eines FDMR-Spektrums. In Abschnitt 6.3 wird erstmalig der mathematische Formalismus vorgestellt, der in den Simulationen der FDMR-Spektren implementiert wurde. Schließlich zeigt der Abschnitt 6.4 den detaillierten Datenfluss des entwickelten Programms, das aus drei Blöcken besteht. Innerhalb des ersten Blocks werden die Positionen der experimentell beobachteten Absorptionslinien und ihre Gesamtbreite beim halben Maximum mit Hilfe einer hochgradigen Polynomanpassung im Bereich der Resonanzen bestimmt. Der zweite Block erlaubt den Fit von Parametern des Einzelspin-Hamilton-Operators zu den Positionen der Absorptionslinien mit Hilfe der Gesamtgittersuche, bei dem das variable Intervall der Modellparameter als auch die Anzahl der Punkte vom Benutzer definiert werden kann. Die derzeitige Version des Programms ist in der Lage, zugleich bis zu fünf Parameter der Einzel-Spin-Hamilton-Operatoren zu fitten. Dabei ist jedoch zu beachten, dass die Genauigkeit der ZFS-Bestimmung sinkt und die Berechnungszeit mit der Zunahme des Gesamtspins vom Grundmultiplett ansteigt. Der dritte Block behandelt die Berechnung der Temperaturabhängigkeit der Intensität der induzierten magnetischen Dipol-Übergänge für den erhaltenen Satz der ZFS-Parameter als auch deren Vergleich mit den experimentell beobachteten Werten. Schließlich werden die Spektren der Transmission als Funktion der Frequenz unter Annahme einer Lorentz- und der Gauss-Linienform ausgerechnet. Die Anpassung der Intensitäten der spektroskopischen Linien und deren Linienbreiten zu den experimentellen Beobachtungen führt zu einer vollständigen Simulation der FDMR-Spektren. Das oben beschriebene Programm wurde erfolgreich zu ZFS-Studien an unterschiedlichen magnetischen Molekülen angewendet. Die Ergebnisse sind im Kapitel 7 zusammengefasst.

Studien am  $\text{Mn}_{12}\text{Ac}$  Molekül haben die Suche nach neuen Einzelmolekülmagneten in der Gruppe der Mangankomplexe motiviert. Die Arbeit auf dem Gebiet der  $\text{Mn}_{12}$  Koordinationschemie hat zur Entwicklung von Methoden für die Modifikation der chemischen Umgebung der  $\text{Mn}_{12}$ -Kerne geführt. Verschiedene  $\text{PPh}_4^+$  Derivate vom  $\text{Mn}_{12}\text{Ac}$  Cluster wurden vor kurzem synthetisiert. Einer von ihnen ist der reduzierte Einelektron- $\text{Mn}_{12}$ -Komplex  $[\text{Mn}_{12}]^{\cdot-} = (\text{PPh}_4)[\text{Mn}_{12}\text{O}_{12}(\text{O}_2\text{CEt})_{16}(\text{H}_2\text{O})_4]$  mit einem halbzahligen Spingrundzustand. Die ZFS-Studien an diesem Komplex (s. Kapitel 7.1) sind deswegen interessant, weil die Spinparität den Quantentunneleffekt der Magnetisierung in den halbzahligen Spinkomplexen in Abwesenheit eines äußeren Magnetfeldes verbietet. Trotzdem wurde über Stufen in den Schleifen der Magnetisierungshysterese berichtet. Die Resultate der ZFS-Studie am  $[\text{Mn}_{12}]^{\cdot-}$  mit Hilfe der FDMRS sind in guter Übereinstimmung zu den Ergebnissen der Studien mit anderen experimentellen Techniken wie der inelastischen Neutronen Streuung (INS), der Reduzierten Magnetisierung, der HFEPN und

de magnetischen Suszeptibilität. Die experimentellen Beobachtungen wurden in Rahmen des Einzelspin-Hamilton-Modells, bei dem nur die axialen Terme einbezogen wurden, gut reproduziert. Die erhaltenen ZFS-Parametern sind:  $D = -0.454 \pm 0.003 \text{ cm}^{-1}$  and  $B_4^0 = (+1.01 \pm 0.25) \times 10^{-5} \text{ cm}^{-1}$ . Daher kann die Wirkung der Kristallfeldsymmetrie als mögliche Quelle des Tunnelmechanismus im  $[\text{Mn}_{12}]^-$ -Komplex ausgeschlossen werden.

