

Quasi-Perspectivities in Stable Planes

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Abstract. Stable planes are a generalization of compact connected projective planes. The possible configurations of fixed points for quasi-perspectivities are determined (extending results of R. Baer), and restrictions to the structure of finite quasiperspective groups as well as bounds for the dimension of quasi-perspective groups are derived.

A *stable plane* $\mathbb{M} = (M, \mathcal{M})$ is a topological linear incidence geometry such that

- the point space M and the line space \mathcal{M} are locally compact Hausdorff spaces
- the covering dimension $\dim M$ is positive and finite
- the operations $\wedge : \mathcal{D} \rightarrow \mathcal{M} : (X, Y) \mapsto X \wedge Y$ (intersection of lines) and $\vee : M \times M \setminus \{(x, x) \mid x \in M\} \rightarrow \mathcal{M} : (x, y) \mapsto xy$ (joining of points) are continuous, and the domain \mathcal{D} of definition of \wedge is open in $M \times M$.

Familiar examples of stable planes are the geometries induced on open sets of points of compact connected projective planes of finite covering dimension (e.g. the Klein model of the hyperbolic plane, see [5]). There are, however, stable planes that are not embeddable in this way, see [18].

According to a deep result of LÖWEN [8], the covering dimension of M equals $2l$, where l denotes the dimension of a line, and l is one of the integers 1, 2, 4, or 8 (i.e. the dimension of one of the real division algebras \mathbb{R} , \mathbb{C} , \mathbb{H} , or \mathbb{O}). The group $\text{Aut}(\mathbb{M})$ of all continuous collineations, endowed with the compact-open topology, is a separable, locally compact transformation group on M and \mathcal{M} (see [6], 2.9). This group has proved to be a good tool for the study of stable planes of low dimension (see e.g. [3], [9] and the references given there) and for the

theory of compact connected projective planes in general (see [14] and the references given there). In order to exploit these techniques for stable planes of arbitrary dimension, one needs information about planar groups (cf. [19]) as well as about quasi-perspectivities.

1. Definitions. a) A subgroup $\Delta \neq 1$ of $\text{Aut}(\mathbb{M})$ is called *quasi-perspective* if each orbit x^Δ is contained in some line L_x .

b) An element δ of $\text{Aut}(\mathbb{M})$ is called *quasi-perspective* (or a *quasi-perspectivity*) if the subgroup $\langle \delta \rangle$ generated by δ is quasi-perspective.

c) An element δ of $\text{Aut}(\mathbb{M})$ is called a *Baer collineation*, if the set $\text{Fix}(\delta)$ of fixed points contains a quadrangle and $\dim \text{Fix}(\delta) = \dim M/2$.

Note that, for each quasi-perspective group Δ , the line L_x is determined uniquely if x is moved by Δ . We write $\mathcal{L}_\Delta = \{L_x | x \in M \setminus \text{Fix}(\Delta)\}$ and $\mathcal{L}_\delta = \mathcal{L}_{\langle \delta \rangle}$ for each quasiperspectivity δ . According to ([7], 1.1), the mapping $\lambda: x \mapsto L_x: M \setminus \text{Fix}(\Delta) \rightarrow \mathcal{L}_\Delta$ is continuous. Restricting λ to a line H that is moved by Δ , one obtains that \mathcal{L}_Δ is locally homeomorphic with H . Note that each line $L_x \in \mathcal{L}_\Delta$ is fixed by Δ .

2. Definitions. Let Δ be a subgroup of $\text{Aut}(\mathbb{M})$, where $\mathbb{M} = (M, \mathcal{M})$ is a stable plane.

a) If Δ acts trivially on the pencil $\mathcal{M}_z = \{L \in \mathcal{M} | z \in L\}$ for some point $z \in M$, then z is called the *center* of Δ .

b) If Δ acts trivially on some open nonvoid subset U of a line L_U in \mathcal{M} , then U is called a *semi-axis* of Δ . If $U = L_U$, then L_U is called an *axis* of Δ .

Note that each group Δ with semi-axis U acts effectively as a group with axis on the open subplane induced on $M \setminus (L_U \setminus U)$.