Eine große Anzahl von  $\text{Mn}_x$ -Komplexen mit den Eigenschaften der Einzelmolekül-magnete und einem von 12 verschiedenen  $x$  wurde vor kurzem entdeckt. Einer von ihnen ist das  $\text{Mn}_9$ -Molekül, d.h. der  $[\text{Mn}_9\text{O}_7(\text{OAc})_{11}(\text{thme})(\text{py})_3(\text{H}_2\text{O})_2]$ -Komplex. Die aus dem FDMRS-Experiment extrahierten ZFS-Parameter sind in exzellenter Übereinstimmung mit den anderen magnetischen Studien wie INS, Bulk- und Einzelkristallmessungen als auch mit Berechnungen der Dichte- Funktional-Theorie (Abschnitt 7.2). Sie sind:  $D = -0.247 \pm 0.005 \text{ cm}^{-1}$  and  $B_4^0 = (+4.6 \pm 0.1) \times 10^{-6} \text{ cm}^{-1}$ .

In Abschnitt 7.3 wird die ZFS-Studie an einem mononuklearen Ni(II)-Komplex  $[\text{Ni}(\text{HIM2-py})_2\text{NO}_3]\text{NO}_3$  präsentiert, der ein möglicher Grundbaustein der Einzelmolekül-magnete ist. Er hat den größten negativen axialen ZFS-Parameter, der über mononukleare Komplexe berichtet wurde:  $D = -10.0 \pm 0.01 \text{ cm}^{-1}$  and  $E = 0.3 \pm 0.01 \text{ cm}^{-1}$ .

In Abschnitt 7.4 wird das FDMRS-Experiment an einem tetramerischen  $\text{Ni}_4$ -Komplex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  untersucht. Er ist von großem Interesse, da die ganze Familie von ähnlichen  $\text{Ni}_4$ -Verbindungen mit Ethyl- und Methyl-Gruppen als Liganden ein einzel-molekulares Verhalten gezeigt hat. Lediglich der Komplex  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  zeigt keine magnetische Hysterese bis zu einer Temperatur von 40mK. Der Einzelspin-Hamilton-Operator mit axialen und transversalen Termen vierter Ordnung ist für die Simulation der FDMRS- und INS-Experimente ausreichend:  $D = -0.93(2) \text{ cm}^{-1}$ ,  $E = 0.023(8) \text{ cm}^{-1}$ ,  $B_4^0 = -4.3(16) \times 10^{-4} \text{ cm}^{-1}$ ,  $B_4^4 = -2.1(4) \times 10^{-3} \text{ cm}^{-1}$ , jedoch ist er nicht in der Lage, die Feinstruktur des Niedrigfrequenzbereichs des INS-Spektrums zu erklären. Zudem vermag das Einzelspin-Hamilton-Modell nicht die Natur der physikalischen Prozessen zu erklären, die zu den nicht verschwindenden ZFS-Parametern der vierten Ordnung führen. Die lokalen Kristallfelder tragen zu den ZFS-Klusterparametern der zweiten Ordnung bei, d.h. zu  $D$  und  $E$ . Die nicht verschwindenden  $B_4^0$  und  $B_4^4$  deuten auf den Einfluss der angeregten Zuständen auf das Grundzustand-Multiplett hin, d.h. auf Multispineffekte.

Das wichtigsten Ergebnis dieser Doktorarbeit ist eine neue Entwicklung des Generalisierten Effektiven Spin-Hamilton-Operators, die zur Untersuchung des Ursprungs der magnetischen Anisotropie in einem tetramerischen Ni(II)-Cluster angewandt wurde (Kapitel 8). Die kleinen magnetischen Moleküle wie  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$  mit ihrer einfachen chemischen und magnetischen Struktur sind die besten Kandidaten, die Abhängigkeit der magnetischen Messgrößen von den Modellparametern zu untersuchen. Um den mikroskopischen Spin-Hamilton-Operator abzuleiten und zu begründen, wurde die gruppentheoretische Klassifizierung der austauschgekoppelten Multipletts im Rahmen der  $S_4$  Clusterpunktgruppe durchgeführt (Abschnitt 8.2). Die Studie zeigt, dass das erste angeregte Multiplett ein Orbitaldublett ist. Es weist auf eine ausgeprägte Rolle des nicht kompensierten orbitalen Drehimpulses im System hin. In Abschnitt 8.3 wird die gruppentheoretische Analyse der Spinbahnaufspaltung von Vielelektronentermen im  $\text{Ni}_4$ -Cluster der  $S_4$ -Gesamtsymmetrie vorgestellt. Unsere Analyse vermag die Aufspaltung der austauschgekoppelten Multipletts durch die Spinbahnwechselwirkung in der ersten und zweiten Ordnung der Streutheorie als auch die Mischung der angeregten Zustände in das Grundmultiplett durch die Spinbahnkopplung vorherzusagen. Daher wurden zwei Arten