According to a well-known theorem of R. BAER [1] (see also [11], pp. 71—73), each quasiperspectivity δ of a projective plane either has center and axis, or the geometry induced on $\text{Fix}(\delta)$ is a non-degenerate projective plane (in fact, a so-called *Baer subplane*, cf. [4], pp. 82, 91—94). In the case of compact connected projective planes of dimension $0 < 2l < \infty$, such a subplane has dimension l (see [12], 1.4, [13], 1.4). Note that this follows readily from Löwen's restriction of the possible dimensions and the fact that the set of fixed lines is locally homeomorphic with a line.

We are going to extend Baer's theorem to the case of stable planes. A special feature of stable planes is the following: Removing a proper closed subset X of M (where (M, \mathcal{M}) is a stable plane), one obtains a

stable plane $(M \setminus X, \tilde{\mathcal{M}})$, where $\tilde{\mathcal{M}}$ consists of those lines of \mathcal{M} that meet M in at least two points. If δ is an automorphism of (M, \mathcal{M}) that leaves X invariant, then δ induces an automorphism of $(M \setminus X, \tilde{\mathcal{M}})$. Therefore one can only expect that $\text{Fix}(\delta)$ forms a part of one of the configurations that occur in projective planes. The following lemma is the key to the characterization of the possible configurations:

3. Lemma. *Let δ be a quasi-perspective automorphism of a stable plane $\mathbb{M} = (M, \mathcal{M})$, and assume that two lines $L_x, L_y \in \mathcal{L}_\delta$ intersect in a point $z \in M$. If δ is not a Baer collineation, then z is the center of δ .*

Proof. We use the continuous mapping $\lambda: M \setminus \text{Fix}(\delta) \rightarrow \mathcal{L}_\delta: q \mapsto L_q$. Set $l = \dim L_x$. In lines G and H through x and y , respectively, one finds compact neighbourhoods X of x and Y of y such that $X^\lambda \times Y^\lambda$ is contained in the domain of definition of \wedge . Define the mappings

$$\mu: X \rightarrow L_y: q \mapsto L_q \wedge L_y,$$

$$\nu: Y \rightarrow L_x: q \mapsto L_q \wedge L_x.$$

There are two cases: i) There is a point $r \in X^\mu$ such that $\dim r^{\mu^{-\lambda}} > l/2$. Assume that $K \in r^{\mu^{-\lambda}}$ meets $L \in Y^\lambda$ in a point $s \neq r$. Then $\dim \{L \wedge K' \mid K' \in r^{\mu^{-\lambda}}\} = \dim r^{\mu^{-\lambda}} > l/2$. Choosing $L' \in Y^\lambda$ near L , one obtains that $\text{Fix}(\delta)$ generates a subplane of dimension greater than l . Consequently, $\dim \text{Fix}(\delta) = \dim M$, and $\text{Fix}(\delta)$ is a neighbourhood in M by ([8], Theorem 11c)). Now $\delta = 1$, a contradiction. Therefore $Y^\nu = \{z\} = X^\mu$, and $r = z$. Since L_x was arbitrary, we obtain that $\mathcal{L}_\delta \cap \mathcal{M}_z$ is open in \mathcal{M}_z . On the other hand, \mathcal{L}_δ is closed in \mathcal{M} . Now $\mathcal{L}_\delta \cap \mathcal{M}_z = \mathcal{M}_z$ since \mathcal{M}_z is connected ([6], 1.14), and z is the center of δ .

ii) In the remaining case we have that $\max \{\dim r^{\mu^{-\lambda}} \mid r \in X^\mu\} \leq l/2$. An application of the dimension formula in ([10], III.6) yields that $\dim X^\mu \geq l/2$, and the set $\{K \wedge L \mid (K, L) \in X^\mu \times Y^\nu\}$ generates a subplane of dimension $d \geq l$. Now $d = l$ since $\delta \neq 1$, and δ is a Baer collineation. □

We wish to extend R. Baer's theorem to the case of quasi-perspectivities of prime order, in particular involutions. For this purpose, we shall use the following topological lemma.