der Nicht-Heisenberg-Wechselwirkungen in den mikroskopischen Spin-Hamilton-Operator zusätzlich zum isotropen Heisenberg-Term eingefügt. Der erste beschreibt die antisymmetrische (AS) Austauschwechselwirkung, die den innerhalb des orbitalen Dublett wirkenden Spinbahnkopplungsoperator modelliert. Der zweite Term bezeichnet die kollektive Wirkung des lokalen Kristallfeldes, das infolge des hohen Spinwerts des Grundzustandmultipletts einen zur antisymmetrischen Wechselwirkung vergleichbaren Beitrag liefert. Der Generalisierte Effektive Spin-Hamilton-Operator wurde im Abschnitt 8.4 konstruiert. Das vorgestellte Modell verwendet erstmalig nicht-kollineare Tensoren für die AS-Vektor-Parameter und für das lokale Kristallfeld. Die in dieser Doktorarbeit präsentierte Methodik wurde an einem konkreten Fall des  $[\text{Ni}_4(\text{MeOH})_4\text{L}_4]$ -Komplexes angewandt. Man kann sie jedoch auch erweitern und verallgemeinern. In den Abschnitten 8.5.1–8.5.6 werden Argumente aufgeführt, die für die Richtigkeit des entwickelten Modells sprechen als auch die analytische Beschreibung des ausgerechneten Spektrums als Funktion der Modellparameter bestätigen. Die ersten Versuche, das INS-Spektrum – dem vorgestellten Modell entsprechend – auszurechnen (auch im vollständigen Hilbert-Raum), sind in den Abschnitten 8.5.6 und 8.5.7 gezeigt. Bisher wurde keine exakte Übereinstimmung zwischen dem berechneten und dem experimentell beobachteten INS-Spektrum erzielt. Die möglichen Ursachen dieser Diskrepanz werden im Abschnitt 8.5.8 diskutiert. Dennoch wirft die neue Entwicklung des Generalisierten Effektiven Spin-Hamilton-Modells ein neues Licht auf das Feld des molekularen Magnetismus.

Als eine mögliche Richtung der weiteren Arbeit wird die Erweiterung des GESH-Modells vorgeschlagen, z.B. die Einführung der biquadratischen Austauschwechselwirkung in dem mikroskopischen Spin-Hamilton-Operator. Es wäre auch interessant, das Modell zur Simulation der Temperaturabhängigkeit von der magnetischen Suszeptibilität zu implementieren, um die Konstanten der isotropen Austauschwechselwirkung zu überprüfen. Desweiteren können die Matrizen, die die Transformation der Koordinaten des lokalen Kristallfeldes zu dem Clusterkoordinatensystem beschreiben, in einer allgemeineren Form durch die Euler-Winkel (s. Abschnitt 8.4.2A) entsprechend der lokalen Symmetrie ausgedrückt werden. Dies würde die Anwendung des Modells zur Analyse der Beiträge des lokalen Kristallfeldes zur Clusteranisotropie auf ein beliebiges tetrameres System ermöglichen. Schließlich soll auch der technische Aufwand der Computerberechnungen erwähnt werden, denn die Laufzeiten sind nicht unerheblich. Der Algorithmus für die Berechnung der Matrixelemente nach dem Wigner-Eckart-Theorem kann nicht mehr wesentlich verbessert werden. Die gegenwärtige Version des Programms für die Berechnung der Generalisierten Effektiven Hamilton-Matrixelementen ist in Mathematica geschrieben. Der schnellste am Institut verfügbare Computer rechnet an der Hamilton-Matrix für 36 ITOs des in dieser Doktorarbeit vorgestellten Modells im gesamten Hilbert-Raum über eine Stunde! Die Berechnung des INS Spektrums für ein Satz von Modellparametern dauert ca. 20 Sekunden und in Abhängigkeit von der Anzahl der erzeugten Linien sogar noch mehr. Dieser Rechenaufwand macht die Fit-Prozedur für eine Gesamtgittersuche sehr unflexibel. Mathematica ist bezüglich der zur Verfügung stehenden Funktionalität und der Rechenzeit für einen ersten Test des Modells durchaus ausreichend. Zur Optimierung der Rechenzeit würde sich z.B. C/C++ oder auch Visual Fortran für die Entwicklung eines allgemeinen Programms für die Simulation der Spektren molekularer Magnete im Rahmen des GESH-Modells eignen. Bezüglich des Einzel-Spin-Hamilton-Modells ist der Minimierungsfit-Algorithmus ausreichend für die gegenwärtige Auflösung der FDMRS

Messdaten. Es wird empfohlen, ein breiteres Wahrscheinlichkeitsintervall einzuführen für die Simulation der Niedrigfrequenzlinien im Vergleich zu denen im Hochfrequenzbereich.

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# Curriculum vitae

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