4. Lemma. *Let $p \in M$, where (M, \mathcal{M}) is a stable plane. Then $\mathcal{M}_p \setminus \{L\}$ has trivial homology groups for each line L through p .*

Proof. According to ([8], 5.3) each compact subset \mathcal{U} of $\mathcal{M}_p \setminus \{L\}$ has a contractible compact neighbourhood in $\mathcal{M}_p \setminus \{L\}$. Hence all homotopy groups are trivial, and the assertion follows from Hurwitz' Theorem ([17], Theorem 4, p. 397). \square

5. Theorem. *Let $\delta \neq 1$ be a quasi-perspectivity (e.g. an involution). Then one (and only one) of the following holds:*

- a) δ is free, i.e. $\text{Fix}(\delta) = \emptyset$.
- b) δ is a Baer collineation.
- c) δ has a center or an axis (or both).
- d) $\text{Fix}(\delta)$ is contained in a unique line L , which is not an axis; and through each fixed point there passes no other fixed line except L .

If δ has prime order (in particular if δ is an involution), case d) does not occur.

Proof. The cases a), b), c) and d) are mutually exclusive. Let $q \in \text{Fix}(\delta)$. Since $\text{Fix}(\delta)$ is not open in M , there is a sequence q_n converging to q such that $q_n \notin \text{Fix}(\delta)$. According to ([6], 1.17) the lines L_{q_n} accumulate at a line L . This line passes through q by ([6], 1.5). If there is another fixed line K through q , then either K or L is an axis, or 3) applies. Since $\mathcal{M}_q \setminus \{L\}$ has trivial homology groups, a result of P. SMITH [15] assures the existence of K in the case where δ has prime order. \square

For stable planes, we do not know whether case d) occurs. It is, however, easy to give examples for *discrete* linear spaces admitting such quasi-perspectivities:

6. Example. Let (P, \mathcal{P}) be a projective plane admitting an elation τ with axis $A \in \mathcal{P}$ and center $c \in A$. For each point $x \in A \setminus \{c\}$, the restriction of τ to the geometry induced on $P \setminus (A \setminus \{x\})$ is a quasiperspectivity of type d). Note that in the non-discrete case the deleted set is not closed, and we do not obtain a stable plane.

We turn to the study of commuting quasi-perspectivities now.

7. Lemma. *Let $\alpha \neq 1$ be a quasi-perspectivity of prime order with axis A . Then there is exactly one fixed line $C_a \in \mathcal{M}_a \setminus \{A\}$ for each point $a \in A$. Moreover, C_a belongs to \mathcal{L}_α .*

Proof. The existence of C_a follows from [15] since $\mathcal{M}_a \setminus \{A\}$ has trivial homology groups (4)). Now $C_a \in \mathcal{L}_\alpha$, because C_a is different from the axis A . If there is another fixed line $L \in \mathcal{M}_a \setminus \{A, C_a\}$, then a is the center of α by 3). In this case, C_b would be an axis for each $b \in A \setminus \{a\}$.

8. Proposition. *Let Δ be an elementary abelian group of automorphisms of a stable plane (M, \mathcal{M}) and assume that there is a line $A \in \mathcal{M}$ such that A is the axis of each $\delta \in \Delta \setminus \{1\}$. Then Δ is cyclic.*

Proof. Choose $\delta \in \Delta \setminus \{1\}$, and let a be a point on A . By 7), there are exactly two lines A and C_a through a that are fixed by δ . Since Δ commutes with δ and consists of automorphisms with axis A , we conclude that each element of $\Delta \setminus \{1\}$ fixes exactly the lines A and C_a in \mathcal{M}_a . Now Δ is an elementary abelian group acting effectively on the homotopy sphere \mathcal{M}_a such that the set of fixed lines through a forms a 0-sphere for each non-trivial element of Δ . A theorem of P. SMITH [16] yields the assertion. \square

Note that 8) excludes many types of finite groups (see e.g. [2] Ch. 5, Th. 4.10; Ch. 7, Th. 6.2). In particular, the possibilities for connected Lie groups are strictly restrained.

9. Theorem. a) *Commuting involutions with the same axis are equal.*

b) *Let \mathcal{I} be a nonvoid set of commuting involutions with common center a . If there is an involution α with axis A such that α commutes with each element of \mathcal{I} , then \mathcal{I} consists of one element σ . Moreover, $\sigma = \alpha$ if $a \notin A$, and $\sigma\alpha$ is an involution with axis C_a if $a \in A$.*

Proof. Assertion a) is a corollary of 8). Let $\sigma \in \mathcal{I}$. Since σ and α commute, the axis A is fixed by σ . If $a \notin A$, then A is an axis of σ , and $\sigma = \alpha$ by assertion a). If, on the other hand, $a \in A$, then $a = A \wedge C_a$, and the lines A and C_a are the only lines through a that are fixed by α . Since σ acts trivially on \mathcal{M}_a , these two lines are the only lines through a that are fixed by $\sigma\alpha$, and the involution $\sigma\alpha$ is not a Baer collineation, nor has it center a . Assertion a) yields that the axis of $\sigma\alpha$ is C_a . Since this holds for each $\sigma \in \mathcal{I}$, we conclude that $\mathcal{I} = \{\sigma\}$, and claim b) is established. \square

10. Corollary. (Triangle Lemma). *Let \mathbb{M} be a stable plane, and let Φ and Δ be subgroups of $\text{Aut}(\mathbb{M})$ such that Φ fixes a point x and Δ fixes a triangle pointwise.*

a) *If there are three commuting involutions in Φ , then at least one of them has not an axis through x .*

b) *If there are four commuting involutions in Δ , then at least one of them is a Baer collineation.*

c) *If there are three commuting involutions in Φ such that each of*

them has center and axis, then the centers form a non-degenerate triangle.

d) Let α, β, γ be three commuting involutions in Δ . If none of them is a Baer collineation, then $\gamma = \alpha\beta$, and $\langle \alpha, \beta \rangle \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$.

Note that the automorphism groups $\text{PSL}_3\mathbb{H}$ and $E_6(-26)$ of the projective planes over Hamilton's quaternions and Cayley's octonions, respectively, contain subgroups isomorphic with $(\mathbb{Z}/2\mathbb{Z})^4$ and hence 15 commuting involutions. Only three of these have axis and center, the remaining 12 are Baer collineations.

In the study of stable planes with large automorphism groups, the following will be useful:

11. Theorem. *Let Δ be a locally compact group of automorphisms of a stable plane $\mathbb{M} = (M, \mathcal{M})$ with $\dim M = 2l$.*

- a) *If Δ has a semi-axis, then $\dim \Delta \leq 3l$.*
- b) *If Δ is quasi-perspective, then $\dim \Delta \leq 3l$.*
- c) *If Δ is quasi-perspective and has a semi-axis, then $\dim \Delta \leq l$.*
- d) *If Δ has a semi-axis U and centralizes an involution $\sigma \in \Delta$, then σ has axis L_U , $\dim \Delta \leq l$, and Δ acts freely on $\mathcal{M}_u \setminus \{L_U, C_u\}$ for each point $u \in U$.*

Proof. i) Let $U \subseteq L_U$ be a semi-axis of Δ . For $x \in M \setminus L_U$, the stabilizer Δ_x fixes each of the lines xu , where $u \in U$. The set $\{xu \mid u \in U\}$ is open in \mathcal{M}_x . Choose $u \in U$. For $y \in xu \setminus \{u\}$, the stabilizer $\Delta_{x,y}$ has two semi-axes and is therefore trivial. Assertion a) follows from the fact that $\dim \Delta/\Delta_x \leq \dim M = 2l$ and $\dim \Delta_x/\Delta_{x,y} \leq \dim xu = l$.

ii) Assume that Δ is quasi-perspective, and let x_1 be a point that is moved by Δ . Choosing two points x_2, x_3 such that $x_1 \notin x_2x_3$ we obtain that Δ_{x_1, x_2, x_3} is trivial. Since $\dim \Delta/\Delta_{x_i} \leq \dim L_{x_i} = l$, assertion b) follows. In case c), choose x_2 and x_3 in the semi-axis.

iii) Assume the situation of d). Obviously, the involution σ has axis L_U , and Δ fixes C_u for each point $u \in U$. Choosing $x_1 \in C_u \setminus \{u\}$ and $x_2, x_3 \in U$, we obtain that $\dim \Delta \leq l$ analogously to ii). \square

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